

# Modulated electro-hyperthermia enhances dendritic cell therapy through an abscopal effect in mice

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**Abstract.** The aim of this study was to assess whether modulated electro-hyperthermia (mEHT) can induce an abscopal effect and thereby enhance the antitumor effects of immunotherapy. We used an intratumoral dendritic cell (DC) injection and mEHT to treat C3H/He mice inoculated with squamous cell carcinoma SCCVII cells in the left leg, and we assessed the whole body antitumor effects. Tumors were examined every two or three days in order to assess growth inhibition. The tumor-draining lymph nodes were removed to enable flow cytometric analysis of CD3<sup>+</sup> and CD8<sup>+</sup> cells, whereas immunohistochemistry was used to assess CD8, S100 and Foxp3 expression in the tumors. Additionally, GP96 expression in the tumors from the different treatment groups was measured. In the control group, the mean tumor volume was larger than that in other groups. These results indicated that the combination therapy of an intratumoral DC injection and mEHT evoked systemic antitumor activity. A larger number of CD3<sup>+</sup> and CD8<sup>+</sup> cells were detected by flow cytometric analysis in the DC plus mEHT treatment group. Tumor tissue immunostaining showed that CD8 and S100 were more strongly expressed in the DC plus mEHT treatment group, although Foxp3 expression was much higher in the control group. The GP96 gene expression level in the mEHT group was significantly different from the expression level in the control group. An abscopal effect may be induced by mEHT, and the effect of immunotherapy with DCs was strongly enhanced by the overexpression of GP96. GP96 is thought to be one of the molecules explaining the abscopal effect. Direct intratumoral administration of DCs and mEHT may be a feasible future treatment strategy.

## Introduction

The abscopal effect is a phenomenon noted in the treatment of metastatic cancer where localized irradiation of a tumor causes shrinking not only of the immediate target, but also of tumors located far from the irradiated area. This phenomenon is extremely rare, but when it does occur, its anticancer effects can be dramatic, leading to the disappearance of malignant growths throughout the body. Such success has been described for a variety of malignancies, including melanoma, lymphoma, and lung metastases of hepatocellular carcinoma (1-3). The mechanism underlying the abscopal effect remains to be clarified, although a variety of underlying biological events may be responsible, particularly those induced by the immune system (4). In mouse studies, the observations support the immune hypothesis for the abscopal effect (5-7).

The modulated electro-hyperthermia (mEHT) system is a fast-developing complementary treatment method that is effective against different types of tumors (8,9). The principles involved are based on the classical method of hyperthermia, but the aim, besides the absolute increase in temperature, is to promote the direct absorption of electric-field energy by the extracellular fluid, thereby destroying the membrane of cancer cells.

Hyperthermia is usually applied as an adjunct to an already established treatment modality, such as radiotherapy and chemotherapy, in order to achieve tumor temperatures of 40-46°C. Hyperthermia was originally controlled through modulating temperature alone, whereas the more recently developed mEHT (also described as 'oncothermia') is based on energy dose control, replacing the single temperature concept (9).

Although hyperthermia has been extensively studied, the effects of mEHT combined with dendritic cell (DC) treatment on squamous cell carcinoma have not been addressed. The goal of this study was to evaluate the antitumor effects of combined direct intratumoral injection of DCs and mEHT treatment using a mouse squamous cell carcinoma (SCCVII) cancer model. We showed that this combined treatment causes both local and distant shrinkage of SCCVII-derived tumors,

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and thereby offers hope to patients with esophageal squamous cell carcinoma, particularly those who have recurrent disease and are unable to undergo further radiotherapy. Thus, this newly developed technique could represent a new strategy for the treatment of squamous cell carcinoma, as well as other cancers.

## Materials and methods

**Culture of SCCVII tumor cells.** Mouse SCCVII cells, which have been previously characterized (10), were used in this study. Cells were cultured at 37°C in Dulbecco's modified Eagle's medium (DMEM; D8062; Sigma Life Science) with 10% heat-inactivated fetal bovine serum (FBS; 16140-071; Gibco, Invitrogen) plus 1% glutamine-penicillin-streptomycin.

**DC generation.** DCs were generated using the method established by Lutz *et al.* (11). Cells were prepared from the bone marrow cells of the femurs and tibias of mice. On day 0,  $2 \times 10^6$  bone marrow cells in 10 ml of RPMI-1640 medium (RPMI, 22400-089; Gibco, Invitrogen) with penicillin (100 U/ml), streptomycin (100 µg/ml), L-glutamine (2 mM), 2-mercaptoethanol (50 µM), 10% FBS and 200 U/ml (20 ng/ml) rmGM-CSF (G0282; Sigma, Japan) were placed into 100-mm diameter Petri dishes (Falcon™ no. 1029; BD Biosciences, San Jose, CA, USA). On day 3, another 10 ml of the medium with supplements was added to the dishes. Non-adherent cells were harvested on day 6.

We determined whether the cells generated could be used as DCs by performing flow cytometry analysis. Surface markers such as MHC class II, CD11c, CD80 and CD86 were highly expressed by 70.6, 35.2, 63.4, and 72.0% of the cells, respectively, indicating that they were indeed DCs.

**Mice and the tumor model.** Syngeneic 6- to 10-week-old female C3H/He mice, purchased from Japan SLC (Shizuoka, Japan), were maintained in our facility under specific pathogen-free conditions. SCCVII cancer cells at a dose of  $5 \times 10^5$  per mouse were inoculated subcutaneously into the left leg in order to seed the primary treatment tumor, and the same number of SCCVII cells was simultaneously inoculated subcutaneously into the chest wall to seed a distant, non-treatment tumor. Mice were examined every two or three days, and only those of a similar size were selected for treatment. Animals that had developed palpable tumors 9 days after inoculation prepared for treatment were divided into independent experimental groups, each consisting of at least 4 mice. Animal care was provided in accordance with the guidelines of Chiba University. All animal experiments were conducted to the Guidelines for the Welfare and Use of Animals in Cancer Research (12).

**mEHT and DC treatment.** We used the LAB-EHY device (Oncotherm Ltd. Hungary) to induce mEHT treatment on the left leg tumors of the mice. The RF power level was regulated using the fluoroptic temperature measurement system (Luxtron m3300; Lumasense, Santa Clara, CA, USA). Tumors on the left legs of the mice in the mEHT-only treatment group and in the DC combined with mEHT treatment group were treated on day 9, 11 and 13 after SCCVII cell inoculation. In the DC-only treatment group and DC combined with mEHT treatment

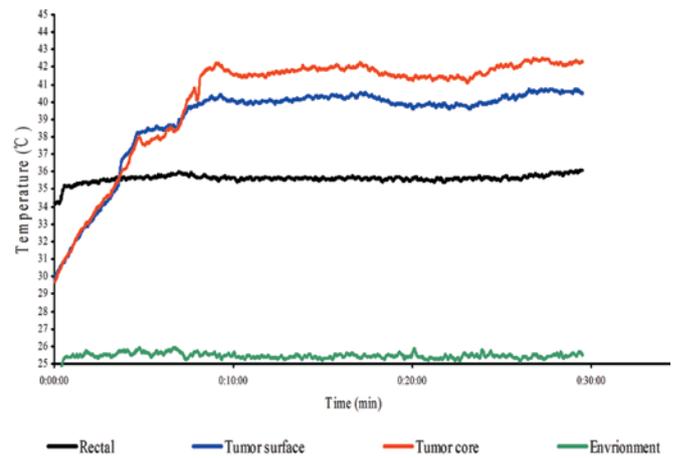


Figure 1. The electric current of the alternating magnetic fields was controlled and the temperature of the thermoseeds was maintained within a range of 42–43°C. The rectal temperature was maintained at 35–37°C.

group,  $1 \times 10^6$  DCs for each mouse were directly administered only into the tumor on the left leg of the mice after the mEHT treatment on day 9, 11 and 13.

**Flow cytometric analysis for cytotoxic T lymphocytes.** After the mice were sacrificed on day 39 after SCCVII cell inoculation, the tumor draining lymph nodes (TDLNs) were collected and washed with PBS. After red blood cell reduction, the cells were treated with mouse BD Fc Block (2.4G2; Pharmingen™, BD) and then stained with antibodies conjugated with fluorescent agents. For the investigation of cytotoxic T lymphocytes (CTLs) in the TDLNs, CD3-PE (2134-0034; Biogenesis Inc., Kingston, NH, USA) and CD8-FITC (2134-0083; Biogenesis Inc.) were used. Cell counting was performed with a Coulter Epics XL cytometer (Beckman Coulter, Miami, FL, USA), and cell populations were evaluated with gating software, FlowJo for Windows (Tree Star Inc., Ashland, OR, USA).

**Immunostaining.** After the mice were sacrificed on day 39 after SCCVII cell inoculation, the tumor tissues on the chest were harvested. The tissues were stained with anti-mouse CD8 (250596; ABBOTEC), S100 (GEX48819; Gene Tex), Foxp3 (NBPI-18319; Novus Biologicals) and a fluorescein-conjugated secondary antibody (k4003; Dako). The expression of CD8, S100 and Foxp3 was observed under a microscope (Carl Zeiss, Oberkochen, Germany). According to the method developed by Xavie *et al.* (13), we quantified the results of the immunostaining with the Imag Pro Plus 6.0 software (Media Cybernetic, Silver Spring, MD, USA). The measurement parameter was integrated optical density (IOD). The signal density of the tissue areas from five randomly selected visions were counted blindly and subjected to statistical analysis.

**Real-time quantitative PCR (RT-PCR).** The RNA was extracted from tumor tissues on the chest using TRIzol reagent (Invitrogen, Carlsbad, CA, USA) and 1 µg of RNA was transcribed into cDNA using a High Capacity cDNA Reverse Transcription kit (Applied Biosystems, Foster City, CA, USA). The resulting 1 µg of cDNA was amplified via RT-PCR.

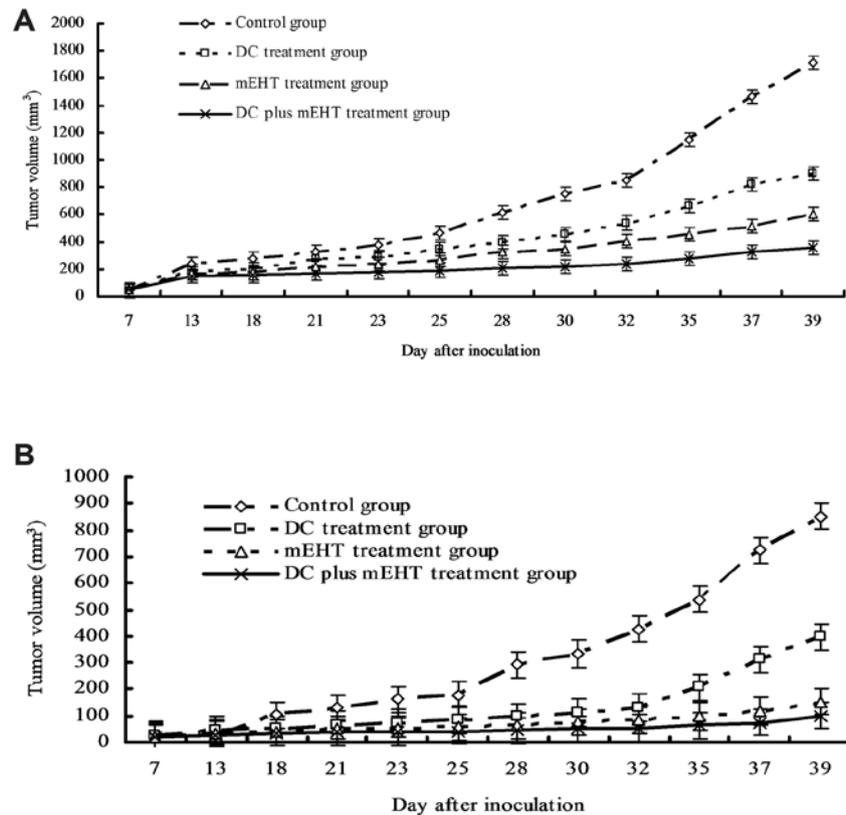


Figure 2. Tumor growth inhibition results. (A) The effectiveness of the combination treatment of dendritic cells (DCs) and modulated electro-hyperthermia (mEHT). The DC plus mEHT treatment completely suppressed the tumor growth on the left leg of the mice. (B) Tumor growth inhibition mediated by the systemic antitumor effect induced by the combination of DCs and mEHT on the tumors without treatment. In these groups, growth of the distant tumor on the chest wall of the mice without treatment was markedly suppressed, compared with mice treated with DCs and mEHT alone.

RT-PCR was conducted using SsoFast™ EvaGreen® Supermix (Bio-Rad, Hercules, CA, USA) with the following primers (Operon, Biotechnology, Tokyo, Japan): GP96, 5'-ACACGGC TTGCTAAACTTCT-3' and 5'-ACTACAGTCTGCGGTCC AAA-3'.  $\beta$ -actin was used as an internal control and the sequences used were 5'-TCATGAAGATCCTCACCGAG-3' and 5'-TTGCCAATGGTGAATGACCTG-3'. The PCR was performed using MyiQ2 thermal cycler (Bio-Rad). The PCR conditions consisted of 40 cycles of 95°C for 30 sec, 95°C for 5 sec and 60°C for 10 sec. This assay was performed in triplicate.

**Statistics.** Results are expressed as the mean  $\pm$  SE, and statistical comparisons were performed using ANOVA. A P-value <0.05 was considered to indicate a statistically significant result. All data were analyzed by the SPSS software package (SPSS version 19.0; SPSS Inc., USA).

## Results

**Temperature monitoring in local mEHT treatment.** By using the LAB-EHY device, it was possible to increase the temperature within the tumor to 42°C within 10 min. By controlling the energy input, we were able to maintain the temperature within a range of 42-43°C. At the same time of treatment, we found that the temperature on the surface of the tumor was

~40°C, and the rectal temperature was maintained close to 35-37°C (Fig. 1).

**Efficacy of the combined therapy of DCs and mEHT against the treated local tumor.** We examined the antitumor effect by the combination of DCs and mEHT treatment against the local tumor. The tumor on the left leg of the mice in the control group grew to a mean volume of  $1,709.25 \pm 2,557.4 \text{ mm}^3$  by day 39. The mean volume of the tumor on the left leg in the group treated with a combination of DCs and mEHT was  $359.92 \pm 62.01 \text{ mm}^3$ . The tumor growth rate was obviously slow in the DC and mEHT treatment group compared to that in the control group ( $P < 0.05$ ). The mean tumor volume in the DC-only treatment group and the mEHT-only treatment group was smaller compared to the control group (DC treatment group:  $902.78 \pm 109.74 \text{ mm}^3$ ,  $P < 0.05$ ; mEHT treatment group:  $607.19 \pm 87.28 \text{ mm}^3$ ,  $P < 0.05$ ) (Fig. 2A). Thus, the combination therapy of DCs and mEHT showed the strongest antitumor effect *in vivo*.

**Efficacy of the combined therapy of DCs and mEHT against the non-treated distant tumor.** We examined the tumors on the chest wall of the mice to investigate whether the combination treatment of DCs and mEHT could elicit a systemic antitumor effect. On day 39, the mean volume of the tumors on the chest wall of the mice in the control group was  $852.43 \pm 270.78 \text{ mm}^3$ ,

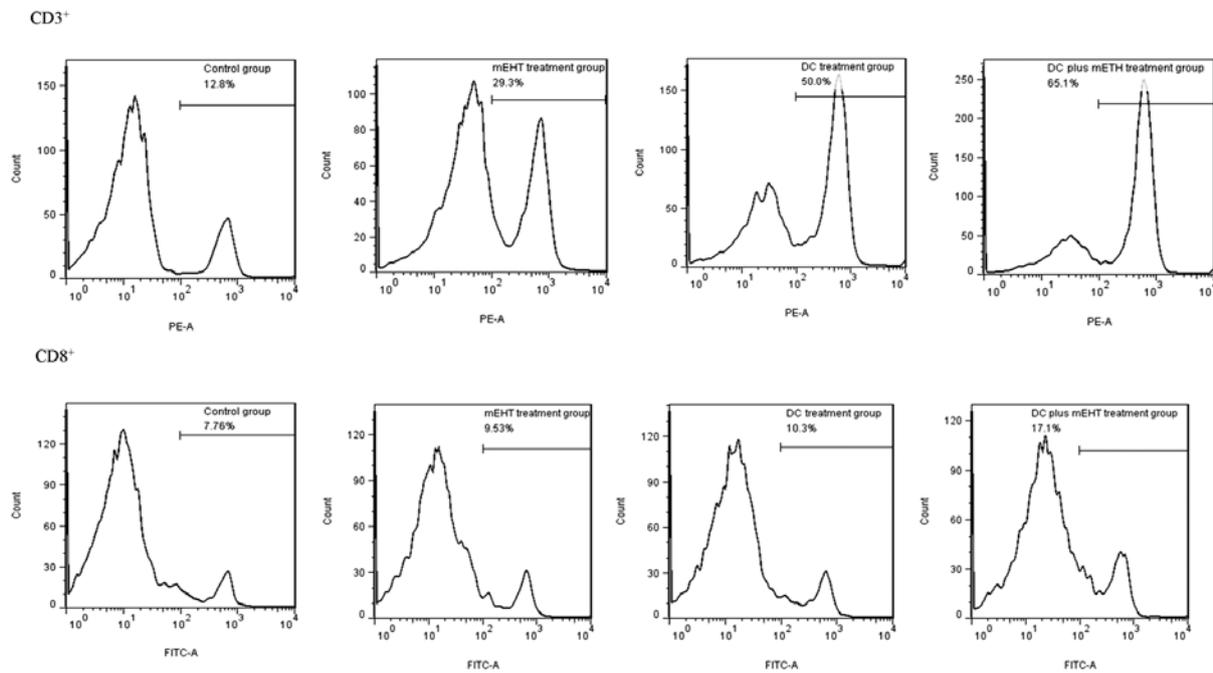


Figure 3. Flow cytometric analysis of CD3<sup>+</sup> and CD8<sup>+</sup> T cells in the tumor draining lymph nodes of mice treated with dendritic cells (DCs) and modulated electro-hyperthermia (mEHT), either alone or in combination. A significant increment in the population of CD3<sup>+</sup> and CD8<sup>+</sup> T cells was observed in the DC plus mEHT treatment group.

whereas the mean volume of the tumors in the DC and the mEHT treatment group was only  $99.84 \pm 9.21 \text{ mm}^3$ . The tumor growth rate was obviously slower in the DC and mEHT treatment group compared to the control group ( $P < 0.05$ ). The mean chest tumor volumes in the DC-only treatment group and the mEHT-only treatment group were  $396.32 \pm 58.52$  and  $152.78 \pm 19.12 \text{ mm}^3$ , respectively, both of which were also significantly smaller ( $P < 0.05$ ) than the mean tumor volume in the control group (Fig. 2B). This phenomenon was thought to be the abscopal effect.

**CD3<sup>+</sup> and CD8<sup>+</sup> T cells in the TDLNs.** We investigated whether the intratumoral injection of DCs in addition to mEHT can elicit a systemic antitumor effect *in vivo* by examining the populations of CD3<sup>+</sup> and CD8<sup>+</sup> T cells in the TDLNs. As shown in Fig. 3, the CD3<sup>+</sup> population in the TDLNs of the mice treated with DCs plus mEHT was ~5 times larger compared with this population in the TDLNs of the control group, and the CD8<sup>+</sup> population in the TDLNs of the mice treated with DCs plus mEHT was ~2 times larger. This suggests that the systemic antitumor activity that was evoked by DC plus mEHT treatment can be a sensitizer of a DC-based immunoresponse. Furthermore, we also evaluated whether mEHT alone has the possibility of inducing CD3<sup>+</sup> and CD8<sup>+</sup> T cells. We found that mEHT alone could increase that population. This may be one of the pieces of evidence that can explain the mechanism of the abscopal effects.

**Immunostaining of the tumors.** For the immunostaining, the tumor tissues were examined for CD8, S100 and Foxp3 protein expression. The IOD was calculated as the number of positive cells expressing the protein. We found that in the DC plus mEHT

treatment group, a greater number of cells were positive for CD8 than the numbers in the other groups (Fig. 4) (control group:  $10,607.17 \pm 1,193.27$ ; DC treatment group:  $20,775.26 \pm 1,56.91$ ; mEHT treatment group:  $30,893.19 \pm 2,067.52$ ; DC and mEHT treatment group:  $63,028.26 \pm 8,281.73$ ;  $P < 0.05$ ). This indicates that a greater number of cytotoxic lymphocytes were stimulated to attack the tumor cells following the combined treatment. Similar findings were noted for S100, a DC marker, with a significantly greater number of S100-positive cells noted in the DC plus mEHT treatment group compared with the other groups (Fig. 4) (control group:  $25,944.49 \pm 2,084.24$ ; DC treatment group:  $30,108.26 \pm 1,827.21$ ; mEHT treatment group:  $27,685.48 \pm 1,373.36$ ; DCs and mEHT treatment group:  $53,118.53 \pm 6,680.50$ ,  $P < 0.05$ ). This indicates that a greater number of DCs were induced to take part in the immune reaction against the tumor. Unlike these other markers, the extent of staining of Foxp3, a marker and determinant of regulatory T (Treg) cells, was significantly higher in the control group compared with the other 3 groups (Fig. 4) (control group:  $42,773.29 \pm 1,461.24$ ; DC treatment group:  $31,209.38 \pm 1,928.48$ ; mEHT treatment group:  $25,394.31 \pm 1,323.39$ ; DC and mEHT treatment group:  $17,496.13 \pm 1,018.26$ ,  $P < 0.05$ ), suggesting that the immunosuppression by Treg cells in the treated tissue was reduced by mEHT.

**RT-PCR.** RT-PCR was used to analyze the cDNA reverse-transcribed RNA of the chest tumors from different groups. As shown in Fig. 5, regarding the expression of GP96, ~6-fold increase was observed in the DC plus mEHT treatment group compared to the control group. In addition, the expression of GP96 exhibited a 2-fold increase in the DC treatment group and a 1-fold increase in the mEHT treatment group. Based

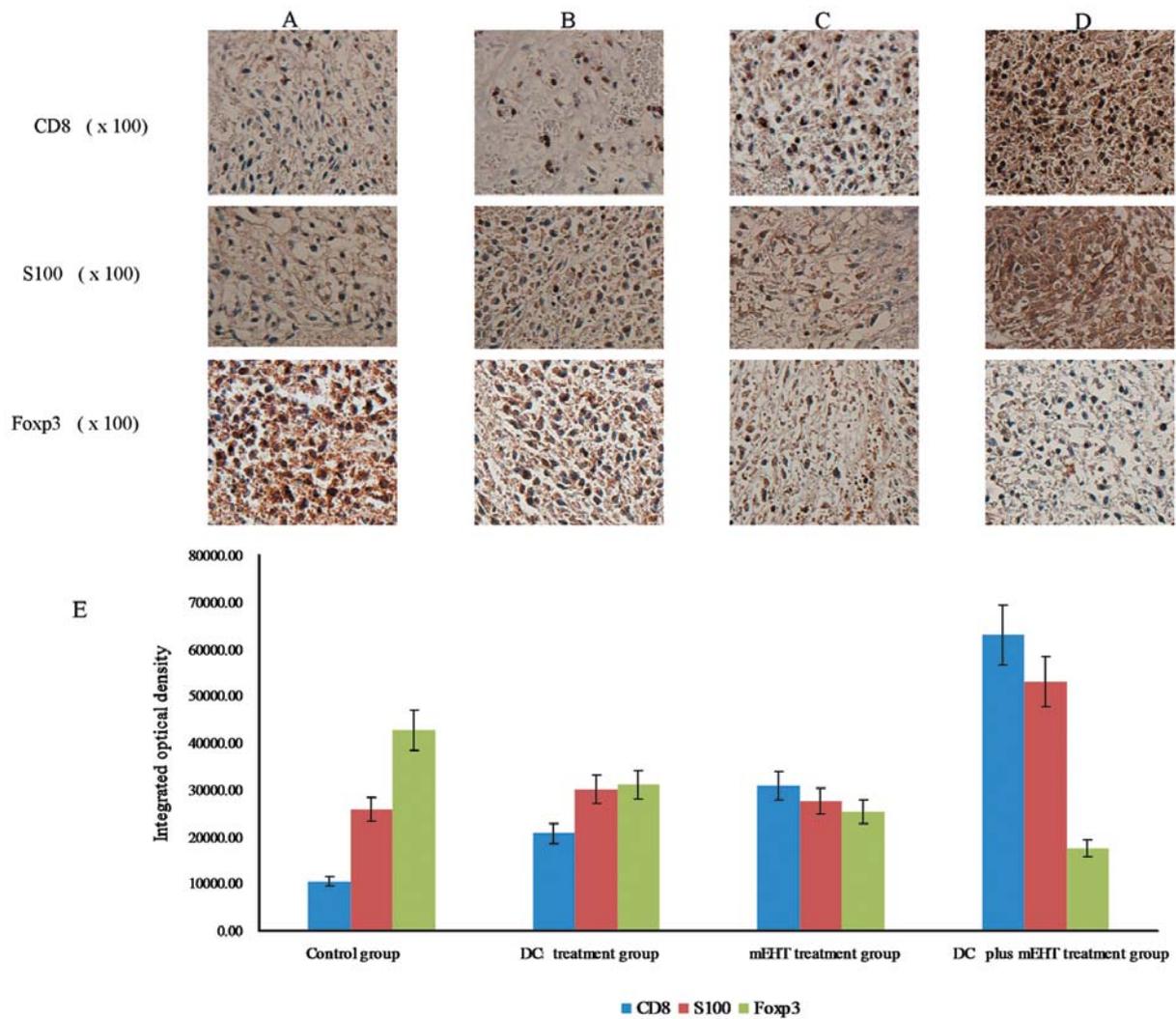


Figure 4. Changes in CD8, S100 and Foxp3 expression in the chest tumor tissues of the different groups (original magnification x100). (A) Control group. (B) Dendritic cell (DC) treatment group. (C) Modulated electro-hyperthermia (mEHT) treatment group. (D) DC plus mEHT treatment group. (E) The integrated optical density (IOD) values were examined between the different groups separately.

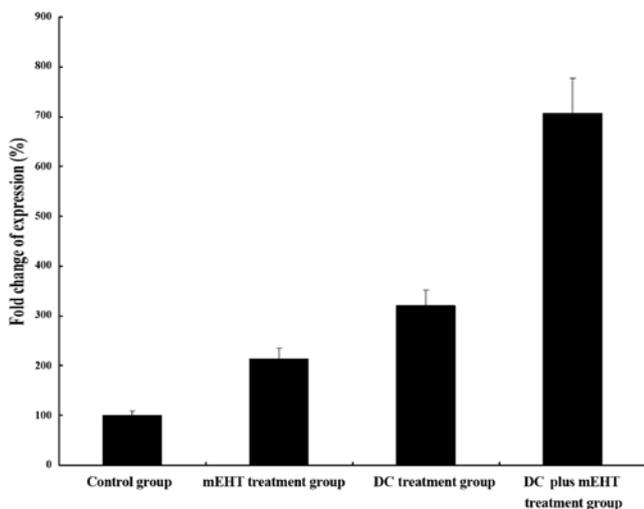


Figure 5. Analysis of GP96 expression in the different groups. Dendritic cell (DC) plus mEHT treatment upregulated the expression of GP96 compared to the other groups.

on the data, the GP96 gene was amplified in the treatment groups compared to the control group. This may at least in part explain the mechanism underlying the abscopal effect.

### Discussion

Head and neck and esophageal cancer are still among the most deadly malignant neoplasms, particularly in the Asian population. These cancers have the tendency to metastasize easily to lymph nodes (14,15). Their prognosis is poor and unsatisfactory even with current treatments, as lymph node metastases and distant organ metastases occur frequently. The development of effective treatments is urgent.

Hyperthermia is a type of cancer treatment in which tissue is exposed to a high temperature. Previous studies have shown that high temperatures can damage and kill cancer cells, usually with minimal injury to normal tissues. mEHT which is also described as ‘oncothermia’ in Europe is a personalized treatment using energy delivery to the targeted tumor (9). This treatment concentrates the absorbed energy to intercellular electrolytes (8). According to clinical studies of

mEHT, the application of mEHT has good clinical outcome and makes a stable basis for clinical applications in various advanced primary and metastatic malignancies, such as bone (metastatic) (16), breast (17) and malignant gliomas (18). In the present study, as shown in Fig. 1, only the tumor underwent an increase in temperature during mEHT, without any increase in rectal temperature. The temperature of the center of the tumor is higher than the temperature of the tumor surface. This differential temperature rise is achieved through the precise surface control and moderate power usage in mEHT that enables the temperature to be finely regulated (19).

In the present study, we found that treatment of the tumors on the leg of mice resulted in the concurrent growth inhibition of the distant tumors on the chest. This phenomenon is known as the abscopal effect and is defined as a reaction following irradiation but occurring outside the zone of actual radiation absorption. It was first described more than 60 years ago by Dr R.H. Mole, of Britain's Medical Research Council (20). He was the first to use the term 'abscopal effect,' where 'abscopal' is derived from the Latin prefix *ab*, meaning 'away from,' and the Greek word *skopos*, meaning 'target.' Multiple case studies describing an abscopal effect observed after radiotherapy have been published for a variety of malignancies, including lymphoma, papillary adenocarcinoma, melanoma, adenocarcinoma of the esophagus, chronic lymphocytic leukemia and hepatocellular carcinoma (21-25).

The mechanism that underlies the abscopal effect remains unclear, although studies in mice suggest that it may depend upon activation of the immune system, and is mediated by T cells (7). Our findings also suggest that distant tumor regression was mediated by an immune response (via CD3<sup>+</sup> and CD8<sup>+</sup> cells) activated by mEHT and DCs. The combination of mEHT and DCs produced tumor antigens by apoptosis and/or necrosis of tumor cells, and also induced the abscopal effect against SCCVII cells. Moreover, direct intratumoral administration of DCs are an important strategy. DCs can be pulsed with a wide range of antigens and they are efficient for the direct trafficking into tumors. This means that the trafficking loss of DCs administered is minimized (26-28).

In the present research, we found that mEHT enhanced the expression of GP96 *in vivo*. As shown in Fig. 5, mEHT treatment had the ability to induce the expression of GP96. The product of this gene plays an important role in the uptake of antigens by DCs. The tumor antigens form a complex with GP96, which is then taken up by DCs through the GP96 receptor in a process mediated by toll-like receptors 2 and 4. This leads to DC maturation, enabling them in turn to induce CD8<sup>+</sup> CTL activation (29-33). In the present study, we found that the upregulation of GP96 by mEHT led to an increase in the number of CD8<sup>+</sup> CTLs in the TDLNs. This suggests that DCs pulsed with mEHT exerted a stronger cytotoxic effect leading to tumor growth inhibition *in vivo*, via an increase in the level of GP96 protein. Thus, one key molecule, by which the abscopal effect induces systemic antitumor immune reaction, may be GP96. As others have reported, GP96 potentially boosts the activity of the systemic antitumor effect by these CTLs, and this mechanism could be one explanation for the abscopal effect (34-36).

In a case study from the Memorial Sloan-Kettering Cancer Center in New York, changes in a metastatic melanoma patient's

immune system were measured over the course of treatment (1). Changes in tumor-directed antibody levels and immune cell populations were found to coincide with an abscopal effect. These findings support the idea that radiation may help stimulate the immune system to fight cancer. We believe that local hyperthermia induced both, direct and abscopal antitumor effects, and that the latter may have been the result of a systemic effect of hyperthermia. We found that there was a significantly greater number of CD8- and S100-positive cells after combined DC and mEHT treatment. This indicates that additional cytotoxic lymphocytes were stimulated to attack the tumor cells. Furthermore, there were fewer Foxp3-positive cells after this treatment, suggesting that the immune-suppression mediated by Treg cells in the treated tissue was reduced. Correspondingly, combined treatment with DCs plus mEHT induced a more pronounced antitumor effect against distant non-treated tumors compared with the leg tumors treated with DCs alone. This is particularly important for cancer treatment, as the combination of DCs and mEHT exerted a strong abscopal effect against the distant non-treated tumors.

Our findings suggest that the combination of hyperthermia with an intratumoral injection of DCs can induce whole body antitumor effects. Both DC and mEHT therapy are non-invasive, and therefore, this treatment model can be safely adapted for treating patients. Based on our findings, the combination of direct intratumoral administration of DCs and mEHT can be a feasible future treatment, and warrants further studies, including human clinical trials.

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