

# A microRNA-related single nucleotide polymorphism of the *XPO5* gene is associated with survival of small cell lung cancer patients

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**Abstract.** MicroRNA (miRNA)-related single nucleotide polymorphisms (miR-SNPs) in miRNA processing machinery genes affect cancer risk, treatment efficacy and patient prognosis. A miR-SNP of rs11077 located in the 3'UTR of miRNA processing machinery gene *XPO5* was examined in small cell lung cancer (SCLC) patients to evaluate its association with cancer survival. A total of 42 patients were enrolled in the present study and genotyped for rs11077 and survival was assessed using the Kaplan-Meier method, as well as univariate and multivariate analyses. The AA genotype of rs11077 was identified for its significant association with better survival time ( $P=0.023$ ). In addition, rs11077 was found to associate independently with overall survival in SCLC patients by multivariate analysis (relative risk 2.469; 95% CI, 1.088-5.603;  $P=0.031$ ). The findings of this study suggest that although miR-SNP studies for miRNA processing machinery genes are still at an early age, miR-SNPs have an impact on cancer survival. In conclusion, a miR-SNP in the 3'UTR region of the *XPO5* gene was identified as an independent prognostic marker for survival of advanced SCLC patients.

## Introduction

Small cell lung cancer (SCLC), commonly classified by the Veterans Administration Lung Cancer Study Group as Limited stage and Extensive stage groups, constitutes ~15% of all lung cancer cases and is strongly associated with cigarette smoking (1-3). The prognosis of SCLC, which has been associated with extent of disease and other factors, is poor, whereas the life expectancy of those with untreated SCLC is

~3.5 months for the limited stage group and 6 weeks for the extensive stage group (4-6). Although genetic factors have been identified as prognostic factors for SCLC, the underlying mechanism of this cancer remains unknown (7-9).

MicroRNAs (miRNAs) are RNA molecules that are ~22 nucleotides long and are involved in various biological processes, such as embryonic development, cell differentiation, proliferation, apoptosis, cancer development and insulin secretion (10,11). More than 700 miRNAs have been identified in humans and these miRNAs are responsible for regulating at least 30% of protein-coding gene expressions (12). A growing body of evidence suggests that miRNAs play important roles in a broad range of biological processes, as previously mentioned (9-11). In the miRNA processing, long primary transcripts of miRNAs (pri-miRNAs) are processed in the nucleus by RNase III *Drosha* and transported to the cytoplasm by the nuclear transport factor exportin-5 (*XPO5*) and RAN. In the cytoplasm, RNase III Dicer and transactivation-responsive RNA-binding protein (TRBP) mediate the processing of pre-miRNAs in order to release a 21-bp dsRNA. Additionally, the RNA-induced silencing complex (RISC) including *GEMIN3* and *GEMIN4* selects a single strand as the mature miRNA and guides the mature miRNAs to their target mRNA sites (10,13-16). miRNA-related single nucleotide polymorphisms (miR-SNPs), defined as SNPs in miRNA genes, miRNA binding site and miRNA processing machinery, are able to modulate miRNA and target gene expressions in order to influence cancer risk, treatment efficacy and patient prognosis (9,17-20).

A miR-SNP of rs11077 located in the 3'UTR of the miRNA processing gene *XPO5* has shown its association with the chemotherapeutic response in metastatic colon cancer as well as recurrence in resected non-small cell lung cancer (NSCLC) (9,19). In the present study, we evaluated the predictive power of this SNP on the overall survival of SCLC patients.

## Materials and methods

**Tissue specimens and DNA extraction.** Blood samples were collected at the Fourth Hospital of Hebei University from 42 SCLC patients who received treatment in the Department

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Table I. Univariate and multivariate analysis of clinical factors associated with small cell lung cancer survival.

Factors	No. of cases	2-year survival rate (%)	Univariate analysis	Multivariate analysis		
			P-value	RR	95% CI	P-value
Age (years)			0.122	1.269	0.611-2.634	0.523
≤60	26	26.9				
>60	16	18.8				
Gender			0.113	0.167	0.047-0.588	0.005
Male	28	14.3				
Female	14	42.9				
VALSG classification			0.229	1.410	0.691-2.876	0.345
Limited	19	26.3				
Extensive	23	21.7				
Smoking			0.771	3.581	1.110-11.555	0.033
Yes	23	17.4				
No	19	31.6				
Treatment			0.506	1.037	0.519-2.072	0.919
Chemotherapy	18	22.2				
Combination	24	25.0				
Genotype			0.023	2.469	1.088-5.603	0.031
AA	33	27.3				
AC+CC	9	11.1				

RR, response rate; VALSG, Veteran Administration Lung Cancer Study Group; combination, chemotherapy combined with radiotherapy.

of Respiratory Medicine between 2005 and 2009. The genomic DNA was immediately extracted using the Wizard Genomic DNA extraction kit (Promega, Madison, WI, USA) and stored at  $-20^{\circ}\text{C}$ . The procedures were supervised and approved by the Human Tissue Research Committee at the hospital and informed consent was obtained from all 42 participants.

**Genotyping of miR-SNPs.** The miR-SNP rs11077 of the miRNA processing genes *XPO5* was genotyped using the LDR method with the primers: forward: 5'-GAATCTGGTCACCTGATGGGA-3' and reverse: 5'-GTGCCTGAGTGACCTTGAG-3', in order to amplify the DNA fragments flanking miR-SNPs based on the NCBI SNP database (<http://www.ncbi.nlm.nih.gov/snp/>). PCR was performed using a PCR master mix kit according to the manufacturer's instructions (Promega). The ligation was performed using the different probes: S1: 5'-GTACCTCCAAGGACCAGGGCTGGGA-3' or S2: 5'-TTTGTACCTCCAAGGACCAGGGCTGGGC-3' matched to the alleles of miR-SNPs. The S1 or S2 probe was ligated with S3: 5'-AGTCTTTAGTGCTAACATCCCCTTT-3' downstream of the SNP site, and the ligated products were separated using the ABI Prism Genetic Analyzer 3730x1 (Applied Biosystems, Foster City, CA, USA). Polymorphisms were confirmed based on a 3 bp length difference of ligated products.

**Statistical analysis.** Survival curves were calculated using the Kaplan-Meier method, and comparisons between the curves

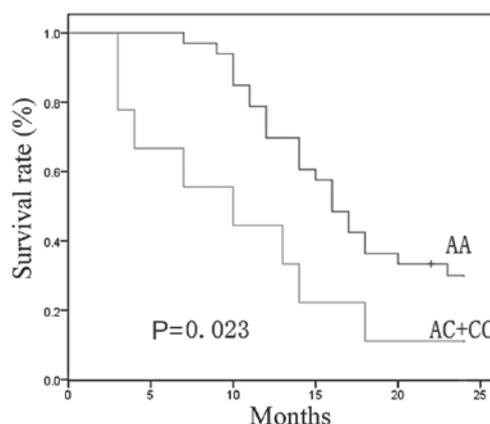


Figure 1. Genotype of rs11077 and its association with small cell lung cancer survival.

were made using the log-rank test. Multivariate survival analysis was performed using a Cox proportional hazards model. The statistical analyses were performed using the SPSS 18.0 software package (SPSS, Inc., Chicago, IL, USA).  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**Analysis of clinical characteristics with overall survival.** A total of 42 patients enrolled in this study were reviewed every 3 months for 2 years. The associations between two-year

survival data and clinical characteristics were compared by the univariate and multivariate analysis in SCLC patients. Age and smoking status were identified as independent predictors for SCLC survival using the Cox proportional hazards model (Table I).

*Association of rs11077 with outcome of SCLC.* In total, 42 SCLC patients were genotyped for rs11077. The rs11077 CC, AC and AA genotype frequencies were 1, 8 and 33, respectively. The SCLC patients were divided into two groups on the basis of their rs11077 genotype, and their overall survival curves were plotted using the Kaplan-Meier method. The two-year survival rate of AC+CC and AA patients were 11.1 and 27.3%. A significant difference in overall survival was observed between the two groups (Fig. 1). Patients with the AA allele were associated with a significantly longer survival time compared with that of AC+CC patients ( $P=0.023$ ).

A multivariate analysis with the Cox proportional hazards model for clinical characteristics was performed. As shown in Table I, the rs11077 SNP was identified as an independent predictor for the overall survival of SCLC patients (relative risk 2.649; 95% CI, 1.088-5.603;  $P=0.031$ ).

## Discussion

The identification of predictive markers for tumor outcome is a new field in cancer research. Investigations to determine whether miRNA expression is associated with tumors has attracted attention. The altered expression of a number of miRNAs has been found to predict anticancer treatments (22). In previous reports (19), rs11077 has been identified to be associated with recurrence in post-operative NSCLC patients. In the present study, we report that the miR-SNP in miRNA processing machinery genes of *XPO5* are involved in the prognosis of SCLC outcome.

*XPO5* is found in the nuclear membrane and mediates the transport of pre-miRNA in order to adjust the miRNA expression (23). *XPO5* inactivation resulted in reduced miRNA processing and decreased miRNA target inhibition, while the restored *XPO5* reverses the impaired export of pre-miRNA (24). The miR-SNP of rs11077 of *XPO5* has been identified for its association with the cancer risk of esophageal cancer as well as the outcome of myeloma (20,25). The mechanism of how this SNP affects SCLC survival remains unclear. This finding suggests that SNP located in 3'UTR of *XPO5* may affect mRNA stability and is associated with an altered expression of *XPO5*. The altered *XPO5* expression may affect the expression of miRNAs, resulting in SCLC survival.

Although miR-SNP studies for miRNA processing machinery genes are at an early stage, our results are encouraging, as they indicate that miR-SNPs affect cancer survival. However, the results from this study require validation in other populations and in laboratory-based functional studies. MicroRNAs have been emphasized as a key factor in the susceptibility of patients to the therapeutic response in many complex diseases, including cancer (26). In conclusion, a miR-SNP in the 3'UTR region of *XPO5* was found to be an independent prognostic marker for survival of advanced SCLC patients.

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