

Identification of a non-coding KLF4 transcript generated from intron retention and downregulated in human hepatocellular carcinoma

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Abstract. The Krüppel-like factor 4 (KLF4) gene is related to various biological processes including stem cell reprogramming and tumorigenesis. In this study, we identified and characterized a non-coding transcript of KLF4, which was designated KLF4-003, in human liver tissue samples. KLF4-003 was identified in a number of cell lines by reverse transcription PCR and DNA sequencing. Its expression levels were determined in 54 pairs of human hepatocellular carcinoma (HCC) tissues and a number of HCC cell lines by real-time PCR (RT-PCR). Methylation status of KLF4-003 CpG islands was determined by bisulfite sequencing. The regulatory effect of KLF4-003 CpG islands hypermethylation in Hep3B cells was then validated by the 5-aza-dC demethylation treatment, followed by RT-PCR analysis. Receiver operating characteristic (ROC) curve was created to evaluate the diagnostic value for differentiating between HCC cancer and benign diseases. The association study between KLF4-003 expression level and clinical traits of HCC patients was performed with SPSS. We found that KLF4-003 was downregulated in 46 out of 54 HCC samples compared with their adjunct normal tissues. The reduced KLF4-003 expression was significantly associated with HCC recurrence ($P=0.045$) in the follow-up of 31 HCC patients. Significant differences were detected between the methylation status of HCC specimens and their adjacent

normal controls. Demethylation treatment significantly rescued the expression of KLF4-003 in Hep3B cells. Such observation indicated that the CpG island hypermethylation was at least partially responsible for the downregulation of KLF4-003 in HCC. The area under ROC curve for the prediction of HCC reached 0.803 (95% CI=0.719-0.886, $P<0.001$). Our results suggested that the expression of KLF4-003 was epigenetically regulated by methylation status of a KLF4-003 CpG island in HCC. The differential expression of KLF4-003 might play an important role in HCC development and might serve as a potential biomarker for the diagnosis of HCC.

Introduction

Hepatocellular carcinoma (HCC) is one of the most common malignant cancers and the third most fatal form of neoplasia worldwide. It is mostly associated with multiple factors including HBV/HCV virus infection (1,2), exposure to hepatocarcinogens such as aflatoxin B1, and exposure to alcohol (3,4). Previous studies have demonstrated multiple regulatory pathways involved in HCC. However, the exact mechanism for the pathogenesis of HCC is not yet clearly understood.

KLF4 gene is located on chromosome 9 and has 5 exons. Multiple transcripts of KLF4 and their protein isoforms (for example, KLF4 α , KLF4 β , KLF4 γ and KLF4 δ) have been reported (5). However, little attention has been paid to the putative non-coding transcript variant, KLF4-003 (Ensembl: ENST00000493306) possibly due to the fact that it has no protein product.

Recent genomic and transcriptomic projects have unraveled an astounding large number of non-coding RNAs (ncRNAs) in the human genome (6,7). A class of small ncRNAs has been identified, some of which have been linked to neoplastic transformation (8-11). Another class of ncRNAs, long ncRNAs (lncRNAs) were tentatively defined as ncRNAs with >200 nucleotides in length and featured with diversity of their sequences and complexity of the mechanisms involved. Accumulating evidence supports the possibility that lncRNA acts as genetic regulators or riboregulators (12). Altered lncRNA levels were found to be responsible for the aberrant expression of gene products that might be related to cancer biology. For example, metastasis associated lung

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Abbreviations: KLF4, Krüppel-like factor 4; HCC, human hepatocellular carcinoma; RT-PCR, real-time polymerase chain reaction; ROC, receiver operating characteristic; AUC, area under ROC curve; ncRNAs, non-coding RNAs; lncRNAs, long non-coding RNAs; DMEM, Dulbecco's modified Eagle's medium; ORF, open reading frame; AJCC, American Joint Committee on Cancer

Key words: KLF4-003, hepatocellular carcinoma, CpG island, hypermethylation, long non-coding RNA

adenocarcinoma transcript 1, a human lncRNA was found upregulated in metastasizing non-small cell lung carcinomas and is evolutionarily conserved among mammals (13). Another lncRNA, the paternally imprinted gene H19 (14), can function as a tumor suppressor in some tumor types and may play a significant role in tumorigenesis in other types (15-17). It is notable that Hepcarcin, also an lncRNA transcript, was found highly upregulated in human HCCs as well as other five non-hepatocellular human carcinomas and may serve as an informative marker for HCCs. Although a few aberrantly expressed protein-coding genes and several lncRNAs have been identified in HCC, novel molecular markers with early-diagnostic or risk-assessment value are still urgently needed. It is of paramount importance to elucidate the relationships between clinical traits and molecular changes in HCC for developing new strategies that can be used in HCC diagnosis, treatment and prognosis.

Extensive studies have been carried out on KLF4 in the field of stem cells and oncology, including a recent study showing that high cytoplasmic expression of KLF4 was associated with better disease-specific survival and was an independently favorable prognostic factor in HCC (18). However, little is known with regard to its non-coding transcript variants. Here, we report the identification of the splice variant KLF4-003 and elucidate the characteristics of this variant, including its differential expression and epigenetic regulation in HCC, association with HCC traits and the potential diagnostic significance as a biomarker for HCC.

Materials and methods

Cell culture. All cell lines used in this study were purchased from the American Type Culture Collection. WRL68 (a human fetal liver cell line) and two human hepatocellular carcinoma cell lines including HepG2 and Huh7 were cultured in Dulbecco's modified Eagle's medium (DMEM) (Thermo Fisher Scientific, Inc., Waltham, MA USA) supplemented with 10% (v/v) fetal bovine serum, 100 U/ml penicillin and 100 µg/ml streptomycin, while Hep3B (a human hepatocellular carcinoma cell line) was cultured in Roswell Park Memorial Institute-1640 medium (Thermo Fisher Scientific, Inc.) with the same supplements. The other cells from different tissues including HeLa (from cervical cancer), HONE1 (from nasopharyngeal cancer), OB (from osteoblast), Saos2 (from osteosarcoma) and BMSC (bone marrow stromal cells) were also cultured in DMEM medium at 37°C, 5% CO₂ humidified incubator.

Patient samples. Cancerous HCC tissues and adjacent non-tumor tissue (≥1 cm away from the tumor edge) were collected from patients who underwent surgical resection at the Prince of Wales Hospital, Hong Kong, China. Written consents was obtained from each patient prior to tissue harvesting and the study protocol was approved by the ethics committee of the Chinese University of Hong Kong. The tissues were immediately snap-frozen in liquid nitrogen and stored at -80°C pending analysis. Further details of the patients are given in Table I.

RNA extraction, reverse transcription PCR, DNA sequencing for KLF4-003. Total RNAs from different cell lines or HCC

tissues were extracted by using TRIzol reagent (Thermo Fisher Scientific, Inc.) following the manufacturer's instructions. Nuclear and cytoplasmic RNAs were extracted by using the NE-PER Nuclear and Cytoplasmic Extraction reagents (Thermo Fisher Scientific, Inc.) followed by TRIzol reagent extraction. cDNA was synthesized by 1 µg RNA with QuantiTect Rev Transcription kit (Qiagen, GmbH, Hilden, Germany) according to the protocol provided by the manufacturer. The reverse transcription reaction mixture was incubated at 42°C for 15 min for reverse transcription followed by denaturation at 95°C for 3 min. KLF4-003 in each cell line was identified with PCR amplification followed by DNA sequencing (service provided by BGI). The PCR reaction contains 1.25 U of AmpliTaq Gold DNA polymerase (Applied Biosystems, Foster City, CA, USA), 1X PCR buffer, 200 µM dNTP mixture, and 200 nM of each primer in a final volume of 50 µl. The forward primer sequence is 5'-TCC CGG CTT CCA TCC CCA CCC-3'. The reverse primer is 5'-GGT CCT TTT CCG GGG CCA CGA TC-3'. PCR were performed by DNA denaturing at 94°C for 3 min, followed by 35 cycles of 94°C for 30 sec, 60°C for 30 sec, 72°C for 1 min with a final extension of 72°C for 7 min. For all primers, the same PCR condition was used.

Bioinformatics analysis. The exon-intron patterns of KLF4-003 transcript were constructed by Ensembl browser tool (<http://asia.ensembl.org/index.html>). The CpG island was revealed with Genome Browser tool of UCSC (University of California, Santa Cruz) (<http://genome.ucsc.edu/cgiBin/hgGateway>).

Bisulfite sequencing. Genomic DNA was extracted from cells or frozen tissues using QIAamp DNA Mini kit (Qiagen, GmbH) following the manufacturer's instructions. Sodium bisulphate treatment was performed with the EZ DNA Methylation-Gold kit (Zymo Research, Freiburg, Germany). Twenty nanograms of treated DNA were used as a template for PCR amplification using ZymoTaq DNA polymerase (Zymo Research) and primers targeting a fragment of non-promoter CpG island proximal to the retained intron of KLF4-003. Forward primer, 5'-GGT TTT TAG TTT ACG TTG TAT AGT GTT GG-3' and reverse primer, 5'-CCG TAA CGC CAA CCA AAC AAC T-3' were used for PCR amplification. The PCR products were cloned into pGEM-T Easy vector (Promega, Madison, WI, USA) with the manufacturer's standard protocol for sequencing to determine the methylation status of the CpG sites within KLF4-003 gene. In total, 73 colonies were sequenced.

5-aza-2'-deoxycytidine (5-aza-dC) treatment. Cells were seeded at a density of 1x10⁵ cells per well in 6-well plate one day before treatment. Dimethyl sulfoxide dissolved 5-aza-dC (Sigma, St. Louis, MO, USA), a demethylating agent, was added to the cells at different concentration, 0, 2 or 5 µM. The 5-aza-dC and medium were refreshed every day. The cells were harvested for total RNA extraction 4 days post-treatment. The expression level of KLF4-003 was determined by real-time (RT) PCR.

Cloning of KLF4-003. To construct the pCMV-Myc-KLF4-003 vector, PCR was performed by gene specific

Table I. Demographic and clinical features of HCC patients.

Pathological parameter	Total	KLF4-003 expression		P-value
		Reduced	Non-reduced	
Gender				
Male	42	36	6	1.000
Female	12	10	2	
Age				
<60	34	29	5	1.000
≥60	20	17	3	
HBsAg				
Positive	45	39	6	0.607
Negative	9	7	2	
AJCC staging				
Stage I	38	31	7	0.342
Stage II	6	5	1	
Stage III	10	10	0	
Cirrhosis				
Yes	24	22	2	0.230
No	30	24	6	
Recurrence ^a				
Yes	14	4	10	0.045
No	17	11	6	

^aOnly relative expression level <0.25 were grouped in 'reduced'.

primers containing *Bgl*II site and *Xho*I site, respectively. The forward primer sequence is 5'-GGC AGA TCT TGG CTG TCA GCG ACG CGC T-3'. The reversed primer is 5'-GGC CTC GAG TTA AAA ATG CCT CTT CAT GTG T-3'. PCR products were purified using Wizard™ SV Gel and PCR Clean-up system (Promega) following the manufacturer's instructions. Purified PCR products and pCMV-Myc vector (Clontech, Mountain View, CA, USA) were submitted for *Bgl*II and *Xho*I (New England Biolabs, Ipswich, MA, USA) double digestion. Digestion products were resolved in 1% agarose gel, followed by purification of the DNA products and ligation with DNA insert using T4 ligase (New England Biolabs). The entire mixture of ligation products were transformed into *E. coli* competent cells (DH5α) followed by recovery in 800 μl 2% LB (Luria Bertani) (USB Corp., Cleveland, OH, USA) medium. *E. coli* clones carrying the desired inserts were selected by ampicillin resistance and further cultured for plasmid extraction using the Mini Plus™ plasmid DNA Extraction system (Viogene, Sunnyvale, CA, USA). The sequence of cloned *KLF4-003* in pCMV-Myc vector was determined and confirmed by automated DNA sequencing (service provided by BGI).

Transient transfection of *KLF4-003* in human liver cell line. Hep3B cells were seeded in 6-well plate at an initial density

of 2x10⁵ cells per well. When the cells were 70% confluent, plasmid, pCMV-Myc, pCMV-KLF4-003 were transfected into the cells using Lipofectamine™ 2000 (Thermo Fisher Scientific, Inc.) according to the manufacturer's procedures, respectively. Cells were incubated at 37°C in 5% CO₂ for 24-72 h prior to RT-PCR and western blot assay.

RT-PCR. RT-PCR was performed using 2X Power SYBR Green PCR Master Mix (Thermo Fisher Scientific, Inc.) with ABI 7500 Fast RT-PCR system (Thermo Fisher Scientific, Inc.). The PCR cycling conditions included an initial denaturation of 95°C for 10 min followed by 40 cycles of 95°C for 15 sec and 60°C for 1 min. *KLF4-003* was amplified by forward primer 5'-TCG GGA CAC ACG GGA TGA T-3' paired with reverse primer 5'-GCC CGC GTA ATC ACA AGT GT-3'. While forward primer 5'-CAT TAC CAA GAG CTC ATG CC-3' paired with reverse primer 5'-GCC CGC GTA ATC ACA AGT GT-3' were used for *KLF4* amplification. The house-keeping gene β-actin was measured for normalization using forward primer 5'-GCC CCG CGA GCA CAG AGC-3' paired with reverse primer 5'-TGC CGG AGC CGT TGT CGA-3'. Comparative C_T method (2^{-ΔΔCT}) was used to calculate the relative level of the *KLF4* and *KLF4-003* mRNA.

Western blot analysis. Total proteins were extracted from Hep3B cells with RIPA lysis and extraction buffer (Thermo Fisher Scientific, Inc.) following the manufacturer's protocol. The target proteins were separated on sodium dodecyl sulfate polyacrylamide gel electrophoresis and transferred onto PVDF membrane. Specific antibodies including anti-Myc and anti-KLF4 (Santa Cruz Biotechnology, USA) were used for protein detection. The signals of target proteins were visualized on X-ray film after treatment with Western Lightning Chemiluminescence Reagent Plus (Perkin-Elmer Life Science, MA, USA).

Statistical analysis. Statistical significance between groups was analyzed by Student's t-test. Correlation analysis was used to examine the association between the expression of *KLF4-003* and clinical parameters of HCC patients. Receiver operating characteristic (ROC) curve was plotted by SPSS (version 16.0) to evaluate the diagnostic value for differentiating between HCC cancer and benign diseases. The other figures were created with GraphPad Prism5 (GraphPad, San Diego, CA, USA). P-value of ≤0.05 was considered statistically significant.

Results

Sequence analysis and expression profile of *KLF4-003*. According to the database of Ensembl, *KLF4-003* is a non-coding transcript of *KLF4* gene (ENSG00000136826). Compared with the vulgate transcript of *KLF4*, an intron retention was observed in *KLF4-003* (Fig. 1A). This retained intron is 102 nucleotides in length and located near a non-promoter CpG island in *KLF4-003* gene (Fig. 1B). By RT-PCR and DNA sequencing, *KLF4-003* transcript was identified in a series of cell lines including WRL68, HONE1, OB, BMSC, HeLa and Soas2 cells (Fig. 1C).

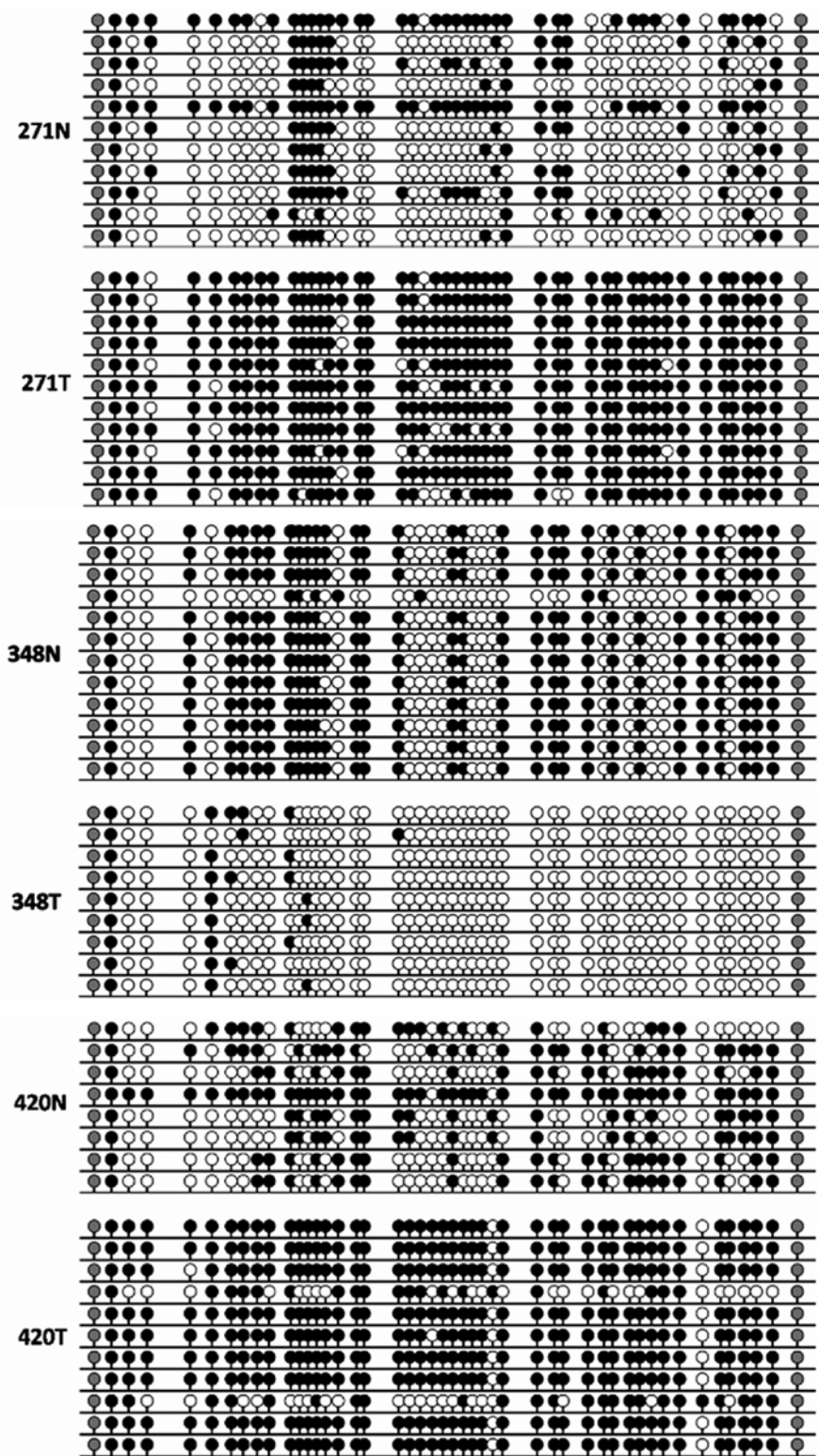


Figure 3. Methylation status of KLF4-003 CpG islands in liver cancer patient samples. Methylation status of CpG sites was examined by bisulfite sequencing. Open and filled circles represent unmethylated and methylated CpG sites, respectively.

Hypermethylation of non-promoter CpG island of KLF4-003 in HCC tissues. Epigenetic regulation has been closely related to altered gene expression. One feature of KLF4-003 is that it retains an intron of KLF4. Interestingly, a non-promoter CpG island proximal to this unique intron was observed. To investigate whether this CpG island is responsible for the decreased expression of KLF4-003 in HCC, we first determine the meth-

ylation status of this CpG island in HCC samples by bisulfite sequencing. Significant differences of methylation status were detected between three pairs of investigated HCC specimens and their paracancerous tissues (Fig. 3).

Demethylation rescues the expression of KLF4-003 in Hep3B cells. To investigate whether the reduced expression of

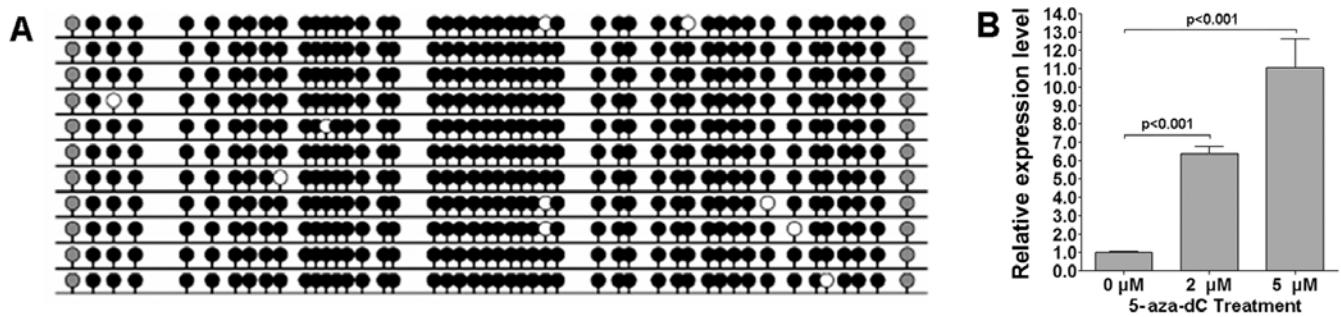


Figure 4. Expression of *KLF4-003* rescued upon demethylation treatment in Hep3B cells. (A) Hypermethylation status of target CpG sites of *KLF4-003* gene in Hep3B cells was determined by bisulfite sequencing. (B) The Hep3B cells were treated with different concentrations of 5-aza-dC for four days. Expression of *KLF4-003* was significantly induced by both 2 and 5 μ M 5-aza-dC in Hep3B cells dose-dependently.

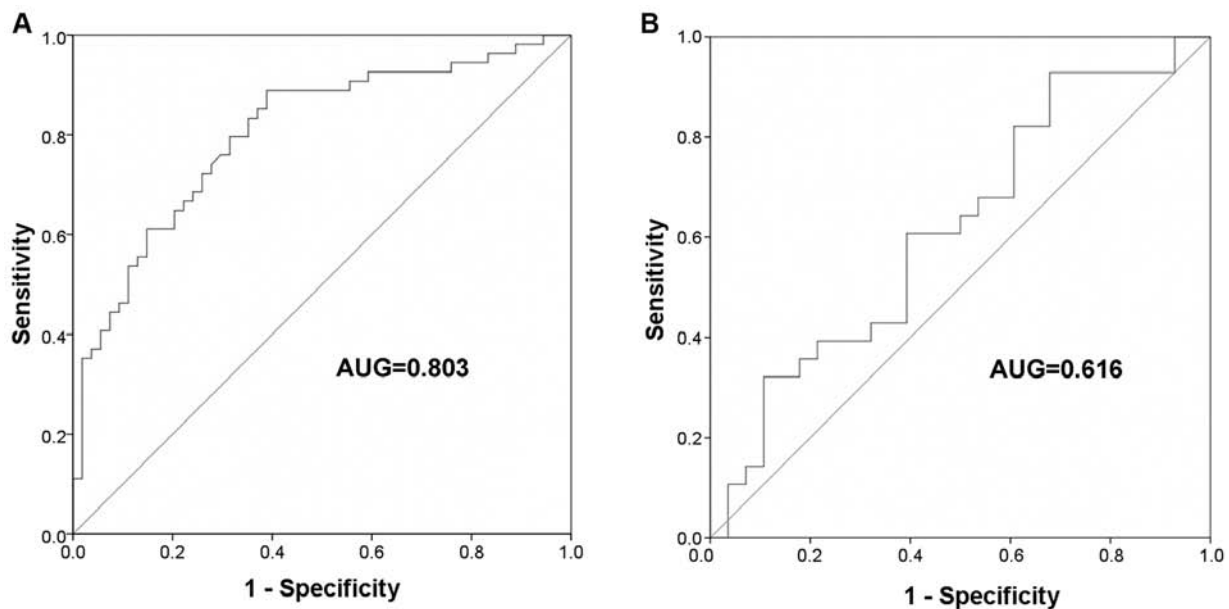


Figure 5. Receiver operation characteristics (ROC) curves. (A) ROC curve of using *KLF4-003* as a marker, $P<0.001$; (B) ROC curve of using *KLF4* as a marker, $P=0.136$.

KLF4-003 was caused by hypermethylation of the proximal non-promoter CpG island proximal to the retained intron, we determined the methylation status of this CpG island in Hep3B cells. As shown in Fig. 4A, hypermethylation was observed in the selected region. Then we treated the Hep3B cells with two concentrations of 5-aza-dC for 4 days. The expression of *KLF4-003* was examined by RT-PCR. We found that *KLF4-003* was significantly increased in Hep3B cells treated with 2 and 5 μ M of 5-aza-dC in a dose-dependent manner compared with untreated cells (Fig. 4B). This finding suggested that hypermethylation of the selected non-promoter CpG island might be responsible for the reduced expression of *KLF4-003* in HCC.

Observation of the value of using *KLF4-003* as a diagnostic marker. To evaluate the diagnostic significance of *KLF4-003* in HCC, the differences of *KLF4-003* expression between HCC tissue and matched paracancerous tissues were compared based on the cutoff value (0.778) from the ROC curve. The area under ROC curve (AUC) reached 0.803 (95% CI=0.719-0.886,

$P<0.001$, Fig. 5A). The sensitivity was 0.889 and specificity was 0.389. For comparison, ROC curve for *KLF4* was also created (Fig. 5B). The AUC of *KLF4* ROC curve was 0.616 (95% CI=0.468-0.764, $P=0.136$). It was obvious that *KLF4-003* had a better diagnostic value than *KLF4*.

***KLF4-003* tends to remain in the nucleus.** To investigate the cellular distribution of *KLF4-003* RNA, RT-PCR was performed in WRL68 cells. In contrast to *KLF4*, the nuclear RNA level of *KLF4-003* was >36-fold higher than the cytoplasmic RNA level (Fig. 6). Such observation was consistent with the property of the lncRNA.

***KLF4-003* protein is endogenously undetectable in liver cells.** Since *KLF4-003* open reading frame (ORF) encodes a putative protein that is 34 amino acids longer than *KLF4* protein, which enable *KLF4-003* to be distinguished from *KLF4* by western blot assay. To determine whether *KLF4-003* was expressed in liver cells, the *KLF4-003* ORF was cloned into pCMV-Myc vector and transiently transfected into Hep3B

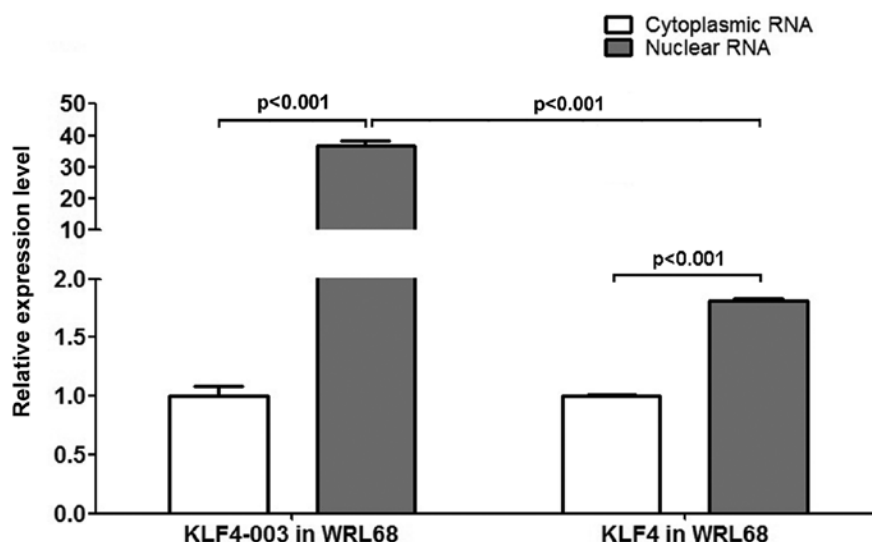


Figure 6. Differential cellular distribution of KLF4-003 in WRL68 cells. Nuclear RNA level of KLF4-003 was significantly higher than its cytoplasmic RNA level as well as the KLF4 nuclear RNA level.

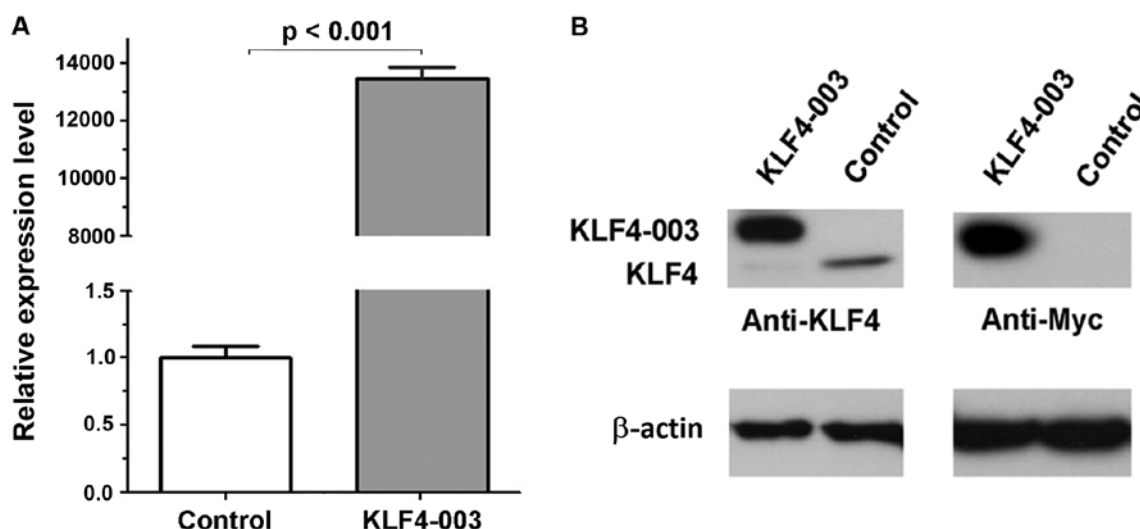


Figure 7. Endogenous KLF4-003 protein was undetectable in Hep3B cells. The KLF4-003 was transiently transfected into Hep3B cells with empty pCMV-Myc vector as control. (A) RT-PCR results indicated that KLF4-003 was highly overexpressed in Hep3B cells. (B) The KLF4-003 fusion protein with Myc tag and KLF4 was detected with anti-KLF4 antibody while the endogenous KLF4-003 was undetectable (left panel). The KLF4-003 fusion protein with Myc tag was also detected in Hep3B cells by using anti-Myc antibody (right panel). β -actin was used as control (the lower panel).

cells. We confirmed that the fusion protein KLF4-003 with Myc tag was detected, whereas, the endogenous KLF4-003 protein was undetectable (Fig. 7).

Discussion

Tumor markers have drawn increased attention as they could provide potential targets for diagnosis and therapeutic intervention. Recent studies have found the expression profiles of miRNAs were significantly altered in human neoplasms (9,11), which could be used in tumor diagnosis to distinguish tumors from normal tissues. Despite the huge number of lncRNAs identified so far, definitely characterized lncRNAs only accounted for <1% (19). Recent studies showed many lncRNAs

were deregulated in various solid tumors and a number of lncRNAs could regulate cancer metastasis by directly targeting chromatin modification complexes, indicating that lncRNAs may play an important role in tumorigenesis and cancer development (20,21). HCC is one of the most common malignant carcinomas in the world. It is of great importance to elucidate the functional role of lncRNA in HCC, which may significantly contribute to the better understanding, diagnosis and treatment of HCC conditions. An increasing number of evidence has suggested that deregulated expression of microRNAs (miRNAs) have considerable potential in predicting the prognosis of HCC patients (22,23). In the present study, we identified a putative lncRNA, KLF4-003 in human HCC samples that clearly distinguishes HCC from their corre-

sponding normal tissues. We demonstrated that HCC patients with lower KLF4-003 expression had a significantly increased risk of recurrence. ROC analysis indicated that the lncRNA KLF4-003 could serve as a potential tumor marker for HCC. Such observation further underlined the potential importance of the lncRNA in the molecular cell biology of neoplasia.

By comparative analyses, ncRNA promoters have been found more conserved than those of protein-coding genes (24). Many studies have linked the promoter-associated DNA methylation with transcriptional silencing of associated genes (25-28). Notably, non-promoter genomic DNA methylation has also been found within both intronic and exonic regions of numerous genes and could also elicit repressive effects on gene expression (29). By bisulfite sequencing, significant differences were detected between the methylation status of KLF4-003 in HCC specimens and their adjacent normal controls, which indicated that hypermethylation might be responsible for the downregulation of lncRNA KLF4-003. To verify the silencing effect of hypermethylation on KLF4-003, demethylation was performed in Hep3B cells. We found that the expression of KLF4-003 was dramatically rescued upon demethylation treatment in Hep3B cells. This observation supported the notion that non-promoter genomic DNA methylation could also result in gene silencing.

Much effort has been made to investigate the mechanisms of the relationship between DNA methylation and associated gene repression. At least four possible mechanisms have become apparent thus far. Firstly, the association of DNA-binding factors with their cognate DNA recognition sequences might be inhibited by the modification of cytosine bases (30). Secondly, methyl CpG binding proteins could recognize the methylated DNA, target chromatin remodeling co-repressor complexes and consequently mediate the silencing of gene expression (31). Thirdly, DNA methyltransferase enzymes themselves might be involved in setting up the silenced state besides their catalytic activities (32). Finally, transcriptional elongation could be affected by DNA methylation as the capacity of RNA polymerase II (Pol II) to transcribe through the methylated regions could be dampened by the DNA methylation (32). Although each of the above mechanisms may possibly elicit repressive effect on the expression of KLF4-003 in HCC, the exact role of this non-promoter CpG hypermethylation on KLF4-003 expression remains elusive.

It is interesting that KLF4-003 has an ORF although no endogenous protein product could be obtained. However, the majority of KLF4-003 was located in the nucleus. It has been shown that nuclear-localized lncRNAs are only transiently expressed and more likely unstable (33). The rapid degradation of nuclear-localized KLF4-003 may not permit sufficient KLF4-003 transcripts to complete the transportation from the nucleus to the cytoplasm for subsequent translation. It is notable that KLF4-003 fusion protein could be detected in Hep3B cells when highly overexpressed. Since the overexpressed KLF4-003 transcript did not contain 5'- and 3'-UTR, it is possible that the 5'- or 3'-UTR of endogenous KLF4-003 transcript contained protein binding sites which halted its transportation from the nucleus to the cytoplasm and thereby decreased the chance of this transcript to be translated in the cytoplasm. However, the exact mechanism for the predomi-

nant nuclear localization of the KLF4-003 transcript remains unclear.

In conclusion, we identified the non-protein-coding transcript KLF4-003, which was downregulated in most of the examined HCC specimens compared with their paracancerous tissues. This is possibly due to the differential hypermethylation of the non-promoter CpG islands in the KLF4-003 gene. The reduced expression of KLF4-003 is significantly associated with the HCC recurrence and might serve as a potential diagnostic marker with high sensitivity for human HCCs.

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