

# Tumor necrosis factor- $\alpha$ triggers opposing signals in head and neck squamous cell carcinoma and induces apoptosis via mitochondrial- and non-mitochondrial-dependent pathways

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**Abstract.** Head and neck squamous cell carcinoma (HNSCC) remains one of the most common malignancies worldwide. Although the treatment outcomes of HNSCC have improved in recent years, the prognosis of patients with advanced-stage disease remains poor. Current treatment strategies for HNSCC include surgery as a primary therapy, while radio-, chemo-, and biotherapeutics can be applied as second-line therapy. Although tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) is a potent tumor suppressor cytokine, the stimulation of opposing signals impairs its clinical utility as an anticancer agent. The aim of this study was to elucidate the mechanisms regulating TNF- $\alpha$ -induced opposing signals and their biological consequences in HNSCC cell lines. We determined the molecular mechanisms of TNF- $\alpha$ -induced opposing signals in HNSCC cells. Our *in vitro* analysis indicated that one of these signals triggers apoptosis, while the other induces both apoptosis and cell survival. The TNF- $\alpha$ -induced survival of HNSCC cells is mediated by the TNF receptor-associated factor 2 (TRAF2)/nuclear factor (NF)- $\kappa$ B-dependent pathway, while TNF- $\alpha$ -induced apoptosis is mediated by mitochondrial and

non-mitochondrial-dependent mechanisms through FADD-caspase-8-caspase-3 and ASK-JNK-p53-Noxa pathways. The localization of Noxa protein to both the mitochondria and endoplasmic reticulum (ER) was found to cause mitochondrial dysregulation and ER stress, respectively. Using inhibitory experiments, we demonstrated that the FADD-caspase-8-caspase-3 pathway, together with mitochondrial dysregulation and ER stress-dependent pathways, are essential for the modulation of apoptosis, and the NF- $\kappa$ B pathway is essential for the modulation of anti-apoptotic effects/cell survival during the exposure of HNSCC cells to TNF- $\alpha$ . Our data provide insight into the mechanisms of TNF- $\alpha$ -induced opposing signals in HNSCC cells and may further help in the development of novel therapeutic approaches with which to minimize the systemic toxicity of TNF- $\alpha$ .

## Introduction

Head and neck squamous cell carcinoma (HNSCC) is one of the leading types of cancer by incidence worldwide (1). Although the prevalence of this type of cancer is in a steady decline and treatment outcomes have improved (2,3), the prognosis of patients with advanced stages of HNSCC remains poor. Tumor resistance and drug toxicity impair the clinical validity of available therapeutics, particularly in advanced stages of the disease (4,5).

Tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) is a potent tumor suppressor cytokine that is a potential viable agent for tumor biotherapy (6). TNF- $\alpha$  mediates both pro- and anti-tumoral effects in the form of distinct or overlapping functions via two major receptors, 55 kDa TNFR1 and 75 kDa TNFR2 (7). While TNFR1 is ubiquitously expressed in normal and tumor tissues, the expression of TNFR2 is restricted to immune

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cells (8). In addition to its apoptotic proficiency, TNF- $\alpha$  is able to trigger the activity of the nuclear transcription factor, nuclear factor- $\kappa$ B (NF- $\kappa$ B), which in turn mediates the regulation of apoptotic inhibitor genes, such as Bcl-2, Bcl-xL, cellular inhibitors of apoptosis (cIAPs), X-linked inhibitor of apoptosis (XIAP) and cFLIP (9,10). While TNF- $\alpha$  shows promise as a cancer therapeutic agent, and clinical trials have shown that treatment with recombinant TNF exerts antitumor effects, there is an alarming induction of endotoxic shock and systemic toxicity following TNF- $\alpha$  administration (11,12).

Apoptosis is the orderly process of programmed cell death that occurs in multicellular organisms. This tightly orchestrated process represents a vital component of cellular functioning, and is necessary for normal cell turnover and embryonic development. While apoptosis is a natural process that can block cancer development, its deregulation is implicated in the progression of tumorigenesis (13). The apoptotic process is driven by three common pathways: i) The death receptor-mediated extrinsic pathway; ii) the mitochondria-mediated intrinsic pathway; and iii) the endoplasmic reticulum (ER) stress-mediated apoptotic pathway (14,15). The extrinsic pathway is one of the two major apoptotic pathways whose activation is initiated by transmembrane receptor(s) through ligation to the corresponding ligand(s) or agonist(s). These receptors include FasL/FasR, TNF- $\alpha$ /TNFR1, Apo3L/DR3, Apo2L/DR4 and Apo2L/DR5, with the most well-characterized receptors being the members of the TNF receptor gene superfamily (16). The key components of these apoptotic pathways are potential targets for genetic and/or epigenetic alteration, which can lead to failure in the cellular death machinery that is thought to be the main cause of tumor progression and resistance (17,18). Thus, the activation of apoptosis-associated pathways is a feasible strategy for tumor prevention and treatment.

In the present study, we provide insight into the mechanisms of TNF- $\alpha$ -induced opposing signals in HNSCC cells, and describe in detail the mechanism through which TNF- $\alpha$  induces apoptosis, with the aim to develop therapeutic strategies that can minimize the systemic toxicity of this cytokine.

## Materials and methods

**Cells, cell culture and treatment.** The HNSCC cell lines used in this study were the following: i) The human oral squamous cell carcinoma cell line, CLS-3540, obtained from CLS Cell Lines Service GmbH (Eppelheim, Germany); and ii) the human nasal septum squamous cell carcinoma cell line, RPMI2650, obtained from the American Tissue Culture Collection (ATCC, Manassas, VA, USA). The CLS-354 cells were cultivated and maintained in DMEM supplemented with 10% fetal bovine serum and 2 mM L-glutamine, while RPMI2650 cells were cultivated and maintained in EMEM media supplemented with 10% fetal bovine serum and 2 mM L-glutamine. The treatment of the cells with TNF- $\alpha$  (#C-63722; PromoCell GmbH, Heidelberg, Germany) was performed at a concentration of 10 ng/ml for 48 h. In addition, 1  $\mu$ M inhibitor of ASK1 (thioredoxin), 5  $\mu$ M inhibitor of NF- $\kappa$ B (Bay-11-7082), 10  $\mu$ M inhibitor of JNK (SP600125) (all from Biomol GmbH, Loerrach, Germany), 25  $\mu$ M of inhibitor of IRE1 $\alpha$  (irestatine; Axon Medchem, Reston, VA, USA) and 20  $\mu$ M inhibitor of caspase-8 (Z-IETD-FMK; R&D Systems, Minneapolis,

MN, USA) were added to the cell culture 1 h prior to exposure to TNF- $\alpha$  for the indicated time period of 48 h.

**Assessment of cell survival.** The cells were exposed to the recommended concentration of TNF- $\alpha$  (10 ng/ml) before the measurement of cell viability using MTT assays (Roche, Bâle, Switzerland), as previously described (19-21). Briefly, the HNSCC cell lines were allowed to grow for 24 h prior to exposure to TNF- $\alpha$  (10 ng/ml) for the indicated periods of time. The treated and control cells were then incubated with 50  $\mu$ l of MTT substrate (5 mg/ml) per well for 3 h under normal cell culture conditions. Following the removal of the culture media, the cells were lysed in 300  $\mu$ l of MTT lysis buffer and the relative cell number was assessed by an ELISA reader (VersaMax ELISA Microplate Reader; Molecular Devices, San Jose, CA, USA) at 570-590 nm.

**RNA interference.** siRNA specific to protein kinase RNA-like endoplasmic reticulum kinase (PERK; SC-36213), siRNA to human Noxa (SC-37306), as well as the scramble siRNA (included with each respective siRNA) were obtained from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Knockdown experiments were carried out as recommended by the manufacturer's instructions. The cells were transfected with Lipofectamine 2000 as previously described (19,22).

**Western blot analysis.** Western blot analysis was performed according to the standard procedures. The treated and control cells were washed twice with cold PBS and lysed with lysis buffer containing 25 mM HEPES (pH 7.5), 0.3 M NaCl, 1.5 mM MgCl<sub>2</sub>, 0.2 mM EDTA, 0.5 mM DTT, 20 mM  $\beta$ -glycerolphosphate, 0.1 mM sodium orthovanadate, 0.1% Triton X-100 and a protease inhibitor cocktail (Roche Diagnostics, Mannheim, Germany). The protein concentration was determined using Bradford Protein Assay (Bio-Rad, Hercules, CA, USA). The separation of proteins (20 g per lane) was carried out by 12% of SDS-polyacrylamide gel electrophoresis (Bio-Rad). The transfer of proteins to nitrocellulose membranes (Schleicher & Schuell, Dassel, Germany) from SDS-PAGE was accomplished in a Hoefer TE 62X Transphor II unit. The membranes were blocked with Tris-buffered saline (TBS) buffer with 5% non-fat dry milk (Bio-Rad) overnight at 4°C. The blots were incubated with the following antibodies at the indicated dilutions: Anti-apoptosis signaling regulating kinase 1 (ASK1; SC-7931), 1:500; anti-p-ASK1 (SC-109911), 1:1,000; anti-c-jun N-terminal kinase (JNK; SC-474), 1:1,000; anti-p-JNK (SC-6254), 1:1,000; anti-p38 (SC-535), 1:1,000; anti-p-p38 (SC-7973), 1:1,000; anti-Noxa (SC-2697), 1:1,000; anti-actin (SC-1615), 1:5,000; anti-Tom20 (SC-11415), 1:100; anti-Bap31 (SC-18579), 1:500; anti-IRE1 $\alpha$  (SC-20790), 1:500; anti-PERK (SC-9477), 1:1,000; anti-activating transcription factor 4 (ATF-4; SC-200), 1:1,000; anti-I $\kappa$ B- $\alpha$  (SC-203), 1:500; anti-p-I $\kappa$ B- $\alpha$  (SC-8404), 1:500 (all from Santa Cruz Biotechnology); anti-p-IRE1 $\alpha$  (PA1-16927; Thermo Fisher Scientific, West Palm Beach, FL, USA), 1:1,000; anti-CHOP (#2895), 1:1,000; anti-caspase 3 (#7190), 1:1,000; anti-caspase-9 (#9501), 1:1,000; 1:500; anti-Fas associated via death domain (FADD; #2782), 1:1,000; anti-phospho-FADD (#2785), 1:500 anti-poly(ADP-ribose) polymerase (PARP; #9542), 1:500; caspase-8 (#9496), 1:1,000; anti-phospho-

TRAF2 (#13908), 1:1,000 (all from Cell Signaling Technology Inc., Danvers, MA, USA); anti-TRAF2 antibody (ab37118), 1:1,000; Abcam anti-p-IRE1 (ab48187), 1:1,000; anti-p-ATF-4 (ab28830), 1:1,000 (from Abcam, Cambridge, MA, USA); anti-eIF2 $\alpha$  antibody (LS-C285898EIF2), 1:1,000 and anti-phospho-eIF2 $\alpha$  antibody (LS-C191237), 1:1,000 (from LSBio, Seattle, WA, USA).

**Immunofluorescence staining.** The RPMI2650 cells were allowed to grow for 24 h prior to exposure to TNF- $\alpha$  for the indicated periods of time. The cells were then subjected to immunofluorescence staining as described previously (19). Primary antibodies, including anti-Noxa (SC-2697), 1:200; anti-Tom20 (SC-11415), 1:200; anti-Bap31 (SC-18579), 1:200 (all from Santa Cruz Biotechnology) were allowed to bind for 2 h at room temperature. Subsequently, the cells were washed 3 times in PBS and incubated with Alexa Fluor-labeled secondary antibodies, including, Alexa Fluor 488 Dye and Alexa Fluor 555 Dye and Alexa Fluor 647 Dye (all from Thermo Fisher Scientific) for 2 h at room temperature. Following an additional 3 washes in PBS, the cells were mounted using DAKO mounting medium. Photomicrographs were acquired on a Leica fluorescence microscope (Leica, Wetzlar, Germany).

**Electrophoretic mobility shift assay (EMSA).** The details of EMSA have been described elsewhere (19,23). Briefly, the nuclear extracts of the treated and control cells were prepared by the addition of cell lysis buffer [20 mM HEPES (pH 7.9), 10 mM NaCl, 0.2 EDTA, 2 mM DTT, 1 mM Na Vanadate, and 1 mM proteinase inhibitor], and the nuclei were precipitated by centrifugation at 10,000 x g for 3 min and the subsequent addition of nuclear lysis buffer [20 mM HEPES (pH 7.9), 1.5 mM MgCl<sub>2</sub>, 1 mM Na Vanadate, 420 mM NaCl, 0.2 EDTA, 2 mM DTT, 25% glycerol and 1 mM protease inhibitor]. The double-stranded synthetic oligonucleotides carrying a defined binding site for AP-1, p53 and NF- $\kappa$ B (Santa Cruz Biotechnology), ATF-3 (5'-GTGACGT[AC][AG]-3') were then end-labeled with [<sup>32</sup>P] ATP (Hartmann Analytika, Munich, Germany) in the presence of T4 polynucleotide kinase (GeneCraft, Münster, Germany). Briefly, a reaction volume of 20  $\mu$ l containing 10 pmol (2  $\mu$ l) of oligonucleotides carrying a defined binding site of the above mentioned transcription factors, 5  $\mu$ Ci of [<sup>32</sup>P] ATP (5  $\mu$ l), 4  $\mu$ l T4 kinase buffer (5X) and 1  $\mu$ l T4 kinase and 3  $\mu$ l H<sub>2</sub>O. Following incubation at 37°C for 30 min, the labeled oligonucleotides were purified using the oligonucleotide purification kit (Qiagen, Hilden, Germany) and stored at -20°C until use. Approximately 4  $\mu$ g of nuclear extracts were bound to a labeled probe in a total volume of 30  $\mu$ l for 30 min at room temperature in binding buffer (10 mM Tris, pH 7.5; 50 mM NaCl, 1 mM EDTA; 1 mM MgCl<sub>2</sub>; 0.5 mM DTT and 4% glycerol). The specificity of the binding was analyzed by competition with an unlabeled oligonucleotide assay. The competition assay was performed in the same manner, except that unlabeled probes containing oligonucleotide sequences (binding sites) were incubated with nuclear extracts for 20 min at room temperature before adding the labeled probes. Electrophoresis was performed for 3 h at 100 V in 0.5 X Tris-borate-EDTA running buffer at room temperature. The dried gel was visualized by exposure to high performance autoradiography film.

**Detection of apoptosis using Annexin V/propidium iodide.** The analysis of the TNF- $\alpha$ -induced apoptosis of the CLS-354 and RPMI2650 cells was performed by flow cytometry using Annexin V-FITC/propidium iodide (PI); (Vybrant; Invitrogen, Karlsruhe, Germany). Following the incubation of treated and control cells with Annexin/PI for 15 min at room temperature with protection from light, the cells were measured as previously described (19,21). Cells stained with Annexin were identified as early apoptotic cells, while cells stained with both Annexin and PI were identified as late apoptotic cells.

**Measurement of mitochondrial membrane potential ( $\Delta\psi_m$ ) using JC-1.** The CLS-354 and RPMI2650 cells were stained with 10  $\mu$ M JC-1 for 30 min at room temperature in the dark. The intensities of red (>550 nm) and green (520-530 nm) fluorescence of 50,000 individual cells were analyzed by flow cytometry as previously described (19,24). At a low  $\Delta\psi_m$ , JC-1 is predominantly a monomer that yields green fluorescence with the emission of 530 $\pm$ 15 nm, while at a high  $\Delta\psi_m$ , the dye aggregates yielding a red to orange colored emission (590 $\pm$ 17.5 nm). Therefore, a decrease in the aggregate fluorescent count is indicative of depolarization, whereas an increase is indicative of hyperpolarization.

**Statistical analysis.** Data were expressed as the means  $\pm$  SD. Statistical comparisons were performed with one-way ANOVA followed by Dunnett's test. Statistical differences between 2 groups were determined using the unpaired Student's t-test. P<0.05 was considered to indicate a statistically significant difference. All statistical analyses were performed using SPSS statistical software (version 16.0; SPSS, Chicago, IL, USA).

## Results

**TNF- $\alpha$ -induced apoptosis of HNSCC cells is mediated by mitochondrial-dependent mechanisms.** Initially, we determined the IC<sub>50</sub> value of TNF- $\alpha$  in the HNSCC cells. The CLS-354 and RPMI2650 cell lines were allowed to grow for 24 h prior to exposure to various concentrations of TNF- $\alpha$  (1.0, 2.0, 3.0, 4.0, 5.0, 10, 15 and 20 ng/ml) for a time period of 24 h or 48 h, and the viability of both treated and control cells was then measured by cell viability assay following incubation with MTT substrate. MTT assay revealed an IC<sub>50</sub> value of TNF- $\alpha$  (10 ng/ml) which was able to induce a 50% decrease in the cell number after 48 h in both the CLS-354 and RPMI2650 cells, when compared to the untreated controls (data not shown). We then performed a time course experiment to confirm the effect of the determined IC<sub>50</sub> value of TNF- $\alpha$  on the viability of HNSCC cells by MTT assay. The CLS-354 and RPMI2650 cell lines were cultured for 24 h prior to exposure to TNF- $\alpha$  (10 ng/ml) for regulated time intervals up to 72 h. The data obtained from MTT assay (Fig. 1A) revealed a time-dependent reduction in cell viability in both the CLS-354 and RPMI2650 cells in response to treatment with TNF- $\alpha$ . TNF- $\alpha$ -induced cell growth inhibition was noted first at 12 h post-treatment and increased thereafter to reach a maximum by 72 h. After the optimal concentration of TNF- $\alpha$  (10 ng/ml) and time (48 h) had been determined, we set out to perform all functional experiments under the same conditions.

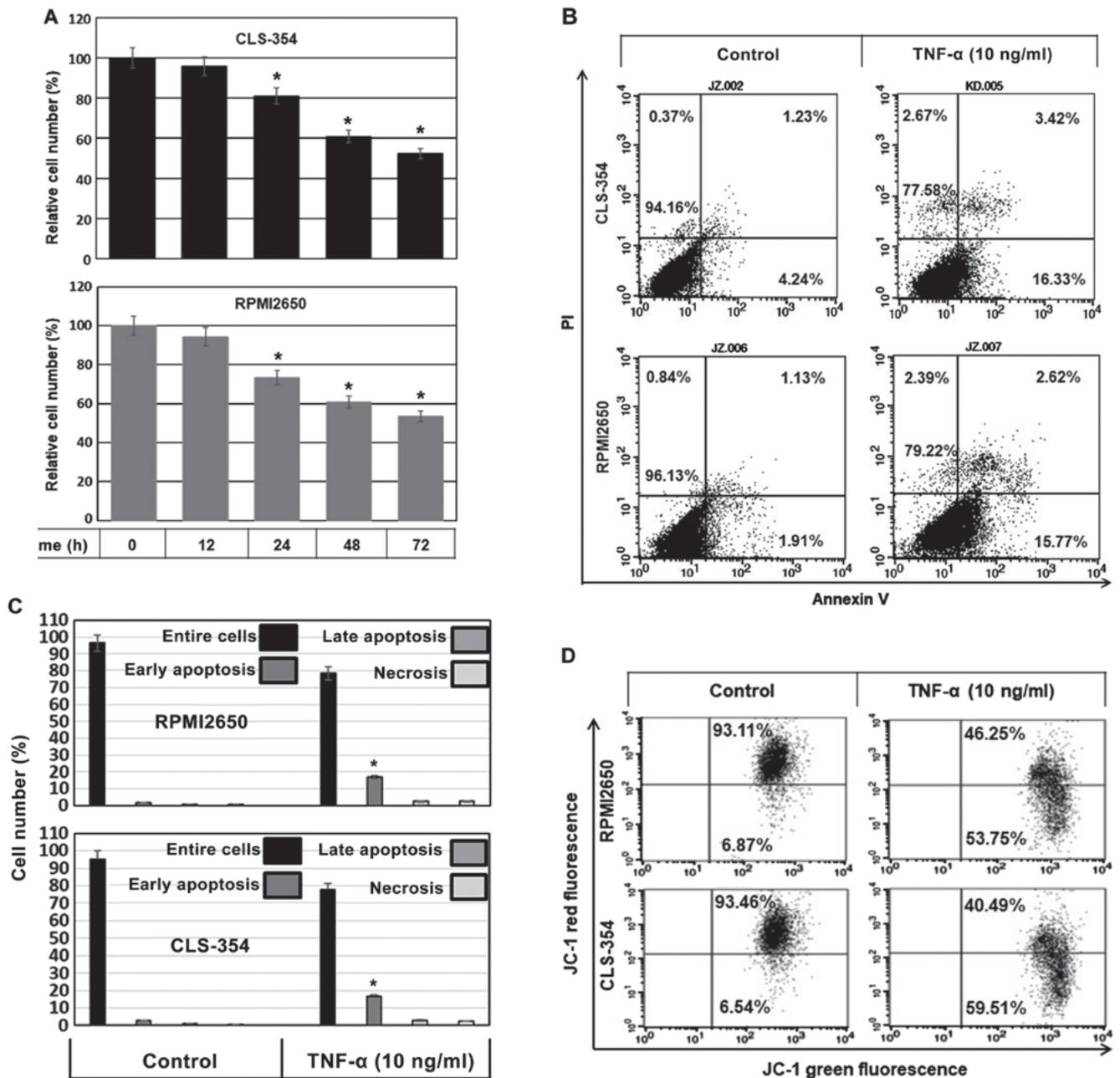


Figure 1. (A) Time course-dependent inhibition of the growth rate of head and neck squamous cell carcinoma (HNSCC) cells in response to exposure to TNF- $\alpha$ . Relative cell number (%) assessed by MTT assay following the exposure of the HNSCC cell lines, CLS-354 and RPMI2650, to TNF- $\alpha$  (10 ng/ml) for regulated time intervals up to 72 h. Data are presented as the means  $\pm$  SD (n=4). \*P<0.05, significantly different from the control as shown by ANOVA and Dunnett's test. (B) Flow cytometric analysis using Annexin V/propidium iodide (PI) staining demonstrating the TNF- $\alpha$ -induced apoptosis of the HNSCC cell lines, CLS-354 and RPMI2650. (C) Data of Annexin V/PI are presented as the means  $\pm$  SD (n=3). \*P<0.05, significantly different from the control as shown by ANOVA and Dunnett's test. (D) Flow cytometric analysis using JC-1 staining demonstrating the loss of mitochondrial membrane potential ( $\Delta\psi_m$ ) in TNF- $\alpha$ -treated cells.

Subsequently, we investigated whether the TNF- $\alpha$ -induced death of HNSCC cells is mediated by an apoptotic mechanism. Accordingly, we analyzed the TNF- $\alpha$ -induced cell death of both the CLS-354 and RPMI2650 cells using an apoptosis-specific assay. According to apoptosis-specific protocol, early apoptotic cells are Annexin V-positive and PI-negative, while late apoptotic cells are Annexin V-positive and PI-positive. In accordance with the protocol, our results revealed a significant increase in the percentage of early cell death in both the CLS-354 (16.33%) and RPMI5026 (15.77%) cells, while the level of late apoptotic cells was ~3.42% in the CLS-354 cells

and 2.62% in the RPMI5026 cells following treatment with TNF- $\alpha$  for 48 h (Fig. 1B). However, statistical analysis of the 3 independent experiments demonstrated a significant elevation of early apoptosis in both cell lines (P<0.05; Fig. 1C), an evidence of the involvement of an apoptotic mechanism in the regulation of the TNF- $\alpha$ -induced death of HNSCC cells.

To determine whether TNF- $\alpha$ -induced apoptosis is associated with mitochondrial dysregulation, the RPMI2650 and CLS-354 cells were treated with TNF- $\alpha$  for 48 h, and the treated and control cells were then subjected to flow cytometric analysis using JC-1 staining. As expected, the results of

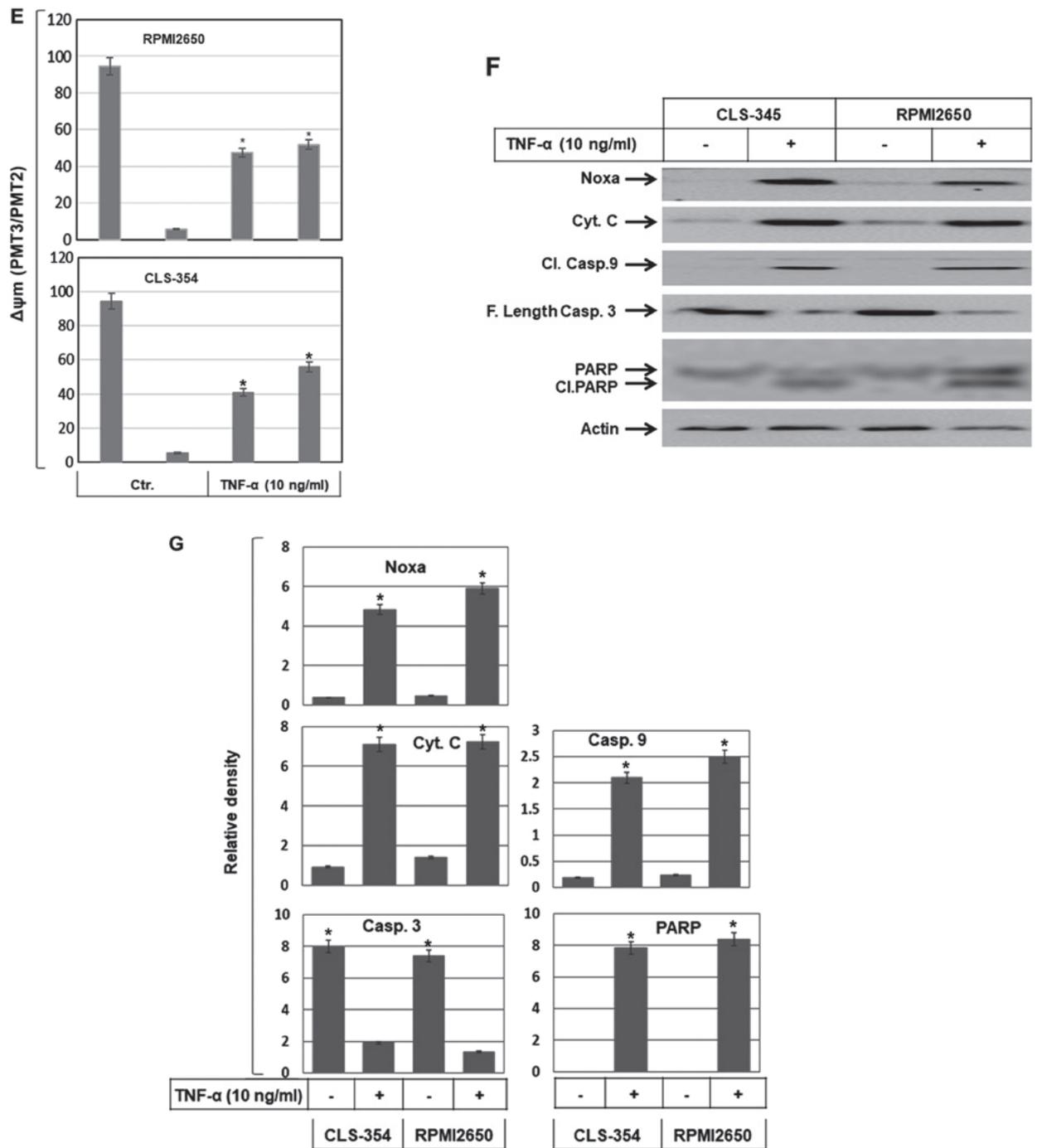


Figure 1. Continued. (E) Data of JC-1 staining are presented as the means  $\pm$  SD (n=3). In each treatment group, the first one of the two bars represents the portion of the cells that do not show loss of mitochondrial membrane potential, while the second bar represents the portion of cells that show the loss of mitochondrial membrane potential. \*P<0.05, significantly different from the control as shown by ANOVA and Dunnett's test. (F) Western blot analysis demonstrating the induction of Noxa expression, the release of cytochrome *c* (Cyt.c), and the cleavage of caspase-9, caspase-3 and PARP in response to the treatment of the HNSCC cell lines, CLS-345 and RPMI2650, with TNF- $\alpha$  for 48 h. Actin was used as an internal control for loading and transfer. (G) Analyses of band intensity on films are presented as the relative ratio of Noxa to actin, released Cyt.c to actin, cleaved caspase-9 (Cl.Casp.9) to actin, cleaved caspase-3 (Cl.casp.3) to actin and cleaved PARP (Cl.PARP) to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control.

flow cytometric analysis (Fig. 1D and E) demonstrated the loss of  $\Delta\psi_m$  in both cell lines when compared to the control cells, suggesting that the TNF- $\alpha$ -induced apoptosis of HNSCCs is mediated by a mitochondrial dysregulation-dependent mechanism. Based on its role in the modulation of mitochondrial dysregulation (21), we set out to analyze the expression of the pro-apoptotic protein, Noxa, in the treated and control cells. The analysis of the total cell lysates of the RPMI2650 and

CLS-354 cells using western blot analysis (Fig. 1F and G) revealed the induction of Noxa protein in response to treatment with TNF- $\alpha$ , suggesting an important role for Noxa protein in the TNF- $\alpha$ -induced apoptosis of HNSCC cells. We then confirmed the TNF- $\alpha$ -induced apoptosis of HNSCC cells at the molecular level. Accordingly, we analyzed hallmarks of apoptosis, such as cytochrome *c*, caspase-9, caspase-3, and PARP by western blot analysis. Treatment of both the

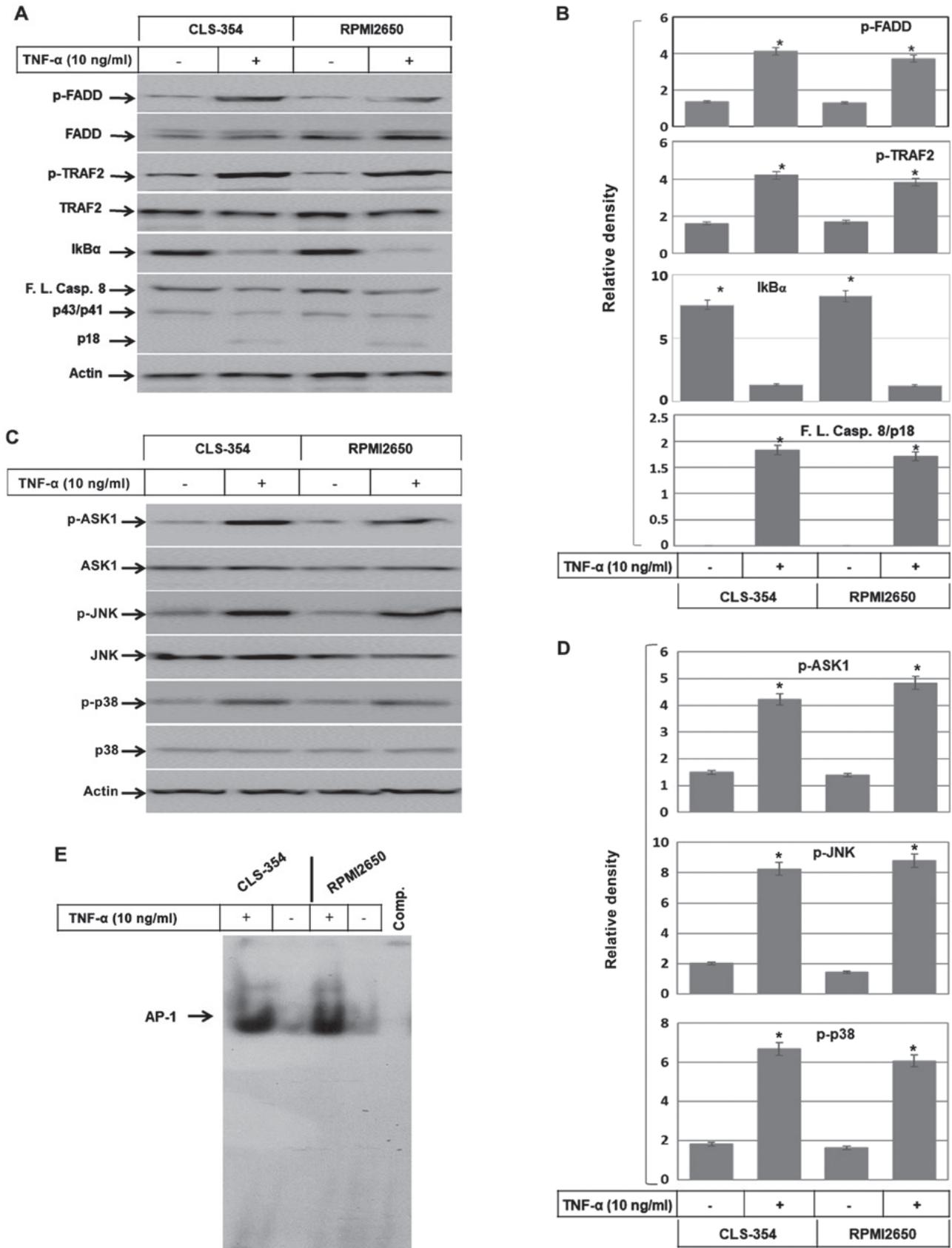


Figure 2. (A) Western blot analysis demonstrating the phosphorylation of FADD and TRAF2 proteins, and the degradation of I $\kappa$ B $\alpha$  and the cleavage of caspase-8 in response to the exposure of head and neck squamous cell carcinoma (HNSCC) cell lines, CLS-345 and RPMI2650, to TNF- $\alpha$ . Actin was used as an internal control for loading and transfer. (B) Analyses of band intensity on films are presented as the relative ratio of p-FADD to actin, p-TRAF2 to actin, I $\kappa$ B $\alpha$  to actin and cleaved caspase-8/p18 (Cl.casp.8/p18) to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control. (C) Western blot analysis demonstrating the phosphorylation of ASK1, JNK and p38 kinase in response to the exposure of the HNSCC cell lines, CLS-345 and RPMI2650, to TNF- $\alpha$ . Actin was used as an internal control for loading and transfer. (D) Analyses of band intensity on films are presented as the relative ratio of p-ASK1 to actin, p-JNK to actin and p-p38 to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control. EMSA demonstrates the enhancement of the DNA-binding activity of the transcription factor, (E) AP-1.

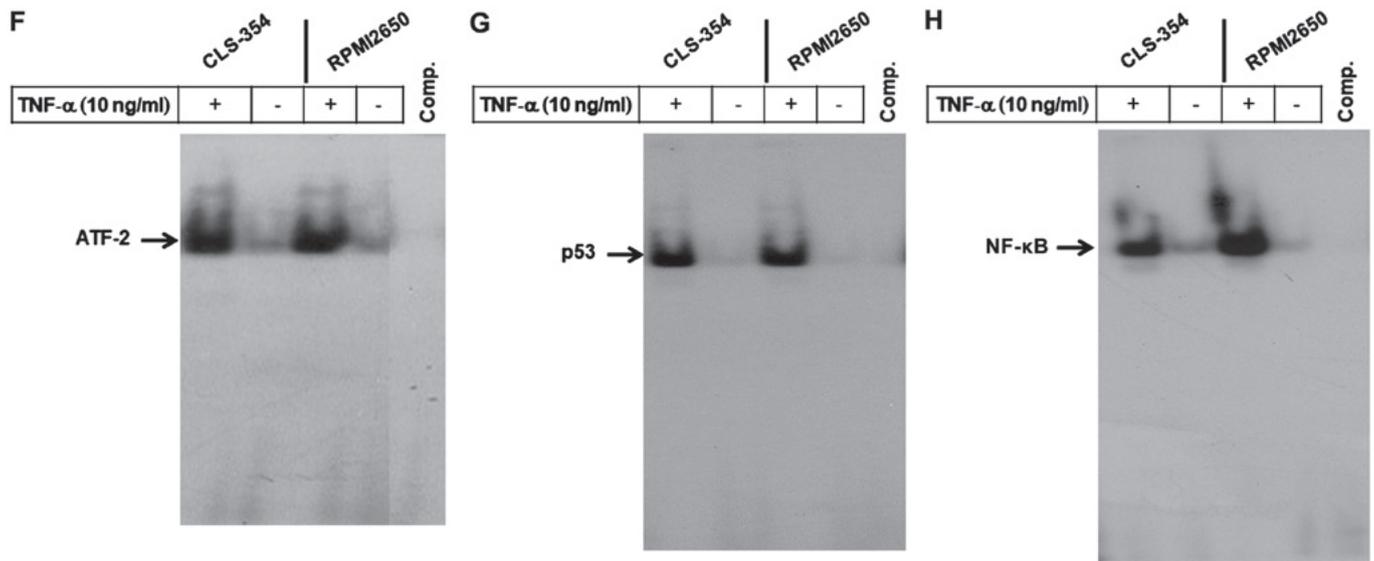


Figure 2. Continued. EMSA demonstrates the enhancement of the DNA-binding activity of the transcription factors, (F) ATF-2, (G) p53 and (H) NF- $\kappa$ B in response to the treatment of the HNSCC cell lines, CLS-354 and RPMI2650, with TNF- $\alpha$ . Data are representative of 3 independent experiments.

RPMI2650 and CLS-354 cells with TNF- $\alpha$  was found to enhance the release of mitochondrial cytochrome *c* into the cytoplasm, as well as the cleavage of caspase-9, caspase-3 and PARP (Fig. 1F and G).

*TNF- $\alpha$  triggers the activation of pro- and anti-apoptotic-dependent pathways in HNSCC cells.* To further investigate the mechanisms associated with the TNF- $\alpha$ -induced effects on HNSCC-derived cell lines, the RPMI2650 and CLS-354 cells were treated with TNF- $\alpha$  for 48 h. Total cell lysate and nuclear extracts were prepared for western blot analysis and EMSA, respectively. We analyzed the possible proteins that can be targeted by the activation of the TNF receptor, such as FADD, TRAF2 and caspase-8, as well as the regulatory proteins of the NF- $\kappa$ B and MAP kinase pathways. Treatment of both the RPMI2650 and CLS-354 cells with TNF- $\alpha$  enhanced the phosphorylation levels of FADD and TRAF2, the degradation of I $\kappa$ B $\alpha$ , and the cleavage of caspase-8, without any alterations in the expression levels of FADD or TRAF2 (Fig. 2A and B). In addition, treatment of both the RPMI2650 and CLS-354 cells with TNF- $\alpha$  induced the phosphorylation of ASK1, JNK and p38, without influencing their basal expression (Fig. 2C and D). The analysis of the nuclear extracts of the TNF- $\alpha$ -treated and control cells using EMSA revealed the activation of AP-1 (Fig. 2E), ATF-2 (Fig. 2F), p53 (Fig. 2G) and NF- $\kappa$ B (Fig. 2H), suggesting an important role for these transcription factors in the modulation of TNF- $\alpha$ -induced effects in HNSCC derived cell lines.

*Subcellular localization of TNF- $\alpha$ -induced Noxa protein to both the mitochondria and ER.* To investigate whether TNF- $\alpha$ -induced Noxa protein is localized to the mitochondria and/or the ER, the RPMI2650 and CLS-354 cells were treated with the indicated concentration of TNF- $\alpha$  for 48 h, and the subcellular localization of Noxa protein was then analyzed in both treated and control cells using immunofluorescence staining and western blot analysis of mitochondrial and ER fractions. Immunofluorescence staining using anti-Noxa, anti-Tom20

(marker for mitochondria) and anti-Bap31 (marker for ER) antibodies revealed the expression of Noxa protein (green) in the treated RPMI2650 cells, whereas the expression of Tom20 and Bap31 proteins was observed in both the treated and control cells (Fig. 3A). As expected, the merge of Noxa and Tom20 revealed the subcellular localization of Noxa protein to the mitochondria, and the merge of Noxa and Bap31 confirmed the subcellular localization of Noxa protein to ER (Fig. 3A). We further confirmed the subcellular localization of TNF- $\alpha$ -induced Noxa protein via the analysis of mitochondrial and ER fractions of the treated and control cells (Fig. 3B and C). First, we assessed the purity of both mitochondrial and ER fractions by western blot analysis using anti-Tom20 and anti-Bap31, respectively. The detection of Noxa protein in the mitochondrial fractions of TNF- $\alpha$ -treated cells confirmed the subcellular localization of Noxa protein to the mitochondria, while the detection of Noxa proteins in the ER fraction of TNF- $\alpha$ -treated cells confirmed the subcellular localization of Noxa protein to the ER (Fig. 3B and C). These data suggest an important role for the subcellular localization of Noxa in the modulation of TNF- $\alpha$ -induced apoptosis via a mechanism mediated by mitochondrial dysregulation and ER stress-dependent pathways.

*Induction of ER stress-associated pathways by TNF- $\alpha$  in HNSCC cells.* Western blot analysis and EMSA of the total cell lysates and nuclear extracts of the TNF- $\alpha$ -treated and control cells were used to examine whether the subcellular localization of Noxa proteins to ER influences the ER stress-dependent pathways. Western blot analysis (Fig. 4A and B) demonstrated the ability of TNF- $\alpha$  to enhance the phosphorylation of PERK, IRE1 $\alpha$ , eIf2 $\alpha$  and ATF-4 proteins together with the expression of CHOP, without influencing the expression levels of PERK, IRE1 $\alpha$  eIf2 $\alpha$  or ATF-4 proteins. EMSA (Fig. 4C) revealed the enhancement of the DNA-binding activity of the transcription factor ATF-3 in response to treatment of the HNSCC cells with TNF- $\alpha$ . Taken together, these data address an essential role for Noxa protein in the modulation of TNF- $\alpha$ -induced ER stress and subsequent apoptosis.

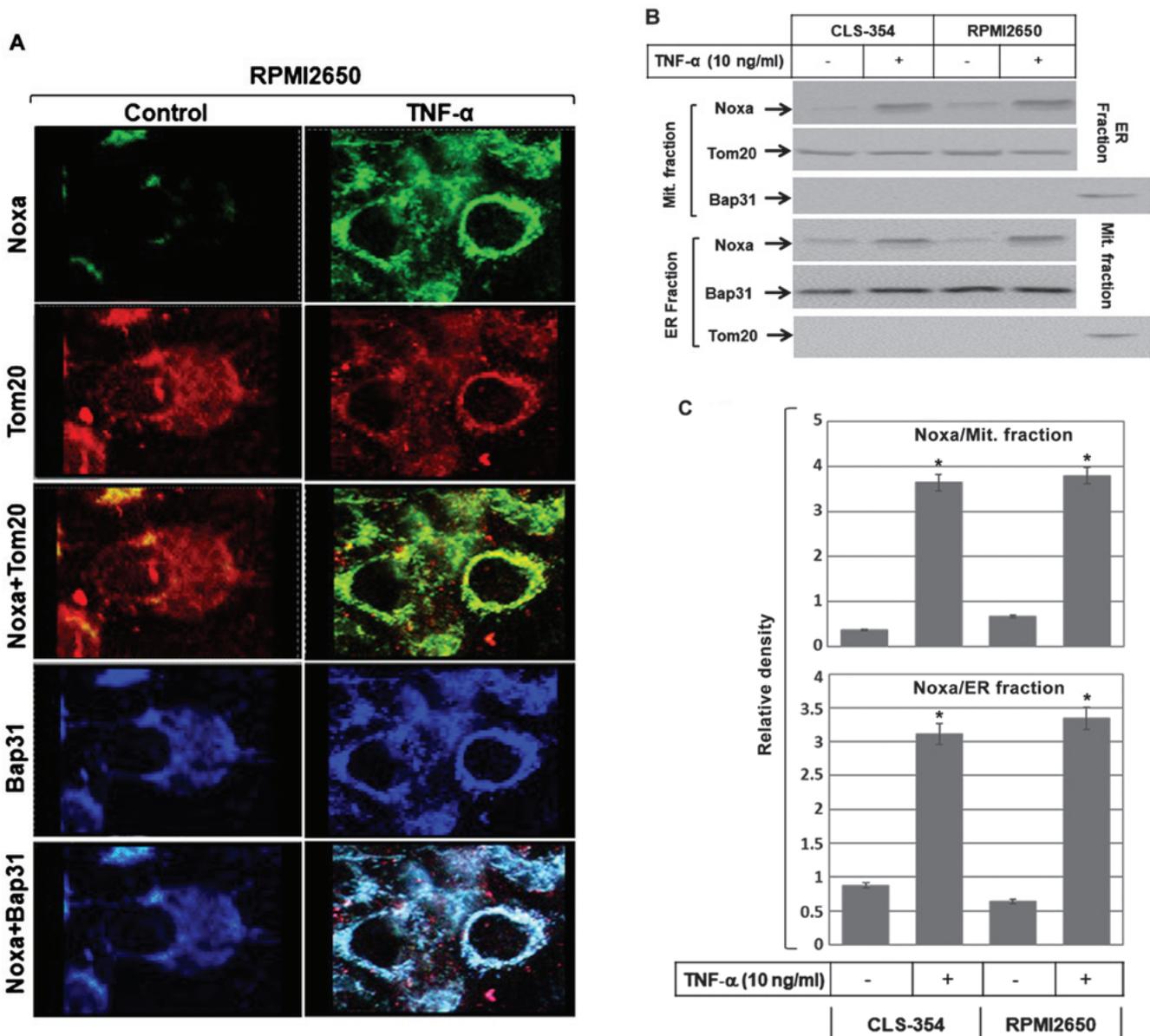


Figure 3. Subcellular localization of Noxa protein to both the mitochondria and endoplasmic reticulum (ER) in TNF- $\alpha$ -treated and control RPMI2650 cells. (A) Immunofluorescence (IF) staining: RPMI2650 cells were treated with TNF- $\alpha$  for 48 h prior to staining with anti-Noxa, Tom20 (mitochondrial marker) and Bap31 (ER marker). The subcellular localization of Noxa (green) to mitochondria (red) and the overlay of Noxa with Tom20 staining demonstrates the localization of Noxa to the mitochondria (yellow), when compared with control cells. The localization of Noxa (green) to ER (blue) and the overlay of Noxa with Bap31 staining demonstrates the localization of Noxa to ER (turquoise), when compared with control cells. (B) Western blot analysis using the mitochondrial fraction (Mit.fraction) and ER fraction from both the CLS-354 and RPMI2650 cells following treatment with TNF- $\alpha$  for 48 h. The detection of Noxa in the mitochondrial and ER fractions of the CLS-354 and RPMI2650 cells following treatment with TNF- $\alpha$  was used to confirm the localization of Noxa protein to both the mitochondria and ER. The purity of both the mitochondrial and ER fractions was verified by the detection of the mitochondrial protein, Tom20, in the mitochondrial fraction and the detection of Bap31 in the ER fraction. (C) Analyses of band intensity on films are presented as the relative ratio of Noxa to Tom20 in the mitochondrial fraction and Noxa to Bap31 in the ER fraction. Bars represent the means  $\pm$  SD (n=3); \*P<0.05 vs. control.

*TNF- $\alpha$ -induced apoptosis is mediated by mitochondrial- and non-mitochondrial-dependent pathways and is enhanced by the inhibition of NF- $\kappa$ B.* We then wished to elucidate the pathways essential for the modulation of TNF- $\alpha$ -induced apoptosis. The RPMI2650 and CLS-354 cell lines were transfected with PERK-specific or Noxa-specific siRNAs, or treated with the inhibitors of caspase-8 (I.Casp.8), ASK1 (thioredoxin), JNK (SP600125), IRE1 $\alpha$  (Irestatin), or NF- $\kappa$ B (Bay11-7082) prior to exposure to TNF- $\alpha$  for 48 h. First, we examined the efficiency of the specific siRNAs against Noxa and PERK using western blot analysis of the transfected and control cells before and

after exposure to TNF- $\alpha$ . Western blot analysis revealed the abrogation of Noxa (Fig. 5A and B) and PERK (Fig. 5C and D) and TNF- $\alpha$ -induced Noxa expression. We then examined the viability of the treated and control cells by MTT assay (Fig. 5E). There was a marked inhibition of the viability of both the RPMI2650 and CLS-354 cells in response to treatment with TNF- $\alpha$ , while the knockdown of Noxa protein was found to mostly, although not completely block the TNF- $\alpha$ -induced decrease in cell viability (Fig. 5E). By contrast, the knockdown of PERK by its specific siRNA, the inhibition of caspase-8 by its specific inhibitor, the inhibition of ASK1 by thioredoxin,

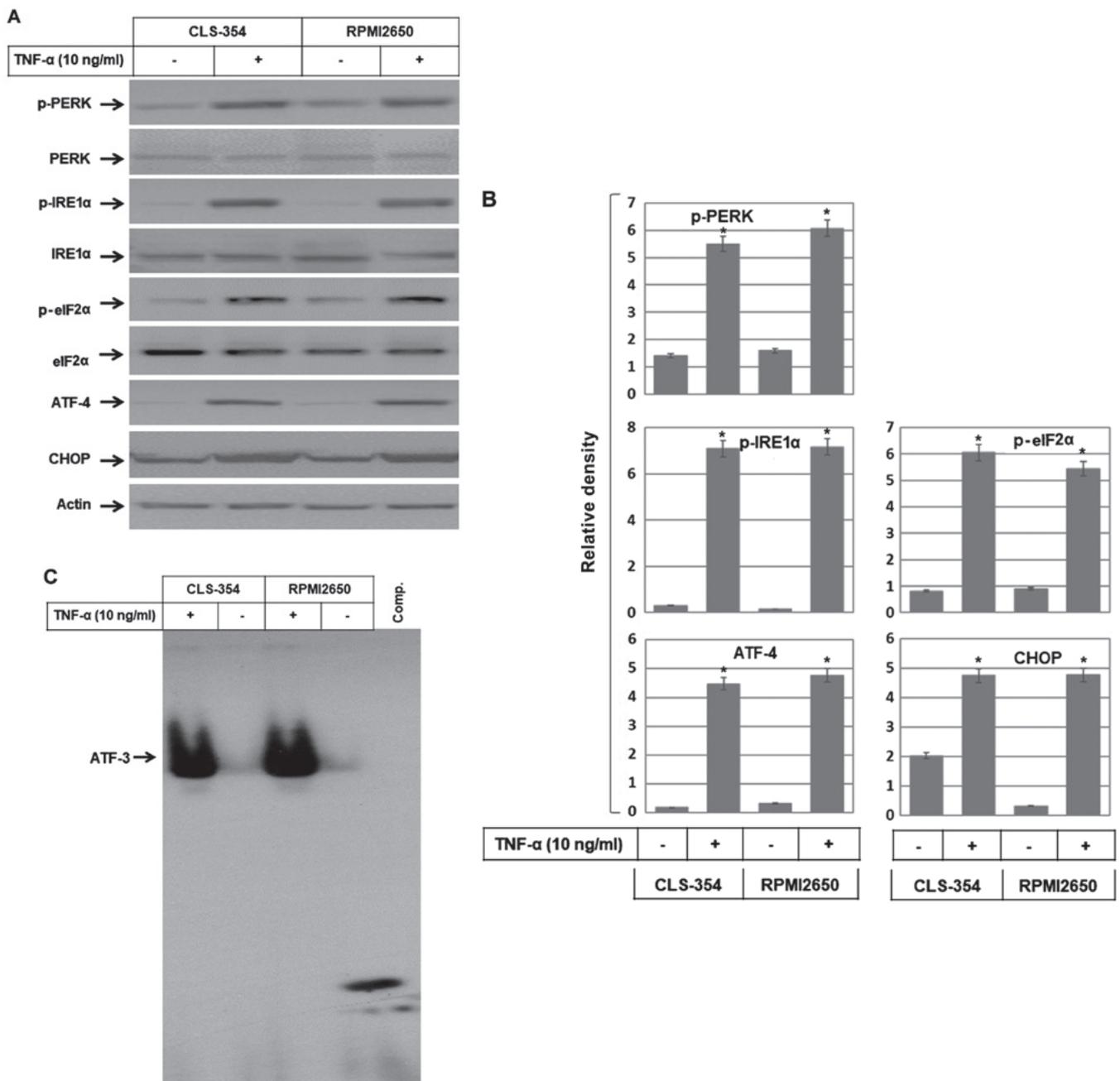


Figure 4. (A) Western blot analysis demonstrating the phosphorylation of PERK, IRE $\alpha$  and the induction of the phosphorylation of eIF2 $\alpha$ , and the expression of ATF-4 and CHOP in response to treatment of the CLS-354 and RPMI2650 cells with TNF- $\alpha$ . Actin was used as an internal control for loading and transfer. Data are representative of 3 independent experiments. (B) Analyses of band intensity on films are presented as the relative ratio of p-PERK to actin, p-IRE $\alpha$  to actin, p-eIF2 $\alpha$  to actin, ATF-4 to actin and CHOP to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control. (C) EMSA demonstrating the enhancement of the DNA-binding activity of the transcription factor ATF-3 in response to the treatment of the CLS-354 and RPMI2650 cells with TNF- $\alpha$ . Data are representative of 3 independent experiments.

the inhibition of JNK by SP600125, and the inhibition of IRE1 $\alpha$  by irestatin only partially blocked the TNF- $\alpha$ -induced decrease in the viability of both cell lines (Fig. 5E). Conversely, the inhibition of the NF- $\kappa$ B pathway by Bay11-7082 enhanced the suppressive effects of TNF- $\alpha$  on the viability of both the RPMI2650 and CLS-354 cells (Fig. 5E). However, the complete abrogation of the decrease in viability was noted by the combination of the inhibitors of caspase-8 with ASK1, JNK, or IRE1 $\alpha$  inhibitors (Fig. 5E). In addition, the combination of caspase-8 inhibitor together with the knockdown of PERK by its specific siRNA, or the knockdown of Noxa by

the corresponding specific siRNA was found to block TNF- $\alpha$ -induced apoptosis (Fig. 5E). Taken together, these data suggest that the TNF- $\alpha$ -induced decrease in cell viability is mediated by different pathways, including the FADD-caspase-8 and TRAF-ASK1-JNK-p53-Noxa axis, while TNF- $\alpha$ -induced survival is mediated by the TRAF-NF- $\kappa$ B axis.

*The possible pathways which are implicated in the modulation of TNF- $\alpha$ -induced opposing signals.* Based on the outcomes of this study, we proposed model for the possible of TNF- $\alpha$ -induced opposing signals in HNSCC cells (Fig. 6). One

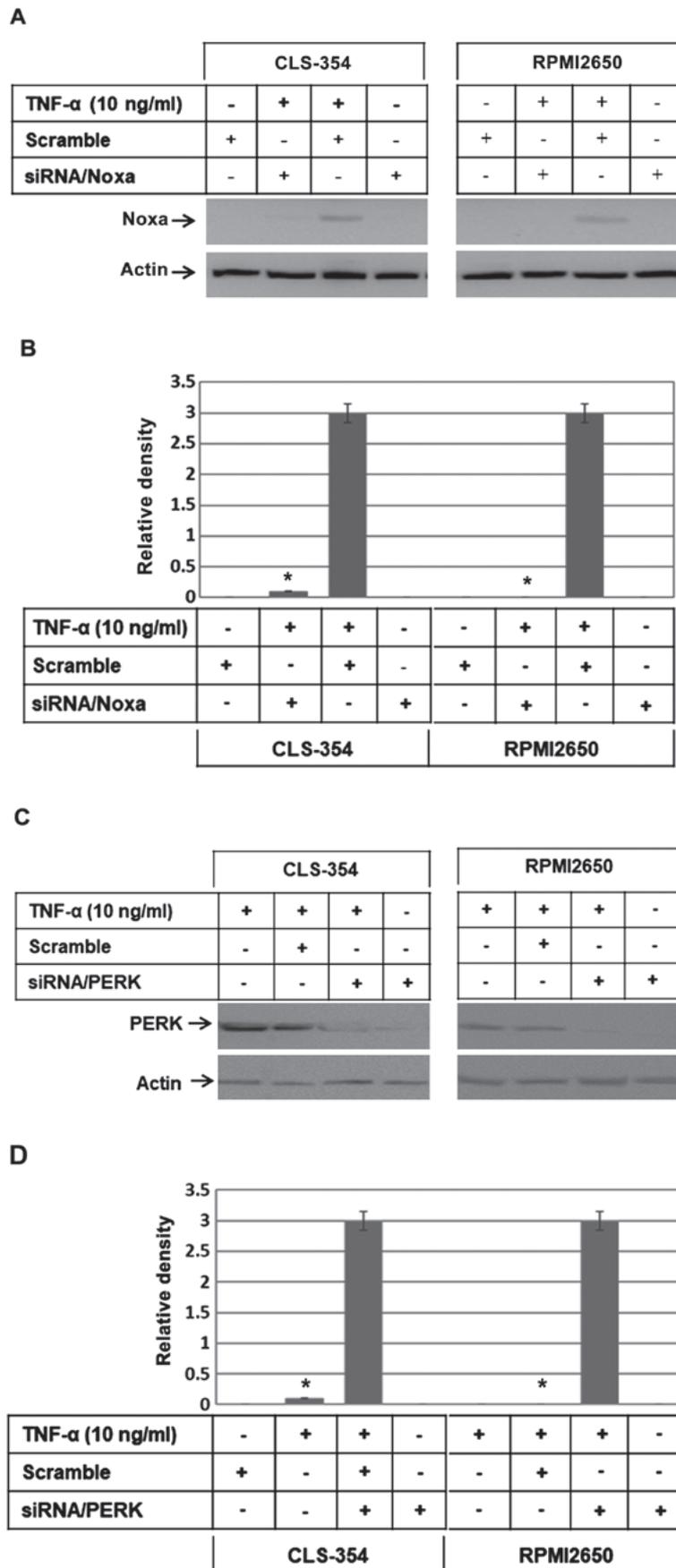


Figure 5. (A) Western blot analysis demonstrating the knockdown of Noxa protein by its specific siRNA (si/Noxa) in CLS-354 and RPMI2650 cells treated with TNF- $\alpha$  (10 ng/ml). (B) Analyses of band intensity on films are presented as the relative ratio of reduced Noxa to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control. (C) Western blot analysis demonstrating the knockdown of PERK protein by its specific siRNA (si/PERK). Actin was used as an internal control for loading and transfer. (D) Analyses of band intensity on films are presented as the relative ratio of reduced PERK expression to actin. Bars represent the means  $\pm$  SD (n=3). \*P<0.05 vs. control.

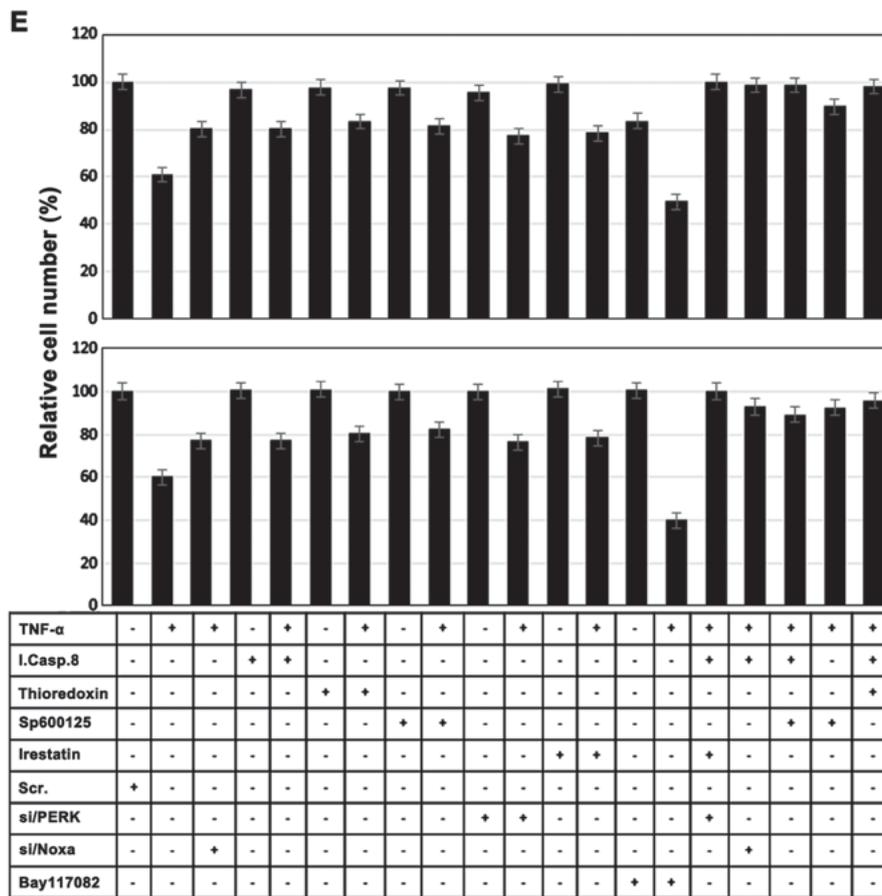


Figure 5. Continued. (E) MTT assay demonstrating the inhibition of TNF-α-induced cell death of RPMI2650 (upper panel) and CLS-345 (lower panel) via inhibitors of caspase-8 (I.Casp.8, 20 μM), ASK1 (thioredoxin, 1 nM), JNK (SP600125, 10 μM), IRE1α (Irestatin, 25 μM), or by the knockdown of PERK by its specific siRNA (si/PERK). The complete abrogation of the TNF-α-induced cell death of head and neck squamous cell carcinoma (HNSCC) cell lines was noted by the knockdown of Noxa by its specific siRNA (si/Noxa) or the combination of ASK1 and caspase-8 inhibitors. Pretreatment of the cells with NF-κB inhibitor (Bay11-7982, 5 μM) promoted TNF-α-induced cell death as evidenced by MTT assay. Data are presented as the means ± SD (n=3) performed in quadruplicate.

of these signals is associated with cell death/apoptosis and the other with cell survival. TNF-α-induced apoptosis is mediated via the FADD-caspase-8 and TRAF2-ASK-JNK-p53-Noxa axes, while TNF-α-induced cell survival is mediated via the TRAF2-NF-κB axis. The inhibition of the NF-κB pathway is expected to be a feasible option with which to improve the killing efficiency and to minimize the systemic toxicity of TNF-α in HNSCCs.

**Discussion**

In the present study, we demonstrated the molecular mechanisms through which TNF-α induces opposing signals in HNSCC cells. One of these signals leads to cell death and the other leads to cell survival. The TNF-α-induced apoptosis of HNSCC cell lines is mediated by extrinsic and intrinsic-dependent mechanisms. The extrinsic apoptotic signal is modulated via the following: i) the FADD-mediated activation of caspase-8, which in turn, triggers the activation of caspase-3, leading to PARP cleavage and subsequent apoptosis; and ii) the activation of the TRAF2-ASK1-JNK-p53-Noxa axis, leading to ER stress-dependent apoptosis. The intrinsic apoptotic signal is mediated via the activation of the TRAF-2-ASK-JNK-p53-Noxa axis, leading to mitochondrial dysregulation-dependent apoptosis. The

observed mitochondrial dysregulation and ER stress are the consequences of the subcellular localization of Noxa protein to both mitochondria and ER, respectively.

TNF-α is able to trigger opposing signals in normal and tumor cells; however, the imbalance between survival and apoptotic signals determines whether the cell will survive or die (25-27). In some cell types TNF-α can activate NF-κB, leading to cell survival, while in other cell types TNF-α can trigger cell death (15).

In the present study, the induction of opposing signals was noted following the exposure of HNSCC cells to TNF-α. The apoptotic signal was mediated by FADD-caspase-8 and the TRAF2-ASK1-JNK axis, while the survival signal was mediated via the TRAF2-NF-κB axis.

As shown by our data, the TNF-α-induced activation of the NF-κB pathway was involved in the inhibition of TNF-α-induced apoptosis. The TNF-α-induced activation of the NF-κB pathway was involved in the inhibition of TNF-α-induced apoptosis, since the inhibition of the NF-κB pathway was found to enhance the TNF-α-induced apoptosis of HNSCC cells.

The binding of TNF-α to tumor necrosis factor receptor 1 (TNFR1) has been shown to trigger opposing biological responses, leading to cell survival via the NF-κB pathway and cell death via the FADD-caspase-8 pathway (28-30). The FADD-caspase 8 and TRADD/TRAF2-ASK1-JNK pathways

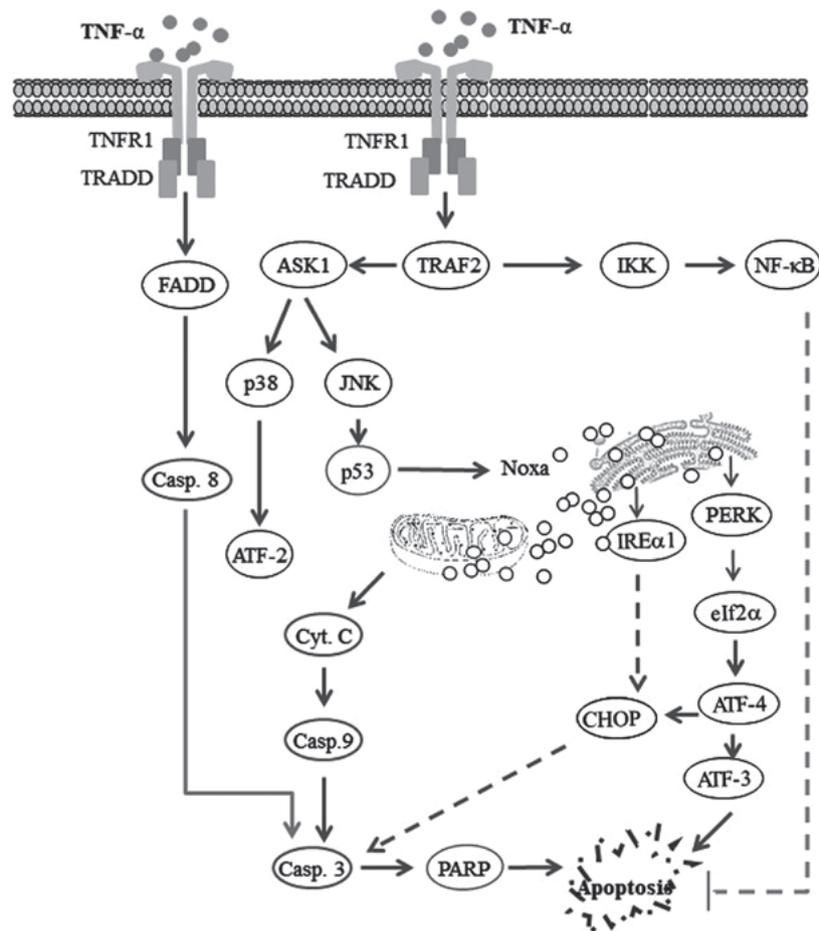


Figure 6. Proposed model for the TNF- $\alpha$ -induced apoptosis of head and neck squamous cell carcinoma (HNSCC) cells. The activation of tumor necrosis factor receptor1 (TNFR1) by tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) triggers opposing biological signals. One of these signals drives the processes of cell survival via a TRAF2-IKK-NF- $\kappa$ B pathway-dependent mechanism, while the other signal drives mitochondrial and non-mitochondrial-dependent cell death via TRAF2-ASK1 and FADD-caspase-8 mediated pathways. Thus, the TNF- $\alpha$ -induced activation of NF- $\kappa$ B pathway results in the transcriptional activation of anti-apoptotic genes, leading to the inhibition of apoptosis. By contrast, the TNF- $\alpha$ -induced activation of the FADD-caspase-8 pathway results in the cleavage of caspase-3 and PARP, leading to apoptosis. TNF- $\alpha$ -induced TRAF2-ASK1-JNK activation results in the activation of ASK1, the subsequent activation of the kinase JNK and p38, and leads to the enhancement of the DNA-binding activity of the transcription factors p53 and ATF-2, respectively. The transcription factor p53 then enhances the expression of the pro-apoptotic protein Noxa, whose localization to mitochondria and endoplasmic reticulum (ER) results in mitochondrial dysregulation and ER stress. Mitochondrial dysregulation results in the release of cytochrome *c* (Cyt.c), which in turn leads to the cleavage of caspase-9, caspase-3 and PARP, and finally apoptosis. ER stress results in the activation of PERK, which in turn mediates the phosphorylation of IRE1 $\alpha$ , activating the transcription factor ATF-4 which triggers the activation of ATF-3 and the expression of CHOP, ultimately leading to the induction of apoptosis.

are involved in the modulation of TNF- $\alpha$ -induced apoptotic signals (31-33), whereas the TRADD/TRAF2-NF- $\kappa$ B pathway mediates TNF- $\alpha$ -induced survival signals (34,35).

The role of ASK1 in the modulation of apoptotic signals induced by different apoptotic stimuli has been reported in several studies (36-38). ASK1 is a member of the MAPK family, as well as an upstream activator of the JNK and p38-MAPK signaling cascades (39). Our data revealed that the activation of ASK1 by TNF- $\alpha$  via TRAF2 resulted in the activation of JNK, and subsequently the DNA-binding activities of the transcription factor p53 that play an essential role in the transcriptional regulation of the pro-apoptotic mediator Noxa. Our findings demonstrate that the localization of Noxa protein to both the mitochondria and ER is essential to trigger mitochondrial dysregulation and ER stress. Noxa-induced mitochondrial dysregulation is characterized by the loss of  $\Delta\psi_m$ , cytochrome *c* release, and the cleavage of caspase-3, caspase-9 and PARP. Noxa-induced ER stress is associated with the activation of both the PERK and IRE1 $\alpha$  pathways,

including the downstream activation of eIF2 $\alpha$  and ATF-3, ATF-4 induction and CHOP expression. However, the role of mitochondrial-dependent (19,40) and ER stress-dependent (19) pathways in the modulation of the apoptosis of HNSCC cells has not been reported to date, at least to the best of our knowledge.

TRAF2 has been recognized for its crucial role in the regulation of the downstream signaling pathways that are implicated in the modulation of TNF- $\alpha$  signals, leading to either cell growth or cell death. The induction of apoptosis by TNF- $\alpha$  is mediated by TRAF2 via the activation of the ASK1-JNK pathway, while TNF- $\alpha$ -induced cell growth is mediated via the TRAF2-IKK-NF- $\kappa$ B pathway. The lack of TRAF2 results in the suppression of the TNF- $\alpha$ -induced activation of the ASK1-JNK and NF- $\kappa$ B pathways (41,42).

In the present study, we demonstrated that the TNF- $\alpha$ -induced activation of NF- $\kappa$ B attenuated TNF- $\alpha$ -induced apoptosis, while the inhibition of the NF- $\kappa$ B pathway was associated with the enhancement of TNF- $\alpha$ -induced

apoptosis. By contrast, the TNF- $\alpha$ -induced activation of the ASK1-JNK pathway is responsible for the enhancement of the DNA-binding activity of the transcription factor p53, leading to the transcriptional activation of the pro-apoptotic gene Noxa. As consequence, the development of a therapeutic strategy based on the combination of TNF- $\alpha$  and the inhibitor of NF- $\kappa$ B may be a good option for the treatment of HNSCC.

In this study, TNF- $\alpha$ -induced opposite signals triggered both cell death through extrinsic and intrinsic apoptotic pathways, and cell survival through the NF- $\kappa$ B pathway. The extrinsic apoptotic pathway is mediated by TRADD/FADD/caspase-8, while the activation the intrinsic apoptotic pathway the consequence of TRADD/TRAF axis-induced ASK1 activation. The activation of ASK1 results in the activation of JNK that, in turn, enhances the DNA binding activity of the transcription factors such as p53 to promote transcription and subsequently the translation of the apoptotic mediator Noxa. As consequence, the binding of Noxa protein to both the mitochondria and ER results in the induction of mitochondrial dysregulation and ER stress-dependent apoptotic pathways, while the TNF- $\alpha$ -induced survival/inhibition of apoptosis is mediated by the TRADD/TRAF2/IKK/NF- $\kappa$ B axis.

In a previous study by our group [El Jamal *et al* (19)], we demonstrated that the production of IFN $\gamma$  by immune effector cells as a consequence of tumor-induced immune response. IFN $\gamma$  triggers the activation of the corresponding receptor that in turn activates the JAK1/STAT1 pathway to enhance the DNA-binding activity of the transcription factor, interferon regulatory factor-1 (IRF-1) to enhance the transcription of the indolamine-2,3-dioxygenase (IDO) that functions as inhibitor of the anti-oxidant protein heme oxygenase-1 (HO-1). As consequence, the uncontrolled tumor oxidation processes increases the accumulation of the reactive oxygen species (ROS), leading to the induction cellular stress- dependent mechanisms in the form of ASK1 activation. Activated ASK1 then is able to trigger the activation of different pathways, leading to the enhancement of the DNA-binding activity of transcription factors NF- $\kappa$ B, p53 and AP-1 that are essential for the transcriptional activation of Noxa gene. The binding of Noxa protein to both mitochondria and ER results in the induction of mitochondrial dysregulation and ER stress leading the induction of pro-and anti-apoptotic-dependent pathways.

Although the role of Noxa protein is specific for both studies, Noxa protein in the study by El Jamal *et al* (19) is the main mediator of IFN $\gamma$ -induced apoptosis of HNSCC-derived cell lines. While in the present study, Noxa protein contributes in part in the modulation of TNF- $\alpha$ -induced apoptosis.

It should be noted herein that, although there are certain similarities, our previous study [El Jamal *et al* (19)] and the present study, do have some differences. The present study addresses the opposing signaling that can be induced as consequence for the treatment of HNSCC with TNF- $\alpha$  with the aim of reducing the adverse effects of TNF- $\alpha$  as an anticancer agent. In this study, the TNF- $\alpha$ -induced opposing signals trigger both cell death through extrinsic and intrinsic apoptotic pathways, and the survival pathway through NF- $\kappa$ B. The extrinsic apoptotic pathway is mediated by TRADD/FADD/caspase-8, while the activation the intrinsic apoptotic pathway is the consequence of TRADD/TRAF axis-induced ASK1 activation. The activation of ASK1 results

in the activation of JNK that has the ability to enhance the DNA binding activity of the transcription factors, such as p53 for the transcriptional activation of the apoptotic mediator, Noxa. As consequence, the binding of Noxa protein to both the mitochondria and ER results in the induction of mitochondrial dysregulation and ER stress-dependent apoptotic pathways, while the TNF- $\alpha$ -induced survival/inhibition of apoptosis is mediated by the TRADD/TRAF2/IKK/NF- $\kappa$ B axis.

In conclusion, based on our findings, we proposed a model for the TNF- $\alpha$ -induced effects on HNSCC cells (Fig. 6). Our model outlines the possible pathways involved in the modulation of TNF- $\alpha$ -induced opposing signals in HNSCC cells. One of these signals is associated with cell death/apoptosis and the other is associated with cell survival. TNF- $\alpha$ -induced apoptosis is mediated via the FADD-caspase-8 and TRAF2-ASK-JNK-p53-Noxa axes, while TNF- $\alpha$ -induced cell survival is mediated via the TRAF2-NF- $\kappa$ B axis. The inhibition of the NF- $\kappa$ B pathway is expected to be a feasible option with which to improve the killing efficiency and to minimize the systemic toxicity of TNF- $\alpha$  in HNSCCs. Thus, the confirmation of our *in vitro* findings in a preclinical model may help in the development of a clinically relevant protocol for the treatment of HNSCC with TNF- $\alpha$ .

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#### Availability of data and materials

The analyzed datasets generated during the study are available from the corresponding author on reasonable request.

#### Authors' contributions

DS, ER and SYH carried out the cell culture, MTT assay, flow cytometry analysis, western blot analysis and immunofluorescence staining experiments; TWF performed the immunofluorescence experiment, and was involved in the flow cytometric analysis, as well as in manuscript editing; DS, SS and MH conceived the study design and designed the experiments; DS and MH carried out EMSA; SS, RA, PF, YH, RUW, EK, MM and MH contributed to the design of the study, as well as to the analysis and discussion of the results, and the writing of the manuscript. All authors read and approved the manuscript.

#### Ethics approval and consent to participate

Not applicable.

#### Consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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