

# Single-blinded, randomized, and controlled clinical trial evaluating the effects of Omega-3 fatty acids among septic patients with intestinal dysfunction: A pilot study

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Received August 24, 2015; Accepted January 20, 2017

DOI: 10.3892/etm.2017.4680

**Abstract.** Sepsis is a systemic inflammatory reaction, which is aggravated by aspects of the immune response that are thought to be inhibited by Omega-3 fatty acids. The aim of the present study was to determine if Omega-3 fatty acid could modulate immunological function and improve survival rate among septic patients with intestinal dysfunction. A total of 48 mechanically ventilated patients with intestinal dysfunction were included in this prospective, randomized and single-blind clinical study. Patients were randomly divided into control (group A) and treatment groups (group B). The treatment protocol for all the participants followed the Sepsis Survival Campaign guidelines, and group B received total parenteral nutrition containing 100 ml of Omega-3 fatty acids (containing 10 g refined fish oil) per day in addition to the standard treatment applied in group A. Group B had a significantly lower mortality rate compared with group A (12.5 vs. 41.7%,  $P<0.05$ ) during the 28-day follow-up. Group B also had lower Acute Physiology and Chronic Health Evaluation II scores ( $P<0.05$ ) and lower Marshall scores ( $P<0.05$ ) at day 7. In addition, group B had a higher ratio of T helper to inducer lymphocytes as well as a higher ratio of CD4 to CD8 lymphocytes ( $P<0.01$  for both) than group A. It was concluded that Omega-3 fatty acids improved T helper/inducer and CD4/CD8 ratios, and may have reduced mortality, among septic patients with intestinal dysfunction.

## Introduction

Sepsis is a systemic inflammatory reaction induced by severe infections (1). This can result in detrimental immunological responses characterized by the production of inflammatory

cytokines, arachidonic acid-derived eicosanoids and reactive oxygen species, and lymphocyte apoptosis (2). These factors contribute to a range of acute and chronic diseases and may lead to high mortality when they present with sepsis complicated with multiple organ dysfunction syndrome (MODS) (3). Currently, there are agents that are capable of blocking inflammatory and immunological cascades, but their efficacy and safety among septic patients have not been fully established. For example, while thymic peptide is commonly used for modulating immunological functions in septic patients, a recent systematic review that included five randomized control studies showed that thymic peptide- $\alpha 1$  did not reduce mortality rate (4). Large doses of immunoglobulin may be able to regulate immunological responses, but its effect on septic patients remains unclear (5).

There is an increasing interest in the supplementation of clinical nutrition for improving clinical outcomes (2). Omega-3 fatty acids are essential fatty acids that are commonly found in fish such as salmon and tuna. They cannot be made by the human body and must instead be supplied through food intake. Omega-3 fatty acids have been shown to prevent hyper-inflammatory processes by inhibiting both the pro-inflammatory arachidonic acid metabolites and the release of platelet-activating factor (6). Omega-3 fatty acids also reduce the production of prostaglandin E2 and thromboxane B2 from inflammatory cells (7,8). However, the immunomodulatory effects of omega-3 fatty acid are less well-documented.

The aim of the current study was to determine if Omega-3 fatty acids could have a significant effect on the length of intensive care unit (ICU) stays and mortality rates among septic patients with intestinal dysfunction, and if Omega-3 fatty acids could modulate ratios of T-helper to inducer cells and CD4 to CD8 cells, which are the key immunity parameters associated with disease severity and mortality. Septic patients with intestinal dysfunction were chosen as subjects due to their high mortality rate and the relative ease of Omega-3 fatty acid administration via total parenteral nutrition (TPN).

## Materials and methods

**Study designs.** Septic patients were prospectively enrolled in the ICU of Shenzhen People's Hospital (Shenzhen, China),

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**Key words:** Omega-3 fatty acids, sepsis, intestinal dysfunction, clinical trial

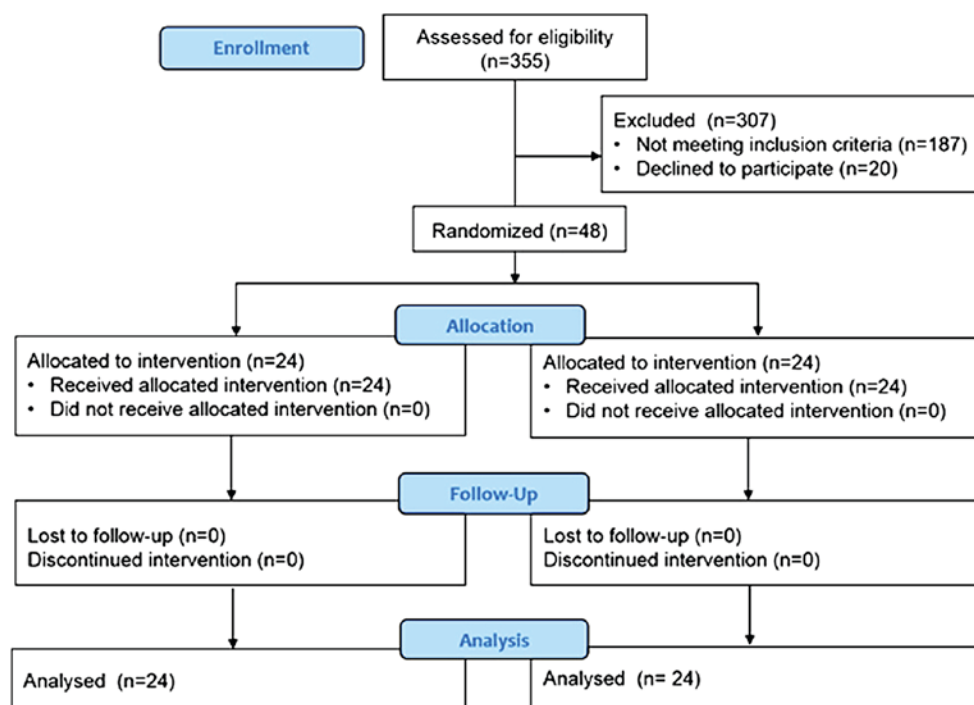


Figure 1. Flow diagram of study selection process.

from August 2011 to April 2012. The study was approved by the Medical Ethic Committee of Shenzhen People's Hospital. The study was conducted according to The Declaration of Helsinki. Written informed consent was obtained from all patients.

**Study population.** The eligibility of all participants admitted to the ICU was assessed using the following inclusion criteria: Aged 18 years or above; systemic inflammatory reaction syndrome caused by severe infection or trauma; Marshall score  $>3$ ; intestinal dysfunction, abdominal infection and/or post-operation of abdominal or intestinal surgery and unable to intake nutrition via stomach and intestine; and severe infection treated with carbapenems after admission to the ICU. The exclusion criteria were: Marshall score  $\geq 20$ ; life expectancy  $<28$  days due to a chronic or incurable disease such as uncontrolled cancer; life expectancy  $<24$  h; ICU stay  $<7$  days after meeting inclusion criteria; or the patient having signed a 'do-not-resuscitate' form.

The definition of sepsis in the current study met the sepsis diagnosis criteria published previously (9). The diagnosis criteria of intestinal dysfunction were in accordance with the Chinese criteria of stage and severity of MODS (10). Intestinal dysfunction was classified into three severity categories: Score 1, abdominal distension and hypoactive bowel sounds; score 2, severe abdominal distention and absence of bowel sounds; and score 3, paralytic ileus and stress ulcer.

**Treatment protocols and intervention.** All patients received early goal-directed fluid resuscitation, mechanical ventilation and carbapenems medication, and additional antibiotics were administered according to the results of microbiological cultivation. Blood glucose control and prophylactic treatments of potential complications were also conducted. In addition,

thymic peptide- $\alpha 1$  (1.6 mg via subcutaneous injection twice per day) and ulinastatin (20 million units, venous injection three times per day) were administered, and the physicians were asked to treat the patients based on the Sepsis Survival Campaign guidelines (9). All patients received a calorie intake of 20 kcal/kg per day in the first 7 days after their admission to ICU. The study participants were randomized into two groups. Patients in group B received standard TPN with 100 ml Omega-3 fatty acids (containing 10 g refined fish oil) per day (Fresenius SE & Co. KGaA, Bad Homburg, Germany). Laboratory results were obtained by taking blood samples at day 7 that were then analyzed using flow cytometry. Briefly, a Beckman Coulter EPICS ALTRA flow cytometer (Beckman Coulter, Inc., Brea, CA, USA) was used. A total of 2 ml blood was anticoagulated using heparin (Sigma-Aldrich; Merck KGaA, Darmstadt, Germany). A 50  $\mu$ l sample was extracted and placed in a flow cytometer measuring probe with 20  $\mu$ l fluorescence marked monoclonal antibody (ab206509; 1:1,000; Abcam, Cambridge, MA, USA). The mixture was agitated and incubated at room temperature for 20 min. A total of 2 ml hemolysin (Sigma-Aldrich; Merck KGaA) was added and the mixture was incubated for 10 min at room temperature. The sample was subsequently centrifuged for 50 min at 157 x g at room temperature and the serum was discarded. The pellet was resuspended in 2 ml PBS, and centrifuged for 5 min at 157 x g at room temperature; this process was repeated twice. A fluorescent monoclonal antibody (ab206511; 1:500; Abcam) was added with 500  $\mu$ l PBS resuspension. Finally, the cells were analyzed using a FACSscan system (BD Biosciences, Franklin Lakes, NJ, USA).

**Randomization.** The investigators referred to a manual of unique numbers generated by an independent statistician prior to the study activation to determine the study intervention for

Table I. Clinical characteristics of the study participants.

Variable (%)	Group A (n=24)	Group B (n=24)	$\chi^2$	P-value
Male	16 (66.7)	18 (75)	0.403	0.53
Abdominal infection	20 (83.3)	15 (62.5)	0.075	0.78
Abdominal surgery	6 (25.0)	10 (41.7)	1.500	0.18
Use of teicoplanin medication	7 (29.2)	9 (37.5)	0.375	0.38
Use of antifungal medication	8 (33.3)	11 (45.8)	0.784	0.28
Hemofiltration	3 (12.5)	7 (29.2)	2.021	0.14

Table II. Laboratory results of the study participants at day 0.

Variable	Group A (n=24)	Group B (n=24)	Z-value	P-value
Age, years	65.6 (16.7)	61.6 (16.2)	-1.888	0.059
APACHE II score	25.6 (5.2)	23.4 (5.1)	-1.551	0.121
Marshall score	10.3 (2.1)	9.8 (1.6)	-0.976	0.329
Laboratory results				
White blood cells ( $\times 10^9/l$ )	15.7 (7.1)	15.4 (7.4)	-0.392	0.695
Procalcitonin (ng/ml)	5.4 (3.2)	4.5 (3.1)	-0.072	0.942
Hypersensitive C-reactive protein (mg/l)	123.7 (83.5)	159.9 (84.1)	-1.549	0.122
CD3 lymphocyte (%)	41.2 (14.1)	44.3 (13.4)	-0.619	0.536
T helper/inducer (%)	20.5 (10.2)	21.5 (8.8)	-0.103	0.918
T suppressor (%)	18.8 (13.0)	18.1 (9.6)	-0.093	0.926
CD3/CD19 (%)	9.9 (5.9)	12.7 (6.2)	-0.711	0.477
NK cells (%)	14.7 (9.5)	13.2 (7.0)	-0.474	0.635
CD4/CD8 (%)	1.3 (0.7)	1.5 (0.9)	-0.330	0.741
IgG (g/l)	10.4 (3.7)	9.0 (3.0)	-1.134	0.257
IgA (g/l)	2.5 (1.6)	1.9 (1.1)	-1.217	0.224
IgM (g/l)	0.7 (0.5)	0.7 (0.4)	-0.526	0.559
C3 (g/l)	0.9 (0.3)	0.9 (0.6)	-0.258	0.797
C4 (g/l)	0.2 (0.1)	0.2 (0.1)	-0.444	0.657

All data are presented as the mean with standard deviation in brackets. CD, cluster of differentiation; NK, natural killer; IgG, immunoglobulin G.

each patient. Study investigators and research coordinators were blinded to treatment allocation. The attending care nurses were aware of the treatment allocation, while the patients (and patients' families) remained unaware of the intervention throughout the trial.

**Statistical analysis.** Statistical analysis was performed using SPSS 19.0 (IBM SPSS, Armonk, NY, USA). Data are presented as the mean  $\pm$  standard deviation. Student's t-test and Z-test were used to analyze the difference between Group A and Group B.  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**Demographic characteristics.** Between August 2011 and April 2012, 355 patients were admitted to the ICU. Of these, 48 patients who met the inclusion criteria were enrolled in the

study and were randomized into two groups, each consisting of 24 patients (Fig. 1). There were no significant differences in terms of gender, abdominal infection severity or standard treatments between groups A and B (Table I). Group A and B had similar intestinal dysfunction scores ( $1.79 \pm 0.59$  vs.  $1.83 \pm 0.76$ ;  $P = 0.833$ ). Laboratory results were also compared between the two groups and no significant differences were found (Table II).

**Primary outcomes.** Ten patients (41.7%, 10/24) in group A succumbed to the disease, while three (12.5%, 3/24) in group B succumbed during the 28-day follow-up ( $P = 0.023$ ). For both groups, there was a decline in both the Acute Physiology and Chronic Health Evaluation II (APACHE II) and Marshall scores at 7 days after treatment began. In addition, the APACHE II and Marshall scores in group B were significantly lower than those in group A at day 7 ( $P < 0.05$ ; Table III).

Table III. APACHE II score, Marshall score and ICU stay of the study participants at day 7.

Variable	Group A (n=24)	Group B (n=24)	t value	P-value
APACHE II score (SD)	21.5 (8.9)	16.1 (6.1)	2.435	0.019 <sup>a</sup>
Marshall score (SD)	8.6 (4.3)	6.2 (2.5)	2.325	0.026 <sup>a</sup>
ICU stay (days, SD)	24.4 (23.2)	13.8 (9.9)	2.055	0.046 <sup>a</sup>

<sup>a</sup>P<0.05 vs. group A. SD, standard deviation; ICU, intensive care unit.

Table IV. Comparison of laboratory results at day 7.

Variable	Group A (n=24)	Group B (n=24)	Z value	P-value
White blood cells ( $\times 10^9/L$ )	13.9 (5.1)	13.1 (3.5)	-0.381	0.703
Procalcitonin (ng/ml)	2.6	0.6	-1.794	0.073
Hypersensitive C-reactive protein (mg/l)	74.2 (56.42)	63.3 (38.3)	-0.278	0.781
CD3 lymphocyte (%)	46.4 (14.73)	51.1 (15.2)	-1.299	0.194
T helper/inducer (%)	24.3 (10.50)	35.3 (8.9)	-3.423	0.001 <sup>a</sup>
T suppressor (%)	18.0 (9.12)	15.8 (6.5)	-0.505	0.613
CD3/CD19 (%)	11.6 (7.93)	13.7 (7.5)	-1.031	0.302
NK cells (%)	15.6 (9.05)	12.3 (5.9)	-1.227	0.220
CD4/CD8 (%)	1.6 (1.08)	2.6 (1.0)	-3.300	0.001 <sup>a</sup>
IgG (g/l)	10.5 (4.51)	9.1 (4.2)	-1.227	0.220
IgA (g/l)	2.9 (1.94)	2.0 (0.9)	-1.547	0.122
IgM (g/l)	0.6	0.7	-1.011	0.312
C3 (g/l)	0.8 (0.32)	0.9 (0.4)	-1.176	0.240
C4 (g/l)	0.2	0.3	-1.383	0.167

All data are provided as the mean with standard deviation in brackets. <sup>a</sup>P<0.01 vs. Group A.

**Secondary outcomes.** Levels of white blood cells, procalcitonin (PCT), hypersensitive C-reactive protein (hsCRP) and other laboratory outcomes reflecting immunologic function are listed in Table IV. Changes in cells, protein levels, and health scores are presented in Figs. 2 and 3. In group B, from day 1 to 7, there were significant increases in the ratio of T helper to inducer cells ( $P<0.01$ ; Fig. 2Ab) and the ratio of CD4 to CD8 cells ( $P<0.01$ ; Fig. 2Bb). There were also significant decreases in PCT ( $P<0.01$ ; Fig. 2Cb), hsCRP ( $P<0.01$ ; Fig. 2Db), APACHE II scores ( $P<0.01$ ; Fig. 3Ab) and Marshall scores ( $P<0.01$ ; Fig. 3Bb) between day 0 and 7 in group B.

In group A, however, the ratio of T helper to inducer cells significantly decreased instead of increased ( $P<0.05$ ; Fig. 2Aa) and there was no significant change in the ratio of CD4 to CD8 cells (Fig. 2Ba) or the level of PCT (Fig. 2Ca) between day 0 and day 7. There was a significant reduction in hsCRP in group A, but this result was less significant than in group B ( $P=0.003$  and  $P<0.0001$  respectively; Fig. 2Da). There was also a significant reduction in APACHE II score in group A, but this result was less significant than in group B ( $P=0.045$  and  $P<0.0001$  respectively; Fig. 3Aa). The Marshall score did not change significantly in group A (Fig. 3Ba).

When comparing the measurements between groups A and B at day 7, group B had a significantly higher ratio of T helper to

inducer lymphocytes and a significantly higher ratio of CD4 to CD8 lymphocytes than Group A ( $P<0.01$  for both; Table IV).

## Discussion

Sepsis is a systemic inflammatory reaction induced by severe infection and is a common cause of MODS (11). Previous studies have shown that Omega-3 fatty acids could reduce inflammation and lower the risk of chronic diseases such as heart disease and arthritis (12,13). The current study provides new evidence in support of the beneficial effect of Omega-3 fatty acids. The results suggest they could help modulate immunological responses and potentially reduce mortality rate among septic patients with intestinal dysfunction.

The average mortality rate during the 28-day follow-up for all the participants in the present study was 27.1%, which was similar to that reported in a previous study (14). The mortality rate of the control group was 41.7% (10/24), despite the fact that all patients received sepsis resuscitation bundle treatment. This was probably due to the small sample size and/or different healthcare conditions to those in the previous study. Compared with the control group, patients who received Omega-3 fatty acids had a significantly lower mortality rate (12.5%, 3/24) and a significantly shorter ICU stay by day 28, suggesting a

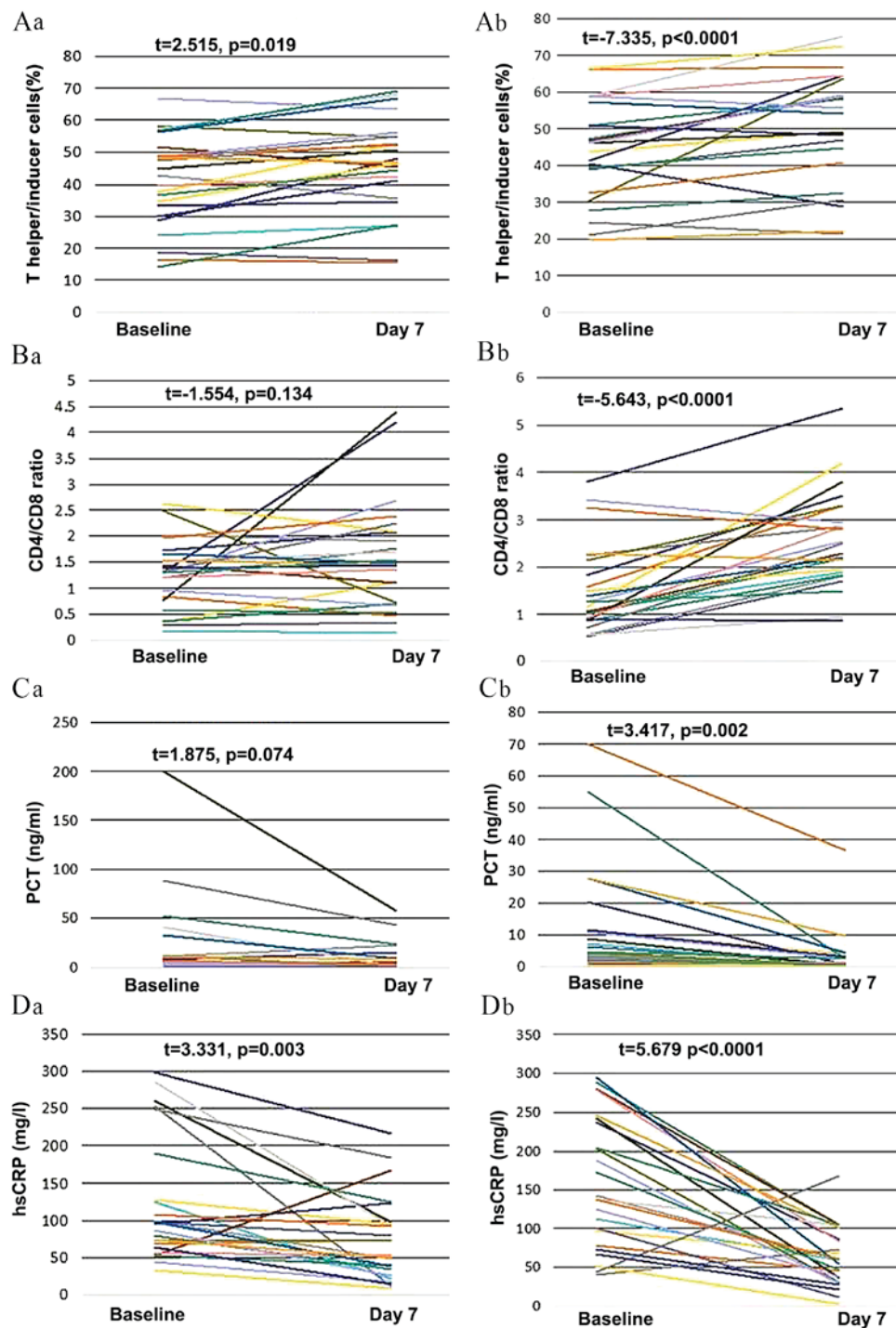


Figure 2. Changes in the levels of (Aa and Ab) T helper/inducer, (Ba and Bb) CD4/CD8, (Ca and Cb) PCT and (Da and Db) hsCRP from day 0 to 7. Left panel, control group; right panel, treatment group. PCT, procalcitonin; hsCRP, hypersensitive C-reactive protein.

therapeutic function of Omega-3 fatty acids for patients with sepsis. In addition, the APACHE II and Marshall scores for the experimental group at day 7 were also significantly lower compared with the control group.

The target organ of Omega-3 fatty acid treatment is still unclear thus far. Previous studies investigating the effects of Omega-3 fatty acids on inflammatory bowel disease symptoms have produced mixed results (15). Previous results have suggested that Omega-3 fatty acids have beneficial effects on renal function and could reduce the demand for renal replacement treatment (16). In addition, Omega-3 fatty acids appear to

improve liver and pancreas function in post-operative cancer patients (17).

Intestinal dysfunction in septic patients is a common condition that is associated with mortality, but a validated definition of intestinal dysfunction has not been established (18,19). The long-held notion that sepsis is not a distinct inflammatory disorder has been challenged (20). Rather, an understanding of sepsis will require the immunological mechanisms of cellular and organ disorders to be identified.

In the current study, the ratio of T helper to inducer cells at day 7 was significantly higher in the treatment group compared



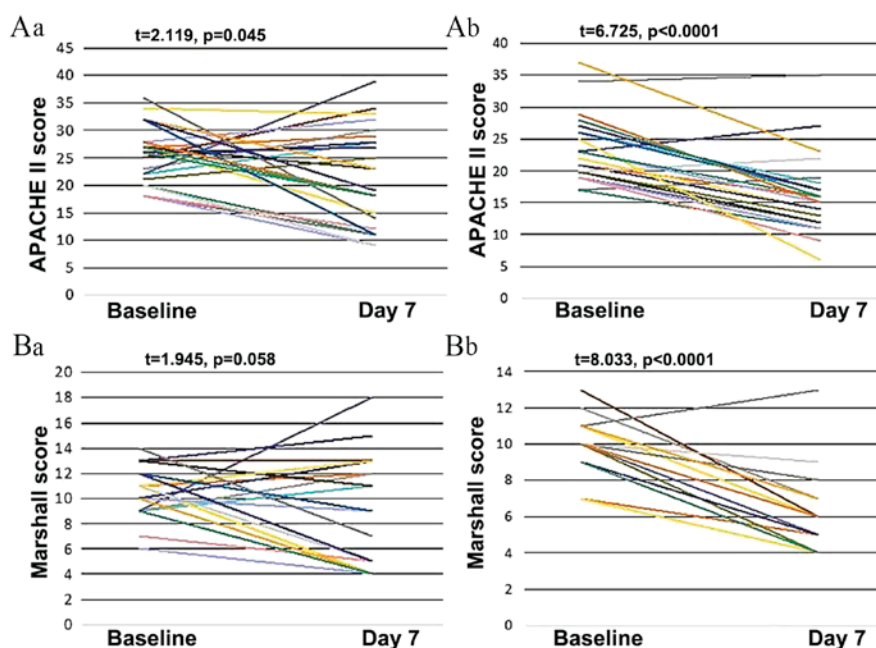


Figure 3. Changes in (Aa and Ab) APACHE II and (Ba and Bb) Marshall Scores from day 0 to 7. Left panel, control group; right panel, treatment group. APACHE II, Acute Physiology and Chronic Health Evaluation II.

with the control. Consistent with this, previous animal experiments found that CD4<sup>+</sup> T lymphocytes and the ratio of CD4 to CD8 cells were significantly lower in septic rats compared with the controls (21). Furthermore, immunomodulation therapy has been found to increase CD4<sup>+</sup> T lymphocytes for animals with sepsis (22).

In the present study, Omega-3 fatty acids appeared to have no effect on levels of immunoglobulin and complements (C3 and C4). However, an animal study showed an increasing level of immunoglobulin G in chickens fed with polyunsaturated fatty acids (23). Thus, further studies are needed to investigate whether Omega-3 fatty acids could improve immunoglobulin levels among patients with sepsis. Other immunomodulatory functions of Omega-3 fatty acids include reducing interleukin-1, -2 and -6, reducing secretion and release of cytokines such as tumor necrosis factor (24,25), and promoting phagocytosis of macrophages and leukocytes (26). Therefore, Omega-3 fatty acids may serve as an immune supportive agent for patients with sepsis and MODS, resulting in improved immune function and better clinical outcomes.

Sepsis is known to be caused by an uncontrolled inflammatory response. Excessive activation of the inflammatory response results in impairment of CD4 T lymphocytes in patients with sepsis (27). CD4 T lymphocytes include two major populations, Th1 and Th2 cells. Th1 cells can inhibit the secretion of cytokine, and Th2 cells can evoke an anti-inflammatory response (22). Omega-3 fatty acids in fish oil have beneficial effects on septic patients by inhibiting the expression of inflammatory cytokines (26), and by blocking the pathways of nuclear factor  $\kappa$ B and Toll-like receptor 4 (28). In a previous study, septic rat models that were pre-treated with Omega-3 fatty acids in fish oil showed an increased percentage of peripheral blood lymphocyte CD4 cells and an increased ratio of CD4 to CD8 cells (29). HsCRP is an acute phase response protein and its level inversely correlates

with the prognosis of patients with sepsis (30). In the current study, hsCRP levels were slightly lower in the treatment group compared with the control at day 7 (Table IV). Omega-3 fatty acids may also modulate inflammation by reducing the synthesis of prostaglandin E2 through the arachidonic acid metabolism pathway (31). In summary, there is evidence to suggest that Omega-3 fatty acids serve a function in inhibiting inflammation (26). However, their effect may have been overridden by other anti-inflammatory medicines (e.g., ulinastatin) and has not been conclusively demonstrated.

Serum PCT is a useful diagnostic indicator for various infections. For instance, serum PCT levels increase significantly in patients with bacteremia (32-36). In the current study, PCT levels in both the treatment and control groups decreased at day 7. However, they were lower in the treatment group than the control group, suggesting that Omega-3 fatty acids may help to increase the efficacy of antibiotics.

The major limitation of the current study is that it was not possible to monitor the adverse effects of Omega-3 fatty acid treatment, given the current sample size. No significant harmful effects were noted in the current study, but there is some evidence that Omega-3 fatty acid treatment may be harmful for patients with acute lung injury (37).

In summary, septic patients with intestinal dysfunction may have immunodeficiencies. Omega-3 fatty acids may be able to modulate immune function in order to counter these deficiencies and reduce mortality. Large-scale randomized controlled trials will need to be conducted in order to confirm the current findings.

#### Acknowledgements

The authors would like to acknowledge Ms. Xiu-li Wen and Ms. Ya-ying Zhou for their technical support in flow cytometry. We would also like to thank Dr Yu-Cheng Chen (West

China Hospital, Sichuan University) and Dr Yuan-yang Wang (Emergency Intensive Care Unit of Shenzhen People's Hospital) for editing the manuscript.

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