

# Applications and development of permeability imaging in ischemic stroke (Review)

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**Abstract.** Brain permeability imaging techniques are specific for the assessment of blood-brain barrier integrity. The present review article primarily focuses on the application of permeability imaging in cases of ischemic stroke. The permeability maps may be used to predict future hemorrhagic transformation in patients following acute ischemic stroke, that have been treated with tissue plasminogen activator (tPA) or recanalization therapy. The permeability imaging would help make the clinical decision to administer tPA following acute ischemic stroke or not, which is not only due to the current 3-4.5 h time window. Additionally, permeability imaging may also be used to evaluate the collateral circulation in the perfusion and permeability of the ischemic area of the brain.

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## 1. Introduction

Multimodal imaging techniques, including various imaging sequence parameters, such as vascular, diffusion, perfusion imaging and spectral analysis are now routinely utilized in clinical practice. The living imaging technologies capable of quantitatively measuring the blood-brain-barrier permeability (BBBP) *in vivo* are collectively known as the brain permeability imaging techniques and are specific for the assessment

of the integrity of the blood-brain barrier (BBB) (1,2). The use of permeability imaging for making therapeutic decisions and prognostication in neurological disorders has become a major focus of research worldwide (2). In the present review article, the application of permeability imaging in cases of ischemic stroke is assessed.

In the acute and sub-acute phases of stroke, the BBB exhibits differing degrees of defects, which participate in the pathophysiological processes of tissue damage and repair; for example, an increased brain edema and initiation of cerebral hemorrhagic transformation (HT). A previous study indicated that the earliest evidence of brain edema may be identified 30 min after cerebral ischemia (3). The BBB, however, remains intact for up to 2 h following ischemia, indicating that the earliest cerebral edema may be primarily associated with cell edema (3). Studies in rats have revealed that, following continuous ischemia, the increase in the BBBP to small molecules occurs from the third day, which is also the time when brain edema peaks (4,5). This suggests that the brain edema at this stage is primarily associated with increased permeability of the BBB to small molecules. Angiogenesis is the manifestation of vascular remodeling during stroke recovery. BBB leakage during the early stage of angiogenesis requires further investigation, as it is a new research field (6). BBB assessment in angiogenesis may help to predict the possibility of HT and judge the prognosis. In other diseases, such as tumors, BBB analysis may help to distinguish the grade of malignancy. As the newborn vessels constitute the secondary and tertiary collateral circulation pathways in the brain, the assessment of angiogenesis may reflect the condition of the secondary and tertiary collateral circulations within the brain. That the collateral circulation is closely associated with stroke prognosis, evaluation of the collateral circulation is currently a major focus of research (7). Thus, the present review summarizes the application of permeability imaging in the prediction of HT and evaluation of the collateral circulations following acute ischemic stroke.

## 2. Prediction of HT following acute ischemic stroke

A number of large international stroke studies reported a HT rate of 2.4-8.8% following the use of tissue plasminogen activator (tPA) (8,9). Other studies reported the occurrence of HT in 8-9.9% of patients who have undergone mechanical

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angioplasty combined with intravenous or intra-arterial thrombolysis (10,11). All reperfusion therapies that have proven effective for improving the functional outcomes of patients with ischemic stroke are clearly associated with an increased risk of HT, which may be fatal (12,13). Therefore, prior to making diagnostic and treatment decisions for patients with ischemic stroke, the risk of HT should be fully assessed in order to avoid bleeding as much as possible and, particularly, avoid the onset of symptomatic intracerebral hemorrhage, which is critical for a favorable prognosis.

Previously, the occurrence of HT was primarily predicted according to the severity and type of stroke, the size and location of the infarcts, the reperfusion time, the time and method of thrombolytic therapy and the history of previous anticoagulant therapy (4). However, these evaluation methods may only indicate certain outcomes and cannot predict the site of hemorrhage. Various studies have attempted to directly assess the risk of HT and its site according to the size or extent of ischemia exhibited in perfusion imaging. Souza *et al* (14) hypothesized that the brain regions with a relative cerebral blood flow of  $<0.48$  and a relative mean transit time  $>11.3$  have a higher risk of HT. The predictive ability of this method may be more effective than the magnetic resonance (MR) diffusion-weighted imaging (DWI) (15). In essence, however, this prediction method is no different from the evaluation based on the National Institutes of Health Stroke Scale score, infarct volume or collateral circulation (16). In addition, it has no prominent localization significance, is not highly specific or quantitative and cannot determine the association among the perfusion levels, the mechanisms of recanalization, BBBP or the occurrence of HT.

Kim *et al* (17) performed no-contrast computerized tomography (CT) scans following arterial thrombolysis in patients with acute cerebral infarction and attempted to assess the risk of HT by analyzing the contrast agent retention. This method exhibited good sensitivity but relatively low specificity in predicting the occurrence of HT (18). Previously, related studies have been completed and these have revealed that while a patient may exhibit retention of contrast at multiple sites, the outcomes at each site may be different, suggesting that this method may not be suitable for application to the clinical setting (19). Furthermore, this technique is utilized following arterial thrombolysis, which precludes its application in therapy decision and in patients undergoing intravenous thrombolysis. However, it has been indicated that the aforementioned phenomena is associated with the disruption of the BBB and HT (19). A previous study demonstrated that HT induced by ischemic stroke starts from the microvasculature (20), and the BBB defect is a key step during HT. In addition, an increasing number of reports confirm that the administration of tPA is one of the risk factors for HT, and that tPA itself and the accompanying reperfusion injury, is a major aggravating factor for the development of the BBB defect (21,22).

Enhanced MR may predict HT effectively (23,24), and HT is associated with the early endothelial damage-induced increase in BBBP and the passage of small molecules (such as gadopentetic acid-DTPA contrast agent or various small proteins) through the BBB and into the brain tissue (23,24). However, BBB damage increases the BBBP and constitutes the theoretical basis for the assessment of HT by permeability

imaging (25). The permeability imaging technique is based on the enhanced dynamic sequences, which allows for the long-term observation of the process of contrast entering in and out of blood vessels through BBB, after the contrast agents have been injected into the blood and thus, provide an improved quantitative assessment (24).

Thus, permeability imaging may help physicians to make clinical decisions. For example, patients with low risk in HT may receive thrombolysis treatment through the time window that has been extended by 3–4.5 h (26). Certain patients cannot be given thrombolysis treatment even within the time window due to the high risk in HT.

The lesions of acute cerebral infarction, which are different from the brain tumors or the inflammation-related diseases, have insufficient blood supply or may even have no effective blood flow. There may be little or no contrast agent retention in severely ischemic areas due to the lack of blood supply, which may result in failure of detecting the BBB disruption; therefore, the permeability imaging methods (such as dynamic contrast enhanced MR) cannot accurately identify the BBB defect in severely hypoperfused regions (specifically, infarct core areas). However, such areas are the exact HT predilection sites following recanalization. Thus, a mistaken conclusion may be deduced and HT may occur in brain areas without BBB defect, and reperfusion damage-induced BBB defect is the only initiator of HT.

Areas with increased BBBP, as detected by permeability imaging, are the exact areas with blood perfusion or relatively adequate collateral circulation. If the ischemia or reperfusion-induced damage is not severe enough, the risk of HT may not increase even with a BBB defect and an elevated BBBP, and patient prognosis may be improved.

The BBBP detected by permeability imaging may fluctuate with the perfusion level and does not always reflect the true extent of BBB damage. Thus, the increase in BBBP values detected by permeability imaging cannot be depended upon for assessment of HT risk in patients with acute cerebral infarction, and further study is required. The permeability imaging and perfusion imaging should be combined to adjust the degree of BBB damage.

### 3. Assessment of intracranial collateral circulation

When acute or chronic severe vascular stenosis and occlusion occur, collateral vessels offer alternative routes for the maintenance of cerebral circulation. Patients with acute cerebral infarction with good compensatory collateral circulation exhibit smaller areas of low perfusion, longer penumbral survival and marked improvement in early clinical symptoms (27). The adequacy of the collateral circulation may help predict the efficacy of intravascular treatments, the final infarct volume and the risk of HT (28,29).

There are various methods for assessing the intracranial collaterals, including the transcranial Doppler (TCD) ultrasound (30), the digital subtraction angiography (DSA) (31), MR angiography (MRA) and CT angiography (CTA) (32). In the assessment of the collateral circulation in patients with carotid artery stenosis or occlusion, TCD has a low cost-performance ratio and thus, may be used for population screening or preliminary diagnosis in local hospitals. However, the results of TCD

are susceptible to subjective influences and vary with the operator and are therefore not highly repeatable, because the blood flow is small in the collateral vessel and it is difficult to tell the value exactly. In addition, TCD is only able to evaluate Level I collaterals (30). DSA is the gold standard for evaluating Level II and Level III intracranial collaterals. The high spatial resolution of DSA enables clear imaging of the small branches of the vascular network and intra- and extra-cranial collateral vessels, in addition to dynamic observations of the intracranial blood circulation. However, since the DSA is an invasive examination, there is risk of radiation exposure and iodine allergy. In addition, the DSA surgery may induce vasospasm and ischemic stroke, making it inappropriate for dynamic observation in infants, young children, high-risk patients and patients with complex intracranial arterial stenosis (such as Moyamoya disease) (33).

The conventional MRA may accurately evaluate the primary collateral circulation-the circle of Willis (32). It has been reported that the MRA detection rate for the anterior communicating artery is 89.2% and that for the posterior communicating artery is 81.3% (21). In MRA Source Imaging (MRA-SI), the minimum vessel diameter that may be displayed is 1 mm and the specificity of the maximum intensity projection (MIP) is even higher, compared with MRA-SI (34). However, due to the limitation of the anatomical resolution, conventional MRA may only be used for vessels proximal to the circle of Willis; it cannot accurately evaluate the secondary collaterals or the tertiary collaterals (35). Following large intracranial artery occlusion, fluid-attenuated inversion recovery images indicate high-intensity signals in vessels in the brain sulci, which represent the reverse blood flow following the opening of the leptomeningeal collaterals, which is known as the 'ivy sign', an indirect sign of the distal collateral blood flow (36). However, this sign is not reliable and its qualitative and quantitative values are not significant (36). The development of perfusion MR makes the quantitative evaluation of intracranial distal collateral circulations possible. Wu *et al* (37) used Vessel Encoding Arterial Spin Labeling (VE-ASL) to evaluate patients with internal carotid artery stenosis or occlusion and revealed that it may adequately display leptomeningeal collaterals. Chang *et al* (38) also provided a similar conclusion, using a territorial ASL technique. However, preliminary findings indicate more unsatisfactory results with ASL, compared with DSA, various results being misleading during the assessment of complex arterial lesions (such as in Moyamoya disease) (39). The study by Chen *et al* (40) also indicated the absence of higher accuracy during evaluation using ASL in patients with multiple intracranial arterial stenosis or occlusion compared with single artery lesions.

CTA has higher spatial resolution than MRA and is more suited for the evaluation of the circle of Willis (39). The MIP of CTA may clearly display leptomeningeal collaterals and CTA source images provide information regarding certain secondary and tertiary collateral circulations. A systematic retrospective analysis (40) suggested that the information on collateral circulation obtained by CT scanning is most consistent with those taken by DSA, and the difference between observers was also relatively small, therefore a number of early international studies have used these two methods (40,41). Dynamic CTA (or 4D-CTA) is a novel technology that has been

utilized in the dynamic evaluation of cerebral blood flow (42). Using the 320-slice CT scan, it may be possible to obtain information on perfusion in addition to the state of the entire intracerebral circulation at the same time, and the imaging quality is almost comparable with the quality of DSA, which makes the clinical application of 4D-CTA promising (42). Currently, a number of international clinical studies (such as the INSPIRE study) are using this technique to investigate the collateral circulation and cerebral perfusion, in addition to other aspects such as intracranial aneurysm and cerebral arteriovenous malformation (33,41). The 4D-CTA has a number of disadvantages, including a large one-time radiation dose of the contrast agent. With the large amount of information acquired, the data processing is also time consuming. Additionally, the results are not intuitive due to the tortuous vessels. There are large differences between operators, and there is difficulty in quantifying the evaluation on the collateral circulation. Its significance in the evaluation of tertiary collaterals is not clear.

In a preliminary study using 4D-CTA, the authors of the present study identified that, in patients with chronic intracranial arterial stenosis or occlusion, there was significant retention of the contrast agent in the brain and the peak time was significantly postponed (40). This is potentially because of a slow reverse of blood flow due to the increased number of newborn vessels and an imperfect BBB, which cause passage of the contrast agent across the BBB and into the brain tissue. This phenomenon poses the question whether the permeability imaging techniques that can detect changes in the BBBP may be used for evaluating the intracranial secondary and tertiary collateral circulations. Pathological studies suggested that in Moyamoya disease, the formation of meningeal collateral circulation should be associated with immature newborn vessels, which formed anastomoses across the dura and thus resulted in an imperfect BBB (43,44). This finding supports the potential for using permeability imaging to directly assess collateral circulations.

Chen *et al* (27) has demonstrated good consistency between permeability imaging and DSA in evaluating collateral circulations patients following ischemic stroke. It has demonstrated that the newborn vessels comprise the intracranial tertiary collaterals. Angiogenesis is a complex and dynamic process. Therefore, new capillaries were either born from existing blood vessels or are formed *de novo* (45). The BBB of newly formed vessels was imperfect, had higher permeability and took weeks to become functionally intact (45), all of which may be evaluated by dynamic contrast-enhanced imaging (46). A previous study used MR to detect angiogenesis by monitoring blood volume changes, which, over time, may reflect the growth of newly formed vessels (46). The measurement of blood volume by perfusion MR was correlated with the density of microvessels, detected by histochemistry. Increased cerebral blood volume (CBV) was correlated with the vascular density in glioma patients (47); however, there is an inherent problem in the association between CBV and revascularization. Since the increase in the diameter of blood vessels may also lead to an increased blood volume, CBV cannot fully reflect the increase in the number of vessels, as determined by the increase in vascular density on imaging (47).

While studying vascular endothelial growth factor (VEGF), Dvorak *et al* (48) established an association between angiogenesis and vascular permeability. Once the VEGF receptor is



activated by VEGF, the permeability of the microvasculature to plasma proteins and other macromolecules is increased. Newborn vessels in tumors, healing wounds, retinopathy, inflammation and the ovaries during ovulation, all exhibit relatively high permeability (49). Quantitative assessment of the quantity of contrast agent passing through the BBB has been used to measure vascular permeability in patients with cervical cancer (50) and glioma tumors (51). CBV, cerebral blood flow (CBF), and volume transfer constant ( $K^{trans}$ ) in MR have all been used to measure post-stroke angiogenesis (52). In a rat stroke model, the combination of MR and 3D laser-scanning confocal microscopy (LSCM) revealed enhanced signals of newborn vessels at the boundary of the ischemic regions following cell-based therapy and neural progenitor cell treatment, which was confirmed by the increased vascular density and the detection of large thin-walled mother vessels in LSCM (53). The increased angiogenesis was consistent with the increases in CBF and CBV that occur at 6 weeks following therapy and the transient increase in the  $K^{trans}$ , which peaked 1-3 weeks after the cell therapy (54). Compared with animals treated with nerve reconstruction therapy, untreated animals exhibited a delay in the peak of the BBB permeability for 2-5 weeks (55). Previous studies have also demonstrated that angiogenesis in the penumbra started from 12 h after occlusion and lasted >21 days (56,57). The  $K^{trans}$  map of the tumors, which sustained increase in the signals, represented the continuing growth of new blood vessels (51). By contrast, animals with stroke only exhibited a transient rise of the  $K^{trans}$  following nerve reconstruction treatment (53). Thus, during post-ischemic recovery, the sensitivity of  $K^{trans}$  for the detection of angiogenesis is time-dependent.

In addition to  $K^{trans}$ , susceptibility weighted imaging (SWI) is also highly sensitive to the detection of angiogenesis. Since angiogenesis primarily occurs in areas with high oxygen uptake, this may occur in the penumbra and SWI is able to produce early images of small draining veins in the penumbra. Sequence T2\* start (T2\*) can detect deoxygenated hemoglobin. Thus, combining SWI, T2\* and  $K^{trans}$  may provide phase information of angiogenesis (55). Physicians are typically unfamiliar with the methods of  $K^{trans}$  measurements and as a result, use perfusion imaging rather than permeability imaging. However, permeability imaging contains both permeability and perfusion information, which may objectively evaluate the collateral circulation.

#### 4. Conclusions

In conclusion, the present review has suggested that the distribution of intensity for previously introduced permeability maps may be used to predict future hemorrhagic transformation in acute ischemic stroke patients treated with tPA or recanalization therapy. The generalization of such a predictive model to produce continuous risk maps would bring additional information during the clinical decision-making process. Specifically, it would help identify patients without BBB abnormalities, which may be a criterion to extend the time window to administer tPA following acute ischemic stroke, in patients who would currently be excluded by the fixed time window. Additionally, the permeability imaging may also be used to evaluate collateral circulation in the perfusion and in the permeability of the ischemic area of the brain. In the future,

the permeability imaging may have an improved application to ischemic stroke.

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