

# Epigenetic aberrations in myeloid malignancies (Review)

SHINICHIRO TAKAHASHI

Division of Hematology, Kitasato University School of Allied Health Sciences; Division of Molecular Hematology, Kitasato University Graduate School of Medical Sciences, Sagamihara, Kanagawa 252-0373, Japan

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**Abstract.** The development of novel technologies, such as massively parallel DNA sequencing, has led to the identification of several novel recurrent gene mutations, such as DNA methyltransferase (Dnmt)3a, ten-eleven-translocation oncogene family member 2 (TET2), isocitrate dehydrogenase (IDH)1/2, additional sex comb-like 1 (ASXL1), enhancer of zeste homolog 2 (EZH2) and ubiquitously transcribed tetratricopeptide repeat X chromosome (UTX) mutations in acute myeloid leukemia (AML) and other myeloid malignancies. These findings strongly suggest a link between recurrent genetic alterations and aberrant epigenetic regulations, resulting from an abnormal DNA methylation and histone modification status. This review focuses on the current findings of aberrant epigenetic signatures by these newly described genetic alterations. Moreover, epigenetic aberrations resulting from transcription factor aberrations, such as mixed lineage leukemia (MLL) rearrangement, ecotropic viral integration site 1 (Evi1) overexpression, chromosomal translocations and the downregulation of PU.1 are also described.

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## 1. Introduction

The development of novel technologies, such as massively parallel DNA sequencing, has led to the identification of several important genetic mutations in acute myeloid leukemia (AML) (1). These include ten-eleven-translocation oncogene family member 2 (TET2), isocitrate dehydrogenase (IDH)1 (2) and DNA methyltransferase (Dnmt)3a mutations (3). These mutations are frequently found in cytogenetically normal AML (1). In particular, TET2 and IDH1/2 mutations lead to the aberrant hypermethylation signature in AML cells (4). In addition, there are specific alterations in the gene expression profiles, such as homeobox (*Hox*)B genes, in samples with Dnmt3a mutations compared with those without such mutations (5). These findings strongly suggest a link between recurrent genetic alterations and aberrant epigenetic regulation, resulting in an abnormal DNA methylation status in myeloid malignancies. This review focuses on the current findings of aberrant epigenetic signatures by these newly described genetic alterations. Moreover, epigenetic aberrations resulting from transcription factor aberrations are also described.

## 2. TET2 mutations

In 2009, Delhommeau *et al* (6) conducted a combination of molecular, cytogenetic, comparative genomic hybridization, and single nucleotide polymorphism analyses to identify a candidate tumor suppressor genes common in patients with myelodysplastic syndromes (MDS), myeloproliferative disorders and AML. They identified inactivating mutations of the *TET2* gene in approximately 15% of patients with various myeloid malignancies, such as MDS (19%), myeloproliferative disorders (12%), secondary AML (24%) and chronic myelomonocytic leukemia (CMML) (22%) (6). In a recent study, Metzeler *et al* (7) analyzed 427 patients with cytogenetically normal (CN)-AML, and revealed TET2 mutations in 23% of the patients, associated with older age ( $p < 0.001$ ) and higher pre-treatment white blood cell (WBC) ( $p = 0.04$ ) counts compared with wild-type TET2. A subsequent analysis of TET2 sequence and rearrangements in more than 1,000 patients by several groups led to the establishment of the frequencies of TET2 defects in myeloproliferative neoplasms (MPNs): approxi-

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*Correspondence to:* Professor Shinichiro Takahashi, Division of Hematology, Kitasato University School of Allied Health Sciences, 1-15-1 Kitasato, Minami-ku, Sagamihara, Kanagawa 252-0373, Japan  
E-mail: shin@kitasato-u.ac.jp

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mately 12% in all MPNs, 14% in polycythemia vera, 8% in essential thrombocythemia, 20% in primary myelofibrosis and 25% in post-MPN AML (8).

TET2 can convert 5-methylcytosine (5-mC) to 5-hydroxymethylcytosine (5-hmC) (9), which was to be an intermediate in the demethylation of DNA. Bone marrow samples from patients with TET2 mutations displayed uniformly low levels of 5-hmC in genomic DNA compared to bone marrow samples from healthy controls (9). Figueroa *et al* (4) investigated TET2 mutant AMLs and identified a hypermethylation phenotype, including 129 differentially methylated regions. However, the precise molecular mechanism of TET-mediated gene regulation has not yet been elucidated. Recently, Chen *et al* (10), using protein affinity purification, demonstrated that TET2 and TET3 are associated with *O*-linked  $\beta$ -N-acetylglucosamine (*O*-GlcNAc) transferase (OGT), an enzyme that on its own catalyzes the addition of *O*-GlcNAc onto serine (Ser) and threonine residues (*O*-GlcNAcylation) *in vivo*. TET2 directly interacts with OGT, and recruits OGT to chromatin. The authors revealed that the OGT-mediated *O*-GlcNAcylation of Ser 122 of histone H2B contributes to TET2-dependent gene transcriptional activation.

Recurrent mutations of the *TET2* gene in myeloid malignancies may disrupt these epigenetic machineries, leading to aberrant gene regulation, and may play a role in the pathogenesis of these diseases.

### 3. IDH1/2 mutations

Mardis *et al* (2), using massively parallel DNA sequencing to obtain a very high level of coverage of a primary, cytogenetically normal, *de novo* genome for AML with minimal maturation (AML-M1) and a matched normal skin genome, identified 12 acquired mutations within the coding sequences of genes and 52 somatic point mutations in conserved or regulatory portions of the genome. Many of them were mutations that have already been identified, such as NRAS and nucleophosmin (NPM1), but they found a novel mutation of the *IDH1* gene (2). They found that the *IDH1* gene mutation was present in 15 out of the 187 AML genomes and was strongly associated with a CN status. Boissel *et al* (11) analyzed both IDH1 mutations and IDH2 mutations in a cohort of 520 adults with AML homogeneously treated in the French Acute Leukemia French Association (ALFA) 9801 and 9802 trials. The prevalence of IDH1 and IDH2 mutations was 9.6 and 3.0%, respectively, mostly associated with a CN status. Schnittger *et al* (12) conducted larger study. They analyzed IDH1R132 mutations (Fig. 1) in 1,414 AML patients and detected IDH1 mutations in 6.6% of 1,414 AML patients with a clear prevalence in the intermediate risk karyotype group (10.4%,  $p < 0.001$ ). They also detected IDH1 mutations with strong associations not only with NPM1 mutations ( $p < 0.001$ ) but also with partial tandem duplications (PTDs) in the mixed lineage leukemia (MLL) gene ( $p = 0.020$ ).

IDH1/2 function at a crossroads of cellular metabolism in lipid synthesis, cellular defense against oxidative stress, oxidative respiration and oxygen sensing signal transduction (13). Recently, it was demonstrated that AML patients harboring IDH1 and 2 mutations displayed aberrant hypermethylation (4). In a recent study by Duncan *et al* (14), the authors used a

gene-targeting approach by the addition of 'knocking-in' a single copy of the most frequently observed IDH1 mutation, R132H, into a human cancer cell line and profiled changes in DNA methylation at over 27,000 CpG dinucleotides relative to wild-type parental cells. They found that IDH1(R132H/WT) mutation induces widespread alterations in DNA methylation, including hypermethylation of 2010 and hypomethylation of 842 CpG loci. Furthermore, they showed that the direction of IDH1(R132H/WT)-mediated DNA methylation change was largely dependent upon pre-existing DNA methylation levels, resulting in the depletion of moderately methylated loci (14).

Consistent with another study (7), IDH1/2 mutations were shown to be mutually exclusive with mutations in TET2 ( $p = 0.009$ ) (4). In fact, these mutations led to the production of an abnormal metabolite in the cell, 2-hydroxyglutarate, which inhibits the hydroxylation of 5-mC by TET2 (4). In agreement with these results, Metzeler *et al* (7) also demonstrated that IDH1/2 mutations were less frequent in TET2-mutated than in TET2 wild-type patients ( $p < 0.001$ ), suggesting that these mutations are mutually exclusive.

### 4. Dnmt mutations

Dnmts are enzymes that catalyze the addition of methyl groups to the cytosine residue of CpG dinucleotides. Aberrant CpG island methylation has long been hypothesized to contribute to the pathogenesis of cancer (15). Using massively parallel DNA sequencing (16), Ley *et al* (3) identified a somatic mutation in Dnmt3a, sequencing from 116.4 billion base pairs of sequence and 99.6% diploid coverage of the genome of cells from a patient with AML with a normal karyotype. Further analysis revealed Dnmt3a mutations in 62 of 281 AML patients (22.1%). The structure of Dnmt3a, and the region where mutations frequently occur, is presented in Fig. 1. Yan *et al* (5) revealed that although Dnmt3a mutations do not dramatically alter global DNA methylation levels in AML genomes, there were alterations of specific gene DNA methylation patterns and/or gene expression profiles, such as *HoxB* genes, in samples with Dnmt3a mutations as compared with those without such changes (5). Consistent with these data, the Dnmt3a mutation, which frequently occurs in arginine (R)882, showed reduced enzymatic activity *in vitro* (5).

### 5. ASXL1 mutations

The additional sex comb-like 1 (*ASXL1*) gene belongs to a family of three identified members that encode proteins regulating chromatin remodeling. The ASXL proteins contain a C-terminal plant homeodomain (PHD) finger and belong to the polycomb and trithorax complexes, which regulate the genetic program of stem cells. ASXL1 is involved in the regulation of histone methylation through the cooperation with heterochromatin protein-1 to modulate the activity of lysine-specific demethylase 1 (17), a histone demethylase for K4 of histone H3, K9 of histone H3 and also important for global DNA methylation (18). Recent studies have identified mutations of the *ASXL1* gene in various types of myeloid malignancies, including approximately 10% of MDS (19), 10% of MPNs (20) and 40% of CMML cases (19). Recently, Chou *et al* (21) examined ASXL1 mutations in exon 12 in 501 adults with *de novo* AML. ASXL1 mutations

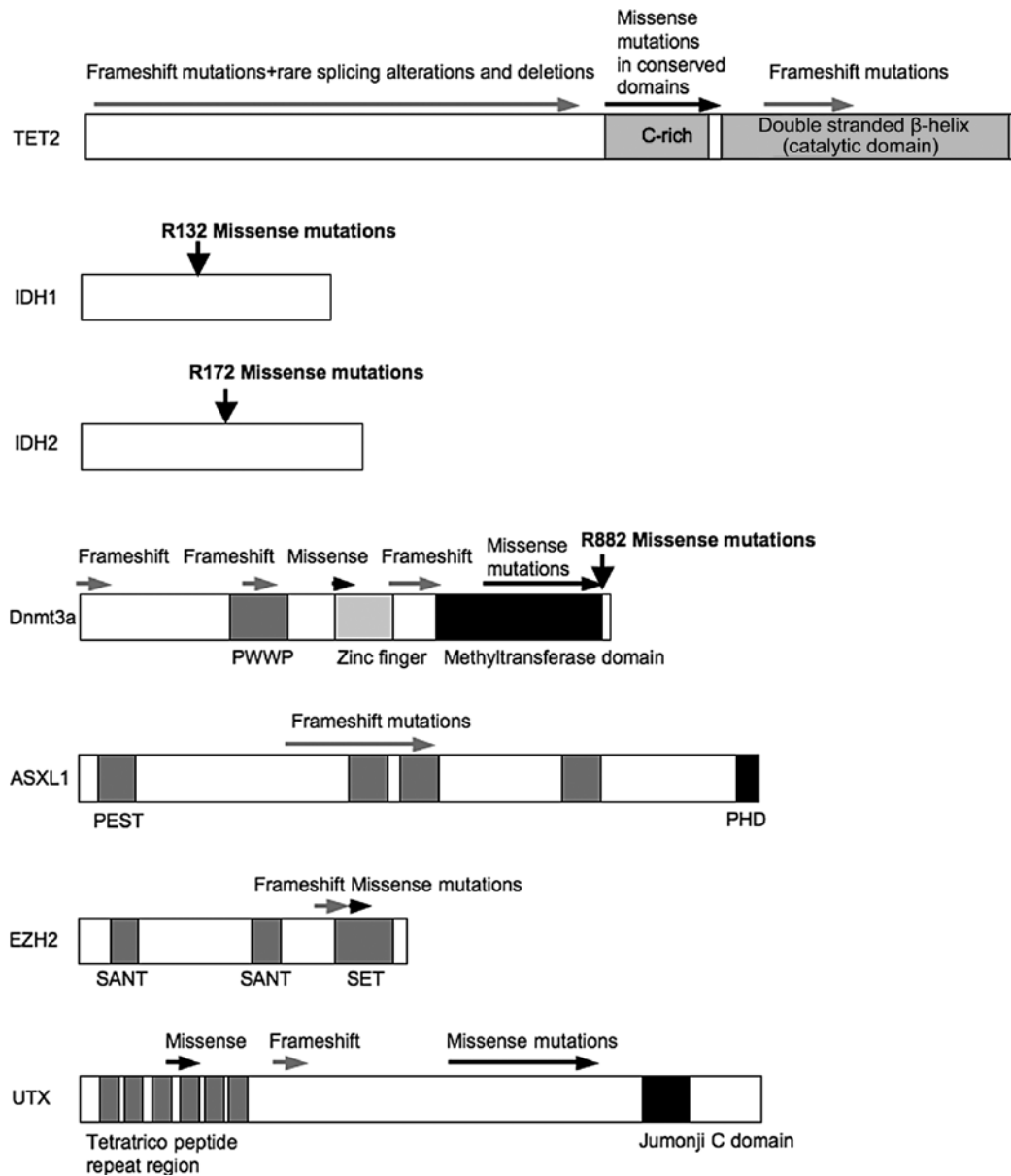


Figure 1. Mutations of factors play a role in epigenetic gene regulations in myeloid malignancies. Common mutations, shown in isocitrate dehydrogenase (IDH) 1, IDH2 and DNA methyltransferase (Dnmt) 3a are shown in vertical arrows with bold letters. Frameshift mutations are indicated by gray horizontal arrows and missense mutations are indicated by black horizontal arrows. These mutations are the data from myeloproliferative neoplasms (MPNs) (polycythemia vera, essential thrombocythemia, primary myelofibrosis) for ten-eleven-translocation oncogene family member 2 (TET2) (8), acute myeloid leukemia (AML) for Dnmt3a (3), myelodysplastic syndromes (MDS) for additional sex comb-like 1 (ASXL1) (8), enhancer of zeste homolog 2 (EZH2) (29) and ubiquitously transcribed tetratricopeptide repeat X chromosome (UTX) (29).

were detected in 54 patients (10.8%), 8.9% among those with the normal karyotype and 12.9% among those with abnormal cytogenetics. The mutation was closely associated with older age, the male gender, isolated trisomy 8, runt-related transcription factor 1 (RUNX1) mutation, the expression of human leukocyte antigen-DR and CD34, but was inversely associated with t(15;17), complex cytogenetics, internal tandem duplication of Fms-like tyrosine kinase 3, NPM1 mutations, Wilms tumor 1 mutations and the expression of CD33 and CD15. Patients with ASXL1 mutations had a shorter overall survival than patients without these mutations, but the mutation was not an independent adverse prognostic factor in multivariate analysis (21). However, hematopoietic function of ASXL1 remains unclear, since the study of ASXL1 knockout mice revealed only the mild hematopoietic phenotype (22).

## 6. EZH2 mutations

Enhancer of zeste homolog 2 (EZH2) is located at 7q36.1 and functions as the catalytic domain of the polycomb repressive complex 2 (PRC2), causing gene silencing by the trimethylation of K27 of histone H3. Abnormalities of chromosome 7q are common in myeloid malignancies (23). Recently, several studies have identified a minimally affected region on 7q that ultimately led to the discovery of mutations in EZH2 in several myeloid malignancies (24-26). In myeloid neoplasms, mutations have been described largely in poor prognosis myelodysplasia-MPNs (10-13%), myelofibrosis (13%), and various subtypes of MDS (24,25,27,28). EZH2 mutations are frequently associated with uniparental disomy 7q or 7q36.1 microdeletion, but not monosomy 7 and del(7q). EZH2

mutations in myeloid neoplasms were spread throughout the gene and comprised of frameshift, missense and nonsense mutations (Fig. 1), some of which were homozygous. Since the catalytic SET domain lies at the far C-terminus, all nonsense and stop codon mutations would be predicted to result in the loss of histone methyltransferase activity (27). By contrast, the mutation of a single residue (Y641) and gain of function is observed in lymphoma (27). The fact that both activating and inactivating mutations of EZH2 are associated with malignancy reflects the complex role that polycomb group genes play in cell fate decisions (29).

## 7. UTX mutations

Ubiquitously transcribed tetratricopeptide repeat, X chromosome (UTX) may also play an important role in epigenetic regulation. It is a histone H3K27 demethylase and belongs to the polycomb group of proteins. van Haaften *et al* (30) demonstrated the inactivating somatic mutations in the UTX, pointing to histone H3 lysine methylation deregulation in multiple tumor types. They also revealed that UTX reintroduction into cancer cells with inactivating UTX mutations resulted in the suppression of proliferation and marked transcriptional changes (30). Of note, in cells transfected with wild-type UTX, SRY-related HMG-box 21 and protocadherin 19, two genes showing significant expression changes in the transfected UTX null lines, demonstrated a significant decrease in the trimethylation of histone H3K27 levels upon UTX reintroduction, suggesting that the expression changes were a direct effect of reconstituted UTX demethylase activity (30). These data indicate that the mutational inactivation of UTX occurs, at least in part, through transcriptional control mechanisms.

Gelsi-Boyer *et al* (19) reported rare deletions of UTX and other polycomb group protein family members in MDS. Jankowska *et al* (28) recently revealed that in a cohort of 73 patients with CMML and AML-derived CMML, two somatic, inactivating UTX mutations were detected and four additional missense variants that could correspond to rare polymorphisms were identified.

## 8. Epigenetic aberrations result from transcription factor aberrations – MLL rearrangement

Approximately 4-11% of patients with CN-AML present with rearrangement of the *MLL* gene as the result of a PTD within a single *MLL* allele (31). *MLL* is a 430-kDa transcription factor and has a complex structure that includes three AT-hook domains for DNA binding, a methyltransferase homology domain and a SET domain (31). *MLL* is necessary for the maintenance of *Hox* gene expression (32). The *MLL* SET domain can bind the promoter of *Hox* genes (33). Dorrance *et al* (34) generated a mouse knock-in model in which exons 5 through 11 of the murine *MLL* gene were targeted to intron 4 of the endogenous *Mll* locus. *Mll*<sup>PTD/WT</sup> mice exhibit an alteration in the boundaries of normal *Hox* gene expression during embryogenesis, resulting in axial skeletal defects and increased numbers of hematopoietic progenitor cells. *Mll*<sup>PTD/WT</sup> mice overexpress *Hoxa7*, *Hoxa9* and *Hoxa10* in the spleen, bone marrow (BM) and blood. An increase in histone H3/H4 acetylation and histone H3K4 methylation within the *Hoxa7*

and *Hoxa9* promoters provides an epigenetic mechanism by which this overexpression occurs *in vivo* and an etiological role for *MLL*-PTD gain-of-function in the pathogenesis in AML. Consistent with this, Whitman *et al* (35) reported that AML patients with *MLL*-PTD exhibited increased global DNA methylation vs. AML patients with *MLL*-wild-type. Munoz *et al* (36) examined 93 adult patients with *de novo* AML for incidence clinical features of *MLL* rearranged AMLs. As a result, they revealed that *MLL* rearrangements were detected in 13 (14%) patients. In the French-American-British Classification, there was a significantly higher percentage of M5 subtypes in the *MLL* rearranged group. *MLL* rearranged patients had a lower event-free survival (EFS) ( $p=0.001$ ) and a higher probability of relapse (REL) compared to *MLL* wild-type patients ( $p=0.07$ ).

## 9. *Evi1* overexpression

The abnormal expression of the ecotropic viral integration site 1 (*Evi1*) gene, as the result of *inv(3)(q21q26)/t(3,3)(q21;q26.2)* or through other unknown mechanisms, is associated with unfavorable AML outcome (37,38). *Evi1* encodes a C2H2 zinc finger transcription factor, binding DNA in a sequence-specific manner and functions as a repressor (39-41). Lugthart *et al* (42) examined the aberrant epigenetic programming in AML patients, conducting a large-scale DNA methylation profiling study in human *Evi1* AMLs. They compared and contrasted the abundance of cytosine methylation at 14,000 gene promoters using the HpaII tiny fragment enrichment by ligation-mediated PCR (HELP) assay in 26 AML patients overexpressing *Evi1* and in eight CD34<sup>+</sup> normal bone marrow controls. The signature contained 294 differentially methylated genes, of which 238 (81%) were coordinately hypermethylated. These data suggest that *Evi1* contributes to aberrant promoter DNA methylation patterning in leukemia.

## 10. Chromosomal translocations

From the biological standpoint, genome AML-associated fusion proteins that result from chromosomal translocations have been reported to aid in the establishment of specific DNA methylation patterns in AML. For example, promyelocytic leukemia (PML)/retinoic acid receptor (RAR) $\alpha$  and RUNX1/eight-twenty one oncoprotein (ETO) have been used to recruit both histone deacetylases and DNA methyltransferases to induce the transcriptional repression of target genes (43).

Figueroa *et al* (44) recently examined the methylation profiles of 344 patients with AML. They demonstrated that established AML1-ETO ( $n=24$ , 7%), core binding factor  $\beta$  (CBF $\beta$ )-myosin, heavy chain 11, smooth muscle (MYH11) ( $n=30$ , 9%) and PML-RAR $\alpha$  ( $n=10$ , 3%) leukemia entities were associated with specific methylation profiles. In addition, they revealed that DNA methylation profiles segregated patients with CCAAT/enhancer-binding protein (CEBP) $\alpha$  aberrations from other subtypes of leukemia. They also defined epigenetically distinct forms of AML with NPM1 mutations. Although the molecular mechanisms of these genetic alterations and specific methylation remain unsolved, it is likely that the disruption of transcriptional repressor function by chromosomal translocation may contribute to the aberrant hypermethylation profile in AML cells.

Table I. A list of genetic aberrations with the phenotype associated with epigenetic aberrations.

Genetic aberrations	Epigenetic aberrations	Refs.
TET2 mutations	TET2 can convert 5-methylcytosine (5-mC) to 5-hydroxymethylcytosine (5-hmC), which was to be an intermediate in the demethylation of DNA. Uniformly low levels of 5-hmC in genomic DNA compared to bone marrow samples from healthy controls.	(9)
	TET2 directly interacts with <i>O</i> -linked $\beta$ -N-acetylglucosamine ( <i>O</i> -GlcNAc) transferase (OGT), and recruits OGT to chromatin. OGT-mediated <i>O</i> -GlcNAcylation of Ser 122 of histone H2B contributes to TET2-dependent gene expression.	(10)
IDH1/2 mutations	Global hypermethylation.	(4,14)
Dnmt3a mutations	Although Dnmt3a mutations do not dramatically alter global DNA methylation levels in AML genomes, there were alterations of <i>HoxB</i> gene DNA methylation patterns and gene expression profiles in samples with Dnmt3a mutations as compared with those without such changes.	(5)
ASXL1 mutations	Unclear. The study of ASXL1 knockout mice revealed only the mild hematopoietic phenotype.	(22)
EZH2 mutations	Unclear. All nonsense and stop codon mutations would be predicted to result in the loss of histone methyltransferase activity.	(27)
UTX mutations	Inactivating somatic mutations in UTX, pointing to histone H3 lysine methylation deregulation in multiple tumor types. UTX reintroduction into cancer cells with inactivating UTX mutations resulted in the suppression of proliferation and marked transcriptional changes.	(30) (34)
MLL-PTD	From the analysis of MLL-PTD knock-in mice, an increase in histone H3/H4 acetylation and histone H3 lysine 4 (Lys4) methylation within the <i>Hoxa7</i> and <i>Hoxa9</i> promoters was observed.	
Evi1 overexpression	Analysis from a large-scale DNA methylation profiling study in human EVI1 AMLs revealed that the signature contained 294 differentially methylated genes, of which 238 (81%) were coordinately hypermethylated.	(42)
AML1-ETO, CBF $\beta$ -MYH11, PML-RAR $\alpha$	AML1-ETO, CBF $\beta$ -MYH11 and PML-RAR $\alpha$ leukemia entities are associated with specific methylation profiles.	(44)
Downregulation of PU.1	<i>Metallothionein-1</i> , <i>vimentin</i> genes were upregulated, with increases in acetylated histone H3 and H4 levels, and decreases in DNA methylation in their promoters.	(52)

TET2, ten-eleven-translocation oncogene family member 2; IDH1/2, isocitrate dehydrogenase 1/2; Dnmt3a, DNA methyltransferase 3a; AML, acute myeloid leukemia; *HoxB*, homeobox B; ASXL1, additional sex comb-like 1; EZH2, enhancer of zeste homolog 2; UTX, ubiquitously transcribed tetratricopeptide repeat X chromosome; MLL, mixed lineage leukemia; PTD, partial tandem duplication; Evi1, ecotropic viral integration site 1; ETO, eight-twenty one oncoprotein; CBF $\beta$ , core binding factor  $\beta$ ; MYH11, myosin, heavy chain 11, smooth muscle; PML, promyelocytic leukemia; RAR $\alpha$ , retinoic acid receptor  $\alpha$ .

## 11. PU.1 downregulation

The overall incidence of the genetic aberration of the *PU.1* gene is extremely rare in myeloid leukemia (45-47). However, the level of PU.1 expression is critical for specifying the fate of cells and, if perturbed, even modest decreases in PU.1 levels can lead to the development of leukemia and lymphoma (48,49). Indeed, PU.1 is expressed at low levels in the majority of cases of human AML (50). Similar to Evi1 (42), PU.1 interacts with Dnmt3a and Dnmt3b (51) and suppresses its target genes through Dnmt activity (51,52). In addition, it was recently revealed that changes in PU.1 expression also affect several genes (*metallothionein-1*, *vimentin*), playing a role in cellular proliferation and differentiation, promoter DNA methylation and histone acetylation levels in leukemia

cells (52,53). Dnmt3a mutation is prevalent in AML, and the expression of Dnmt3s is constant in AML specimens (3). Therefore, it is possible that Dnmt3a mutations exert their leukemogenic potential, at least in part, through the functional impairment of PU.1 and/or Evi1 epigenetic activity as a transcriptional repressor, mainly through the downregulation of its expression. Further studies are required in order to fully elucidate the mechanisms involved.

## 12. Conclusion

The development of novel technologies has led us to detect several important genetic mutations in AML. Along with the development of whole genome sequencing, major genetic aberrations have been almost completely identified. The next

stage is to determine the consequence of these molecular alterations, particularly for these newly identified molecules. A list of genetic aberrations with the phenotype associated with epigenetic aberrations is summarized in Table I.

These newly identified combinations of genetic aberrations may lead to a refined disease classification and to the development of rational, epigenetic or signal transduction pathway targeted therapies.

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