# Effect of macrophages on breast cancer cell proliferation, and on expression of hormone receptors, uPAR and HER-2

THERÉSE LINDSTEN<sup>1</sup>, ALEXANDER HEDBRANT<sup>2</sup>, ANNA RAMBERG<sup>1,2</sup>, JONNY WIJKANDER<sup>3</sup>, ANJA SOLTERBECK<sup>1</sup>, MARGARETA ERIKSSON<sup>1,5</sup>, DICK DELBRO<sup>2</sup> and ANN ERLANDSSON<sup>4,5</sup>

<sup>1</sup>Department of Clinical Pathology and Cytology, Central Hospital Karlstad, SE-651 88 Karlstad; <sup>2</sup>School of Medical Sciences, Örebro University, SE-702 81 Örebro; Departments of <sup>3</sup>Health Sciences, <sup>4</sup>Environmental and Life Sciences/Biology, Karlstad University, SE-651 88 Karlstad; <sup>5</sup>Department of Urology, Faculty of Medicine and Health, Örebro University, Örebro University Hospital, SE-701 82 Örebro, Sweden

Received February 13, 2017; Accepted March 27, 2017

DOI: 10.3892/ijo.2017.3996

Abstract. Malignant tumors, including breast cancers, are frequently infiltrated with innate immune cells and tumor-associated macrophages (TAMs) represent the major inflammatory component in stroma of many tumors. In this study, we examined the immunoreactivity of the macrophage markers CD68 and CD163 as well as the hormone receptors estrogen receptor  $\alpha$  (ER $\alpha$ ), progesterone receptor (PR), estrogen receptor  $\beta$ 1 (ER $\beta$ 1), human epidermal growth factor receptor 2 (HER-2), matrix metalloproteinase 9 (MMP-9), urokinase-type plasminogen activator receptor (uPAR) and the proliferations marker Ki67 in 17 breast cancer biopsies. The quantitative score for CD68<sup>+</sup> and CD163<sup>+</sup> strongly indicate M2 phenotype dominance in the currently investigated biopsies. We found that an increasing level of macrophages was negatively associated with  $ER\alpha$  or PR, whereas a positive association was observed for Ki-67 or uPAR. No significant association could be seen between the level of macrophage and HER-2, ERB1 or MMP-9 expression. Effect of conditioned media (CM) generated from cultured human M1 and M2 macrophage phenotypes were investigated on the proliferation and expression of selected markers in the T47D breast cancer cell line. We found that in contrast to the in vivo situation, in particularly the CM from M1 macrophages decreased the growth and Ki67 expression in T47D, and significantly increased ER<sub>β1</sub> mRNA levels. Moreover, in accordance to the in vivo situation the CM from the macrophages decreased the expression of ERa protein as well as ERa or PR mRNA. In conclusion our results show that macrophages alone have the

E-mail: ann.erlandsson@kau.se

capability to decrease the tumor cell expression of ER $\alpha$  and PR *in vitro*. In the tumor environment *in vivo* macrophages also contribute to an increase in tumor cell expression of uPAR and Ki67, suggesting that macrophages are involved in impairing the prognosis for breast cancer patients.

## Introduction

Breast cancer, the most frequently diagnosed cancer and the leading cause of cancer deaths in women worldwide (1), is a heterogeneous disease with different biological hallmarks, and thereby varying prognostic and therapeutic characteristics. Tumors are classified into different subtypes based on the immunohistochemical expression of estrogen receptor  $\alpha$ (ERa), progesterone receptor (PR), human epidermal growth factor receptor-2 (HER-2) and Ki67 which also provides prognostic and predictive information on response to hormonal or targeted therapies (2). Approximately 20-30% of all breast cancers overexpress HER-2 leading to an uncontrolled growth of cancer cells (3). Estrogen and progesterone are steroid hormones that play an essential role for normal mammary gland growth and development as well as for breast cancer progression. Most of their effects are mediated by ERs and PR which are intracellular receptors which constitute members of the nuclear receptor superfamily of transcription factors. Approximately 75% of malignant breast tumors are ERa positive and more than half of these tumors also express PR(4,5). For decades, ER $\alpha$  was thought to be the only ER present in mammary epithelial cells until the identification of a second estrogen receptor, ER $\beta$ , in 1996 (6). ER $\beta$  is the most widely expressed ER in normal, mammary tissue. Five different isoforms (ER $\beta$ 1-ER $\beta$ 5) exist in humans, though ER $\beta$ 1 is considered the only fully functional isoform. The role for  $ER\beta1$  and other splice variants in breast cancer is still being investigated and may not be consistent among different breast cancer subtypes (7,8).

A solid, malignant tumor consists of cancer cells within a tumor stroma of essentially fibroblasts, endothelial cells, smooth muscle cells and immune cells (9). Of the latter, macrophages appear to play a significant role in carcinogenesis (10). Thus, the association between inflammation and cancer is

*Correspondence to:* Dr Ann Erlandsson, Department of Environmental and Life Sciences, Karlstad University, SE-651 88 Karlstad, Sweden

*Key words:* breast cancer, breast cancer cell line, T47D, estrogen receptors, progesterone receptor, M1 macrophages, M2 macrophages, urokinase-type plasminogen activator receptor

well established (11,12). An inflammatory microenvironment influences every hallmark of cancer e.g. cell proliferation, invasion, angiogenesis and metastasis (9). Macrophages are innate immune cells originating from peripheral blood monocytes with important roles in normal tissue homeostasis such as primary response to pathogens, resolution of inflammation and wound healing. They also constitute the most abundant immune cell present in the tumor stroma and display extensive diversity and plasticity (13-15). Within the tumor microenvironment monocytes differentiate into tumor-associated macrophages (TAMs), mainly due to tumor-derived chemotactic factors. TAMs are active in the progression of tumors and may, in response to various signals, exhibit dual roles in the microenvironment such as facilitate tumor growth, or in contrast, contribute to destruction of tumors (16,17). Simplified, human macrophages can be classified into two phenotypically extremes, the classically activated M1 phenotype considered to exhibit tumoricidal activities arising from stimulation of macrophages with Th1-cytokines such as interferon- $\gamma$  (IFN $\gamma$ ) and/or microbial products like lipopolysaccharide (LPS). This phenotype is characterized by high levels of pro-inflammatory cytokines such as interleukin (IL)12, IL23, IL6, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and reactive oxygen/nitrogen intermediates (ROI/RNI) (10,15).

On the contrary, the alternatively activated M2 macrophage is polarized by Th2-cytokines such as IL4 and IL13, and releases high levels of anti-inflammatory cytokines such as IL10 and transforming growth factor- $\beta$ . In most tumors the infiltrating macrophages are polarized towards the M2 phenotype and show a pro-tumoral role (10,15,16). CD163 is a scavenger receptor that is regarded as highly specific for M2 macrophages, while CD68 is a pan-macrophage marker and stains both M1 and M2 phenotypes (18,19). Furthermore, TAMs produce growth promoting and sustaining cytokines, including epithelial growth factor, vascular endothelial growth factor and matrix metalloproteinases (MMPs) (20). Activation of (cell-signaling) urokinase receptor (uPAR) through binding of urokinase-type plasminogen activator (uPA) triggers the conversion of plasminogen to plasmin, which in turn, initiates a cascade of extracellular proteases, e.g. MMPs. MMPs degrade components of extracellular matrix leading to/promoting tumor cell invasion and metastatic progression (21,22).

In human breast cancer, the inflammatory cells, mainly lymphocytes and macrophages, can constitute as much as half of the tumor mass and several studies suggest that high density of TAMs is associated with high vascularity, high tumor grade, increased tumor size, nodal metastasis and reduced overall survival (23-25). Previously published studies have also associated high infiltration of CD68<sup>+</sup> and/or CD163<sup>+</sup> macrophages with ER $\alpha$  and PR-negative tumors and high Ki67 proliferative index, whereas there are inconsistent results of infiltration of macrophages, and HER-2 positivity (25-29).

The aim of the current study, undertaken with human breast cancer tissue, as well as with the human breast cancer cell line T47D was to examine, in human breast cancer, the relationship between infiltrating macrophages and their phenotype(s), hormone receptor status comprising PR, ER $\alpha$ and ER $\beta$ 1, the expression of HER-2, MMP-9, uPAR and the proliferation marker Ki67. Furthermore, we investigated how conditioned media (CM) from macrophages of the M1 and M2 phenotypes, respectively, may influence the proliferation and the expression of the markers mentioned above.

## Materials and methods

Immunohistochemistry. Breast cancer specimens being analyzed in this study were archival material stored in paraffin blocks, having been taken for diagnostic purpose prior to any treatment at the Department of Clinical Pathology and Cytology, Karlstad Central Hospital (Karlstad, Sweden). The study included tumor specimen from all patients (n=19) selected for neoadjuvant therapy at Karlstad Central Hospital between 2009 and 2012, two samples were excluded because of too little materials left. All samples were de-identified prior to analysis. Serial sections of 4  $\mu$ m were cut from each sample and were mounted on IHC microscope glass slides (Dako, Glostrup, Denmark). The sections were de-paraffinized followed by antigen retrieval using PT-link at 97°C for 20 min in EnVision FLEX Target Retrieval Solution (Dako). The sections were incubated for 30 min with either of the following primary antibodies: Monoclonal rabbit anti-human estrogen receptor (ER)a (clone EP1, ready-to-use), monoclonal mouse anti-human progesterone receptor (PR; clone PgR 636, readyto-use), monoclonal mouse anti-human-CD68 antibody (clone Kp1, ready-to-use), HercepTest™ polyclonal rabbit anti-human HER2, monoclonal mouse anti-human estrogen receptor  $\beta$ 1 (clone PPG5/10, dilution 1:40), monoclonal mouse antihuman Ki-67 (clone MIB1, ready-to-use), polyclonal rabbit anti-human MMP-9 (1:50), monoclonal mouse anti-human uPAR (clone R4, 1:50) (all from Dako) and monoclonal mouse anti-human-CD163 antibody (clone 10D6, 1:200, Novocastra, Leica Microsystems, Newcastle, UK). The monoclonal mouse anti-human estrogen receptor ß1 (clone PPG5/10, 1:40) (Dako) demanded an additional step of incubating sections with EnVision FLEX/mouse linker (Dako) for 15 min prior to addition of the secondary antibody. Immunohistochemical EnVision visualization system was performed with the standard method of horseradish peroxidase and 3, 3'-diaminobenzidine incubating the sections with secondary anti-mouse/ anti-rabbit (ready-to-use) for 20 min and substrate working solution FLEX DAB sub-chromophore 5 min in Autostainer Link 48 according to the manufacturer (Dako).

Benign human cervix tissue was used as control for ERa and PR antibodies, benign cervix and breast carcinoma for ERβ1 and human tonsil for CD68, CD163, uPAR, MMP-9 and Ki67 antibodies. After immunostaining, slides were counterstained with Mayer's haematoxylin, dehydrated, cleared and mounted using Tissue-Tek coverslipping film (Sakura Finetek, Torrence, CA, USA). Assessments of all immunostainings were done by a senior pathologist (A.S.). Positive immunoreactivity (IR) for ER $\alpha$ , ER $\beta$ 1, PR and Ki67 were denoted as percentage of positive breast carcinoma cells while positive IR for HER-2 was scored from 0 to 3<sup>+</sup> according to current clinical guidelines in Sweden. Staining for CD68 and CD163 were scored as 1-3 where 1 (1-10%, 'low'), 2 (10-30%, 'moderate') and 3 (>30%, 'high') indicating percentage of positive cells in the intratumoral and stromal area. The MMP-9 or uPAR immunoreactivity was determined by counting the total number of positive tumor (T) cells, and macrophage like stroma (S) cells, respectively. Cells were counted in five randomly selected

Gene name	Forward primer sequence 5'-3'	Reverse primer sequence 5'-3'	Genebank accession no.	
CCL2	CAGCCAGATGCAATCAATGCC	TGGAATCCTGAACCCACTTCT	NM_002982.3	
CCL17	CTTCTCTGCAGCACATCCAC	AGTACTCCAGGCAGCACTCC	NM_002987.2	
CCL18	CTCCTTGTCCTCGTCTGCAC	TCAGGCATTCAGCTTCAGGT	NM_002988.3	
CXCL9	CCAGTAGTGAGAAAGGGTCGC	AGGGCTTGGGGCAAATTGTT	NM_002416.2	
ERα	GGGAAGTATGGCTATGGAATCTG	TGGCTGGACACATATAGTCGTT	NM_000125.3	
ERβ1	TCCATCGCCAGTTATCACATCT	CTGGACCAGTAACAGGGCTG	NM_001437.2	
GAPDH	CAACAGCGACACCCACTCCT	CACCCTGTTGCTGTAGCCAAA	NM_002046.4	
HER-2	TGTGACTGCCTGTCCCTACAA	CCAGACCATAGCACACTCGG	NM_001005862.2	
IL6	GATCCAAAAACCACCCCTGACCC	CAATCTGAGGTGCCCATGCTAC	NM_000600.3	
IL8	CATGACTTCCAAGCTGGCCGTG	CCACTCTCAATCACTCTCAGTTC	NM_000584.3	
IL10	CTGGGGGAGAACCTGAAGA	GGCCTTGCTCTTGTTTTCAC	NM_000572.2	
IL12	CAGCCTGGGAAACATAACAAGAC	CTCCTGCCTCATCCTCCTGAA	NM_002187.2	
MMP-9	GGGACGCAGACATCGTCATC	TCGTCATCGTCGAAATGGGC	NM_004994.2	
NFκB	CCAACAGATGGCCCATACCT	AACCTTTGCTGGTCCCACAT	NM_001165412.1	
p21	TTAGCAGCGGAACAAGGAGT	AGCCGAGAGAAAACAGTCCA	NM_000389.4	
P27	TAATTGGGGGCTCCGGCTAACT	TGCAGGTCGCTTCCTTATTCC	NM_004064.3	
POLR2F	ATGTCAGACAACGAGGACAATTT	TTCGGCATTCTCCAAGTCATC	NM_001301129.1	
PR	ACCCGCCCTATCTCAACTACC	AGGACACCATAATGACAGCCT	NM_000926.4	
TNF-α	CCTCTCTCTAATCAGCCCTCTG	GAGGACCTGGGAGTAGATGAG	NM_000594.3	
uPAR	GAGCTATCGGACTGGCTTGAA	CGGCTTCGGGAATAGGTGAC	NM_002659.3	

Table I. Primer sequences used for qPCR.

qPCR, quantitative PCR; CCL, chemokine (C-C motif) ligand; CXCL, chemokine (C-X-C motif) ligand; ERα, estrogen receptor α; ERβ1, estrogen receptor β; GAPDH, glyceraldehyde 3 phosphate dehydrogenase; HER-2, human epidermal growth factor receptor 2; IL, interleukin; MMP, matrix metalloproteinase; NF, nuclear factor; POLR2F, RNA polymerase II subunit F; PR, progesterone receptor; TNF, tumor necrosis factor; uPAR, urokinase plasminogen activator receptor.

320x250  $\mu$ m areas and ranged from 0 (negative), score 1 (1-10 positive cells/five areas), score 2 (10-30 positive cells/ five areas) and score 3 (>30 positive cells/five areas). All scoring were performed at x400 magnification and a resolution of 6.24 pixels/ $\mu$ m. Images at x400 magnification were captured using a Leica DMD108 light microscope with an integrated camera.

Isolation of human monocytes and their differentiation to M1 or M2 macrophage phenotype, and collection of macrophage conditioned media. The generation of human monocyte-derived macrophages was conducted as previously described (30). Briefly, 45 ml of buffy coat obtained from healthy blood donors at Clinical Immunology and Transfusion Medicine, Akademiska University Hospital (Uppsala, Sweden) was mixed with an equal volume of PBS containing 3 mM EDTA (Sigma-Aldrich, St. Louis, MO, USA) and was then gradient centrifuged with Ficoll Paques PLUS (GE Healthcare, Little Chalfont, UK). The separated band of peripheral blood mononuclear cells (PBMC) was collected, and pelleted cells were washed by repeated centrifugation steps. Monocytes were purified by adherence to the cell culture dishes and macrophages were generated by culturing monocytes for 6 days in RPMI-1640 (RPMI) (Life Technologies, Carlsbad, CA, USA) with 20% heat-inactivated fetal calf serum (FCS) (Thermo Scientific, Waltham, MA, USA) and 20 ng/ml macrophage colony-stimulating factor (M-CSF; R&D Systems, Minneapolis, MN, USA).

For further differentiation of the macrophages 100 ng/ml LPS (Sigma-Aldrich) and 20 ng/ml IFNy (R&D Systems) were added to generate the M1 phenotype. Conversely, 20 ng/ml IL4 and 20 ng/ml IL13 (both from R&D Systems) were added to generate the M2 phenotype. M0-macrophages were cultured in RPMI +5% FCS without any additions. After 48 h, the differentiated macrophages were washed twice with PBS and were, furthermore, cultured in RPMI with 5% FCS for another 48 h. Thereafter the conditioned media (CM) from M1 and M2 macrophages, containing neither LPS plus IFNy nor IL4 plus IL13, was collected, centrifuged to remove cellular debris and then stored in aliquots at -20°C. Macrophages of either M0, M1 or M2 phenotype were also lysed for RNA isolation and reverse transcriptase quantitative PCR (RT-qPCR) at two different time points, first directly after washing and removal of the prior addition of LPS, IFNy, IL4, IL13 and second, after the 48 h incubation in RPMI with 5% FCS.

*Cell culture and cell cycle analysis.* The human ductal breast epithelial tumor cell line T47D was purchased from American Type Culture Collection (Manassas, VA, USA). The cells were cultured at  $37^{\circ}$ C with 5% CO<sub>2</sub> in RPMI medium supplemented

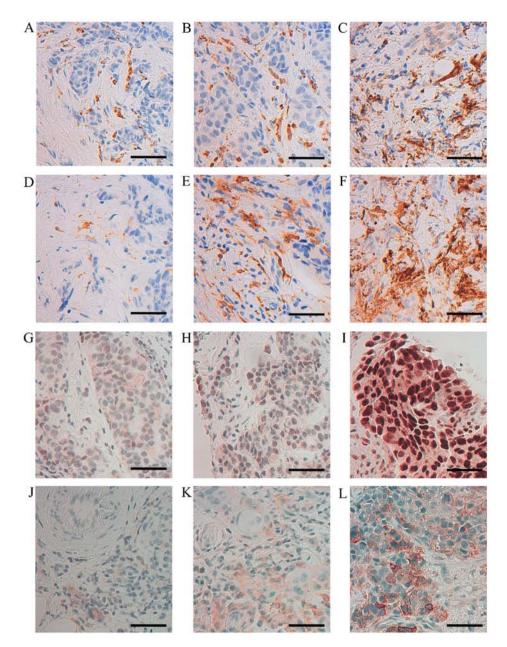


Figure 1. Immunohistochemical staining of CD68, CD163, ER $\beta$ 1 and uPAR in human, breast cancer biopsies. Representative images of CD68 (A) score 1, (B) score 2, (C) score 3; CD163 (D) score 1, (E) score 2, (F) score 3; ER $\beta$ 1 (G) 30% positive tumor cells, (H) 50% positive tumor cells (I) 100% positive tumor cells; uPAR (J) score 1, (K) score 2, (L) score 3 (x400 magnification, calibration bar is 50  $\mu$ m in all micrographs).

with 10% FCS, 2 mM L-glutamine, 100 U/ml of penicillin, 100  $\mu$ g/ml of streptomycin (Life Technologies). For treatment with macrophage CM, cells were seeded at 25,000 cells/cm<sup>2</sup> onto cell culture plates (Greiner Bio-One, Frickenhausen, Germany) and were allowed to adhere for 48 h before treatment with M1 and M2 CM for another 48 h. Cells that were exposed to RPMI +5% FCS only, served as untreated controls. After the respective treatment, RNA extraction was undertaken with fractions of the cells. Alternatively, in order to investigate possible epigenetic effect of M1 and M2 CM, respectively, on the hormone receptor expression of T47D cell line, cells were re-seeded and cultured for another 72 and 140 h prior to RNA extraction.

To investigate the effect of CM from M1 and M2 phenotypes on cell growth of the T47D cell line, cells were cultured and treated for 48 h as described above. Next, they were detached by trypsinization and counted in a hemocytometer. Approximately 250,000 cells from each treatment (including untreated controls) were collected for cell cycle analysis. These cells were washed with PBS containing 1% bovine serum albumin (BSA), centrifuged 10 min at 200 x g and resuspended in 450  $\mu$ l ice-cold PBS/BSA prior to the addition of 5 ml ice-cold 70% ethanol. Samples were stored at -20°C until analysis, prior to which Triton X-100 (Sigma-Aldrich) was added to a final concentration of 0.1% and samples were incubated for 5 min at 6°C. Thereafter, the cells were centrifuged 10 min at 200 x g and resuspended in 1 ml PBS/BSA and this procedure was repeated once. The cells were then resuspended in PBS/BSA and 0.1% Triton X-100, 200 µg/ml RNaseA and 50  $\mu$ g/ml propidium iodide (the latter two items were obtained from Sigma-Aldrich) were added followed by incubation in the dark at room temperature for 45 min. Cell

Sample CD68 CD		CD163 ERα (%)	ERα (%)	ERβ1 (%)	PR (%)	HER-2	Ki-67 (%)	MMP-9 (T/S)		uPAR (T/S)	
1	3	3	90	100	0	3+	15	3	3	2	0
2	1	1	100	95	100	2+	0	3	3	0	0
3	2	2	75	30	75	0	25	3	2	1	0
4	3	3	0	95	0	3+	50	3	3	1	0
5	2	2	0	70	0	3+	25	3	3	0	0
6	1	1	80	95	5	1+	5	3	3	0	0
7	2	2	30	60	10	3+	8	3	2	0	0
8	1	1	80	60	90	0	5	3	2	0	0
9	1	2	90	95	1	0	8	3	3	1	0
10	3	3	0	90	0	0	70	3	3	3	3
11	3	3	1	90	1	2+	50	3	2	2	0
12	3	3	0	95	0	3+	25	3	NA	1	NA
13	2	1	40	60	0	2+	10	3	2	NA	NA
14	2	2	60	80	70	1+	45	3	2	3	2
15	3	3	1	80	4	1+	15	3	3	2	0
16	3	3	0	50	0	2+	17	3	3	NA	NA
17	2	2	90	50	100	0	15	3	1	1	0

Table II. Immunohistochemical staining of 17 breast cancer biopsies.

HER-2, human epidermal growth factor 2; MMP-9, matrix metalloproteinase-9; uPAR, urokinase-type plasminogen activator receptor; ER $\alpha$ , estrogen receptor  $\alpha$ ; ER $\beta$ 1, estrogen receptor  $\beta$ 1; PR, progesterone receptor. T, positive tumor cells; S, positive macrophage-like stroma cells; NA, not available.

cycle analysis was performed on FACSCalibur flow cytometer (BD Biosciences, Franklin Lakes, NJ, USA) and the results was calculated using ModFit LT v3.1 (Verity Software House, Inc., Topsham, ME, USA).

RNA extraction, cDNA synthesis and quantification of mRNA (RT-qPCR). Total RNA was extracted from M0, M1 and M2 macrophages as well as from cultured T47D cells treated as described above using RNeasy Plus Mini kit (Qiagen, Hilden, Germany) in accordance with the manufacturer's instructions. For purity and quantification of the extracted RNA absorbance was measured at wavelengths 260 and 280 nm using a NanoQuant plate with the M200 Pro plate reader (Tecan, Männedorf, Switzerland). Synthesis of cDNA was performed from 0.2  $\mu$ g of total RNA using High Capacity cDNA Reverse Transcription kit (Applied Biosystems, Foster City, CA, USA) with a total reaction volume of 20  $\mu$ l in accordance with the manufacturer's instructions. Expression of CCL2, CCL17, CCL18, CXCL9, IL6, IL8, IL10, IL12, NFkB and TNFa mRNA in M0, M1 and M2 macrophages and ER $\alpha$ , ER $\beta$ 1, PR, HER-2, p21 and p27 mRNA in T47D cells was evaluated by RT-qPCR, using StepOnePlus real-time PCR with Power SYBR-Green Master Mix (both from Applied Biosystems) in a total volume of 25  $\mu$ l containing 4  $\mu$ l of cDNA (diluted 5x) and 200 nM of each primer. All primer sequences are listed in Table I. Samples were run in duplicates with appropriate negative controls and gene expression was normalized to the housekeeping genes POLR2F and GAPDH. The efficiency of the primers was calculated using LinRegPCR software (31) and the size of the amplified PCR products were validated using agarose gel-electrophoresis. Fold changes were calculated using the  $\Delta\Delta Cq$  method.

Immunocytochemistry. Approximately 200,000 cells of T47D treated as described in the cell culture section were detached by trypsinization and centrifuged for 10 min at 300 x g. Cells were resuspended in PBS and spun onto positively charged microscopic glass slides (Thermo Scientific). Slides were allowed to dry and fixed in 4% formaldehyde solution for 10 min prior to immunostaining using monoclonal rabbit anti-human estrogen receptor  $\alpha$  (clone EP1, ready-to-use), monoclonal mouse antihuman progesterone receptor (clone PgR 636, ready-to-use,) mouse anti-human estrogen receptor  $\beta$ 1 (clone PPG5/10, 1:40) monoclonal mouse anti-human Ki-67 (clone MIB1, ready-to-use) and monoclonal mouse anti-human uPAR (clone R4, 1:50) (all from Dako) as previously described.

*Ethics*. The study has been approved by the Uppsala Ethics Committee (license 2014/498).

Statistics. Data are presented as mean  $\pm$  SEM. A paired Student's t-test was used for all cell counting experiments comparing treated samples vs. untreated controls and also for the RT-qPCR mRNA expression data comparing  $\Delta$ Ct values for treated samples vs. untreated controls. Basal mRNA expression levels and cell cycle distributions were compared using an unpaired Student's t-test. A Jonckheere-Terpstra test was used to analyze significant associations between increasing CD68<sup>+</sup> mononuclear cell infiltration in the biopsies with increasing tumor cell expression of Ki67,

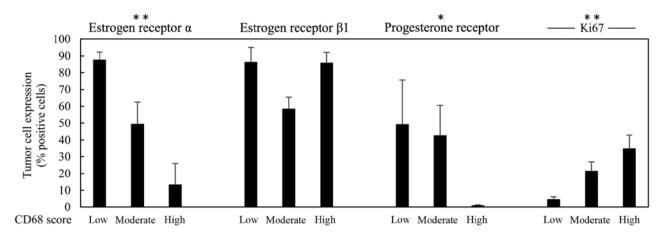


Figure 2. Infiltration density of CD68<sup>+</sup> mononuclear cells in a total of 17 cases ranged from score 1-3; n=4 cases CD68<sup>+</sup> score 1 (low), n=6 cases CD68<sup>+</sup> score 2 (moderate) and n=7 cases CD68<sup>+</sup> score 3 (high) and the expression of estrogen receptor  $\alpha$ , estrogen receptor  $\beta$ 1, progesterone receptor and Ki67 all denoted as percentage (%) of positive breast carcinoma cells. Results are presented as mean values ± SEM. The Jonckheere-Terpstra test was used to determine significant associations between increasing levels of CD68<sup>+</sup> cells and decreasing amount of tumor cells expressing ER $\alpha$ , ER $\beta$ 1 or PR, or increasing amount of tumor cells expressing Ki67 (\*p<0.05, \*\*p<0.01, \*\*\*p<0.001).

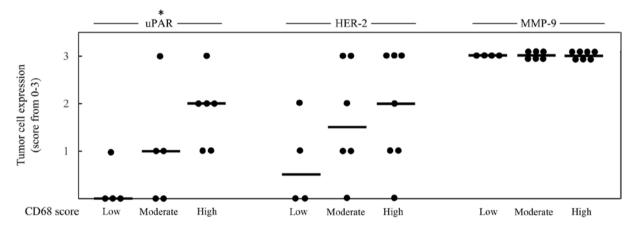


Figure 3. Infiltration density of CD68<sup>+</sup> mononuclear cells in a total of 17 cases ranged from score 1-3; n=4 cases CD68<sup>+</sup> score 1 (low), n=6 cases CD68<sup>+</sup> score 2 (moderate) (for uPAR one case with CD68 score 2 was not available) and n=7 cases CD68<sup>+</sup> score 3 (high) (for uPAR one case with CD68 score 3 was not available). The infiltration density of CD68<sup>+</sup> mononuclear cells and the expression of uPAR, HER-2 and MMP-9 in tumor cells all denoted as score 0-3 of positive breast carcinoma cells; 0 = negative, 1 = low, 2 = medium and 3 = high. Each case is represented by a dot and the median value of each group is indicated by a line. The Jonckheere-Terpstra test was used to determine significant associations between increasing levels of CD68<sup>+</sup> cells and increasing tumor cell expression of uPAR, HER-2 or MMP9 (\*p<0.05, \*\*p<0.01).

uPAR, HER2 or MMP-9, or decreasing tumor cell expression of ER $\alpha$ , ER $\beta$  or PR.

# Results

The number of macrophages in human breast cancer tissue have a positive association with the expression of uPAR or Ki67 as well as an inverse association with ER $\alpha$  or PR. To investigate association between infiltration of macrophages and selected markers in human breast cancer tissue, 17 breast cancer biopsies were immunohistochemically stained for CD68, CD163, ER $\alpha$ , PR, HER-2, ER $\beta$ 1, Ki67, MMP-9 and uPAR. The immunoreactivity (IR) of the selected antigens was evaluated and is presented in Table II. Representative images of the IR obtained by staining of CD68, CD163, ER $\beta$ 1 and uPAR are shown in Fig. 1. The score for CD68 and CD163 were equal in 15/17 cases strongly indicating that the M2 macrophage phenotype is the dominant one being present in the currently investigated biopsies. In Figs. 2 and 3, the score 1-3 of CD68 is positively associated with higher expression of Ki67 or uPAR, respectively. No statistical significant association could be seen between CD68 and the expression of HER-2, ER $\beta$ 1 or MMP-9, while an inverse association between CD68 and ER $\alpha$ as well as between CD68 and PR, could be noted (Fig. 2).

Conditioned media from cultured human macrophages decrease cell proliferation, reduce protein expression of Ki-67, and mRNA expression of ER $\alpha$  and PR, and increase mRNA expression of ER $\beta$ 1 in T47D. For investigation of the effect of CM (48 h challenge) from M1 or M2 macrophages on the proliferation of T47D, cells were counted in a hemocytometer and immunocytochemically stained for the proliferation marker, Ki67. As shown in Fig. 4A and B, both M1 and M2 macrophage CM caused a decrease in cell number as well as a reduced Ki67 protein expression, when compared with untreated controls. In addition, cell cycle distribution analysis

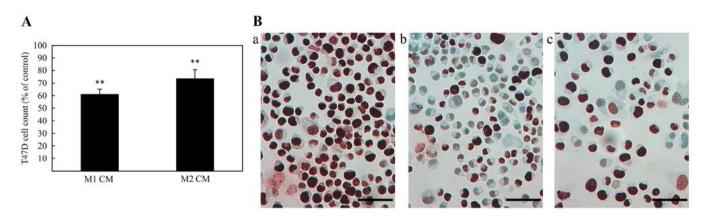


Figure 4. (A) Effects of conditioned media (CM) from macrophages of M1 and M2 phenotype on the proliferation of T47D breast cancer cells. Results are expressed as percentage of mean value  $\pm$  standard deviation. Asterisks indicate significant differences compared to the untreated control (100%) (\*p<0.05, \*\*p<0.01, \*\*\*p<0.001). (B) Immunoreactivity for the proliferation marker Ki67 in T47D breast cancer cell line. Representative examples of the effect from treatment with conditioned media (CM) from macrophages (b) of M1 or (c) M2 phenotype demonstrating a reduced Ki67 protein immunoreactivity compared with (a) untreated T47D cells (x400 magnification, calibration bar is 50  $\mu$ m in all micrographs).

Table III. Cell cycle analysis of T47D cells treated with macrophage CM.

Treatment	Cells in G <sub>1</sub> /G <sub>0</sub> -phase (%)	Cells in S-phase (%)	Cells in G <sub>2</sub> /M-phase (%)
RPMI 5% FCS	70	20	10
M1 CM	85	5	10
M2 CM	75	15	10

for T47D cells treated with macrophage CM indicated that treatment with M1 CM caused an accumulation of cells in  $G_0/G_1$  phase (Table III).

The mRNA expression of ER $\alpha$ , ER $\beta$ 1, PR, HER-2, p21, p27, MMP-9 and uPAR, respectively, was analyzed in treated T47D cells and was compared with untreated controls. In cells treated with M1 CM there was a significant downregulation of ER $\alpha$  and PR mRNA. In contrast, the expression of ER $\beta$ 1 was significantly upregulated with M1 CM. Treatment with CM from M2 macrophages also significantly downregulated the mRNA expression of ER $\alpha$ , however, to a lesser extent than treatment with M1 CM. When the CM was removed, normal expression of hormone receptors in T47D was restored after 140 h. No significant change in HER-2 or the cell cycle regulatory genes p21 and p27 expression in mRNA could be observed in response to either M1 or M2 CM treatment (Fig. 5). There was no detectable level of MMP-9 mRNA in T47D cells (data not shown). Gene expression of uPAR indicated downregulation at the mRNA level, however, no immunoreactivity for the uPAR protein could be confirmed in the T47D cells making this mRNA data less relevant (data not shown).

Conditioned media from cultured human macrophages reduce  $ER\alpha$  protein expression in T47D. Immunocytochemical staining for  $ER\alpha$ ,  $ER\beta1$ , PR and uPAR in T47D cells treated with CM from M1 or M2 macrophages was performed, and were compared with untreated control cells. In accordance with the downregulation of ER $\alpha$  mRNA in cells treated with

Table IV. Immunoreactivity of T47D cells treated with CM from M1 or M2 macrophages.

Treatment	ERα	ERβ1	PR	Ki-67	uPAR
RPMI 5% FCS	+++	+++	+++	+++	-
M1 CM	+	+++	++	+	-
M2 CM	+	+++	+++	++	-

ER $\alpha$ , estrogen receptor  $\alpha$ ; ER $\beta$ 1, estrogen receptor  $\beta$ 1; PR, progesterone receptor; uPAR, urokinase-type plasminogen activator receptor. +++, very strong immunoreactivity; ++, strong immunoreactivity; +, weak immunoreactivity; -, negative immunoreactivity.

M1 CM (above), reduced immunoreactivity for ER $\alpha$  protein could be observed in T47D treated with conditioned media from either M1 or M2 macrophages (Fig. 6).

Immunocytochemical staining for PR in untreated and treated T47D cells demonstrated a very strong nuclear staining with only a slightly weaker positivity in a few of the T47D cells treated with M1 CM. Staining for ER $\beta$ 1 revealed a very strong nuclear staining of both untreated T47D and T47D cells treated with M1 or M2 CM. No immunoreactivity for the uPAR protein could be confirmed in the T47D cells (Table IV).

Characterization of cultured macrophages of M0, M1 and M2 phenotypes using quantitative PCR. CM generated from the cultured human M1 and M2 macrophages exhibited different effects on the breast carcinoma cell line T47D. To study possible differences in the CM used, RT-qPCR was performed on cultured macrophages of M0, M1 and M2 phenotype. Macrophages were terminated for RNA extraction at two different time points, first directly after the washing and removal of the additives used for differentiation of the M1 and M2 macrophages (LPS, IFN $\gamma$ , IL4 and IL13), i.e. at the start of collection of CM (0 h) and second at the end of collection of CM (48 h incubation). The M1 macrophage phenotype was found to express significantly lower mRNA level of IL10 and significantly higher mRNA levels of IL6, IL8, IL12, CXCL9,

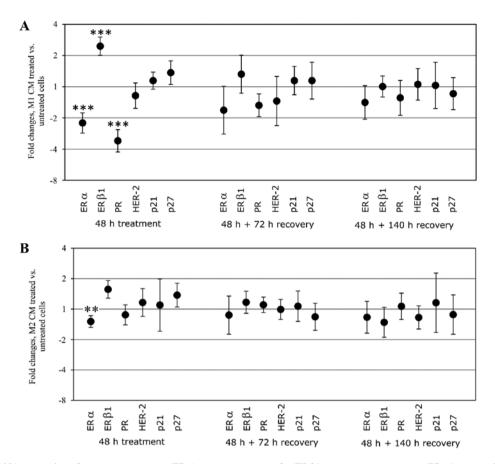


Figure 5. Relative mRNA expression of estrogen receptor  $\alpha$  (ER $\alpha$ ), estrogen receptor  $\beta$ 1 (ER $\beta$ 1), progesterone receptor (PR), human epidermal growth factor receptor 2 (HER-2), p21 and p27 in T47D breast cancer cell line treated with CM from M1 (A) and M2 (B) macrophages. Fold changes were calculated using the  $\Delta\Delta$ Cq method and results are compared with untreated control cells. Results are mean values ± SEM using CM obtained from at least four different macrophage batches from different donors. Asterisks indicate significant differences of  $\Delta$ CT values between treatment and control (\*p<0.05, \*\*p<0.01).

TNF $\alpha$  and NF $\kappa$ B, these upregulated genes had a higher significant upregulation at the start of media collection (0 h) than at the end (48 h) (Table V). Expression of uPAR and MMP-9 mRNA in M0, M1 and M2 macrophages has been previously investigated (32) showing in that study no difference between either phenotype.

## Discussion

Breast cancer, being the most common malignancy in women, constitute a heterogeneous disease in which the status of hormone receptors, HER-2 and Ki67 are routinely used to categorize tumors and to provide predictive information of response to hormonal therapy or targeted treatments. Adenocarcinoma of the breast are frequently infiltrated with TAMs and the aim of the current study was to investigate a possible relationship between such infiltration and the expression of receptors for various hormones as well as for HER-2, Ki67, MMP-9 and uPAR in 17 breast tumor biopsies chosen for neoadjuvant therapy, and in addition in a human breast cancer cell line.

It has been demonstrated that high number of M2 macrophages correlate with poor outcome in breast cancer (27) and that all histological locations of TAM have prognostic value (29). In our current study we noted both intratumoral and stromal dominance of M2 macrophages indicated by a large proportion of CD68<sup>+</sup>/CD163<sup>+</sup> macrophages in all 17 breast tumor biopsies. Effects of macrophages on the markers analyzed in the current study could contribute to the understanding of the underlying mechanisms of TAMs in tumor progression. With regard to uPAR, there are indications that tumor cells which express this receptor may stimulate macrophage polarization towards a more tumor permissive M2 macrophage phenotype with the ability to promote tumor invasion and metastasis (22). uPAR is of major interest in breast cancer, ligation of uPAR has been shown to elicit an activation of uPA a validated biomarker for a worse outcome in breast cancer and also to activate MMP-9 that correlate with poor prognosis in breast cancer (21,33,34).

In the current study, we could demonstrate a significant positive association between the level of uPAR expressed on the surface of the tumor cell and the macrophage score. The number of MMP-9-positive tumor cells was high in all the 17 tumor biopsies selected for neoadjuvant therapy and therefore no association with the level of macrophages or uPAR could be demonstrated. Moreover, a significant positive association between the extent of macrophage infiltration and the expression of Ki67 was found, Ki67 is a proliferation marker and high expression is associated with a more aggressive tumor growth and higher risk of developing recurrent disease (35). Numerous studies have revealed that the expression of the growth factor HER-2 is associated with poor prognosis in breast cancer, however the link between macrophage infiltrates and HER-2 status is inconsistent (25-29). We could not demonstrate a significant positive association between the extent of

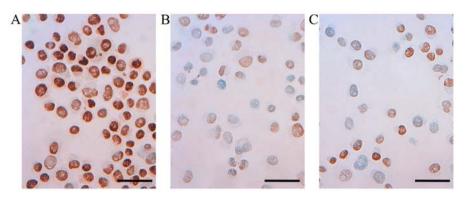


Figure 6. Representative immunoreactivity for estrogen receptor  $\alpha$  (ER $\alpha$ ) in T47D breast cancer cell line (A) untreated T47D control cells (B) T47D cells treated with CM from M1 macrophage phenotype for 48 h (C) T47D cells treated with CM from M2 macrophage phenotype for 48 h (x400 magnification, calibration bar is 50  $\mu$ m in all micrographs).

Table V. mRNA expression levels in macrophages of M1 and M2 phenotypes in comparison to M0.

Target mRNA	M0 0 h	M1 0 h	M2 0 h	M0 48 h	M1 48 h	M2 48 h
IL6	1	U 190-fold <sup>b</sup>	U 10-fold	ND	ND	ND
IL8	1	U 1700-fold <sup>c</sup>	D 2-fold <sup>a</sup>	1	U 26-fold <sup>c</sup>	U 2-fold <sup>a</sup>
IL10	1	D 5-fold <sup>a</sup>	1	1	U 5-fold	1
IL12	1	U 5-fold <sup>c</sup>	1	D 2-fold	1	D 3-fold
CCL2	1	D 2-fold	1	D 2-fold	1	D 8-fold
CCL17	1	D 10-fold	U 4-fold	D 5-fold	ND	U 3-fold
CCL18	1	U 26-fold	U 115-fold	D 2-fold	U 30-fold <sup>a</sup>	U 30-fold
CXCL9	1	U 14,000-fold <sup>c</sup>	1	D 5-fold	U 190-fold <sup>a</sup>	D 3-fold
TNF-α	1	U 95-fold <sup>c</sup>	1	D 2-fold	U 7-fold <sup>a</sup>	D 5-fold <sup>a</sup>
ΝϜκΒ	1	U 9-fold <sup>b</sup>	1	1	U 4-fold <sup>a</sup>	1

<sup>a</sup>p<0.05, <sup>b</sup>p<0.01, <sup>c</sup>p<0.001. ND, not detected; U, upregulation; D, downregulation. 0 h indicate differentiated macrophages; 48 h indicate macrophages 48 h post-differentiation.

macrophage infiltration and the expression of HER-2. Loss of ER $\alpha$  or PR expression in breast cancer gives a worse prognosis and exclude the possibility to treat these patients with hormone blocking therapy (36). We found that the amount of M2 macrophage infiltration is inversely associated with the expression of ER $\alpha$  as well as of PR. These findings support previous reports which suggest that high infiltration of macrophages expressing either CD68 or CD163 is associated with ER $\alpha$  and PR-negative tumors (25-29), and demonstrate another possible route for TAMs in breast cancer to act in an unfavorable manner.

Moreover, we demonstrate that CM from macrophages of M1 and M2 phenotype could decrease the amount of ER $\alpha$ at the mRNA levels *in vitro* in the breast carcinoma cell line T47D. The downregulation of ER $\alpha$  mRNA was accompanied by an apparent decrease in ER $\alpha$  immunoreactivity, as demonstrated by immunocytochemistry.

Our current findings are in concert with those by Stossi *et al* (37). Thus, these authors also reported that conditioned media from THP-1 macrophages induced a loss of expression of ER $\alpha$  mRNA and protein in MCF-7 breast cancer cell line via the involvement of MAPK and c-Jun. There are previous reports of high contents of inflammatory cytokines and of infiltrating leukocytes in ER $\alpha$ -negative tumors (38) and several macrophage-derived cytokines have been implicated in the downregulation of ER $\alpha$  (39). We observed that IL6, IL8, IL12, CXCL9, TNF $\alpha$  and NF $\kappa$ B were upregulated in the M1 macrophages in comparison with the M2 macrophages, although expressed also by M2. TNF- $\alpha$  as well as NF $\kappa$ B have been associated with suppression of ER $\alpha$  *in vitro* (39-41). Moreover, IL8 was overexpressed in ER-negative breast cancer cells (42). A previous observation that IL6 elicited a loss of ER $\alpha$  mRNA expression and caused methylation of the promoter for ER $\alpha$  in MCF-7 cells (43), could not be confirmed in our study. Thus, when the CM was removed and cells were re-cultured in RPMI the expression of hormone receptors was restored after 140 h.

Moreover, the effect of CM from M1 or M2 macrophage phenotypes on cell proliferation, and expression of HER-2, Ki67 and hormone receptors, was investigated in the T47D cell line. After 48 h of treatment, both M1 and M2 macrophage CM caused a decrease in the cell number of T47D compared with untreated controls, with the highest effect elicited by the M1 macrophage. This was accompanied by a reduced protein expression of Ki67 in T47D. However, this finding could not be confirmed in the tumor biopsies where a strong infiltration of macrophages was associated with high Ki67 proliferation index. It is most likely that TAM contribute to tumor growth *in vivo* by means not taken into account in our *in vitro* experiments, for instance by contributing to sustained angiogenesis (23,24).

The prognostic role of the hormone receptor  $ER\beta1$  in breast cancer is less clear (7,8) and whether an association between macrophages and expression of ER<sub>β1</sub> in breast cancer exists is not known. The expression of ERβ1 was high in the 17 breast tumor biopsies analyzed and we could not demonstrate any association between the amount of macrophage and the tumor cell expression of ER $\beta$ 1. However, the mRNA level of ER $\beta$ 1 in T47D cells was upregulated when treated with macrophage CM. Unlike ER $\alpha$ , ER $\beta$  has a putative anti-proliferative effect when binding to its ligand (7). M1 CM caused an accumulation of T47D cells in  $G_0/G_1$  phase and a decrease of cells in S-phase, indicating a cell cycle arrest in  $G_0/G_1$ . This inhibitory effect of M1 CM on T47D cells is in agreement with previous studies on the colon cancer cell line HT-29 and the lung cancer cell line H520 (30,32,44) and suggests that macrophages of the M1 phenotype in breast cancer tumor stroma might have an inhibitory effect and reduce the growth of breast cancer cells. However, it was also stated that CM from M1 macrophage phenotype attenuated the effect of chemotherapy for cells which responds with a  $G_0/G_1$  cell cycle arrest (44). In the current study, we could not observe any changes on the cell cycle inhibitory gene p21 and this has been observed previously in the small cell lung cancer cell line H69 (32).

In conclusion, our *in vivo* and *in vitro* results confirm the potential of the macrophages alone to influence the expression of PR and ER $\alpha$ . We also demonstrated *in vivo* and *in vitro* differences in the influence of Ki67, HER-2 and ER $\beta$ 1, though; our *in vivo* results demonstrated a significant positive association of macrophages and the tumor cell expression of uPAR and Ki67. Our result support previous studies suggesting that macrophages are involved in impairing the prognosis for breast cancer patients and that there could be a reason to control the level of macrophages in some breast carcinoma patients.

#### Acknowledgements

The present study was supported financially by the County Council of Värmland, Karlstad University and Örebro University.

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