

# The dual behavior of PCSK9 in the regulation of apoptosis is crucial in Alzheimer's disease progression (Review)

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**Abstract.** Neuronal apoptosis is crucial in neurodegenerative diseases. However, a lower apoptotic rate of nerve cells is detected in the brain compared to that in other organs in neurodegenerative patients or in animal models, suggesting that neuronal apoptosis induced by any type of risk factors is intricately regulated. Human and animal studies demonstrated that a high concentration of oxidized LDL (ox-LDL) in the brain, which is associated with hyperlipidemia, is one of the key apoptosis inducers in neurodegenerative diseases. However, the mechanism underlying the ox-LDL-mediated regulation of neuronal apoptosis has not been fully elucidated. Recently, we investigated proprotein convertase subtilisin/kexin type 9 (PCSK9), a striking gene involved in lipid metabolism that exhibits a positive correlation with macrophage and endothelial cell apoptosis induced by ox-LDL. Moreover, PCSK9 may degrade  $\beta$ -site amyloid precursor protein-cleaving enzyme 1 (BACE1), the key enzyme cleaving amyloid precursor protein (APP) to generate amyloid  $\beta$  peptide (A $\beta$ ). A $\beta$  is another key apoptosis inducer in neurodegenerative diseases. Our findings indicated that PCSK9 may be upregulated by the high levels of ox-LDL in the brain associated with hyperlipidemia and promote neuronal apoptosis through the NF- $\kappa$ B-B-cell lymphoma 2 (Bcl-2)/Bax-caspase 9-caspase 3 signaling pathways. Moreover, increased PCSK9 levels may inhibit

the APP/A $\beta$  metabolic pathway and reduce A $\beta$  generation by degrading BACE1, thereby decreasing A $\beta$ -induced neuronal apoptosis. The dual regulation mechanism of PCSK9 on apoptosis maintains neuronal apoptosis induced by risk factors at low levels.

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## 1. Introduction

In 2003, proprotein convertase subtilisin/kexin type 9 (PCSK9) was identified by the bioinformatics method and DNA microarray technology (1,2). PCSK9 is a member of the subtilisin family of proprotein convertases and is involved in the degradation of low-density lipoprotein receptor (LDLR) in the liver (3). Gain- and loss-of-function mutations of PCSK9 may result in hyper- and hypocholesterolemia, respectively, thereby affecting liver LDLR degradation and blood lipid levels. Cohen *et al* (4) reported that African-Americans harbouring nonsense mutations in PCSK9 exhibited a 28% decrease in LDL cholesterol levels and a 88% lower risk of coronary heart disease (CHD), whereas Caucasians with less severe mutations in PCSK9 exhibited a 15% decrease in LDL levels and a 47% lower risk of CHD. Surprisingly, the LDL-lowering mutations of PCSK9 were shown to exert a protective effect in CHD. A previous phase II clinical trial of a monoclonal antibody to PCSK9 demonstrated that patients with severe heterozygous familial hypercholesterolemia on high-dose statins who were injected with anti-PCSK9 monoclonal antibody exhibited maximum LDL-C levels (5). The results, however, depend on the phase III side effects of the anti-PCSK9 monoclonal antibody (6). If proven efficient, the combination of anti-PCSK9 antibodies with statins may become a potent lipid-lowering

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and cardioprotective treatment that should be used prior to the advancement of atherosclerosis, since the effects of lowering LDL levels do not depend solely on the extent, but also on the duration of the decrease (7). The extensive investigation regarding PCSK9 revealed novel functions, in addition to those in lipid metabolism, including cell apoptosis, inflammatory response, neuronal development and tumor metastasis (8-11).

Neurodegeneration is a progressive loss of neurons and synapses in the cerebral cortex and certain subcortical regions. A number of neurodegenerative disorders (ND), such as Parkinson's disease, Alzheimer's disease (AD) and Huntington's disease, occur as a result of neurodegenerative processes. AD is the major form of ND and is characterized by senile plaques and neurofibrillary tangles in the brain. Excessive generation and accumulation of amyloid  $\beta$  peptide (A $\beta$ ) in the brain is an important factor implicated in AD. Neuronal apoptosis is also a primary mechanism underlying AD pathogenesis. Table I shows the numerous pro- and anti-apoptotic factors that may mediate neuronal apoptosis. Although several treatments are currently available to mitigate the progression of the disease, there is no established medical method to definitively prevent the progression of AD; the loss of neurons is permanent, due to their lack of proliferative ability (12).

## 2. Neuronal apoptosis rate is lower compared to that in other cell types

Using New Zealand rabbit models on a high-fat diet for 7 months, our research demonstrated that hyperlipidemia may induce neuronal apoptosis. Higher rates of apoptosis in the brain were observed in the high-fat diet group, compared to those in the normal cholesterol group (0.83 vs. 0.30%, unpublished data). A study by Cunningham *et al* (13) also reported that the apoptosis rate in brain nerve cells induced by endotoxins in neurodegenerative mouse models is lower, which is in accordance with the findings of our study. However, compared to other systems, hyperlipidemia is associated with significantly lower rates of neuronal apoptosis in the brain. The apoptosis rate of smooth muscle cells in atherosclerotic lesions was shown to be  $11 \pm 7.8\%$  in miniature pigs on a high-fat diet for 37 weeks (14). Nematbakhsh *et al* (15) observed an endothelial cell apoptosis rate of 8% in hyperlipidemic rabbits. However, the reason for the significant difference in the apoptosis rate of nerve cells and other cells exposed to hyperlipidemia has not been determined. It was hypothesized that the presence of the blood-brain barrier (BBB) was a possible explanation. However, the oxidized LDL (ox-LDL) content was found to be increased in the brain and in the blood of AD patients (16), indicating that BBB does not play a major role under hyperlipidemic conditions. Martins *et al* (17) proposed two mechanisms that may underlie the increase in the lipid content of the brain during hyperlipidemia. The first mechanism is BBB damage. Ox-LDL may damage the BBB by inducing endothelial cell apoptosis, which increases the permeability of the BBB membrane, allowing lipids to cross the BBB into the brain. The second mechanism involves necessary components involved in lipid synthesis, such as unsaturated fatty acids, crossing the BBB, thereby increasing endogenous lipid synthesis in the brain. The brain lipidogram is significantly affected in hyperlipidemia. However, the effect of the BBB

Table I. Pro- and anti-apoptotic factors in neurons.

Pro-apoptotic (Refs.)	Anti-apoptotic (Refs.)
NF- $\kappa$ B (30)	Bcl-2 (31,32)
Bax (32-35)	Bcl-x(L) (31,32,34)
Bak (34)	IAPs (36,37)
Bad (32,38)	CrmA (39)
Bid (32)	FLIPs (40)
Caspase-3, -6, -8, -9 (35,41-43)	IGF-1 (44)
TNF- $\alpha$ (35)	TGF- $\beta$ (38)
p53 (33)	p35 (45)
Fas/FasL (35)	ARC (46)
Cytochrome c (41)	VEGF (47)
AIF (48)	

TNF, tumor necrosis factor; AIF, apoptosis-inducing factor; Bcl, B-cell lymphoma; IAPs, inhibitors of apoptosis; Crm, cytokine response modifier; FLIPs, FADD-like interleukin-1  $\beta$ -converting enzyme (FLICE)-inhibitory proteins; TGF, transforming growth factor; ARC, apoptosis repressor with caspase recruitment domain; VEGF, vascular endothelial growth factor.

on the apoptosis rate is minimal. We further hypothesized that a pathway inhibiting neuronal apoptosis may exist in the process of hyperlipidemia, along with an additional pathway inducing brain nerve cell apoptosis; however, further investigation is required to identify the common regulation of the two diametrically opposed pathways.

## 3. PCSK9 promotes neuronal apoptosis

In this study, we mainly focused on the association between cell apoptosis and PCSK9. The significant correlations between PCSK9 and cell apoptosis are as follows: i) Wu *et al* (8) reported that ox-LDL may upregulate PCSK9 expression in human umbilical vein endothelial cells (HUVECs), whereas PCSK9 siRNA inhibits HUVEC apoptosis induced by ox-LDL through the Bcl/Bax-caspase 9-caspase 3 pathway; and ii) previous studies suggested that PCSK9 may be associated with neuronal apoptosis (18,19). Cameron *et al* (20) reported that berberine may decrease PCSK9 expression, whereas Ji and Shen (21) indicated that berberine exerts a potentially preventive effect on AD through a variety of mechanisms. We therefore considered the potential involvement of PCSK9 in the effect of berberine against AD. The link between PCSK9 and apoptosis was previously investigated. PCSK9 may regulate the expression of inflammatory factors induced by ox-LDL through NF- $\kappa$ B (9), whereas the NF- $\kappa$ B/B-cell lymphoma 2 (Bcl-2) signaling pathway is crucial in mediating apoptosis. The effect of PCSK9 in cerebellar granule neuron apoptosis is reflected by the fact that the effects of wild-type and mutant PCSK9 on apoptosis are partially reversed by BAF, a caspase inhibitor. Moreover, apoptotic mediators, such as caspase 3 and death receptor 6, may be involved in neuronal apoptotic signaling induced by PCSK9 (19). A recent study demonstrated that PCSK9 promotes neuronal apoptosis through the decrease

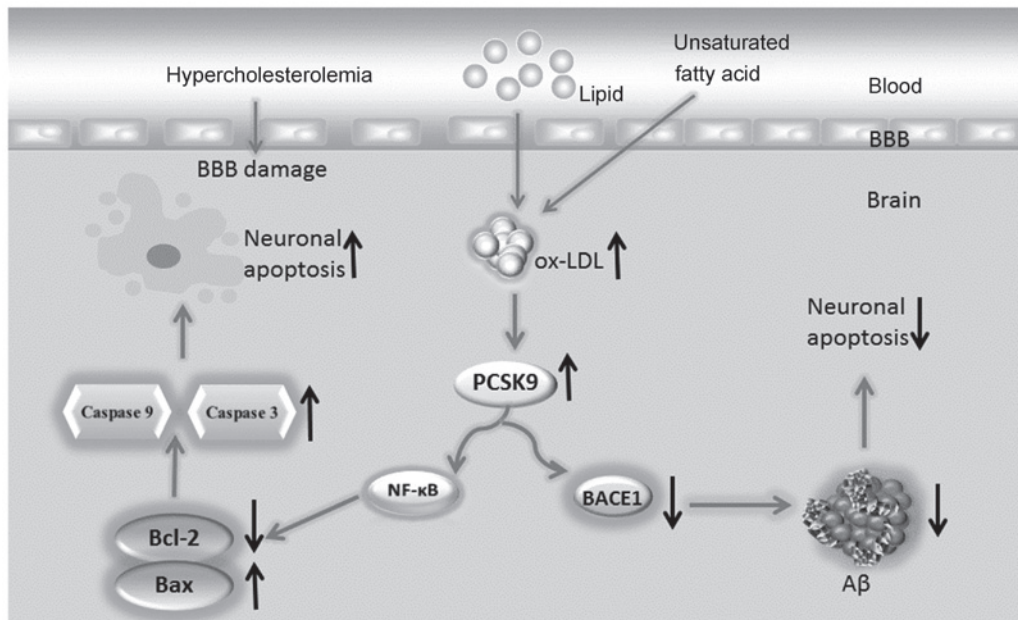


Figure 1. Dual regulatory effect of proprotein convertase subtilisin/kexin type 9 (PCSK9) on neuronal apoptosis. Hyperlipidemia increases the lipid content in the brain, particularly oxidized LDL (ox-LDL). High ox-LDL levels may upregulate the PCSK9 expression in neurons. Neuronal apoptosis is induced through the NF- $\kappa$ B-Bcl-2/Bax-caspase 9-caspase 3 signaling pathway when PCSK9 expression increases. Additionally, through BACE1 degradation, PCSK9 may reduce amyloid  $\beta$  peptide (A $\beta$ ) generation by inhibiting the amyloid precursor protein/A $\beta$  metabolic pathway, thereby decreasing neuronal apoptosis induced by A $\beta$ .

of apolipoprotein E receptor 2 levels and deregulation of anti-apoptotic signaling pathways (22). However, further investigations are required to identify the precise PCSK9-related mechanism leading to neuronal apoptosis.

#### 4. PCSK9 inhibits neuronal apoptosis

Although PCSK9 is also referred to as neural apoptosis-regulated convertase 1, certain studies failed to confirm its pro-apoptotic effect. Ranheim *et al* (23) demonstrated that PCSK9 significantly downregulated the poly(ADP-ribose) polymerase (PARP) family in HepG2 cells through microarray analysis of D374Y-PCSK9, a gain-of-function mutant. B16F1 melanoma cells were injected in PCSK9<sup>-/-</sup> mice to induce liver metastasis. The findings revealed an increased rate of apoptosis in the liver stroma and metastases. Furthermore, the pro-apoptotic factor tumor necrosis factor- $\alpha$  levels were increased and the anti-apoptotic factor Bcl-2 levels were decreased (10), confirming the anti-apoptotic function of PCSK9. However, the precise mechanism through which PCSK9 inhibits neuronal apoptosis has not been fully elucidated.

The following pathways are involved in amyloid precursor protein (APP) metabolism: i) APP is cleaved by  $\alpha$ -secretase to generate soluble APP- $\alpha$  and C83; ii) APP is cleaved by the  $\beta$ -site APP-cleaving enzyme 1 (BACE1) and  $\gamma$ -secretase to generate insoluble A $\beta$ . The former is generally considered to be the major metabolic pathway. Under pathological conditions, the generation of numerous A $\beta$  peptides was suggested to cause AD (24). The BACE1 level is increased in the majority of sporadic types of AD. Consistent with the increase in BACE1, higher concentrations of A $\beta$  may induce neuronal apoptosis and lead to AD.

Jonas *et al* (25) and Ko and Puglielli (26) observed that the levels of BACE1 and A $\beta$  were increased in the brains of

PCSK9<sup>-/-</sup> mice, the overexpression of PCSK9 in CHO cells decreased the level of BACE1, PCSK9 siRNA increased the level of BACE1 and secreted PCSK9 may stimulate the degradation of BACE1. Those findings indicated that PCSK9 possibly decreases A $\beta$  generation to prevent neuronal apoptosis through the degradation of BACE1 in nerve cells. However, Liu *et al* (27) reported that the levels of PCSK9 and BACE1 expression are not important; thus, further investigations are required to determine whether they affect BACE1 activity.

#### 5. Inconsistencies and future directions

Shibata *et al* (28) investigated two single-nucleotide polymorphisms (SNPs) of PCSK9, namely rs11583680 and rs662145, and suggested that SNPs are not associated with AD. In addition, Reynolds *et al* (29) investigated the association between lipid pathway genes and AD and reported that PCSK9 is not associated with AD. However, although the above-mentioned epidemiologic studies did not lead to a definitive conclusion regarding the positive correlation between PCSK9 and AD under site selection, case scale, or other reasons, PCSK9 may still be involved in the pathogenesis of AD.

In this study, we proposed the following hypothesis: hyperlipidemia increases the lipid content, particularly ox-LDL, of the brain. The increased levels of ox-LDL may upregulate PCSK9 expression in nerve cells. Neuronal apoptosis is induced through the NF- $\kappa$ B-Bcl-2/Bax-caspase 9-caspase 3 signaling pathway when PCSK9 expression increases. Additionally, through the degradation of BACE1, PCSK9 decreases A $\beta$  generation via the inhibition of the APP/A $\beta$  metabolic pathway, which decreases neuronal apoptosis induced by A $\beta$ . Therefore, PCSK9 exerts a dual regulatory effect on neuronal apoptosis (Fig. 1), maintaining apoptosis at a low level or



limiting its increase, leading to the slow progression of ND. The elucidation of the association among hyperlipidemia, PCSK9 expression and neuronal apoptosis requires further investigations and animal testing. Furthermore, along with the anti-PCSK9 antibody, which was proven successful in lowering lipid levels (5), drugs targeting PCSK9 are eagerly anticipated for evaluation in the treatment of AD.

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