

Triple-negative breast cancer therapy: Current and future perspectives (Review)

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Received July 14, 2020; Accepted September 9, 2020

DOI: 10.3892/ijo.2020.5135

Abstract. Triple-negative breast cancer (TNBC) accounts for 10-15% of all breast cancer cases. TNBCs lack estrogen and progesterone receptors and express low levels of HER2, and therefore do not respond to hormonal or anti-HER2 therapies. TNBC is a particularly aggressive form of breast cancer that generally displays poorer prognosis compared to other breast cancer subtypes. TNBC is chemotherapy sensitive, and this treatment remains the standard of care despite its limited benefit. Recent advances with novel agents have been made for specific subgroups with PD-L1⁺ tumors or germline *Brca*-mutated tumors. However, only a fraction of these patients responds to immune checkpoint or PARP inhibitors and even those who do respond often develop resistance and relapse. Various new agents and combination strategies have been explored to further understand molecular and immunological aspects of TNBC. In this review, we discuss clinical trials in the management of TNBC as well as perspectives for potential future treatments.

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1. Introduction

Breast cancer is characterized by heterogeneity at the molecular and clinical levels. Several biomarkers including

estrogen receptor α (ER α), progesterone receptor (PR), and human epidermal growth factor receptor-2 (ERBB2/HER2) have been established, and the main breast cancer subtypes are classified according to their molecular profile (1,2). Traditional staging of breast cancer is based on tumor size, lymph node involvement, and presence of metastasis, and recently biologic markers have been incorporated in the 8th edition of the American Joint Committee on Cancer (AJCC), improving the prognostic discrimination over anatomic staging alone (3).

Triple-negative breast cancer (TNBC) is characterized as having $\leq 1\%$ cellular expression of ER and PR as determined by immunohistochemistry (IHC), and having HER2 expression of 0 to 1+ by IHC, or 2+ by IHC and fluorescence *in situ* hybridization (FISH) negative (i.e. not an amplified gene copy number), according to American Society of Clinical Oncology/College of American Pathologists (ASCO/CAP) guidelines (4,5). TNBCs are comprised of at least four distinct transcriptional subtypes: Two basal subtypes, BL1 and BL2; a mesenchymal subtype M, which is devoid of immune cells; and a luminal androgen receptor (AR) subtype LAR (1,2). TNBC is also subdivided into 6 different subgroups based on molecular heterogeneity: Basal-like; mesenchymal-like; mesenchymal stem-like; luminal AR expression; immunomodulatory; and unstable type (6). TNBC represents approximately 15-20% of all newly diagnosed breast cancers and is generally a more aggressive disease with a poorer prognosis and higher grade than other types of breast cancer, accounting for 5% of all cancer-related deaths annually. The median overall survival (OS) for the disease is 10.2 months with current therapies, with a 5-year survival rate of ~65% for regional tumors and 11% for those that have spread to distant organs (7,8).

In this review, we discuss current TNBC treatments and key examples of improved clinical benefit, as well as new therapeutic strategies with which to treat the disease.

2. Current treatment paradigm

TNBC is chemotherapy sensitive, and this treatment remains the standard of care (SOC). Common chemotherapies include anthracycline (e.g., DNA intercalating agent and topoisomerase II blocker doxorubicin), alkylating agents (e.g., cyclophosphamide), an anti-microtubule agent taxane, and an anti-metabolite fluorouracil (5-FU). The current SOC for newly

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Key words: triple-negative breast cancer, clinical studies, immunotherapy, DNA-damage response, targeted therapy, therapeutic strategy

diagnosed early TNBC consists of neoadjuvant chemotherapy, followed by surgery. For patients with relapsed/refractory TNBC, there is no standard chemotherapy regimen. Responses to treatment are usually short in duration and followed by rapid relapse, and visceral and brain metastases are common. Available therapies for patients with advanced TNBC include anti-metabolites capecitabine and gemcitabine, non-taxane microtubule inhibitor eribulin, and DNA cross-linker platinum. The median progression-free survival (PFS) with chemotherapy ranges from 1.7 to 3.7 months; the median OS from the onset of metastasis is 10 to 13 months. In clinical trials, patients with advanced TNBC treated with single-agent taxane- or platinum-based chemotherapy had a median PFS of 4 to 6 months and a median OS of 11 to 17 months (9-11).

New treatment options for patients with advanced TNBC have recently emerged, especially in cases where surgery is not an option.

TNBC is more immunogenic than other breast cancer subtypes with tumor-infiltrating lymphocytes (TILs) in its microenvironment. However, TNBC also displays a high level of programmed cell death-ligand 1 (PD-L1) expression (12,13). Thus, immunotherapies targeting the programmed cell death-1 (PD-1) receptor/PD-L1 pathway that maintains immunosuppression in the tumor environment in TNBC have been explored and atezolizumab (anti-PD-L1 antibody) in combination with nanoparticle albumin-bound (nab)-paclitaxel was approved as a first-line therapy by the US Food and Drug Administration (FDA) based on the IMpassion130 trial (NCT02425891) in 2019. This immuno-chemotherapy became SOC for patients with PD-L1⁺, unresectable, locally advanced or metastatic TNBC. Note that the survival benefit was exclusively in PD-L1⁺ TNBC patients. The threshold is 1% PD-L1 expression on infiltrating immune cells by an approved companion diagnostic SP142 IHC assay and 41% of enrolled patients showed PD-L1-positive expression in the IMpassion130 trial. This is in contrast to studies in other types of cancer which showed benefit for checkpoint inhibitor therapy even in patients with negative PD-L1 expression. In the first interim analysis of IMpassion130, the median PFS was 7.5 vs. 5.0 months with chemotherapy and the median OS was 25.0 vs. 15.5 months with chemotherapy among patients with PD-L1⁺ tumors (14). In the pre-specified second interim analysis (data cutoff January 2, 2019), the median OS was 25.0 vs. 18.0 months with chemotherapy. Overall, the combination was well-tolerated and immune-related adverse events (AEs) included rash, hypothyroidism, and pneumonitis (15). Another immunotherapy, pembrolizumab (anti-PD-1 antibody), was approved in 2017 as a histology agnostic immunotherapy in all microsatellite instability-high (MSI-H) and/or mismatch repair deficient (dMMR) tumors. This is the first FDA-approved cancer treatment based on a tumor biomarker without regard to the original location of the tumor. However, MSI-H is rare in breast cancer (<2%) (16-18).

BRCA1 and BRCA2-deficient tumors exhibit impaired homologous recombination repair (HRR) and synthetic lethality with poly(ADP-ribose) polymerase (PARP) inhibitors (19,20). The FDA approved olaparib and talazoparib in 2018 to treat advanced-stage HER2-negative breast cancer in individuals with a *Brca1* or *Brca2* mutation. The FDA also approved the companion diagnostic test to identify germline *Brca*-mutated

(gBRCAm) breast cancer patients. Approximately 5% of patients with breast cancer carry a gBRCAm. Olaparib approval was based on data from the OlympiAD Phase III (NCT02000622) trial comparing olaparib to physician's choice of chemotherapy (capecitabine, vinorelbine or eribulin). Olaparib was associated with a 42% increase in median PFS as compared to the control group (7 vs. 4 months) in gBRCAm HER2-negative metastatic breast cancer patients with previous chemotherapy (21). There was no statistically significant improvement in OS with olaparib compared to the control group (19.3 vs. 17.1 months), but there was potential OS benefit among patients with no prior chemotherapy for metastatic breast cancer (HR 0.51, 95% CI 0.29-0.90) (22). Olaparib was generally well-tolerated, with no evidence of cumulative toxicity including the risk of developing anemia during extended exposure. Talazoparib approval was based on data from the EMBRACA Phase III (NCT01945775) trial comparing talazoparib to gemcitabine or to the same physician choice of standard therapy as the OlympiAD trial. Talazoparib increased median PFS by 46% (8.6 vs. 5.6 months) in gBRCAm HER2-negative locally advanced or metastatic breast cancer patients with previous chemotherapy including an anthracycline and/or taxane. Talazoparib presented with hematologic grade 3-4 AEs (primarily anemia), which occurred in 55 vs. 38% of the patients with standard therapy, and an improved side-effect profile in patient-reported outcomes (23).

3. Investigational drugs

To improve therapeutic benefit in TNBC treatment, various agents have been explored in clinical studies. They include immuno- and targeted-therapies in the networks of tumor-stroma, DNA damage response (DDR), cell surface or intracellular receptors, and signaling pathways as well as cell surface markers for selective drug delivery, and antibody-drug conjugates (ADCs) (Fig. 1). As of March 2020, 399 ongoing studies for TNBC have been listed on ClinicalTrials.gov and select Phase III studies are listed in Table I.

Immunotherapy: Immune checkpoint. TILs are frequent in TNBC, correlate with increased pathologic complete response (pCR) to neoadjuvant chemotherapy, and are predictive of disease-free survival (DFS) and OS in early-stage TNBC (24-26). Expression of immune regulatory checkpoints is an adaptive method of tumor resistance to infiltrating lymphocytes within the tumor microenvironment. Multiple strategies have been used to enhance the response to PD-1/PD-L1 blockade in pre-clinical and early clinical studies, including several intratumoral immune modulators and targeted agents (27). The activity of immunotherapy, such as immune checkpoint inhibitors, can be enhanced by chemotherapeutic agents through the stimulation/release of antigens, thus leading to promotion of immunogenic cell death. Currently, clinical trials investigating the use of immune checkpoint inhibitors are ongoing either as a single agent or in various combinations with other agents beyond the metastatic setting and even in the first-line setting (28).

Neoadjuvant treatment. Studies determining benefit from neoadjuvant checkpoint inhibitor therapy have yielded mixed outcomes. Neoadjuvant chemotherapy with pembrolizumab

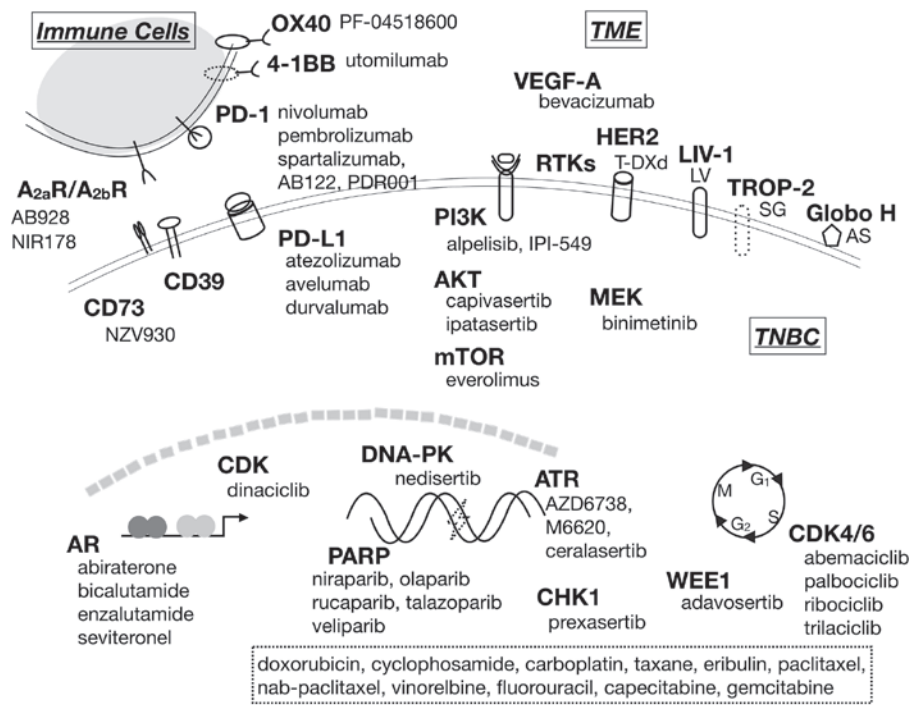


Figure 1. Immuno- and targeted-therapies in key TNBC clinical studies. Various agents in the networks of TNBCs and immune cells have been explored, as well as tumor-stroma interactions in the tumor microenvironment (TME). Targets and agents relevant to immune checkpoint, cell surface or intracellular receptors, signaling pathways, DNA damage response, and cell cycle checkpoint are shown. Various chemotherapy agents are listed in the box. AS, Adagloxad simolenin; LV, Ladiratuzumab vedotin; SG, Sacituzumab govitecan-hzyi; T-DXd, tastuzumab deruxtecan; TNBC, triple-negative breast cancer; A_{2a}R, adenosine 2A receptor; A_{2b}R, 2B receptor; PD-1, programmed cell death-1; PD-L1, programmed cell death-ligand 1; VEGF-A, vascular endothelial growth factor A; RTKs, receptor tyrosine kinases; PARP, poly(ADP-ribose) polymerase; CDK, cyclin-dependent kinase; CD, cluster of differentiation; ATR, ataxia telangiectasia and Rad3-related kinase; CHK1, checkpoint kinase 1; DNA-PK, DNA-dependent protein kinase; AR, androgen receptor; PI3K, phosphatidylinositol 3-kinase.

have demonstrated manageable safety and promising anti-tumor activity for patients with early-stage TNBC in the KEYNOTE-173 Phase 1b (NCT02622074) (29) and I-SPY2 Phase II (NCT01042379) trials (30). The KEYNOTE-522 Phase III trial (NCT03036488) further explored neoadjuvant chemotherapy with or without pembrolizumab followed by surgery and pembrolizumab or placebo adjuvantly. The neoadjuvant combination showed a significantly higher pCR rate than the placebo-chemotherapy group (65 vs. 51%). Note that a similar pCR benefit (~15%) in both the PD-L1-positive and -negative subgroups was observed, suggesting that neoadjuvant pembrolizumab may benefit patients regardless of PD-L1 levels. This is different from the advanced setting where only the PD-L1-positive patients benefit from atezolizumab. The toxicity profiles were as expected for each treatment, with similar rates (78 vs. 73%) of grade ≥ 3 treatment-related AEs (TRAES) (31).

NeoTRIPaPDL1 Phase III (NCT02620280) trial also explored neoadjuvant chemotherapy with or without atezolizumab followed by surgery and four cycles of an anthracycline regimen. However, in this trial for patients with early-stage high-risk or locally advanced unilateral breast cancer there was no improvement in pCR with the combination therapy (44 vs. 41% with the control arm) (32). Note that the neoadjuvant chemo-regimen was different from KEYNOTE-522 which included another round of chemotherapy following carboplatin and nab-paclitaxel. The difference in the targets, PD-1 for pembrolizumab vs. PD-L1 for atezolizumab, may

also have contributed to the different outcomes. Another Phase III (NCT03197935) trial, IMpassion031 study also explored atezolizumab in combination with chemotherapy (nab-paclitaxel followed by doxorubicin and cyclophosphamide) in comparison to placebo plus chemotherapy in the neoadjuvant setting. Treatment with atezolizumab continued adjuvantly for those in the combination arm of the study (33). The primary endpoint was pCR.

In the advanced setting. As a first-line treatment option for patients with locally recurrent, inoperable or metastatic TNBC, pembrolizumab was evaluated in combination with investigator's choice of chemotherapy (*i.e.* nab-paclitaxel, paclitaxel or gemcitabine/carboplatin), compared to placebo plus chemotherapy (KEYNOTE-355 Phase III trial, NCT02819518). A significant PFS benefit with the pembrolizumab-chemo combination in patients whose tumors expressed PD-L1 (CPS ≥ 10) was reported (9.7 vs. 5.6 months for chemotherapy alone) (34). The study is currently in progress to evaluate OS, the other primary endpoint of the trial.

In contrast to other studies of immunotherapy combined with SOC chemotherapy, the Tonic trial (NCT02499367) in metastatic TNBC was based on an adaptive trial design that explores a sequential treatment with anti-PD-1 antibody nivolumab after 2 weeks of chemotherapy or radiotherapy. The hypothesis is that short-term treatment induces a more favorable tumor microenvironment that would enhance sensitivity to immune checkpoint blockade in TNBC. The highest overall

Table I. Current phase III studies concerning TNBC.

Therapeutic approach	Treatment	TNBC patient population	Recruitment status	No. of patients	Study start; Primary completion(month/day/year)	ClinicalTrials.gov Identifier
Neoadjuvant therapy: Immuno + chemotherapy (NeoTRIPaPDL1)	(Carbo/nab-pac) +/- atezolizumab. Then, four cycles of AC, EC or FEC as adjuvant chemotherapy	Early high-risk and locally advanced	Active, not recruiting	278	4/1/2016; May 2022	NCT02620280
Immuno + chemotherapy as neoadjuvant therapy and immunotherapy as adjuvant therapy (KEYNOTE-522)	(Pac/carbo, followed by AC or EC) +/- pembrolizumab as neoadjuvant therapy prior to surgery. Then, pembrolizumab vs. placebo as adjuvant therapy post-surgery	Locally advanced	Active, not recruiting	1,174	3/7/2017; 9/30/2025	NCT03036488
Neoadjuvant therapy: Immuno + chemotherapy (IMpassion031)	(Nab-pac +/- atezolizumab), followed by AC	Eligible for surgery with initial clinically assessed primary invasive (early stage)	Active, not recruiting	324	7/24/2017; 9/30/2020	NCT03197935
Neoadjuvant therapy: Immuno + chemotherapy	(Carbo/pac, then AC or EC) +/- atezolizumab, followed after surgery by atezolizumab or placebo	No metastatic disease	Recruiting	1,520	12/19/2017; 12/31/2023	NCT03281954
Immuno + chemotherapy as neoadjuvant therapy and immunotherapy as adjuvant therapy	(Nab-pac/carbo, followed by AC or EC) +/- HLX10 (anti-PD-1) as neoadjuvant therapy prior to surgery. Then, HLX10 vs. placebo as adjuvant therapy post-surgery	Previously untreated and potentially resectable patients without distant metastasis	Not yet recruiting	522	4/17/2020; 9/7/2022	NCT04301739
Immunotherapy: Immune stimulant following neoadjuvant or adjuvant chemotherapy	Adagloxad simolenin (OBI 822)/OBI-821 vs. placebo	Early stage at high risk for recurrence; defined as residual invasive disease following neoadjuvant chemotherapy or ≥ 4 positive axillary nodes. The Globo H IHC assay for identifying eligible patient	Recruiting	668	12/5/2018; 11/30/2025	NCT03562637
PARP inhibitor + chemotherapy as neoadjuvant therapy (BrighTNess)	[(Veliparib/pac/carbo) vs. (pac/carbo) vs. pac], followed by AC	Early stage	Active, not recruiting	634	4/2/2014; 3/18/2016; (10/18/2020 ^a)	NCT02032277

Table I. Continued.

Therapeutic approach	Treatment	TNBC patient population	Recruitment status	No. of patients	Study start; Primary completion(month/day/year)	ClinicalTrials.gov Identifier
PARP inhibitor + chemotherapy as neoadjuvant therapy (PARTNER, Phase II/III)	(Pac/carbo) +/- olaparib	TNBC and/or gBRCAm positive breast cancer	Recruiting	527	5/1/2016; January 2022	NCT03150576
Adjuvant therapy: Immunotherapy (A-Brave)	Avelumab (anti-PD-L1) vs. observation	High-risk; completed treatment with curative intent including surgery of the primary tumor, neo- or adjuvant chemotherapy, and (if indicated) radiotherapy	Recruiting	335	June 2016; June 2021	NCT02926196
Adjuvant therapy: Immunotherapy	Pembrolizumab adjuvant therapy vs. no therapy	TNBC or low ER-positive and/or HER2 borderline breast cancer who have ≥1 cm residual invasive breast cancer and/or positive lymph nodes after neoadjuvant chemotherapy	Recruiting	1,000	11/15/2016; 5/31/2026	NCT02954874
Adjuvant therapy: Immuno + chemotherapy (IMpassion030)	Pac +/- atezolizumab, followed by dose-dense AC or EC alone	Stage II-III operable	Recruiting	2,300	8/2/2018; 1/15/2022	NCT03498716
Immuno + chemotherapy (IMpassion130)	Nab-pac +/- atezolizumab	Previously untreated locally advanced or metastatic	Active, not recruiting	900	6/23/2015; 4/14/2020	NCT02425891
Single agent immunotherapy (KEYNOTE-119)	Pembrolizumab vs. chemotherapy (capec, eribulin, gem, or vinorelbine)	Metastatic (second/third lines)	Active, not recruiting	622	10/13/2015; 4/11/2019	NCT02555657
Immuno + chemotherapy (KEYNOTE-355)	(Nab-pac or pac or gem/carbo) +/- pembrolizumab	Previously untreated locally recurrent inoperable or metastatic	Active, not recruiting	882	7/27/2016; 12/30/2019	NCT02819518
Immuno + chemotherapy (IMpassion131)	Pac +/- atezolizumab	Previously untreated, inoperable locally advanced or metastatic	Recruiting	600	8/25/2017; 11/15/2019	NCT03125902
Immuno + chemotherapy (IMpassion132)	(Gem/capec/carbo) +/- atezolizumab	Early relapsing recurrent (inoperable locally advanced or metastatic)	Recruiting	540	1/11/2018; 1/1/2023	NCT03371017

Table I. Continued.

Therapeutic approach	Treatment	TNBC patient population	Recruitment status	No. of patients	Study start; Primary completion(month/day/year)	ClinicalTrials.gov Identifier
Immuno + chemotherapy (TORCHLIGHT)	Nab-pac +/- toripalimab (anti-PD-1)	First/second-line treatment of metastatic or recurrent	Recruiting	600	12/21/2018; 2/28/2022	NCT04085276
Immuno + chemotherapy (ELISSAR)	Single arm: (Nab-pac or pac) + atezolizumab	PD-L1-positive unresectable locally advanced or metastatic; not received prior systemic cytotoxic therapy	Recruiting	280	12/17/2019; 6/28/2024	NCT04148911
PARP inhibitor + immunotherapy as the post-induction therapy (KEYLYNK-009, Phase II/III)	Olaparib + pembrolizumab vs. (carbo/gem) + pembrolizumab after induction with first-line (carbo/gem) + pembrolizumab	Locally recurrent inoperable or metastatic	Recruiting	932	12/19/2019; 1/26/2026	NCT04191135
AKT inhibitor + immuno + chemotherapy	Cohort 1 (PD-L1 non-positive): paclitaxel (P)/ipatasertib (I)/atezolizumab (A) vs. P/I vs. P; Cohort 2 (PD-L1 positive): P/I/A vs. P/A	Locally advanced unresectable or metastatic	Recruiting	1,155	11/25/2019; 10/10/2025	NCT04177108
AKT inhibitor + chemotherapy (IPATunity130)	Pac +/- ipatasertib	PIK3CA/AKT1/PTEN-altered, locally advanced or metastatic TNBC and locally advanced or metastatic HR ⁺ /HER2-breast adenocarcinoma, not suitable for endocrine therapy	Recruiting	450	1/6/2018; 12/22/2021	NCT03337724
AKT inhibitor + chemotherapy as first line therapy (CapItello290)	Pac +/- capivasertib	Locally advanced (inoperable) or metastatic	Recruiting	800	6/25/2019; 9/1/2021	NCT03997123
PI3K inhibitor + chemotherapy (EPIK-B3)	Nab-pac +/- alpelisib	Advanced; a PIK3CA mutation (Study Part A) or PTEN loss without PIK3CA mutation (Study Parts B1 and B2)	Not yet recruiting	566	4/22/2020; 3/19/2024	NCT04251533

Table I. Continued.

Therapeutic approach	Treatment	TNBC patient population	Recruitment status	No. of patients	Study start; Primary completion(month/day/year)	ClinicalTrials.gov Identifier
AR antagonist as first line therapy (SYSUCC-007)	Bicalutamide vs. (docetaxel/capecitabine or gem/docetaxel or gem/carbo)	AR-positive metastatic	Recruiting	262	12/1/2016; December 2020	NCT03055312
Amino acid metabolism target + chemotherapy as first line therapy (TRYbeCA-2, Phase II/III)	(Gem/carbo) +/- eryaspase (L-asparaginase encapsulated inside a donor-derived red blood cell)	Locally recurrent or metastatic; not received prior systemic therapy	Recruiting	64	6/13/2019; December 2020	NCT03674242
Antibody-drug conjugate (ASCENT)	Sacituzumab Govitecan vs. (eribulin, capecitabine, gem, vinorelbine)	Refractory/relapsed metastatic	Active, not recruiting	529	11/3/2017; April 2020	NCT02574455

TNBC, triple-negative breast cancer; AR, androgen receptor; A, doxorubicin; C, cyclophosphamide; Cape, capecitabine; Carbo, carboplatin; E, epirubicin; F, fluorouracil; Gem, gemcitabine; Nab-pac, Nab-paclitaxel; Pac, paclitaxel; gBRCAm, germline BRCA-mutated. *Estimated Study Completion Date.

response rate (ORR) was observed with doxorubicin induction (35%) followed by nivolumab/doxorubicin. Doxorubicin induction also upregulated immune-related genes as well as inflammation, JAK-STAT, and TNF- α signaling-related genes, suggesting a more favorable tumor microenvironment induced by these chemotherapies (35). The InCITE Phase II trial (NCT03971409) also includes a two-week induction of binimetinib (MEK inhibitor), utomilumab (4-1BB agonist), or PF-04518600 (anti-OX40 antibody) which may help activate the immune system. The trial explores how well anti-PD-L1 antibody avelumab might work with one of those agents after induction in stage IV or unresectable and recurrent TNBC.

Immunotherapy: Adenosine pathway. Adenosine is catabolized from ATP and often overproduced and released by tumor cells. It is also converted from extracellular nucleotides by the plasma membrane protein, cluster of differentiation 73 (CD73), which is upregulated in many cancer types (36,37). The excess adenosine in the tumor microenvironment activates the adenosine 2A receptor (A_{2a}R) and 2B receptor (A_{2b}R) (38,39) which are highly expressed on the cell surfaces of lymphocytes and myeloid cells, respectively, leading to immunosuppressive effects (Fig. 2). Targeting these receptors and enzymes could lead to reactivation of antitumor immunity by abrogating the inhibitory effect on the immune system and enhancing the cytotoxic T lymphocyte (CTL)-mediated immune response (40,41).

Combinations of adenosine pathway inhibitors and immune checkpoint inhibitors have been explored in clinical trials. NZV930 (SRF373) is an anti-CD73 monoclonal antibody that binds to CD73 on tumor cells, leading to internalization of CD73, thereby preventing CD73-mediated conversion of extracellular AMP to adenosine. A Phase I/Ib study (NCT03549000) is underway to evaluate NZV930 alone and in combination with PD-1 inhibitor PDR001 and/or A_{2a}R antagonist NIR178 in patients with advanced malignancies including TNBC. NIR178 is an antagonist of A_{2a}R, blocking adenosine/A_{2a}R-mediated inhibition of T lymphocytes. A Phase II study (NCT03207867) is underway for NIR178 in combination with PD-1 inhibitor spartalizumab in multiple solid tumors and diffuse large B-cell lymphoma (DLBCL) to assess if the addition of the adenosine antagonist improves the efficacy of PD-1 inhibition. A dual adenosine A_{2a}R/A_{2b}R receptor antagonist, AB928, is currently being evaluated in a Phase I study (NCT03629756) in combination with the PD-1 inhibitor AB122 in patients with advanced malignancies. Early results show a favorable safety profile of AB928 combination therapy and predictable PK/PD correlation (42).

DNA-damage response: PARP. Approximately 60-70% of breast cancer patients with an inherited *Brca1/2* mutation are TNBC subtype and 10-30% of TNBC patients harbor a *Brca* pathogenic variant (43,44). A condition defined as 'BRCAness' (45), which includes mutations in HRR genes through genetic or epigenetic inactivation, leads to susceptibility to both platinum and PARP inhibitors. Various PARP inhibitors (e.g. veliparib, niraparib, and rucaparib as well as olaparib and talazoparib) have been assessed in the neoadjuvant and adjuvant settings and in combination with other agents.

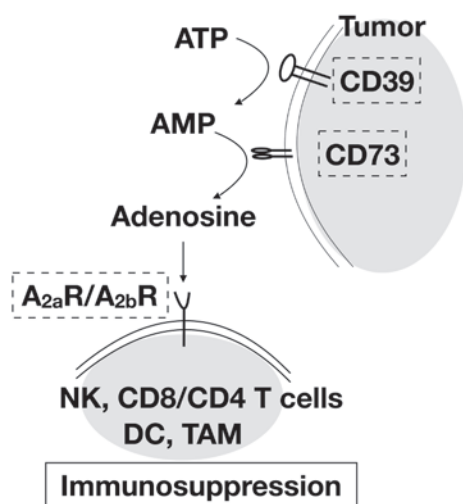


Figure 2. ATP-adenosine pathway. Adenosine is generated from ATP by CD39 and CD73. It binds to A₂ receptors on immune cells and blocks T cell priming, expansion, and activation, natural killer (NK) cell degranulation, dendritic cell (DC) maturation and activation, and tumor-associated macrophage (TAM) M1 polarization, thus leading to immunosuppression. ATP, adenosine triphosphate; AMP, adenosine monophosphate; CD, cluster of differentiation.

Neoadjuvant and adjuvant settings. A PARP inhibitor appears to have efficacy for neoadjuvant treatment of patients with gBRCAm TNBC. Talazoparib achieved encouraging pCR in patients with gBRCAm breast cancer, including TNBC, and HR⁺ breast cancer, as a neoadjuvant single-agent without the addition of chemotherapy (46). Currently a larger, multicenter, neoadjuvant Phase II trial (NCT03499353) is ongoing. However, the addition of a PARP inhibitor to standard neoadjuvant chemotherapy was found to be not beneficial. In the BrightNess Phase III trial (NCT02032277) the addition of PARP inhibitor veliparib to carboplatin and paclitaxel followed by doxorubicin and cyclophosphamide did not improve pCR whereas the addition of veliparib and carboplatin to paclitaxel did. Therefore, the addition of carboplatin but not veliparib to paclitaxel was proposed as a potential component of neoadjuvant chemotherapy for patients with high-risk TNBC (47).

PARP inhibitors have also been studied as an adjuvant single-agent therapy. The OlympiA Phase III trial (NCT02032823) was designed to assess olaparib in patients with gBRCAm and high-risk HER2-negative breast cancer who completed definitive local treatment and neoadjuvant or adjuvant chemotherapy. The primary outcome measure will be invasive DFS with a time frame of up to 10 years.

In combination with immunotherapy. A crosstalk exists between PARP inhibition and the PD-L1/PD-1 immune checkpoint axis. PARP inhibitors upregulate PD-L1 expression on tumor cells by inhibiting glycogen synthase kinase 3 beta (GSK3 β) and activating the cGAS-STING pathway (48). Thus, primary/acquired resistance to PARP inhibitors seems to be associated with the development of immune evasion mechanisms. Multiple clinical studies are underway to assess synergy between therapeutic strategies of PARP inhibition and immune checkpoint blockers.

In platinum-resistant, advanced, or metastatic TNBC, niraparib combined with pembrolizumab

(TOPACIO/KEYNOTE-162 Phase II trial, NCT02657889) showed higher response rates in patients with tumor *Brca* mutations (tBRCAm): ORR of 28% in all (biomarker-unselected) patients vs. 60% for tBRCAm patients. The combination therapy was safe with a tolerable safety profile (49).

In MEDIOLA Phase I/II trial (NCT02734004) the combination of olaparib and durvalumab showed ORR of 63% in a cohort of patients with gBRCAm metastatic breast cancer (50). In the I-SPY 2 Phase II study (NCT01042379), adding the same combination to neoadjuvant paclitaxel led to improved pCR rates in patients with high-risk, HER2-negative stage II/III breast cancer compared with single-agent paclitaxel. In those with TNBC, the pCR rate was 47 vs. 27% with paclitaxel alone. AEs were consistent with the known safety profiles of each agent alone (51). In metastatic TNBC, the efficacy of induction treatment of olaparib followed by the combination treatment of olaparib and durvalumab is being assessed in a Phase II study (NCT03801369) (52). Patients with ≤ 2 prior chemotherapy regimens for metastatic breast cancer are eligible, but patients with gBRCAm TNBC are excluded. The primary end point is ORR.

The DORA Phase II trial (NCT03167619) is evaluating olaparib as a maintenance therapy with or without durvalumab in patients with advanced TNBC who achieve at least stable disease after 3 cycles of platinum-based chemotherapy. Another study of a PARP inhibitor as a maintenance therapy, KEYLYNK-009 Phase II/III trial (NCT04191135), is underway in metastatic TNBC to assess the efficacy of olaparib plus pembrolizumab vs. chemotherapy plus pembrolizumab after induction with first-line chemotherapy plus pembrolizumab (53).

In combination with DDR-HRR pathway inhibitors. Resistance to PARP inhibitors can occur in certain cancer contexts by various mechanisms, including increased HRR capacity and decreased cell cycle progression and DNA replication stress. RAD51 overexpression has been observed in a wide range of human cancers, particularly TNBCs and serous ovarian cancers (54,55). Upregulation of RAD51 in BRCA1-defective cells is also associated with resistance to PARP inhibitor (56,57). Inhibitors of key mediators of DNA repair and replication, such as ataxia telangiectasia mutated kinase (ATM), ataxia telangiectasia and Rad3-related kinase (ATR), checkpoint kinase 1 (CHK1) and checkpoint kinase 2 (CHK2), DNA-dependent protein kinase (DNA-PK), and WEE1 kinase (Fig. 3) have been assessed to determine if they can sensitize tumor cells to treatment with PARP inhibitors, as these inhibitors were found to prevent the accumulation of RAD51 in TNBC (58).

The VIOLETTE Phase II study (NCT03330847) was set up to assess the combinatory inhibition of PARP and a component of the ATR-CHK1-WEE1 axis. Olaparib with DDR kinase ATR inhibitor AZD6738 was compared to olaparib monotherapy in the second- or third-line setting of metastatic TNBC. Patients were stratified by *Brca* and HRR gene mutation status and the primary endpoint was PFS (59). The study also included a combination arm of olaparib with the first-in-class WEE1 inhibitor adavosertib. WEE1 inhibitor was found to potentiate the activity of DNA-damaging agents in preclinical TNBC models (60,61) and its potential clinical

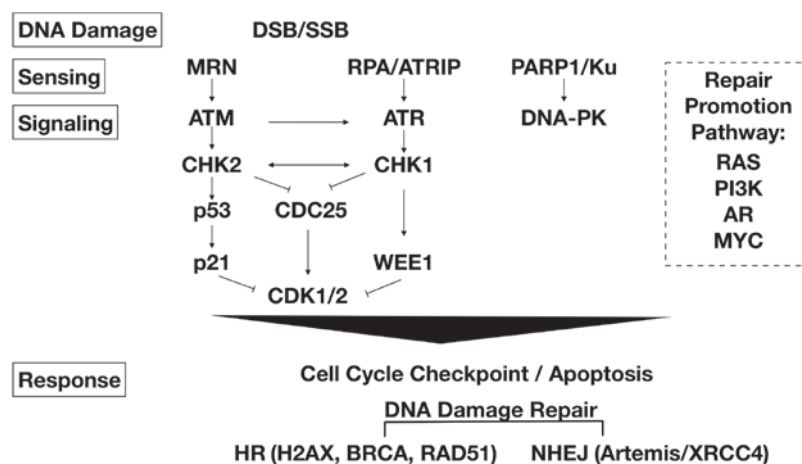


Figure 3. DNA damage response pathways. Double-strand breaks (DSB) or single-strand breaks (SSB) activate DNA damage response (DDR) pathways, leading to cell cycle arrest and DNA repair or cell death depending on cell context. PARP1 senses DNA breaks and is involved in SSB repair. Oncogenic pathways including RAS, PI3K, AR, and MYC signaling can affect HR repair activity and contribute to resistance to PARP inhibitor treatment. MRN, MRE11-RAD50-NBS1 complex; ATRIP, ATP interacting protein; HR, homologous recombination; NHEJ, nonhomologous end joining; H2AX, histone H2AX; XRCC4, X-ray repair cross-complementing protein 4; ATR, ataxia telangiectasia and Rad3-related protein; CHK1/2, checkpoint kinase 1/2; CDK1/2, cyclin-dependent kinase 1/2; DNA-PK, DNA-dependent protein kinase; AR, androgen receptor; PI3K, phosphatidylinositol 3-kinase.

value was observed in a Phase I study in patients with *Brca* mutations (62). However, the combination treatment arm of olaparib and adavosertib was discontinued in the VIOLETTE study and patients were offered the opportunity to continue treatment on olaparib monotherapy. The CHK1 inhibitor prexasertib in combination with olaparib was also explored in early clinical trials (63), but development of prexasertib was discontinued by the sponsor in 2019.

Intracellular signaling pathway targets

PI3K/AKT pathway. A wide range of malignancies including TNBC show dysregulated phosphatase and tensin homolog (PTEN)/phosphoinositide 3-kinases (PI3K)/protein kinase B (AKT)/mammalian target of rapamycin (mTOR) signaling due to mutations in multiple signaling components. Loss of PTEN, a negative regulator of AKT, was found to be correlated with decreased T-cell infiltration at tumor sites in patients, and inhibition of the PI3K-AKT pathway re-sensitized to T-cell-mediated immunotherapy (64). As the PI3K/AKT pathway has emerged as a potential mechanism of resistance to immunotherapy and chemotherapy, multiple clinical trials have assessed inhibitors of the various pathway components.

Alpelisib is an oral PI3K inhibitor that selectively inhibits p110 α . It showed efficacy in targeting *Pik3ca*-mutated breast cancer (65) and was FDA approved in 2019 in combination with fulvestrant for postmenopausal women and men, with HR⁺, HER2-negative, *Pik3ca*-mutated, advanced or metastatic breast cancer following progression on or after an endocrine-based regimen. For patients with advanced TNBC, the EPIK-B3 Phase III trial (NCT04251533) is planned with study start date of April 2020 to assess alpelisib in combination with nab-paclitaxel. Patients have *Pik3ca* mutations or PTEN loss with ≤ 1 prior line of therapy for metastatic disease.

IPI-549 is a selective PI3K-gamma inhibitor targeting immune-suppressive tumor-associated myeloid cells. The MARIO-3 Phase II study (NCT03961698) was designed to explore the addition of IPI-549 to the FDA approved regimen

atezolizumab/nab-paclitaxel in front-line TNBC. Cohort A will be composed of patients with locally advanced, metastatic TNBC, which will include two sub-cohorts based on PD-L1 IHC status. The primary objective is CR rate.

Ipatasertib and capivasertib are pan-AKT inhibitors that bind to all three isoforms of AKT. Both are now in Phase III trials evaluating the efficacy of combination with paclitaxel as first-line therapy for locally advanced or metastatic TNBC. In the LOTUS Phase II trial, adding ipatasertib to first-line paclitaxel improved PFS, particularly in patients with PTEN/PI3K/AKT-altered tumors (HR, 0.44) (66). In this subgroup of patients, median OS was 23.1 vs. 16.2 months with placebo (HR, 0.65) (67). To confirm the findings from LOTUS, the IPATunity130 Phase III trial (NCT03337724) is evaluating ipatasertib + paclitaxel for PTEN/PI3K/AKT-altered advanced TNBC or HR⁺, HER2-negative breast cancers. The primary endpoint is PFS (68). An independent trial also supported the potential benefit for addition of AKT inhibitor to chemotherapy. In the PAKT Phase II study (NCT02423603), addition of the oral AKT inhibitor capivasertib to first-line paclitaxel resulted in significantly longer PFS and OS in patients with advanced TNBC, especially in patients with PTEN/PI3K/AKT-altered tumors. The median PFS duration was 5.9 vs. 4.2 months with placebo, meeting the predefined significance level, and better benefit in patients with PTEN/PI3K/AKT-altered tumors with median PFS of 9.3 months (HR, 0.30). The median OS was prolonged by 6.5 months with capivasertib (69). The most common AEs of grade ≥ 3 were diarrhea, infection, rash, and fatigue, similar to those observed with ipatasertib in the LOTUS trial. The CAPitello-290 Phase III trial (NCT03997123) is underway and the primary endpoints are PFS and OS (70).

Efficacy of immunotherapy was also found to be enhanced by AKT inhibitors as a first-line therapy for locally advanced/metastatic TNBC. Phase Ib study (NCT03800836) was designed to evaluate the triplet combination of ipatasertib (I), atezolizumab (A), and paclitaxel or nab-paclitaxel (P). Preliminary efficacy and safety data up to January 5, 2019 showed that the triplet regimen had promising antitumor

activity (73% confirmed ORR), irrespective of biomarker PD-L1 status or PTEN/PI3K/AKT alteration status, and manageable toxicity (71). In Phase III trial (NCT04177108), patients were enrolled in two cohorts according to PD-L1 status: Cohort 1 for PD-L1-negative tumors and cohort 2 for PD-L1-positive tumors. Three arms, P + I + A vs. P + I vs. P, will be evaluated in cohort 1 and 2 arms, P + I + A vs. P + A, will be evaluated in cohort 2.

CDK4/6/Rb/E2F pathway. The G₁-S phase checkpoint of the cell cycle is regulated by CDK4/6 activity which is controlled by their binding partners D-type cyclins and p16 INK4 inhibitor. The active CDK4/6-cyclin D complex phosphorylates the retinoblastoma (Rb) protein, thereby activating E2F function and transition from G₁ to S phase of the cell cycle (72). The FDA approved CDK4/6 inhibitors palbociclib, ribociclib, and abemaciclib based on improvements in PFS for the treatment of ER⁺, HER2-negative advanced or metastatic breast cancer in combination with an endocrine therapy. TNBCs with a Rb⁺, p16 INK4-negative profile might represent the subpopulation of TNBC suitable for treatment with CDK4/6 inhibitors.

Preclinical combination studies of CDK4/6 inhibitors with chemotherapy suggest that the timing and sequence of drug exposure/drug delivery schedule might play a critical role in drug activity, and the evaluation of different schedules of treatment may represent a new approach (73,74). The hypothesis was that reversible G₁ arrest of palbociclib could synchronize tumor cells in the cell cycle and following their re-entry later would ensure a higher fraction in mitosis (M) phase when exposed to paclitaxel. In the first combination trial for palbociclib and paclitaxel (NCT01320592) an alternative dosing schedule was feasible and safe, without evidence of additive toxicity in Rb⁺ breast cancer regardless of subtype (75). Phase I follow-up trial (NCT02599363) of ribociclib and weekly paclitaxel is in progress in patients with Rb⁺ advanced breast cancer. In this study, pharmacodynamic, histologic, and imaging biomarkers will be utilized to confirm synchronization and schedule and identify a patient population that benefits from this treatment approach.

The standard chemotherapy regimen causes treatment-limiting cumulative myelosuppression that may compromise antitumor efficacy in TNBC. CDK4/6 inhibitors induce transient G₁ arrest in immune cells and hematopoietic stem and progenitor cells, potentially helping to preserve T-cell function and bone marrow. To test this hypothesis, an investigational CDK4/6 inhibitor trilaciclib in combination with gemcitabine and carboplatin was explored to evaluate benefit for patients with ≤ 2 prior chemotherapy regimens in metastatic TNBC. Phase II trial (NCT02978716) was negative for a safety-related primary endpoint (i.e. no difference in the frequency or duration of severe grade 4 neutropenia). However, the median OS was improved by more than 60%, which was likely due to increased chemotherapy duration and exposure. Trilaciclib-treated patients also had a higher number of activated CD8⁺ T cells over the first 5 cycles of chemotherapy, which potentially enhanced antitumor immunity (76).

MYC and CDK. Transcription factor c-MYC triggers selective gene expression to promote cell growth and proliferation. It is amplified in several different cancer types including TNBC,

functioning as a proto-oncogene (77). c-MYC compensates for BRCA loss by upregulating HRR through increased RAD51 expression (55,78). TNBC patients with high c-MYC and RAD51 expression exhibit poor prognosis and less favorable response to chemotherapy and PARP inhibitors (55,57,79). c-MYC blockade in TNBC was found to be synthetic lethal with PARP inhibitors, independent of BRCA status (80). c-MYC pathway activation in TNBC is also synthetic lethal with CDK inhibition (81). Dinaciclib is a pan-CDK (CDK1/2/5/9) inhibitor and the combination with PARP1 inhibitor veliparib is currently being pursued in patients with advanced solid tumors for which no curative therapy exists (Phase I trial, NCT01434316). Dinaciclib induced immunogenic cell death (ICD) but also increased expression of PD1 on tumor-infiltrating T cells and expression of PD-L1 on tumor cells, thus limiting its antitumor effect in preclinical studies. However, dinaciclib inhibits tumor growth in combination with anti-PD-1 (82). Phase Ib trial (NCT01676753) was designed to evaluate the efficacy of combined dinaciclib and pembrolizumab in patients with metastatic or locally advanced and unresectable TNBC. Its clinical benefit rate was 47% in preliminary efficacy analysis and high c-MYC expression correlated significantly with clinical response, warranting further validation of c-MYC as a predictive biomarker of response to CDK/checkpoint inhibitors (83).

AR antagonists. The androgen receptor (AR) is an intracellular steroid receptor that dimerizes and translocates to the nucleus after binding androgen ligands. In the nucleus, AR binds to androgen response elements to promote target gene transcription in a tissue-specific manner. AR can also be activated in a ligand-independent manner through crosstalk with key signaling pathways, including PI3K/AKT and ERK (84). AR is involved in cell cycle regulation and the epithelial-to-mesenchymal transition (EMT) (85,86). AR has emerged as a new biomarker and a potential therapeutic target in TNBC. AR is expressed in $\geq 40\%$ of TNBCs and its expression level varies considerably among TNBC molecular subtypes. It has been associated with favorable prognosis, with better DFS and higher OS in the LAR subtype (87,88). However, patients with AR⁺ TNBCs have a decreased chance of achieving pCR to neoadjuvant chemotherapy and the LAR subtype has been linked to poorer response to chemotherapy compared to other TNBC patients (89-91). Multiple selective AR inhibitors have been approved by the FDA for the treatment of prostate cancer and are currently part of standard care (92). The role of the AR in signaling pathways in TNBC is still not clear and clinical studies are underway to provide more insight into the role of the AR as well as to assess whether AR targeting is a valuable therapeutic strategy in TNBC.

The first proof-of-concept trial of AR-targeted treatment established activity of the first-generation AR antagonist bicalutamide in patients with advanced AR⁺ TNBC. The TBCRC 011 Phase II trial (NCT00468715) showed a modest clinical benefit rate (CBR) of 19% at 6 months and a median PFS duration of 12 weeks (93).

AR⁺ TNBC expresses a luminal profile with intact Rb protein, the target of CDK4/6 activity. Thus, CDK4/6 inhibitors may increase the efficacy of AR antagonists in metastatic AR⁺ TNBC. The single group Phase I/II trial (NCT02605486)

was carried out to explore this hypothesis. The combination of palbociclib and bicalutamide was well-tolerated with no unexpected toxicity (94). It also met its prespecified efficacy endpoint as measured by PFS with 11 patients (31 evaluable patients) at 6 months (95).

As one of the second-generation anti-androgen therapies, abiraterone is a steroidal CYP17 inhibitor with potent hydroxylase activity, targeting androgen biosynthesis. The French Breast Cancer Intergroup (UCBG) 12-1 Phase II trial (NCT01842321) was designed to evaluate abiraterone acetate (AA) with its requisite concomitant medication prednisone in AR⁺ advanced or metastatic TNBC. Androgen deprivation by AA resulted in 20% of the 6-month CBR. This treatment appeared to be beneficial for some patients with molecular apocrine tumors, a subtype that expresses AR but not ER α (96). Considering that prednisone stimulates the glucocorticoid receptor (GR), which is expressed in approximately 25% of TNBCs, GR activity might limit the efficacy of AA.

Seviteronel is an investigational lyase-selective non-steroidal CYP17 inhibitor that targets androgen and estrogen production. The CLARITY-01 Phase I/II trial (NCT02580448) was set up to evaluate seviteronel in locally advanced or metastatic TNBC or ER⁺ breast cancer. It revealed that seviteronel was generally well-tolerated and provided clinical benefit. A total of 26 and 11% of patients reached at least a CBR at 4 and 6 months, respectively. Levels of circulating tumor cells (CTCs) also decreased (97,98).

A second-generation AR antagonist enzalutamide not only competitively binds to the AR ligand-binding domain, but also inhibits nuclear translocation of AR, DNA binding, and coactivator recruitment. Phase II single arm study (NCT01889238) assessed the efficacy of enzalutamide in patients with locally advanced or metastatic, AR⁺ TNBC. The primary endpoint was CBR at 16 weeks, which was 25% in the intention-to-treat (ITT) population and 33% in the evaluable subgroup whose tumors expressed $\geq 10\%$ nuclear AR. The only treatment-related grade 3 or greater AE occurring in $\geq 2\%$ of patients was fatigue (3.4%) (99). The randomized ENDEAR Phase III study (NCT02929576) comparing enzalutamide and paclitaxel to placebo and paclitaxel in advanced TNBC was in place (100) but withdrawn in 2018, citing that further understanding about the role of androgen signaling in TNBC was required. The TBCRC 032 Phase Ib/II trial (NCT02457910) investigated the safety and efficacy of enzalutamide alone or in combination with PI3K inhibitor taselisib in patients with metastatic AR⁺ TNBC. Primary endpoint of CBR at 16 weeks was 36% and median PFS was 3.4 months. The trial was not completed due to termination of the development of taselisib. Although this study was exploratory due to sample size limitation, it revealed subtype-specific treatment response (favorable trend for luminal over non-luminal) and identified novel *Fgfr2* gene fusions that likely activate the PI3K pathway and AR splice variants that may contribute to enzalutamide resistance. Therefore, an AR IHC score of $\geq 10\%$ alone may not identify patients with AR-dependent tumors, and LAR subtype and AR splice variants may help identify patients likely to benefit from AR antagonists (101).

Cell surface targets

Tumor-associated carbohydrate antigens. The Globo H antigen is a hexasaccharyl sphingolipid expressed on the

surface of various cancer types and has been explored as a potential target for vaccine therapy. Adagloxad simolenin (AS) is an immune stimulant comprising the Globo H hexasaccharide epitope linked to the carrier protein keyhole limpet hemocyanin (KLH). KLH facilitates a more vigorous immune response given the weak antigen, Globo H. As a first-in-class active immunotherapy in development for metastatic breast cancer, AS with the saponin-based adjuvant OBI-821 induced antibodies reactive with Globo H⁺ tumor cells that mediate antibody-dependent cell-mediated cytotoxicity (ADCC) and complement-dependent cytotoxicity (CDC) (102). Phase II trial (NCT01516307) assessed low-dose cyclophosphamide with or without active immunotherapy (AS + adjuvant) in post-treated metastatic breast cancer subjects with stable disease or response to treatment. Although it did not meet its primary efficacy endpoint of PFS, patients who developed an immune response to the vaccine showed significantly improved PFS and OS (103). Based on these subgroup data, Phase III study (NCT03562637) of AS with adjuvant vs. placebo treatment is in progress for high-risk early-stage TNBC patients following neoadjuvant or adjuvant chemotherapy. Patients will be screened for Globo H expression (IHC H-score ≥ 15) and the primary objective is improvement of invasive disease-free survival (IDFS) in the time frame of 5 years.

Antibody-drug conjugates (ADCs). An ADC is designed to be stable in plasma, target a tumor cell surface antigen with a high affinity and specificity, and is internalized, cleaved, and releases a payload drug which drives antitumor activity through direct cytotoxic cell killing and induces ICD.

Sacituzumab govitecan-hziy (SG) targets a glycoprotein, the human trophoblast cell-surface antigen 2 (TROP-2), that is expressed in more than 90% of TNBCs. Its payload is the active metabolite of irinotecan (SN-38), which is conjugated to the anti-TROP-2 antibody by a cleavable linker. Phase I/II single group study (NCT01631552) included 108 patients with TNBC and 80% of patients had visceral metastases. The median number of prior regimens was 3 (range, 2-10), which included chemotherapies and checkpoint inhibitors. Although it did not include biomarker selection of patients, 57 patients had moderate (2+) to strong (3+) and 5 patients had weak or absent TROP-2 expression by IHC according to available data. The ORR was 33% and the median duration of response (DOR) was 7.7 months. The median PFS was 5.5 months and the median OS was 13.0 months. Myelotoxic effects were the main adverse reactions and grade 3 or 4 AEs included anemia and neutropenia (104). The confirmatory ASCENT Phase III study (NCT02574455) of SG in comparison with treatment of physician's choice for patients with metastatic TNBC was stopped due to compelling evidence of efficacy across multiple endpoints and SG was granted accelerated approval by the FDA based on the results of the IMMU-132-01 Phase II clinical trial for the treatment of adult patients with metastatic TNBC who have received ≥ 2 prior therapies for metastatic disease. It is the first ADC approved by the FDA specifically for relapsed or refractory metastatic TNBC as well as the first FDA-approved anti-TROP-2 ADC.

Ladiratuzumab vedotin (LV) targets LIV-1, which is expressed in $>90\%$ of breast tumors with limited expression in normal tissues. LIV-1 is a transmembrane protein with

zinc transporter and metalloproteinase activity. The payload of LV is the microtubule disrupting agent monomethyl auristatin E (MMAE). Phase I study (NCT01969643) in patients with heavily pretreated metastatic TNBC showed 25% ORR and median PFS of 11 weeks. Treatment was generally well-tolerated and related AEs were neutropenia, anemia, and neuropathy (105). LV was further explored in combination studies and in earlier lines of treatment. The SGNLVA-002 Phase Ib/II trial (NCT03310957) was designed to assess whether combining LV and pembrolizumab results in synergistic activity through LV-induced ICD that creates a microenvironment favorable for enhanced anti-PD-L1 activity. It was for first-line treatment of patients with unresectable locally advanced or metastatic TNBC. Initial dose-finding studies revealed ORR of 35% with responses independent of PD-L1 status and manageable toxicity (106).

ADC has also been explored for HER2-low or negative breast cancer. The rationale is based on the bystander effect, that is, the cleaved drug from an ADC may leak from the targeted tumor cell and affect cells in close proximity regardless of their target antigen expression status. Thus, an ADC having a high drug-to-antibody ratio and high-potency payload would increase the killing of tumor cells even with low HER2 expression. Trastuzumab deruxtecan (T-DXd) is the first HER2-targeted agent to demonstrate promising clinical antitumor activity with a manageable safety profile in patients considered to be HER2-negative. T-DXd delivers a potent topoisomerase I inhibitor payload (an exatecan derivative) which is linked to a humanized anti-HER2 antibody. In Phase Ib (NCT02564900) trial of T-DXd for heavily pretreated patients with advanced HER2-low breast cancer, ORR was 37% with the median DOR being 10.4 months. Most toxicities were gastrointestinal or hematologic-related, and interstitial lung disease (ILD) was an important identified risk (107). The DESTINY-Breast04 Phase III (NCT03734029) was initiated to compare the efficacy and safety of T-DXd to physician's choice (capecitabine, eribulin, gemcitabine, paclitaxel, or nab-paclitaxel) in patients with HER2-low, unresectable, and/or metastatic breast cancer (108).

4. New potential therapeutic strategies

Conversion of TNBC: Access to endocrine therapy. Gene expression analysis and functional studies have revealed a high degree of plasticity and heterogeneity in luminal and basal-like tumors. Expression of ER α , FOXA1 or GATA3 can result in transition from basal-like breast cancer to luminal type whereas epigenetic reprogramming can result in a reverse transition (109-111). The CDK2-EZH2 axis in tumors with TNBC phenotype (i.e. basal-like breast cancer) has been explored for conversion to the ER α ⁺ subtype. Epigenetic enzyme EZH2, a histone-lysine N-methyltransferase that promotes histone H3 lysine 27 mono-, di- and tri-methylation (H3K27me1/2/3), drives transcriptional repression (112,113). EZH2 can be phosphorylated at T416 (pT416-EZH2) by cyclin E/CDK2 and >80% of TNBC patient specimens exhibit high pT416-EZH2 levels, which correlate with poorer survival (114). In preclinical studies, transgenic expression of a phospho-mimicking mutant

EZH2(T416D) in the mammary glands of mice reprogrammed the committed luminal breast cancer cells into the basal-like TNBC phenotype. In this setting inhibition of the CDK2-EZH2 axis by EZH2 inhibitors reactivated ER α expression and thus combination with tamoxifen suppressed tumor growth and improved the survival of mice bearing tumors with the TNBC phenotype (115). Therefore, inhibitors of CDK2 or EZH2 combined with hormonal therapy may be a novel therapeutic strategy in TNBC with especially high pT416-EZH2 levels.

Another mechanism-based therapy exploits the lack of ER expression due to hypermethylation of the ER α promoter. A combination epigenetic therapy of a DNA methyltransferase (DNMT) inhibitor and a histone deacetylase (HDAC) inhibitor led to re-expression of genes including ER α and restored tamoxifen sensitivity in ER-negative breast cancer models (116,117). However, Phase II study (NCT01349959) in patients with advanced hormone-resistant breast cancer or TNBC revealed that combination of DNMT inhibitor 5-azacitidine and HDAC inhibitor entinostat did not induce ER α expression and primary endpoint ORR was not met (118). ER α re-expression induced by DNMT/HDAC inhibition might be attenuated by an active CDK2-EZH2 axis, which affected outcomes in this study.

The conversion of basal-like breast cancer into ER α ⁺ is also under microenvironmental control. A paracrine signaling network involving platelet-derived growth factor (PDGF)-CC and PDGF receptor- α accelerated tumor growth through recruitment and activation of different subsets of cancer-associated fibroblasts (119). In mouse models, impairing PDGF signaling was found to convert basal-like breast cancers into ER α ⁺, and thus enhanced sensitivity to tamoxifen in previously resistant tumors (120). Therefore, PDGF inhibitors combined with endocrine therapy may be a novel therapeutic strategy in TNBC treatment.

Adaptive clinical studies: Molecular markers. Under the master protocol framework, basket trials, where a targeted therapy is evaluated for multiple diseases that share common molecular alterations, and umbrella trials, where multiple targeted therapies are evaluated for a single disease that is stratified into multiple subgroups based on different molecular factors, have been developed (121). Recently there have been more adaptive, signal-finding clinical trial designs coupled with correlative studies to investigate mechanisms of action. They also facilitate identifying active drug combinations as well as novel tumor indications. Patients are enrolled based on molecular markers from genetic profiling performed on their tumors. Some examples are listed below.

In the OLAPCO Phase II trial (NCT02576444), PARP inhibitor olaparib was assessed in combination with various agents according to identified tumor mutations. It included AKT inhibitor capivasertib for tumors with mutations in the PI3K-AKT pathway, WEE1 inhibitor adavosertib for tumors with *tp53* or/and *Kras* mutations, and ATR inhibitor ceralasertib for tumors with mutations in HRR genes. Primary outcome measure was ORR, and the trial also identified genetic determinants of response and resistance. Another Phase II trial (NCT03718091) evaluated ATR inhibitor M6620 in selected solid tumors. Patients were enrolled in different cohorts based on tumor mutation status, including truncating

Atm mutations, germline *Brca* mutations, somatic *Brca* mutations or other HRR gene mutations, c-MYC amplification, *Fbxw7* mutations, cyclin E amplification, and *Arid1a* mutations. Primary outcome measures included disease control rate (DCR) and changes in pCHK1 and γ H2AX levels. The I-SPY 2 Phase II trial (NCT01042379) was a neoadjuvant breast cancer trial using response-adaptive randomization. It had multiple concurrent experimental arms with shared controls. Each biomarker signature was established at trial entry. A new regimen of combination with standard chemotherapy will be moved up to Phase III trial if it shows a high probability of improved pCR over standard chemotherapy.

5. Conclusion

Developing novel treatments in both early and advanced TNBC settings remains a significant unmet need. Recent advances with novel agents have been made for specific subgroups with PD-L1⁺ tumors or gBRCAm tumors. However, only a fraction of those patients respond to immune checkpoint or PARP inhibitors, and even those who do respond often develop resistance and relapse. In diverse tumor microenvironments, a given therapeutic agent shows variable responses, thus compromising the survival endpoints especially in an unselected TNBC population. Therefore, developing novel predictive biomarkers are crucial for selecting patients that will benefit the most from a given therapy. Single cell technologies will provide additional insight on tumor-stroma interactions and facilitate compelling rationale for new treatments based on novel biomarkers. A non-invasive testing of plasma circulating tumor DNA (ctDNA) and CTCs can potentially provide real-time disease monitoring and even early therapy modification. However, their prognostic value needs further evaluation. With recent advances in multiomic analyses of cancers, there appears to be genomic and molecular similarities between TNBC and high-grade serous ovarian carcinoma (HGSOC), suggesting that similar biological mechanisms drive some aspects of both cancer types. Therefore, treatment strategies for HGSOC can be explored in TNBC as well. The recent increase in the number of clinical trials investigating various new agents and combination strategies reflects further efforts to understand molecular and immunological aspects of TNBC. This may lead to more meaningful clinical benefits, including event-free and overall survival.

Acknowledgements

The authors would like to thank Professor Ian Collins of the Institute of Cancer Research, UK for valuable discussions on the DNA damage response pathways and checkpoint kinases.

Funding

No funding was declared.

Availability of data and materials

All information provided in this review is documented with relevant and current references.

Authors' contributions

KAW was responsible for conceptualization, design, interpretation and visualization. KAW and CS were responsible for writing, reviewing and editing. Both authors approved the final manuscript.

Ethics approval and consent to participate

Not applicable.

Patient consent for publication

Not applicable.

Competing interests

No competing interests are declared.

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