

# MicroRNAs as biomarkers for the progression and prognosis of colon carcinoma

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**Abstract.** Early detection is critical for the treatment of colon carcinoma. However, current biomarkers for its diagnosis and prognosis are insufficient and improvement is required. Aberrantly expressed microRNAs (miRNAs/miRs) in colon carcinoma have been identified to function as potential diagnostic and prognostic biomarkers. In the present study, 245 differentially expressed miRNAs between colon carcinoma and normal tissues were identified by a bioinformatics analysis of a dataset from The Cancer Genome Atlas. A six-miRNA (miR-149, miR-3189, miR-3677, miR-3917, miR-4999 and miR-6854) prognostic prediction system was established, which is able to independently and effectively predict the prognosis of colon carcinoma patients [P<0.001, area under the receiver operating characteristic curve (AUC)=0.763]. Furthermore, the six miRNAs were highly correlated with the tumor-nodes-metastasis (TNM) stage and were able to distinguish between different stages (high vs. low TNM stage, P<0.001). Of note, combination of the six-miRNA signature and TNM stage provides an improved prediction of the patient's prognosis (AUC=0.797). Functional enrichment analysis revealed the possible mechanistic involvement of these predictive miRNAs in cancer-associated biological processes and pathways. Taken together, the present study demonstrated the promising potential of the novel six-miRNA model as an independent factor for the prediction of the progression and prognosis of colon carcinoma.

## Introduction

Colon carcinoma is one of the most common types of malignant disease with high mortality and one of the leading causes of cancer-associated death worldwide (1,2). Half of colon carcinoma patients develop recurrences and metastasis, as treatment tends to commence late due to the lack of symptoms at the early stage (3). Given the rapid increases in the incidence and mortality observed in numerous countries, particularly in Eastern Europe, Asia and South America (4), early detection and primary prevention, which may significantly reduce the mortality of colon carcinoma patients, are therefore imperative (5). Unfortunately, the symptoms are frequently not obvious at the earliest stage and there has been little success in the screening for effective molecular markers for colon cancer (6). Carcinoembryonic antigen (CEA), the most utilized colon carcinoma marker, is limited by its low specificity (7). Thus, novel molecular markers with high sensitivity and specificity, which significantly optimize the use of therapies and provide a benefit for patients, are desired.

MicroRNAs (miRNAs/miRs) are small non-coding RNAs, which regulate post-transcription gene expression. Certain miRNAs are aberrantly expressed during colorectal cancer (CRC) development and progression and exert regulatory roles in cancer-associated processes (8). Several studies have reported that miRNAs may function as potential diagnostic and prognostic biomarkers for colon carcinoma (9-11).

In the present study, the differential miRNA expression profiles of 457 colon carcinoma tissues vs. 8 adjacent non-tumorous tissues from The Cancer Genome Atlas (TCGA) database we compared by a bioinformatics analysis. Furthermore, a novel six-miRNA signature was proposed by using the univariate and multivariate Cox's proportional hazard regression model, which has the capacity to effectively predict the prognosis and survival of colon carcinoma patients [P<0.001, area under the receiver operating characteristic (ROC) curve (AUC)=0.763]. In addition, a prognostic prediction model was constructed based on the six miRNAs that were highly correlated with the tumor-nodes-metastasis (TNM) stage. By combining the six-miRNA model and the TNM stage, an improved prediction of the patient's survival status (AUC=0.797) was possible. Taken together, the present results indicated that the six miRNAs may be reliable biomarkers for

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monitoring the progression of colon carcinoma and predicting the prognosis of affected patients.

## Materials and methods

**miRNA expression dataset.** The miRNA sequence data and clinical characteristics were downloaded from the publicly available TCGA database (<https://cancergenome.nih.gov/>). Consequently, a total of 465 samples were enrolled in our study, including 457 colon carcinoma tissues and 8 matched normal tissues. Patients without complete information of clinical characteristics or survival time were excluded from the further analysis. Finally, we got 453 colon carcinoma patients and the clinical features recorded included age, sex, TNM stage, tumor (T) stage, extent of spread to the lymph nodes as indicated by the nodal (N) stage, presence of metastasis as indicated by the metastasis (M) stage and residual tumor. The TNM staging system of the American Joint Committee on Cancer (AJCC) is one of the most commonly used tumor staging systems for colon carcinoma. The data processing was in accordance with the publication guidelines provided by TCGA (<http://cancergenome.nih.gov/publications/publicationguidelines>).

**Differentially expressed miRNAs (DEmiRNAs).** The package ‘edgeR’ version 3.22.3 (<http://www.bioconductor.org/packages/release/bioc/html/edgeR.html>) in R version 3.4.2 was used to identify DEmiRNAs between colon carcinoma and normal tissues. The miRNAs that could not be determined in all samples were excluded. A  $\log_2$  fold change ( $\log_2FC$ ) of  $>2$  and an adjusted P-value of  $<0.01$  were considered as the cut-off criteria. The expression levels of the miRNAs were  $\log_2$  transformed for further analysis.

**Construction of the miRNA signature associated with overall survival (OS).** The association between DEmiRNAs and the patients' OS was evaluated by using the univariate Cox's proportional hazard ratio (HR) model using the ‘survival’ package in R. The miRNAs with  $P < 0.01$  were selected for further multivariate Cox's proportional hazard regression to identify the independent prognostic miRNAs. Using the logistic regression method, a prognostic model based on the independent prognostic miRNAs was constructed to evaluate the survival risk of each patient. The risk score was calculated as follows: Risk score =  $\exp_{miRNA1} \times \beta_{miRNA1} + \exp_{miRNA2} \times \beta_{miRNA2} + \dots + \exp_{miRNAn} \times \beta_{miRNAn}$ , where  $\exp$  is the expression level and  $\beta$  is the regression coefficient derived from the multivariate Cox regression model (12,13).

Utilizing the median risk score as the cut-off point, patients with colon carcinoma were categorized into high-risk and low-risk groups. It was investigated whether the median survival time was significantly different between these two groups using a Kaplan-Meier estimation and log-rank test. The predictability of the model was evaluated by the AUC using the ‘survival ROC’ package in R (14).

**Association between risk score and other clinical characteristics.** Next, the association between the risk score of the six miRNAs and the clinical characteristics of patients with colon carcinoma, including age, sex, TNM stage, T stage, N stage, M stage and residual tumor, was assessed. Furthermore,

univariate Cox proportional hazard regression analyses were performed to investigate the effects of various clinical features and risk score on the OS of patients with colon adenocarcinoma. The HR and 95% confidence interval were assessed. Multivariate Cox regression analysis was used to verify the independent predictive capacity of the risk score when compared with that of other clinical factors.

**Prognostic prediction for colon carcinoma based on risk score.** To assess whether the risk score may be used to assess tumor progression, the risk score in different stages, including TNM stage, T stage, N stage and M stage, were compared. Furthermore, the association of integrated risk score with clinical characteristics was assessed to evaluate its predictive value regarding the prognosis in colon carcinoma patients. We investigated if the median survival time was significantly different between patients separated by both risk score and staging (low/high-risk score + high/low stage) using a Kaplan-Meier estimator and log-rank test. The risk score was compared via Multivariate Cox regression analysis as aforementioned and separated by its median. To investigate the performance of the prognostic risk score of the six miRNAs and TNM stage in predicting the outcome for colon carcinoma patients, the AUC of the ROC was calculated and compared.

**Functional enrichment analysis.** To reveal the possible mechanism of action of the six miRNAs involved in colon carcinoma, the target genes of prognostic miRNAs were predicted using TargetScan (<http://www.targetscan.org/>), miRDB (<http://www.mirdb.org/miRDB/>), miRPath (<https://mpd.bioinf.uni-sb.de/overview.html>) and miRTarBase (<http://mirtarbase.mbc.ntu.edu.tw/php/index.php>). Only the overlapping genes were identified to further enhance the reliability of the analysis. A Venn diagram was drawn using the ‘VennDiagram’ package.

To further elucidate the biological function of the targeted genes of the six miRNAs, a Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway biological enrichment analysis was performed through the Database for Annotation, Visualization and Integrated Discovery bioinformatics tool (15).  $P < 0.05$  was set as the cut-off criterion.

**Statistical analysis.** Kaplan-Meier survival analysis and univariate/multivariate Cox proportional hazard regression analysis were conducted using the ‘survival’ package version 2.41 (<https://github.com/therneau/survival>) in R to compare each miRNA (low vs. high level) and prognostic miRNA signatures (low vs. high-risk). Pearson correlation analysis was used to estimate the correlation between the miRNAs and clinical features. An independent-samples t-test was performed to examine the difference in risk score distribution between clinical characteristics (TNM stage), as the risk score was abnormally distributed in those categories.  $P < 0.05$  was considered to indicate a statistically significant difference. The statistical analysis was performed using the SPSS 20.0 software package (IBM Corp., Armonk, NY, USA).

## Results

**Identification of prognostic miRNAs in colon carcinoma.** According to the cut-off criteria ( $P < 0.01$  and  $\log_2FC > 2.0$ ), a

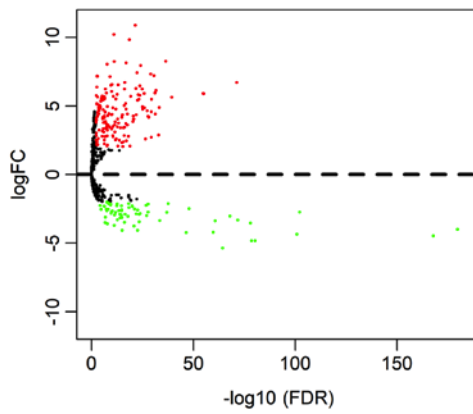


Figure 1. Volcano plot of differentially expressed miRNAs. The red dots represent upregulated miRNA, and green dots represent downregulated miRNA. miRNA, microRNA.

total of 245 DE miRNAs were obtained, including 174 upregulated and 71 downregulated miRNAs. The result is presented as volcano plot in Fig. 1.

The association between the expression of the 245 DE miRNAs and patient survival was evaluated by using univariate Cox proportional hazard regression. Based on the criteria ( $P < 0.01$ ), eight miRNAs that were significantly associated with OS were obtained. Furthermore, six of the eight miRNAs were screened out by multivariate Cox regression and a diagnostic prediction model was constructed based on the expression levels of the six miRNAs weighed by their relative coefficient. The miRNA risk score was calculated as follows:  $\text{miRNA risk score} = 0.204 \times \exp_{\text{hsa-miR-149}} - 0.244 \times \exp_{\text{hsa-miR-3189}} - 0.164 \times \exp_{\text{hsa-miR-3677}} - 0.269 \times \exp_{\text{hsa-miR-3917}} + 0.224 \times \exp_{\text{hsa-miR-4999}} - 0.380 \times \exp_{\text{hsa-miR-6854}}$ . The detailed information of these miRNAs is presented in Fig. 2A and Table I. By dividing the risk score according to its median (median=1.010), 453 patients were stratified into the high-risk and low-risk groups. The survival analysis performed using the Kaplan-Meier method revealed that the low-risk group had a significantly better OS ( $P < 0.001$ ) than the high-risk group (Fig. 2B). The ROC curve further demonstrated that the risk score model was able to effectively predict the prognosis of colon cancer patients (AUC=0.763; Fig. 2C).

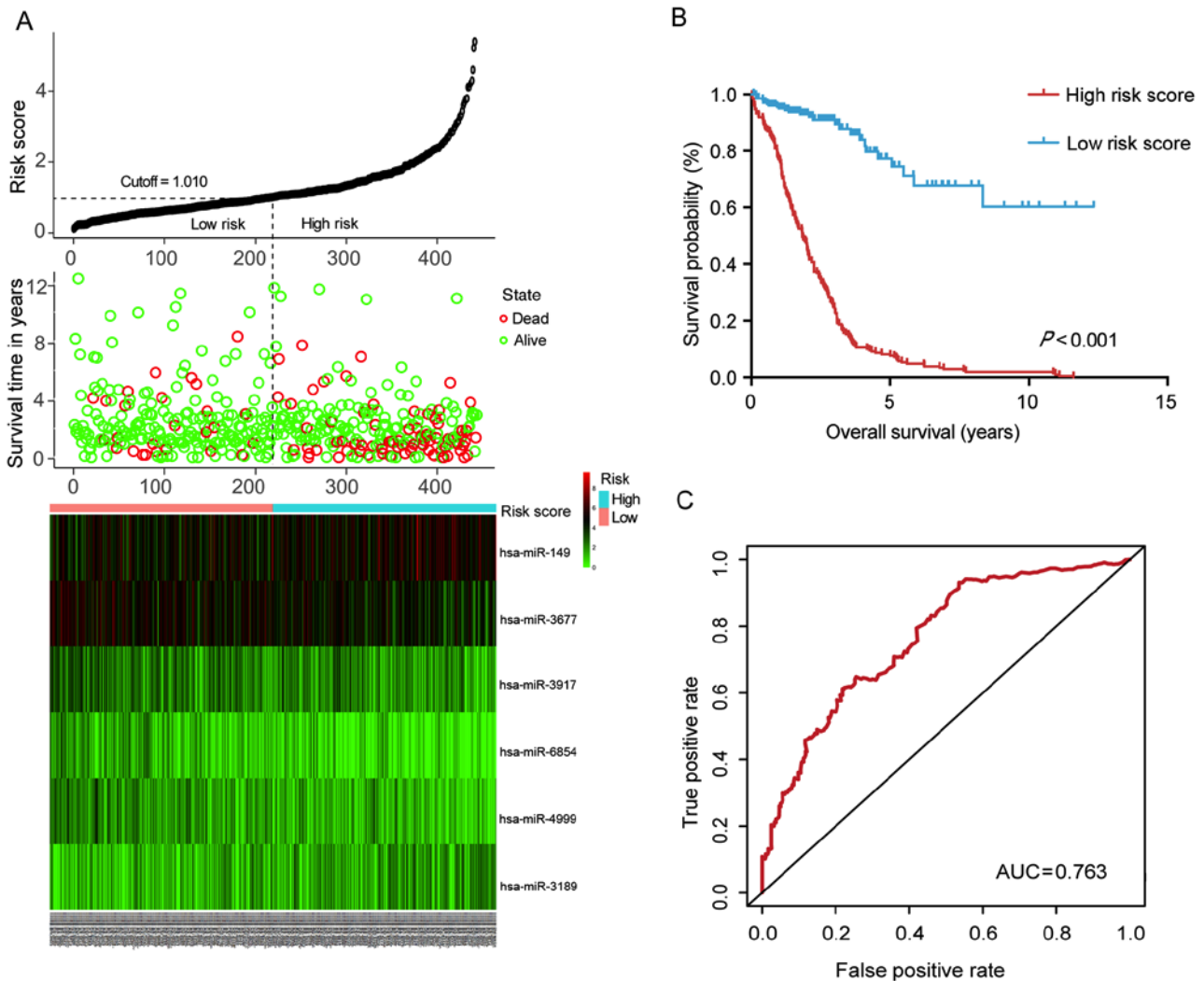


Figure 2. Predictive value of the six-miRNA signature for colon carcinoma. (A) The distributions of the risk score, survival status of colon carcinoma patients and heatmap of six miRNA expression profiles which are ranked by risk score. (B) Kaplan-Meier curve of overall survival probability for colon carcinoma patients divided into high- and low-risk score groups based on the risk score of the six-miRNA signature. (C) Receiver operating characteristic curve of the risk score for predicting five-year survival. AUC, area under curve; miR/miRNA, microRNA; hsa, *Homo sapiens*.

Table I. Characteristics of the six deregulated miRNAs and their regression coefficient derived from the multivariate Cox regression model in colon carcinoma prognosis prediction.

miRNA	Ensembl ID	Coefficient	SE	P-value
hsa-miR-149	ENSG00000207611	0.204	0.093	0.029
hsa-miR-3917	ENSG00000283938	-0.269	0.102	0.008
hsa-miR-3677	ENSG00000266643	-0.164	0.102	0.108
hsa-miR-6854	ENSG00000278412	-0.380	0.111	<0.001
hsa-miR-4999	ENSG00000265390	0.224	0.112	0.045
hsa-miR-3189	ENSG00000264175	-0.244	0.130	0.060

The coefficient and P-value are derived from the multivariate Cox regression analysis. miR/miRNA, microRNA; hsa, *Homo sapiens*; SE, standard errors of coefficients.

Table II. Correlation between the risk score and clinical features.

Variable	Total (n) (%)	Risk score of six-miRNA signature		$\chi^2$ test P-value
		Low (n) (%)	High (n) (%)	
Age (years)				
<60	125 (27.6)	65 (52.0)	60 (48.0)	0.596
≥60	328 (72.4)	162 (49.4)	166 (50.6)	
Sex				
Male	239 (52.8)	121 (50.6)	118 (49.4)	0.294
Female	214 (47.2)	106 (49.5)	108 (50.5)	
TNM stage				
I+III	250 (56.6)	134 (53.6)	116 (46.4)	0.007
III+IV	192 (43.4)	89 (46.4)	103 (53.6)	
T-stage				
T1+T2	89 (19.6)	55 (61.8)	34 (38.2)	0.070
T3+T4	364 (80.4)	172 (47.3)	192 (52.7)	
N-stage				
N0	266 (58.7)	140 (52.6)	126 (47.4)	0.007
N1+N2	187 (41.3)	87 (46.5)	100 (53.4)	
M-stage				
M0	332 (83.4)	173 (52.2)	159 (47.8)	<0.001
M1	64 (16.6)	27 (42.2)	37 (57.8)	
Residual tumor				
R0	327 (92.1)	175 (53.5)	152 (46.5)	0.021
R1+R2	28 (7.9)	13 (46.4)	15 (53.6)	

The risk score was based on the 6-miRNA signature and the median was used as a cut-off to distinguish between high and low-risk. Values are expressed as n (%). a TNM, tumor-nodes-metastasis.

**Correlation between risk score and other clinical characteristics.** The correlation between the risk score based on the signature of DE miRNAs and various clinical features was then assessed. The results indicated that the risk score was significantly correlated with the TNM stage (P=0.007), N stage (P<0.001), M stage (P<0.001) and residual tumor (P=0.021; Table II).

In addition, to further verify the independent prognostic value of the six miRNAs, univariate and multivariate Cox regression analysis was used to evaluate the effect of the six miRNA-based risk score (high- vs. low-risk) as well as that of other clinical parameters on OS. As presented in Table III, the univariate analysis revealed that the TNM stage (HR=2.884, P<0.001), T stage (HR=2.895, P=0.007), N stage (HR=2.538, P<0.001), M stage

Table III. Uni- and multivariate Cox regression analysis for the prognostic value of various parameters in colon carcinoma patients.

Variable	Univariate analysis		Multivariate analysis	
	HR (95%CI)	P-value	HR (95%CI)	P-value
Age ( $\geq 60$ vs. $<60$ )	1.346 (0.845-2.144)	0.211		
Sex (male vs. female)	1.124 (0.759-1.665)	0.559		
TNM stage (III+IV vs. I+II)	2.884 (1.890-4.402)	$<0.001$	5.461 (1.605-18.585)	0.007
T stage (T3+T4 vs. T1+T2)	2.895 (1.340-6.252)	0.007	6.549 (0.877-48.892)	0.067
N stage (N1+N2 vs. N0)	2.538 (1.699-3.792)	$<0.001$	0.331 (0.119-0.918)	0.034
M stage (M1 vs. M0)	4.253 (2.710-6.673)	$<0.001$	1.687 (0.788-3.612)	0.178
Residual tumor (R1+R2 vs. R0)	4.094 (2.249-7.450)	$<0.001$	1.809 (0.867-3.777)	0.114
Risk score (high vs. low)	3.504 (2.237-5.489)	$<0.001$	3.991 (2.040-7.809)	$<0.001$

HR, hazard ratio; CI, confidence interval; TNM, tumor-nodes-metastasis.

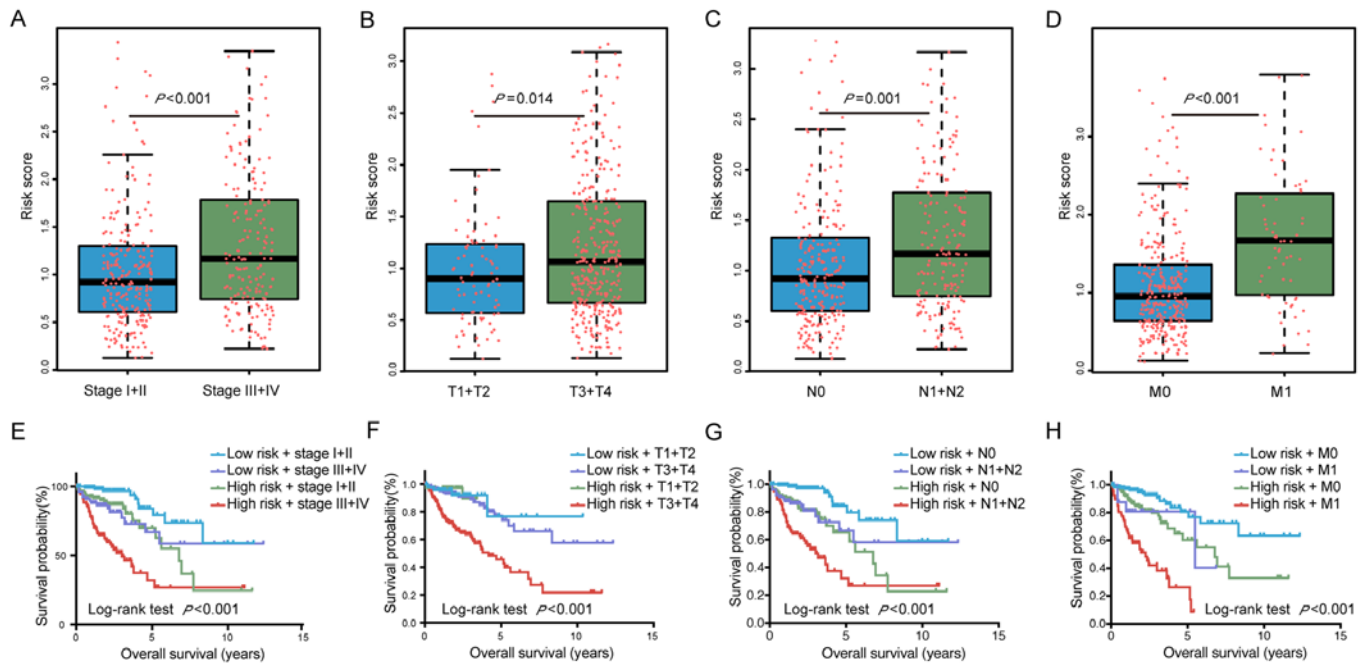


Figure 3. Prognostic prediction for colon carcinoma patients based on risk score. The risk score in different stages, including (A) TNM stage, (B) T stage, (C) N stage and (D) M stage. The red dots represent the risk score for colon carcinoma patients, the horizontal lines represented median, the error bars represented the upper and lower quartiles of risk score. The integrated risk score and (E) TNM stage, (F) T stage, (G) N stage and (H) M stage for the prognostication of colon carcinoma patients. TNM, tumor-nodes-metastasis.

(HR=4.253,  $P<0.001$ ), residual tumor (HR=4.094,  $P<0.001$ ) and risk score of the six-miRNA signature (HR=3.504,  $P<0.001$ ) were significantly associated with the OS of colon carcinoma patients. In the multivariate analysis, TNM stage (HR=5.461,  $P=0.007$ ), N stage (HR=0.331,  $P=0.034$ ) and the six-miRNA signature (HR=3.991,  $P<0.001$ ) were independent prognostic factor.

**Prognostication of colon carcinoma patients based on risk score.** To further assess the capacity of the risk score to predict tumor progression, the risk score was compared different tumor stages. Patients with a high TNM stage (stage III+IV) had significantly higher risk scores than those with a low TNM stage (stage I+II;  $P<0.001$ ). In addition, the risk score was

significantly higher in tissues from patients with high vs. low T ( $P=0.014$ ), N ( $P=0.001$ ) and M stages ( $P<0.001$ ; Fig. 3A-D). Collectively, these results suggest that the risk score of the six miRNAs may have a utility in predicting tumor progression and surveillance for recurrence.

The AUC of the ROC indicated that the prediction model had higher prognostic accuracy than any other factors. The AJCC staging system is widely applied in various tumor types, including colon carcinoma (16). The data of the present study indicated that the TNM stage was able to predict patient survival (AUC=0.751). However, combination of the TNM stage and risk score by binary logistic regression analysis significantly improved the prognostic value (AUC=0.797; Fig. 4).

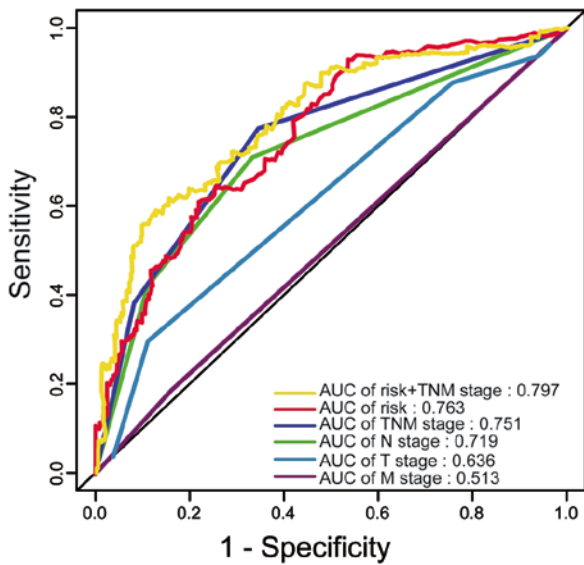


Figure 4. Time-dependent receiver operating characteristic curves comparing the prognostic accuracy of the risk score and the TNM, T, N or M stage, as well as the combination of the risk score and TNM stage. AUC, area under curve; TNM, tumor-nodes-metastasis.

The Kaplan-Meier curves also indicated that patient prognosis for patients stratified by their risk score combined with staging was significantly different ( $P < 0.0001$ ; Fig. 3E-H). All of these results demonstrated that the six miRNAs may be utilized for the prediction of the progression of colon carcinoma and the prognosis of affected patients. Furthermore, a more accurate prognosis was obtained by using a combination of the TNM stage and risk score.

**Target prediction and functional analysis.** To elucidate the possible mechanisms that the six miRNAs are involved in, their target genes were predicted using the TargetScan, miRDB, miRPath and miRTarBase online analysis tools. A total of 239 overlapping genes for miR-149, 15 overlapping genes for miR-3189, 6 overlapping genes for miR-3677, 0 overlapping genes for miR-3917, 13 overlapping genes for miR-4999 and 9 overlapping genes for miR-6854 were obtained (Fig. 5). Subsequently, a bioinformatics enrichment analysis was performed. The overlapping genes of the DE miRNAs were significantly enriched in KEGG pathways ‘phosphoinositide-3 kinase (PI3K)-Akt signaling pathway’, ‘prostate cancer’, ‘mitogen-activated protein kinase (MAPK) signaling pathway’, ‘apoptosis’ and ‘neurotrophin signaling pathway’. The top five GO terms were ‘nuclear body’, ‘nucleoplasm’, ‘positive regulation of cell proliferation’, ‘protein binding’ and ‘transcription from RNA polymerase II promoter’ (Fig. 6). This functional enrichment analysis revealed the potential mechanisms of the prognostic miRNAs in the genesis/progression of colon cancer.

**Discussion**

Colon carcinoma is one of the leading causes of cancer-associated mortality worldwide (2). Early diagnosis and radical resection may improve patient prognosis (17,18). However, the symptoms are frequently not obvious at the earliest stage, and at present, the detection of colon carcinoma relies on screening and endoscopy (8,19). Although the detection of serum CEA is currently available as a non-invasive method for the diagnosis and surveillance of colon cancer, its clinical utility is limited by its low sensitivity and specificity (20). Therefore, it is necessary to further elucidate the molecular mechanisms of colon

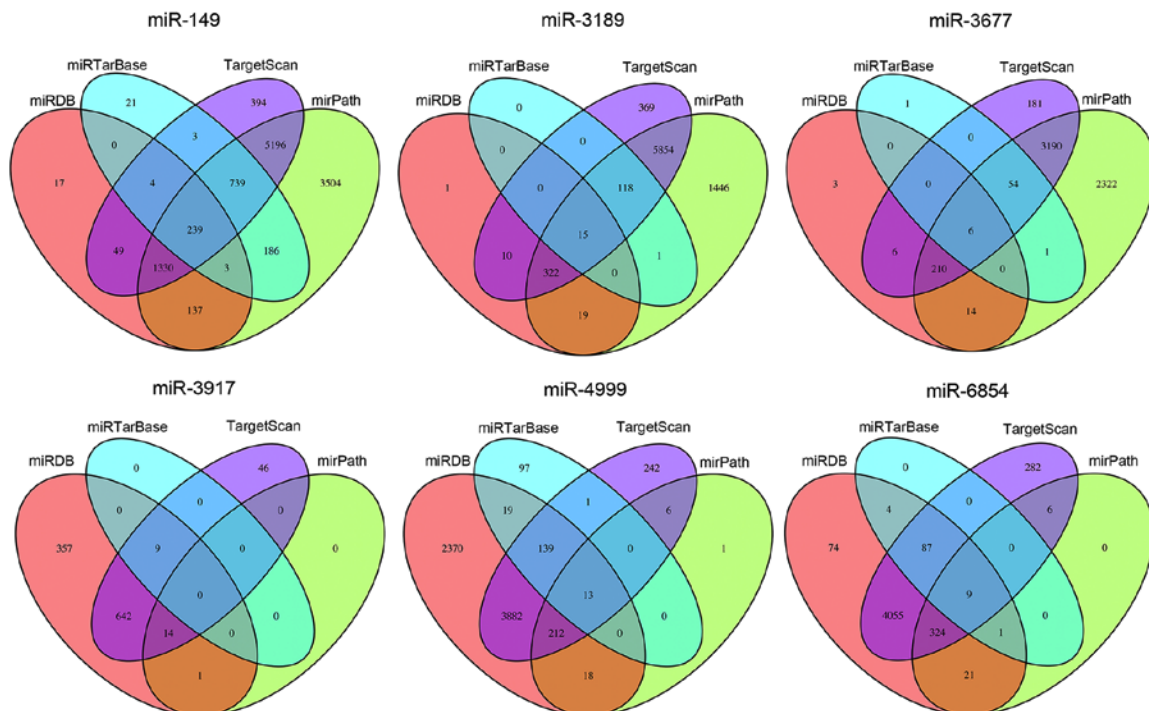


Figure 5. Target genes of the six miRNAs were predicted using the TargetScan, miRDB, miRPath and miRTarBase online analysis tools, and the overlapping genes were selected. miRNA/miR, microRNA.



Figure 6. Functional analysis of the target genes. (A) Top 15 significantly enriched Gene Ontology terms in the category biological process of target genes. (B) Top 15 significantly enriched Kyoto Encyclopedia of Genes and Genomes pathways of the target genes. PI3K, phosphoinositide-3 kinase; MAPK, mitogen-activated protein kinase.

cancer development, which may lead to the identification of novel accurate markers.

miRNAs are small non-coding RNAs, whose aberrant expression may be involved in the initiation and progression of colon carcinoma (21,22). Monitoring of changes in the expression of miRNAs may aid in the diagnosis and prediction of the prognosis of colon carcinoma and management of its recurrence (23-25).

In the present study, DE miRNAs between colon carcinoma tissues and normal tissues were identified. A prognostic model using six miRNAs was then constructed based on univariate (data not shown) and multivariate Cox regression analysis. Furthermore, multivariate Cox regression and stratification analyses suggested that the six-miRNA signature was an independent prognostic factor for survival prediction of colon

carcinoma patients. The risk score, based on which it was possible to effectively distinguish colon cancer patients with significantly different prognoses, was validated as an independent prognostic risk factor.

Furthermore, previous studies indicated that miR-149 is downregulated in breast cancer and colonic carcinoma cells and functions as a tumor suppressor by controlling breast epithelial cell migration and invasion, which is in agreement with the present results (26,27). miR-3189 has been previously reported to exert antitumor effects in glioblastoma and gastric cancer (28,29), miR-3677 may be used as a potential molecular marker to predict the prognosis of hepatic carcinoma patients (30,31), and miR-3917 may be useful for the early screening of high-risk populations and early diagnosis of lung cancer (32). Furthermore, miR-4999 and miR-6854,

which have not been previously reported in tumors, to the best of our knowledge, may improve the accuracy of colon carcinoma prognostication.

The mRNAs regulated by the six-miRNA signature were enriched in pathways that were involved in cancer progression and prognosis. The six miRNAs were able to regulate several key signaling pathways, including the PI3K-Akt signaling pathway, apoptosis, the MAPK signaling pathway and the neurotrophin signaling pathway, which is in accordance with the results of the present bioinformatics analysis (33,34). The results indicated that the six-miRNA signature was highly correlated with cancer, which suggested the possibility of using it as a prognostic factor for colon carcinoma.

The current management of colon cancer relies on clinical and histopathologic factors, including TNM stage, tumor margin involvement, differentiation and lymphovascular invasion (35). The TNM staging system is the preferred staging system for colon cancer, and it may be beneficial to identify reliable biomarkers that are able to distinguish between different stages, to further assess tumor progression and aid the development of therapeutic strategies. The risk score postulated in the present study exhibited high sensitivity and specificity, and was correlated with the TNM stage and prognosis of colon cancer patients, which further suggests that it may be able to predict tumor occurrence and development. In addition, combining the risk score and TNM stage provided an improved prediction of the patients' survival status.

Of note, the present study was entirely based on the TCGA dataset and experiments should be performed to further verify the mechanisms of the miRNAs involved in the tumorigenesis of colon cancer. In addition, further clinical investigations should be performed to validate the utility of this model for early diagnosis and evaluation of therapeutic efficacy.

In conclusion, the present study postulated a six-miRNA model that provides effective mortality risk stratification of colon carcinoma patients and may be a potential prognostic indicator. Combination with the TNM stage further improved the capacity of the risk score to predict patient prognosis. It may also be possible to utilize the six miRNAs for early diagnosis, allowing for a timely intervention.

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#### Availability of data and materials

The analyzed datasets generated during the study are available from the corresponding authors on reasonable request.

#### Authors' contributions

JF and JW contributed to the design of the study. HZ performed the bioinformatic analysis and wrote the manuscript. ZW and

RM were responsible for article revision. All authors have read and approved the final version of the manuscript.

#### Ethics approval and consent to participate

Not applicable.

#### Patient consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

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