

# Effects of combined general-epidural anesthesia and total intravenous anesthesia on cellular immunity and prognosis in patients with non-small cell lung cancer: A comparative study

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**Abstract.** The aim of the present study was to investigate the effects of combined general-epidural anesthesia (CGEA) and total intravenous anesthesia (TIVA) on cellular immunity and prognosis in patients with non-small cell lung cancer (NSCLC) in a Chinese population. One-hundred and twenty NSCLC patients were randomly divided into a TIVA group (n=60) and a CGEA group (n=60) using a random number table. All patients underwent video-assisted thoracoscopic surgery for radical resection. Blood pressure (BP) and peripheral oxygen saturation (SpO<sub>2</sub>) were measured. Post-operative analgesic effects were evaluated with a visual analog scale pain score. Flow cytometry was applied to measure T lymphocyte subsets [cluster of differentiation (CD)3<sup>+</sup>, CD4<sup>+</sup>, CD8<sup>+</sup> and CD4<sup>+</sup>/CD8<sup>+</sup>] and natural killer cell CD56<sup>+</sup>. A 3-year follow-up was conducted to observe the prognosis. The analgesic effects of CGEA were identified to be better than those of TIVA. Compared with the TIVA group, the CGEA group demonstrated a shorter time of spontaneous breathing recovery, eyes opening, and extubation, lower heart rate, blood pressure and mean arterial pressure, and higher SpO<sub>2</sub>. At 24 and 48 h after surgery, CD3<sup>+</sup>, CD4<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> and CD56<sup>+</sup> in the CGEA group were higher than those in the TIVA group. At 72 h after surgery, CD3<sup>+</sup>, CD4<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> in the CGEA group were higher than those in the TIVA group. These results indicate that CGEA and TIVA effected cellular immunity, and CGEA had a reduced effect on cellular immunity and improved post-operative analgesic effects.

## Introduction

Lung cancer is the leading cause of morbidity and mortality in the world (1,2). It was reported that in 2008, the incidence rate of lung cancer was 35 per 100,000 people, while the mortality was 28 per 100,000 people in China (3). Smoking history of smokers, environmental/occupational exposures and genetic susceptibility in never-smokers are considered to be risk factors of lung cancer (4,5). Non-small cell lung cancer (NSCLC) is defined as any malignant epithelial lung tumor that lacks a small-cell component (6). It is the most common type of lung cancer, accounting for 80-85% of all lung cancer cases, and comprises three histological subtypes, namely squamous cell carcinoma, adenocarcinoma and large cell carcinoma (7,8). The majority of NSCLC patients are diagnosed with metastatic disease or advanced cancer (9,10). Advanced NSCLC (stage IV) is often regarded as fatal and unresectable (11). For these patients, palliative chemotherapy is recommended as the primary treatment option, which may consist of a first-, second- and even third-line treatment (12). Patients with stage IIIA NSCLC may opt to receive induction therapy followed by surgery, although it is argued that this approach should only apply to those who experience a reaction to induction therapy. Patients with stage I and II may benefit from surgical resection (13,14).

Modern surgical resection and the associated anesthesia techniques contribute greatly to disease treatments that require surgical resection. Surgical resection of the primary tumor and local nodal metastasis has been the only potentially curative treatment for resectable NSCLC, although the benefits of complete lymph node (LN) dissection remain controversial (15). The perioperative period of major surgery is often characterized by a significant inflammatory response accompanied by immune suppression, which results from the combined effect of the various factors, including anesthetics, hypothermia, analgesics and lung ventilation (16-18). An impaired immune system in the perioperative period may imply an increased risk of postoperative infections and disease progression in cancer patients (19,20). Therefore, it is considered to be essential to minimize the factors that cause immune suppression. Anesthesia is necessary and increasingly performed for surgical resection of cancer patients (21). In

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addition to surgical stress, anesthetics also affect the immune system, as each type of anesthetic exerts direct suppressive effects on cellular and neurohumoral immunity by affecting the functions of immunocompetent cells, inflammatory mediator gene expression and secretion (22). Natural killer (NK) cells and T cytotoxic lymphocytes mediate multiple functions of the immune system, including defense against viral infections, response to organ transplantation and cell-mediated control of cancer formation (23-25). The aim of the current study was to establish a method by which an anesthesia treatment could be performed with minimum damage to the cellular immune function. Thus, the effects of total intravenous anesthesia (TIVA) and combined general-epidural anesthesia (CGEA) on the immune suppression of T lymphocytes and NK cells were compared. In addition, whether the two anesthesia methods affect the prognosis of patients with radically resected NSCLC was examined.

### Subject and methods

**Study subjects and groups.** A total of 120 patients (87 males and 33 females; age, 42-65 years; average age,  $56.2 \pm 6.1$  years) who underwent video-assisted thoracoscopic surgery for radical resection of NSCLC between January 2009 and January 2012 at Linyi People's Hospital (Linyi, China) were included in the present study. There were 34 cases of right upper lobectomy, 16 cases of right middle lobectomy, 15 cases of right lower lobectomy, 30 cases of left upper lobectomy, 22 cases of left lower lobectomy and 3 cases of total left lung resection. The number of lymph nodes (LN) was 5 (0-16). According to the 7th version of tumor node metastasis (TNM) staging system by the International Association for the study of Lung Cancer in 2009 (26), 53 cases were stage II and 67 cases were stage IIIA. All patients with NSCLC were confirmed by bronchoscopy and punch biopsy. In addition, according to histological and cytological typing (27), there were 38 cases of squamous cell carcinoma, 61 cases of adenocarcinoma, 10 cases of large cell carcinoma and 11 cases of adenosquamous carcinoma. The inclusion criteria were as follows: i) Patients did not exhibit lung metastases; ii) patients received adjuvant chemotherapy; and iii) patients had complete medical records. The exclusion criteria included: i) Serious functional disorders of the heart, liver, kidney and vital organs; ii) combined immune and endocrine system disorders; iii) taking non-steroidal anti-inflammatory or hormone drugs in the past 2 weeks; iv) receiving radiotherapy or chemotherapy prior to surgery; v) taking immunosuppressants in the past 2 weeks. All 120 patients were randomly divided into the TIVA group (n=60) and the CGEA group (n=60) using a random number table. The study received approval from the Ethics Committee of Linyi People's Hospital and all patients provided written informed consent.

**Surgery and anesthesia regimens.** Patients in the two groups underwent video-assisted thoracoscopic surgery for radical resection. A small incision was made between the 7th and the 8th ribs, 1 cm to the left. A thoracoscope was used to examine the tumor location and size, and establish whether there was metastasis. After determining the tumor location, an incision (depth, 4-5 cm) was made outside the chest with an auxiliary

incision (depth, 1.5-2.0 cm) in the subscapularis. The diseased lung was resected and LN dissection was performed. The chest cavity was rinsed using a 0.9% sodium chloride injection. Without bleeding or leakage, a chest drainage tube was placed and then the incision was sutured. Patients in the two groups received preoperative intramuscular injection of 0.5 mg atropine and 0.1 g phenobarbital. Patients in the CGEA group were administered with an epidural puncture, injection of 0.375% ropivacaine for anesthesia, and block in T<sub>6</sub>-T<sub>8</sub>, simultaneously a venous injection of 0.1 mg/kg vecuronium, 5  $\mu$ g/kg fentanyl and 1.0 mg/kg propofol was administered, which was followed by tracheal intubation and single lung ventilation. Intraoperative inhalation of 4% sevoflurane and intravenous injection of 2 mg/kg vecuronium maintained the state of anesthesia. Tracheal extubation was performed following recovery of respiratory function and the swallowing reflex. Patients in the TIVA group firstly underwent double thoracic tracheal intubation, which were connected to the anesthesia machine for mechanical ventilation, followed by single lung ventilation after the ventilation reached the lung. Patients in the TIVA group were treated with intravenous drugs in the same way as the patients in the CGEA group, apart from epidural drug administration. The above-mentioned drugs were purchased from aaShandong Xinhua Pharmaceutical Company Ltd. (Zibo, China).

**Sample collection and visual analog scale (VAS) pain score.** Venous blood samples (5 ml) from the right internal jugular were collected prior to anesthesia (T<sub>0</sub>), upon completion of surgery (T<sub>1</sub>), and 24 h (T<sub>2</sub>), 48 h (T<sub>3</sub>) and 72 h (T<sub>4</sub>) after surgery, followed by anticoagulation with EDTA and immediate inspection. Intraoperative measurements were obtained every 5 min, including blood pressure (BP), systolic BP (SBP), diastolic BP (DBP), mean arterial pressure (MAP), heart rate (HR) and peripheral oxygen saturation (SpO<sub>2</sub>). SpO<sub>2</sub> was maintained at >90%, and the patients with SpO<sub>2</sub> <90% were withdrawn from the study (28,29). The postoperative follow-up included investigation of intraoperative awareness, and the analgesic effect at 24, 48 and 72 h after surgery, which was evaluated using the VAS pain score as follows: 0 Points, no pain; 1-3 points, mild pain (patients are able to tolerate); 4-6 points, moderate pain (patients are able to endure); 7-10 points, gradually intensifying intense pain (30). The time of spontaneous breathing recovery, eyes opening, and extubation were recorded.

**Flow cytometry and radioimmunoassay.** Detection of cluster of differentiation (CD)3<sup>+</sup>, CD4<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup>, natural killer (NK) cell CD56<sup>+</sup> and associated immune cell activation were performed. FACS Calibur flow cytometry (BD Biosciences, Franklin Lakes, NJ, USA) and its supporting lymphocyte subset detection reagent kit (BD Biosciences) were employed to measure the ratios of T lymphocyte subsets (CD3<sup>+</sup>, CD4<sup>+</sup>, CD8<sup>+</sup> and CD4<sup>+</sup>/CD8<sup>+</sup>), NK cell CD56<sup>+</sup> and the expression levels of T lymphocyte activation-associated membrane molecules human leukocyte antigen-antigen D related (HLA-DR), CD69<sup>+</sup> (553237) and CD107a<sup>+</sup> (555798) (1:250; BD Biosciences) Flow cytometry detection was performed as follows: Phycoerythrin-labeled mouse anti-human CD3<sup>+</sup> (561809), CD4<sup>+</sup> (561841), CD8<sup>+</sup> (566451) and CD56<sup>+</sup> (557747) monoclonal antibodies (20  $\mu$ l; BD Biosciences) were added to the flow tubes

Table I. Comparison of baseline characteristics of patients between the CGEA and TIVA groups.

| Characteristic                               | TIVA group (n=60) | CGEA (n=60)  | P-value |
|--|-------------------|--------------|---------|
| Age (years)                                  | 56.2±6.4          | 56.1±5.8     | 0.929   |
| Sex (male/female)                            | 43/17             | 44/16        | 0.838   |
| Body mass index (kg/m <sup>2</sup> )         | 23.6±2.5          | 22.9±2.2     | 0.108   |
| Tumor node metastasis staging                |                   |              | 0.854   |
| Stage II                                     | 27                | 26           |         |
| Stage IIIA                                   | 33                | 34           |         |
| Types of non-small cell lung cancer          |                   |              | 0.976   |
| Squamous cell carcinoma                      | 18                | 20           |         |
| Adenocarcinoma                               | 31                | 30           |         |
| Large cell carcinoma                         | 5                 | 5            |         |
| Adenosquamous carcinoma                      | 6                 | 5            |         |
| Time of surgery (min)                        | 169.60±9.29       | 168.41±9.96  | 0.521   |
| Time of anesthesia (min)                     | 172.68±46.30      | 165.30±40.22 | 0.353   |
| Time of spontaneous breathing recovery (min) | 13.64±4.77        | 8.19±3.84    | <0.001  |
| Time of eyes opening (min)                   | 26.67±5.17        | 21.29±6.26   | <0.001  |
| Time of extubation (min)                     | 32.67±7.26        | 25.80±6.38   | <0.001  |

TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia.

and combined with 100  $\mu$ l blood specimens. The samples were incubated for 30 min at room temperature in the dark, then supplemented with 3 ml hemolysis and treated for 5 min at room temperature in the dark. Subsequently, the samples were centrifuged at room temperature for 2 min at 450 x g and the supernatant was removed. Subsequent to two centrifugal washes with phosphate-buffered saline (PBS), the samples were fixed with 300  $\mu$ l paraformaldehyde and detected.

Cytokine levels were detected as follows: Radioimmunoassay was applied to measure plasma cortisol (Cor), interleukin (IL)-1 and IL-6 levels and double-antibody sandwich enzyme linked immunosorbent assay to detect interferon (IFN)- $\gamma$ , IL-4, IL-17, transforming growth factor (TGF)- $\beta$ , and tumor necrosis factor (TNF)- $\alpha$  levels. The ratio between T helper (Th)1 and Th2 was evaluated by IFN- $\gamma$ /IL-4. The plasma TGF- $\beta$  and IL-17 values were used to evaluate the content of Th17 cells. Cor, IL-1 and IL-6 reagents were provided by the BeiYa Institute of Biotechnology (Suzhou, China). IFN- $\gamma$ , IL-4, IL-17, TGF- $\beta$  and TNF- $\alpha$  kits were purchased from Invitrogen (Thermo Fisher Scientific, Inc., Waltham, MA, USA) and detection was performed in strict accordance with the reagent kit instructions.

**Follow-up.** All patients had a 3-year follow-up consisting of a reexamination and telephone call every 3 months. The local recurrence and distant metastasis of the tumor, and 1-, 2- and 3-year survival rates were observed. The follow-up ranged from the time of diagnosis to January 31, 2015. No patients were lost to follow-up.

**Statistical analysis.** Statistical data were analyzed using SPSS version 21.0 software (IBM Corp., Armonk, NY, USA). Measurement data were expressed as the mean  $\pm$  standard variation and tested for normality. Pairwise comparisons were validated using an independent t-test. Ranked data were verified

using the Wilcoxon rank-sum test and comparison of enumeration data was performed using the  $\chi^2$  test. The survival rate was calculated using Kaplan-Meier survival curve and significance analysis of survival rate using the log-rank test.  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**Comparisons of baseline characteristics of NSCLC patients in the CGEA and TIVA groups.** Baseline characteristics between the two groups, including age, gender, body mass index, TNM staging, histological types, operation and anesthesia time were not significantly different ( $P > 0.05$ ). Compared with the TIVA group, the time of spontaneous breathing recovery, eyes opening, and tracheal extubation in the CGEA group were significantly shorter ( $P < 0.05$ ; Table I).

**Hemodynamic change and SpO<sub>2</sub> of NSCLC patients in the CGEA and TIVA groups during the perioperative period.** Prior to anesthesia, the values of HR, BP, MAP and SpO<sub>2</sub> in the two groups were not significantly different ( $P > 0.05$ ). At the time of intubation and the end of the surgery, the values of HR, BP and MAP in the two groups were significantly higher than those prior to anesthesia ( $P < 0.05$ ), but the SpO<sub>2</sub> was significantly lower than that before anesthesia ( $P < 0.05$ ), with no significant difference between the two groups ( $P > 0.05$ ). At the time of tracheal extubation, the values of HR, BP, MAP and SpO<sub>2</sub> in the TIVA group were significantly different when compared to before anesthesia ( $P < 0.05$ ), while the values of HR, BP, MAP and SpO<sub>2</sub> in the CGEA group were not significantly different compared with those before anesthesia ( $P > 0.05$ ), of which the values of HR, BP and MAP were significantly lower and the SpO<sub>2</sub> was higher than those in the TIVA group ( $P < 0.05$ ; Table II).

Table II. Hemodynamic change and SpO<sub>2</sub> of non-small cell lung cancer patients in the CGEA and TIVA groups during the perioperative period.

| Time                          | Group | HR<br>(bpm)           | SBP<br>(mmHg)           | DBP<br>(mmHg)          | MAP<br>(mmHg)          | SpO <sub>2</sub><br>(%) |
|-------------------------------|-------|-----------------------|-------------------------|------------------------|------------------------|-------------------------|
| Before anesthesia             | TIVA  | 80.3±9.5              | 121.5±17.2              | 75.4±11.7              | 85.6±12.1              | 96.2±2.2                |
|                               | CGEA  | 79.6±9.1              | 121.3±16.9              | 75.1±12.2              | 84.8±10.9              | 95.7±1.8                |
| At tracheal intubation        | TIVA  | 83.5±8.7 <sup>a</sup> | 127.1±14.2 <sup>a</sup> | 78.8±8.9 <sup>a</sup>  | 87.9±10.5 <sup>a</sup> | 94.3±1.5 <sup>a</sup>   |
|                               | CGEA  | 83.3±8.2 <sup>a</sup> | 127.4±15.0 <sup>a</sup> | 79.2±7.2 <sup>a</sup>  | 88.1±7.7 <sup>a</sup>  | 94.0±1.4 <sup>a</sup>   |
| At the end of surgery         | TIVA  | 83.5±7.8 <sup>a</sup> | 127.8±12.7 <sup>a</sup> | 77.9±8.4 <sup>a</sup>  | 89.2±6.4 <sup>a</sup>  | 93.8±1.6 <sup>a</sup>   |
|                               | CGEA  | 83.5±7.7 <sup>a</sup> | 126.5±12.4 <sup>a</sup> | 78.5±5.1 <sup>a</sup>  | 88.6±6.3 <sup>a</sup>  | 93.9±1.7 <sup>a</sup>   |
| Following tracheal extubation | TIVA  | 82.8±7.3 <sup>a</sup> | 108.2±14.3 <sup>a</sup> | 79.5±7.1 <sup>a</sup>  | 86.8±8.7 <sup>a</sup>  | 93.2±1.1 <sup>a</sup>   |
|                               | CGEA  | 79.8±8.4 <sup>b</sup> | 122.0±13.2 <sup>a</sup> | 75.8±10.2 <sup>b</sup> | 83.1±10.0 <sup>b</sup> | 95.1±1.3 <sup>b</sup>   |

<sup>a</sup>P<0.05 vs. before anesthesia; <sup>b</sup>P<0.05 vs. the TIVA group at the same time. HR, heart rate; SBP, systolic blood pressure; DBP, diastolic blood pressure; MAP, mean arterial pressure; SpO<sub>2</sub>, peripheral oxygen saturation; TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia.

Table III. Comparison of VAS scores of non-small cell lung cancer patients in the CGEA and TIVA groups at 24, 48 and 72 h after surgery.

| Time, h | Group | VAS score      |                         |                         |                         |
|---------|-------|----------------|-------------------------|-------------------------|-------------------------|
|         |       | 0 point, n (%) | 1-3 points, n (%)       | 4-6 points, n (%)       | 7-10 points, n (%)      |
| 24      | TIVA  | 0 (0)          | 26 (43.33) <sup>a</sup> | 16 (26.67)              | 18 (30.00) <sup>a</sup> |
|         | CGEA  | 0 (0)          | 47 (78.33)              | 13 (21.67)              | 0 (0)                   |
| 48      | TIVA  | 0 (0)          | 38 (63.33) <sup>a</sup> | 17 (28.33)              | 5 (8.33)                |
|         | CGEA  | 3 (5.00)       | 48 (80.00)              | 9 (15.00)               | 0 (0)                   |
| 72      | TIVA  | 2 (3.33)       | 47 (78.33)              | 10 (16.67) <sup>a</sup> | 1 (1.67)                |
|         | CGEA  | 8 (13.33)      | 50 (83.33)              | 2 (3.33)                | 0 (0)                   |

<sup>a</sup>P<0.05 vs. the CGEA group. TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia.

*Comparison of VAS scores of NSCLC patients in the CGEA and TIVA groups at 24, 48 and 72 h after surgery.* All the patients reported no intraoperative awareness, indicating no difference between the effects of the two types of anesthesia. Patients in the CGEA group demonstrated mild or moderate pain 24 h after surgery, in which the proportion of mild pain was higher than in the TIVA group (P<0.05), while 30.00% of the patients in the TIVA group exhibited gradually intense pain. At 48 h after surgery, there were three patients with no pain in the CGEA group, while a significant difference was noted in patients with mild pain between the two groups (P<0.05). At 72 h after surgery, significant differences between the two groups were observed in patients with mild or moderate pain (P<0.05), and no obvious difference was identified between patients with no pain and those with gradually intense pain (P>0.05; Table III). The results implied that CGEA provided a better analgesic effect than TIVA.

*Changes of T lymphocyte subsets and NK cells of NSCLC patients in the CGEA and TIVA groups before and after surgery.* Table IV and Fig. 1 demonstrate the changes of T

lymphocyte subsets and NK cells before and after surgery in the two groups. At T0, T lymphocyte subsets, including CD3<sup>+</sup>, CD4<sup>+</sup>, CD8<sup>+</sup> and CD4<sup>+</sup>/CD8<sup>+</sup>, and NK cell CD56<sup>+</sup> in the two groups were not significantly different (P>0.05). CD3<sup>+</sup>, CD4<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> and CD56<sup>+</sup> were significantly lower at T1 and T2 compared with those at T0 (P<0.05), minimized at T2 (24 h following surgery) and began to rebound at T3 (48 h following surgery). At T4 (72 h following surgery), all the indexes of the CGEA group recovered to the level at T0 (P>0.05). At T1, 2, 3, and 4, CD3<sup>+</sup>, CD4<sup>+</sup> and CD4<sup>+</sup>/CD8<sup>+</sup> in the TIVA group remained lower than T0 (P<0.05). At T1, 2 and 3, CD3<sup>+</sup>, CD4<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> and CD56<sup>+</sup> in the CGEA group were higher when compared with those in the TIVA group (P<0.05). At T4, CD3<sup>+</sup>, CD4<sup>+</sup> and CD4<sup>+</sup>/CD8<sup>+</sup> in the CGEA group were higher than those in the TIVA group (P<0.05). CD8<sup>+</sup> exhibited no significant change at all five time points in the two groups. The results indicate that CGEA interfered less with cellular immune function when compared with TIVA.

*Changes of immune cell activation of NSCLC patients in the CGEA and TIVA groups before and after surgery.* Changes in

Table IV. Comparisons of T lymphocyte subsets and natural killer cells of non-small cell lung cancer patients in the CGEA and TIVA groups before and after surgery.

| Time point | Group | CD3 <sup>+</sup>           | CD4 <sup>+</sup>          | CD8 <sup>+</sup> | CD4 <sup>+</sup> /CD8 <sup>+</sup> | CD56 <sup>+</sup>         |
|------------|-------|----------------------------|---------------------------|------------------|------------------------------------|---------------------------|
| T0         | TIVA  | 64.35±10.64                | 29.71±4.10                | 28.77±5.40       | 1.34±0.41                          | 21.77±4.91                |
|            | CGEA  | 64.57±10.45                | 30.76±4.75                | 28.95±4.82       | 1.38±0.35                          | 22.43±5.35                |
| T1         | TIVA  | 58.60±9.10 <sup>a,b</sup>  | 25.55±4.56 <sup>a,b</sup> | 28.40±4.53       | 1.10±0.29 <sup>a,b</sup>           | 18.12±3.51 <sup>a,b</sup> |
|            | CGEA  | 61.60±7.32 <sup>a</sup>    | 27.90±4.84 <sup>a</sup>   | 28.85±4.71       | 1.23±0.37 <sup>a</sup>             | 20.25±4.02 <sup>a</sup>   |
| T2         | TIVA  | 54.02±10.16 <sup>a,b</sup> | 21.30±4.15 <sup>a,b</sup> | 28.41±5.05       | 0.84±0.25 <sup>a,b</sup>           | 16.15±4.26 <sup>a,b</sup> |
|            | CGEA  | 58.34±10.12 <sup>a</sup>   | 23.96±4.44 <sup>a</sup>   | 28.77±4.83       | 1.06±0.33 <sup>a</sup>             | 18.49±5.31 <sup>a</sup>   |
| T3         | TIVA  | 58.84±10.43 <sup>a,b</sup> | 24.01±3.19 <sup>a,b</sup> | 28.72±4.96       | 1.07±0.28 <sup>a,b</sup>           | 18.24±5.27 <sup>a,b</sup> |
|            | CGEA  | 62.25±8.04 <sup>a</sup>    | 26.55±4.81 <sup>a</sup>   | 28.27±5.07       | 1.22±0.41 <sup>a</sup>             | 19.91±3.43 <sup>a</sup>   |
| T4         | TIVA  | 60.75±10.32 <sup>a,b</sup> | 27.04±3.51 <sup>a,b</sup> | 28.45±5.13       | 1.12±0.36 <sup>a,b</sup>           | 22.05±5.07                |
|            | CGEA  | 64.49±9.97                 | 29.96±4.88                | 28.62±5.02       | 1.35±0.38                          | 21.66±6.60                |

<sup>a</sup>P<0.05 vs. T0 in the same group; <sup>b</sup>P<0.05 vs. the CGEA group at the same time point. T0, before anesthesia; T1, at the end of surgery; T2, 24 h after surgery; T3, 48 h after surgery; T4, 72 h after surgery. TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia; CD, cluster of differentiation.

Table V. Changes of immune cell activation of non-small cell lung carcinoma patients in the CGEA and TIVA groups before and after surgery.

| Time point | Group | HLA-DR <sup>+</sup>       | CD69 <sup>+</sup>        | CD107a <sup>+</sup>      |
|------------|-------|---------------------------|--------------------------|--------------------------|
| T0         | TIVA  | 27.85±2.73                | 4.32±1.44                | 4.88±1.40                |
|            | CGEA  | 28.17±2.66                | 4.59±1.53                | 5.03±1.52                |
| T1         | TIVA  | 19.23±2.44 <sup>a,b</sup> | 3.48±1.17 <sup>a,b</sup> | 3.95±1.31 <sup>a,b</sup> |
|            | CGEA  | 23.96±2.98 <sup>a</sup>   | 4.05±1.29 <sup>a</sup>   | 4.66±1.45 <sup>a</sup>   |
| T2         | TIVA  | 15.72±2.01 <sup>a,b</sup> | 2.51±0.96 <sup>a,b</sup> | 2.80±1.16 <sup>a,b</sup> |
|            | CGEA  | 23.15±2.74 <sup>a</sup>   | 3.66±1.24 <sup>a</sup>   | 4.15±1.37 <sup>a</sup>   |
| T3         | TIVA  | 19.80±2.36 <sup>a,b</sup> | 3.37±1.22 <sup>a,b</sup> | 3.81±1.35 <sup>a,b</sup> |
|            | CGEA  | 25.36±2.91 <sup>a</sup>   | 3.92±1.07 <sup>a</sup>   | 4.53±1.28 <sup>a</sup>   |
| T4         | TIVA  | 24.92±2.48 <sup>a,b</sup> | 4.22±1.05 <sup>b</sup>   | 4.71±1.15 <sup>b</sup>   |
|            | CGEA  | 28.06±3.13                | 4.63±1.18                | 5.11±1.05                |

<sup>a</sup>P<0.05 vs. T0 in the same group; <sup>b</sup>P<0.05 vs. the CGEA group at the same time. T0, before anesthesia; T1, at the end of surgery; T2, 24 h after surgery; T3, 48 h after surgery; T4, 72 h after surgery. TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia; CD, cluster of differentiation.

immune cell activation of the NSCLC patients in the CGEA and TIVA groups before and after surgery are presented in Table V. Prior to anesthesia, no differences were observed in the immune cell activation indexes (HLA-DR<sup>+</sup>, CD69<sup>+</sup> and CD107a<sup>+</sup>) between the two groups (P>0.05). Compared with before anesthesia, the immune cell activation indexes (HLA-DR<sup>+</sup>, CD69<sup>+</sup> and CD107a<sup>+</sup>) in the two groups significantly decreased at 0, 24 and 48 h after surgery (P<0.05). And the HLA-DR<sup>+</sup> levels in the TIVA group remained low at 72 h after surgery (P<0.05). At the different time points after surgery, the immune cell activation indexes in the TIVA group were lower than those in the CGEA group (P<0.05).

*Comparison of cytokine levels of NSCLC patients in the CGEA and TIVA groups before and after surgery.* Changes in immune function of patients in the CGEA and TIVA groups

before and after surgery are presented in Table VI. Before anesthesia, no differences in the immune function indexes (Cor, IL-1, IL-6, TNF- $\alpha$ , IFN- $\gamma$ , IL-4, IFN- $\gamma$ /IL-4, IL-17 and TGF- $\beta$ ) were noted between the two groups (P>0.05). In the TIVA group, compared with before anesthesia, the Cor levels were significantly different at the end of surgery (P<0.05). At 24 and 48 h after surgery, Cor, IL-1, IL-6, TNF- $\alpha$ , IFN- $\gamma$ , IL-4 and IFN- $\gamma$ /IL-4 and IL-17 were significantly different (P<0.05). At 72 h after surgery, TNF- $\alpha$ , IFN- $\gamma$ , IL-4 and IFN- $\gamma$ /IL-4 continued to be significantly different (P<0.05). However, at 48 and 72 h after surgery, TGF- $\beta$  significantly decreased (P<0.05).

Compared with before anesthesia, at 24 h after surgery, IL-1 in the CGEA group was significantly different (P<0.05). At 48 h after surgery, the Cor, IL-6, TNF- $\alpha$ , IFN- $\gamma$ , IL-4 and TGF- $\beta$  indicated significant differences (P<0.05), and

Table VI. Comparison of cytokine levels of non-small cell lung carcinoma patients in the CGEA and TIVA groups before and after surgery.

| Time point | Group | Cor (ng/ml)                     | IL-1 (pg/ml)                    | IL-6 (pg/ml)                    | TNF- $\alpha$ (ng/ml)          | IFN- $\gamma$ (pg/ml)        | IL-4 (pg/ml)                 | FN- $\gamma$ /IL-4           | IL-17 (ng/l)                  | TGF- $\beta$ (ng/ml)        |
|------------|-------|---------------------------------|---------------------------------|---------------------------------|--------------------------------|------------------------------|------------------------------|------------------------------|-------------------------------|-----------------------------|
| T0         | TIVA  | 152.6 $\pm$ 41.1                | 251.7 $\pm$ 42.6                | 105.4 $\pm$ 18.9                | 1.34 $\pm$ 0.18                | 11.7 $\pm$ 4.8               | 5.5 $\pm$ 2.1                | 2.3 $\pm$ 1.4                | 18.5 $\pm$ 6.4                | 33.7 $\pm$ 6.9              |
|            | CGEA  | 164.3 $\pm$ 37.4                | 244.1 $\pm$ 46.0                | 102.6 $\pm$ 24.7                | 1.39 $\pm$ 0.20                | 12.3 $\pm$ 4.2               | 5.8 $\pm$ 1.7                | 2.1 $\pm$ 0.5                | 19.4 $\pm$ 7.9                | 34.8 $\pm$ 7.2              |
| T1         | TIVA  | 207.8 $\pm$ 39.2 <sup>a,b</sup> | 265.9 $\pm$ 47.3                | 112.5 $\pm$ 29.3 <sup>b</sup>   | 1.38 $\pm$ 0.23                | 12.1 $\pm$ 3.4               | 5.9 $\pm$ 2.3                | 2.3 $\pm$ 1.2                | 20.7 $\pm$ 7.5                | 35.0 $\pm$ 9.1              |
|            | CGEA  | 169.3 $\pm$ 51.5                | 252.8 $\pm$ 43.4                | 95.2 $\pm$ 23.4                 | 1.34 $\pm$ 0.21                | 11.6 $\pm$ 3.8               | 5.6 $\pm$ 2.2                | 2.3 $\pm$ 1.1                | 20.4 $\pm$ 7.4                | 34.2 $\pm$ 7.3              |
| T2         | TIVA  | 228.5 $\pm$ 49.7 <sup>a,b</sup> | 365.5 $\pm$ 57.6 <sup>a,b</sup> | 147.8 $\pm$ 24.0 <sup>a,b</sup> | 1.66 $\pm$ 0.20 <sup>a,b</sup> | 8.4 $\pm$ 3.9 <sup>a,b</sup> | 7.2 $\pm$ 2.9 <sup>a,b</sup> | 1.2 $\pm$ 0.6 <sup>a,b</sup> | 28.9 $\pm$ 7.9 <sup>a,b</sup> | 32.1 $\pm$ 6.1              |
|            | CGEA  | 175.6 $\pm$ 54.2                | 274.6 $\pm$ 59.1 <sup>a</sup>   | 109.1 $\pm$ 19.6                | 1.40 $\pm$ 0.25                | 11.0 $\pm$ 5.2               | 5.7 $\pm$ 3.0                | 2.7 $\pm$ 2.3                | 21.9 $\pm$ 7.2                | 32.9 $\pm$ 6.6              |
| T3         | TIVA  | 242.5 $\pm$ 67.8 <sup>a</sup>   | 279.4 $\pm$ 53.8 <sup>a</sup>   | 127.0 $\pm$ 28.4 <sup>a</sup>   | 1.57 $\pm$ 0.18 <sup>a</sup>   | 7.1 $\pm$ 2.7 <sup>a,b</sup> | 6.6 $\pm$ 2.4 <sup>a</sup>   | 1.2 $\pm$ 0.7 <sup>a,b</sup> | 24.8 $\pm$ 8.5 <sup>a</sup>   | 23.6 $\pm$ 6.5 <sup>a</sup> |
|            | CGEA  | 218.3 $\pm$ 71.4 <sup>a</sup>   | 261.0 $\pm$ 49.4                | 118.4 $\pm$ 26.8 <sup>a</sup>   | 1.43 $\pm$ 0.19                | 9.2 $\pm$ 4.1 <sup>a</sup>   | 6.3 $\pm$ 2.7 <sup>a</sup>   | 1.5 $\pm$ 0.6 <sup>a</sup>   | 22.0 $\pm$ 7.3                | 21.9 $\pm$ 6.3 <sup>a</sup> |
| T4         | TIVA  | 166.2 $\pm$ 48.7                | 264.3 $\pm$ 44.5                | 107.9 $\pm$ 28.1                | 1.48 $\pm$ 0.22 <sup>a</sup>   | 7.0 $\pm$ 3.3 <sup>a,b</sup> | 6.5 $\pm$ 2.1 <sup>a</sup>   | 1.3 $\pm$ 2.1 <sup>a</sup>   | 20.9 $\pm$ 7.2                | 22.3 $\pm$ 6.8 <sup>a</sup> |
|            | CGEA  | 169.9 $\pm$ 51.2                | 249.7 $\pm$ 42.8                | 97.5 $\pm$ 30.6                 | 1.44 $\pm$ 0.22                | 8.7 $\pm$ 2.9 <sup>a</sup>   | 5.9 $\pm$ 3.0                | 1.8 $\pm$ 1.1 <sup>a</sup>   | 20.3 $\pm$ 6.4                | 21.8 $\pm$ 6.8 <sup>a</sup> |

<sup>a</sup>P<0.05 vs. T0 in the same group; <sup>b</sup>P<0.05 vs. CGEA group at the same time. T0, before anesthesia; T1, at the end of surgery; T2, 24 h after surgery; T3, 48 h after surgery; T4, 72 h after surgery. TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia. Cor, compound F; IL, interleukin; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; IFN- $\gamma$ , interferon- $\gamma$ ; TGF- $\beta$ , transforming growth factor- $\beta$ .

at 72 h after surgery, IFN- $\gamma$ , IFN- $\gamma$ /IL-4 and TGF- $\beta$  were significantly different (P<0.05). At the end of surgery and at 24 h after surgery, IL-6 in the CGEA group was significantly lower than that in the TIVA group (P<0.05). At 24 h after surgery, IL-1, TNF- $\alpha$ , IL-4 and IL-17 in the CGEA group were significantly lower than those in the TIVA group (P<0.05). At 24 and 48 h after surgery, IFN- $\gamma$  and IFN- $\gamma$ /IL-4 in the CGEA group were significantly higher than those in the TIVA group (P<0.05). In addition, at 72 h after surgery, IFN- $\gamma$  in the CGEA group was significantly higher than that in the TIVA group (P<0.05).

*Comparison of the incidence of complications and disease progression of NSCLC patients in the CGEA and TIVA groups following surgery.* No significant differences in the incidence rate of postoperative complications, including incisional wound infection, pulmonary infection, bronchopleural fistula, respiratory failure and arrhythmia (P>0.05) were identified in the CGEA and TIVA groups, nor in disease progression, including local tumor recurrence rate, distant metastasis rate or local recurrence and distant metastasis rate (P>0.05) (Table VII).

*Comparison of the prognosis of NSCLC patients in the CGEA and TIVA groups following surgery.* Comparisons of tumor-free survival time between the CGEA and TIVA groups following a long term follow-up are presented in Table VIII. The mean tumor-free survival time in patients of the TIVA and CGEA groups was 26.78 and 27.12 months, respectively, and the median tumor-free survival time was 34 and 33 months, respectively. No significant difference was identified in tumor-free survival time between patients in the two groups. As for the overall survival rate, the 1-, 2-, 3- year survival rates following surgery in the TIVA group were 78.33, 65.00 and 43.33%, respectively, and those in the CGEA group were 80.00, 61.67 and 45.00%, respectively. Log-rank test results indicated no statistical difference in the postoperative survival curve of the two groups (P>0.05; Fig. 2).

## Discussion

NK cells are an important cell population in the innate immune system, capable of attacking pathogen-infected and malignant body cells and spontaneously producing immune-regulatory cytokines. In addition, T lymphocytes are involved in the adaptive immune system, contributing widely to immune regulation, inflammation and protective immune responses (31). Cytokines are classified into Th1 or Th2 according to the cell of release (18). Th1 cells and T cytotoxic lymphocytes release Th1 cytokines, which have stimulatory actions on cell-mediated immunity, particularly on NK cells (32). Th2 lymphocytes secrete IL-4, which is one of the known Th2 cytokines (33). The balance between Th1/Th2 cytokines appears to be associated with infections, sepsis, and cancer formation and progression (34). Previous studies demonstrated that regional anesthesia may repair the Th1/Th2 balance, preserve the CD4/CD8 ratio and may exert a positive effect on the number and function of NK cells following surgery (35,36). Qu *et al* (37) demonstrated that additional epidural anesthesia did not affect inflammatory

Table VII. Comparison of the incidence of complications and disease progression of non-small cell carcinoma patients in the CGEA and TIVA groups after surgery.

| Variable  | TIVA group<br>(n=60) (%) | CGEA group<br>(n=60) (%) | P-value |
|---|--------------------------|--------------------------|---------|
| Complication                                    |                          |                          | 0.822   |
| Incisional wound infection                      | 3 (5.00)                 | 3 (5.00)                 |         |
| Pulmonary infection                             | 4 (6.67)                 | 3 (5.00)                 |         |
| Bronchopleural fistula                          | 1 (1.67)                 | 1 (1.67)                 |         |
| Respiratory failure                             | 1 (1.67)                 | 0 (0.00)                 |         |
| Arrhythmia (cases)                              | 4 (6.67)                 | 5 (8.33)                 |         |
| Total   | 13 (21.67)               | 12 (20.00)               |         |
| Disease progression                             |                          |                          |         |
| Local tumor recurrence rate                     | 13 (21.67)               | 14 (23.33)               | 0.827   |
| Distant metastasis rate                         | 14 (23.33)               | 12 (20.00)               | 0.658   |
| Rate of local recurrence and distant metastasis | 8 (13.33)                | 7 (11.67)                | 0.783   |

TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia.

Table VIII. Comparison of tumor-free survival of non-small cell lung cancer patients in the CGEA and TIVA groups.

| Group | Tumor-free survival time, months |                |             |             |                 |                |             |             | $\chi^2$ | P-value |
|-------|----------------------------------|----------------|-------------|-------------|-----------------|----------------|-------------|-------------|----------|---------|
|       | Mean value                       |                |             |             | Median value    |                |             |             |          |         |
|       | Estimated value                  | Standard error | 95% CI      |             | Estimated value | Standard error | 95% CI      |             |          |         |
|       |                                  |                | Lower limit | Upper limit |                 |                | Lower limit | Upper limit |          |         |
| TIVA  | 26.78                            | 1.413          | 24.01       | 29.55       | 34              | 2.42           | 29.26       | 38.74       | 0.024    | 0.877   |
| CGEA  | 27.12                            | 1.409          | 24.36       | 29.88       | 33              | 1.94           | 29.2        | 36.8        |          |         |

CI, confidence interval; TIVA, total intravenous anesthesia; CGEA, combined general-/pidural anesthesia.

cytokine production. In the present study, T lymphocytes and NK cells were depressed perioperatively in the two groups, and the patients undergoing CGEA showed a smaller decrease of T lymphocyte subsets at 48 h after surgery compared with those undergoing TIVA, which may imply that CGEA imposes a smaller immune suppression effect than TIVA. Consistently, Kurosawa (38) proposed that TIVA and regional anesthesia may reduce the negative consequences associated with perioperative immunosuppression. Vanni *et al* (39) indicated that open surgery with thoracic epidural anesthesia led to a reduced impact on postoperative lymphocyte responses when compared with general anesthesia, as the study observed that the percentage of NK cells was preserved in the former. Kun *et al* (40) demonstrated that GEA helped to maintain the perioperative immune function of the body when compared with GA alone in patients undergoing gastric cancer surgery.

In the present study, patients undergoing CGEA took less time than those undergoing TIVA to recover spontaneous breathing, open their eyes on hearing whispered words and

for tracheal extubation. Consistent with the current findings, Liu *et al* (41) demonstrated that the CGEA group had a shortened postoperative extubation time and recovery duration than the TIVA group. CGEA was reported to have the advantages of maintaining a normal hormone level, controlling blood pressure, maintaining hemodynamics and requiring less medication (42-44). Therefore, it may be hypothesized that all those advantages contributed to a shorter recovery period.

There are significant differences in the effects of CGEA and TIVA on cellular immune function and the time indicators of spontaneous breathing recovery, eyes opening and tracheal extubation in patients. However, our study found that patients with CGEA and TIVA reported a similar postoperative situation, including the complications occurrence, recurrence and distant metastasis rate. Almost all cancer-associated mortalities in the postoperative period are attributed to metastases or recurrence and the anesthesia method applied for surgery imposes a potential risk by affecting the pathophysiology of postoperative metastatic spread (45). Niwa *et al* (46) suggested an optimization of the balance between potential tumor

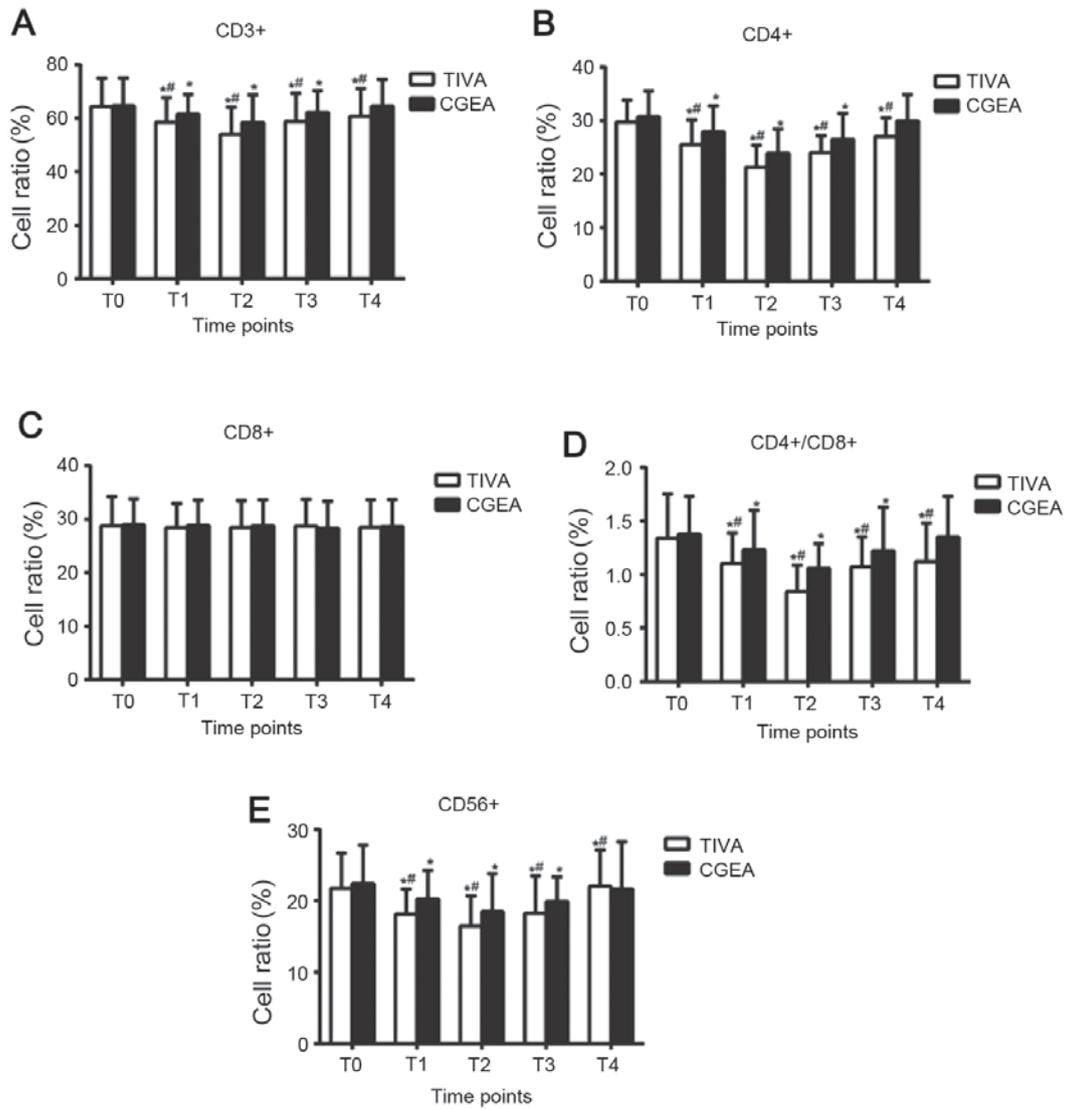


Figure 1. Cell ratios of (A) CD3<sup>+</sup>, (B) CD4<sup>+</sup>, (C) CD8<sup>+</sup>, (D) CD4<sup>+</sup>/CD8<sup>+</sup> and (E) CD56<sup>+</sup> of NSCLC patients in the CGEA and TIVA groups before and after surgery. \*P<0.05 vs. T0 in the same group; #P<0.05 vs. CGEA group at the same time. CD, cluster of differentiation; TIVA, total intravenous anesthesia; CGEA, combined general-epidural anesthesia; T0, before anesthesia; T1, at the end of surgery; T2, 24 h after surgery; T3, 48 h after surgery; T4, 72 h after surgery.

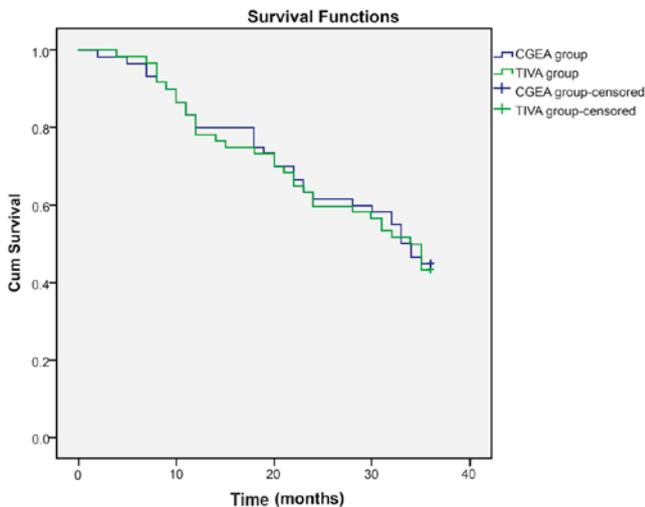


Figure 2. Survival curves of non-small cell lung cancer patients in the CGEA and TIVA groups. TIVA, total intravenous anesthesia; CGEA, combined general/epidural anesthesia.

metastases and antimetastatic immune defenses. It has been hypothesized that the anesthetic management influenced the long-term outcome following surgery and that an anesthetic treatment with low potential for immunosuppression reduces relapse (47). Pei *et al* (44) reported that GEA may improve the outcome for prostate cancer patients and hypothesized that it was associated with immune response and tumor cell biology. The statistical analysis in the present study did not support this hypothesis. However, consistent with the current results, Kurosawa and Kato (22) demonstrated that ~20% of the immunosuppressive effect may not normally greatly influence a patient if they are not already compromised by aging, tumor burden or other factors. In a previous study, surgical removal of tumors was linked to inadvertent dispersal of neoplastic cells into the blood and lymphatic systems (48). Meanwhile, it was reported that anesthesia had a minor influence on immune systems (22). Therefore, it is hypothesized that surgical resection itself, rather than the anesthesia treatment, may have a significant effect on the prognosis.

In conclusion, the present study demonstrated that CGEA and TIVA affected cellular immunity. However, CGEA exerted less of an effect on cellular immunity and had a better postoperative analgesic effect. Thus, CGEA may still be recommended for surgical resection for NSCLC patients. Generally, more elderly patients exhibit a worse tolerance to surgery. In addition, the risk from surgery increases as the surgery duration increases. Furthermore, heart, lung and immune function of the elderly restrict the choice of surgical approach. All of these may, to a certain extent, affect the surgery and postoperative recovery. Thus, the addition of an age-associated indicator in the current study may provide more evidence. In addition, a larger sample-size study is required to further verify this conclusion.

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