Immunotherapy for malignant tumors using combination of allogeneic intra-bone marrow-bone marrow transplantation, donor lymphocyte infusion and dendritic cells

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Abstract. We have previously shown that the combination of allogeneic intra-bone marrow-bone marrow transplantation (IBM-BMT) and donor lymphocyte infusion (DLI) using CD4⁺ cell-depleted spleen cells is effective in suppressing tumor growth, but that this does not induce graft-versus-host disease (GVHD) in mice. In this report, we show that formalinfixed tumor cell-pulsed dendritic cells (FFTCP DCs) have an additive effect with IBM-BMT plus DLI on the suppression of tumor growth, but that the DCs do not augment GVHD. BALB/c mice, which had been subcutaneously inoculated with Meth A (BALB/c-derived fibrosarcoma), were irradiated at a low dose (5 Gy) and were transplanted with bone marrow cells (BMCs) from C57BL/6 (B6) mice into the bone marrow cavity (IBM-BMT). Simultaneously, the mice were intravenously injected with spleen cells from B6 mice, and subcutaneously injected with FFTCP DCs derived from the bone marrow (BM) of B6 mice. At the point of the induction of DCs from BMCs, formalin-fixed Meth A cells were added into the culture. The mice treated with the combination of FFTCP DCs, IBM-BMT and DLI using CD4+ cell-depleted spleen cells showed smaller tumor sizes and longer survival than the mice treated with IBM-BMT plus FFTCP DCs or IBM-BMT plus DLI using CD4⁺ cell-depleted spleen cells. These results suggest that the combination of FFTCP DCs, IBM-BMT plus DLI using CD4+ cell-depleted spleen cells has potent anti-tumor effects without showing GVHD.

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Introduction

At present, bone marrow transplantation (BMT) is applied not only to hematopoietic disorders but also malignant solid tumors (1-5). In the case of malignant solid tumors, BMT is expected to show anti-tumor effects. It has been reported that donor lymphocyte infusion (DLI) enhances the anti-tumor effects, although the anti-tumor activity is usually accompanied by GVHD, which is sometimes fatal (3). The fact that the anti-tumor effects are accompanied by GVHD indicates that there may be underlying mechanisms common to both. However, there are also data showing that the anti-tumor effects can be partially distinguished from GVHD, and that DLI has some beneficial effect on the suppression of tumor growth without GVHD (6-11). We have also shown that the combination of IBM-BMT plus DLI using CD4+ cell-depleted spleen cells helps retain the anti-tumor effects without inducing GVHD in the mouse model (12).

Dendritic cells (DCs) are antigen-presenting cells (APCs) that can prime naive T cells (13). It has been reported that there is a positive correlation between the number of DCs in tumors and the suppression of the tumor growth, suggesting that DCs infiltrating the tumor cells have some anti-tumor effects (14-16). Based on these findings, anti-tumor therapies using DCs have been tried experimentally and clinically with some success in terms of the suppression of tumor growth. Examples include the administration of fused cells derived from DCs and tumor cells (17), tumor-related peptide-pulsed DCs (18-20) and tumor-related gene-transfected DCs (21). The activation of tumor-specific cytotoxic T lymphocytes (CTLs) has been proposed as a mechanism underlying the anti-tumor effects of these DCs (22). It has been reported that Th1 cells, as well as DC1, which direct naive helper T cells to Th1, play a crucial role in the rejection of tumor cells (23-25).

In this experiment, we carried out the combination therapy of allogeneic IBM-BMT, DLI plus administration of formalinfixed tumor cell-pulsed DCs (FFTCP DCs). We show here that FFTCP DCs enhance the anti-tumor effects of IBM-BMT plus DLI using CD4⁺ cell-depleted spleen cells without showing GVHD.

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Materials and methods

Animals. C57BL/6 (B6; H-2^b) and BALB/c (H-2^d) mice were purchased from Japan SLC, Inc. (Hamamatsu, Japan). B6 mice at the age of 8-12 weeks were used as donors, and BALB/c mice at the age of 8 weeks were used as recipients.

Inoculation of tumor cells, and irradiation. For preparation of the subcutaneous tumor model, BALB/c mice were exposed to a radiation dose (3 Gy at 1.0 Gy/min) from a ¹³⁷Cs source (Gammacell 40 Exactor; MDS Nordion International Inc., Ottawa, Ontario, Canada) 1 day before subcutaneous injection of the tumor cell line Meth A (mouse fibrosarcoma: H-2d) (5x10⁶ cells/0.2 ml). When the tumor size reached ~10x10 mm, the BALB/c mice were exposed to a radiation dose (5 Gy at 1.0 Gy/min) from a ¹³⁷Cs source. One day later, IBM-BMT, DLI plus subcutaneous injection of FFTCP DCs were performed. As controls, groups receiving: i) tumor-inoculated mice without IBM-BMT (control), ii) IBM-BMT (IBM-BMT), iii) IBM-BMT plus DLI using whole spleen cells [IBM-BMT + DLI (whole)], iv) IBM-BMT plus injection of DCs (IBM-BMT + DC) were prepared.

For preparation of the lung metastasis model, BALB/c mice were exposed to a radiation dose (5 Gy at 1.0 Gy/min) from a ¹³⁷Cs source 5 h before intravenous injection of the tumor cell line Meth A ($1x10^6$ cells/0.2 ml). The day after irradiation, IBM-BMT, DLI plus injection of cultured DCs were performed.

IBM-BMT and DLI. Bone marrow cells (BMCs) were harvested from the femoral, tibial bones and pelves of B6 mice and suspended in phosphate-buffered saline (PBS). The BMCs were then filtered through a 70- μ m-nylon wool mesh (Becton Dickinson Labware, Franklin Lakes, NJ) and centrifuged at 1,500 rpm for 7 min at 4°C. After centrifugation, the BMCs were suspended and adjusted to 3.0x10⁹ cells/ml in PBS containing 2% fetal calf serum (FCS). Thus-prepared BMCs $(3.0x10^7)$ were injected directly into the bone marrow cavity of the tibial bones (IBM-BMT), as previously described (26). Briefly, the region from the inguen to the knee joint was shaved. The knee was flexed to 90 degrees, and the proximal side of the tibia was drawn to the anterior. A 26-gauge needle was inserted into the bone marrow cavity. Using a microsyringe (50 μ l; Ito, Fuji, Japan) containing the donor BMCs (3x10⁷ cells/10 μ l), the donor BMCs were injected from the side bone hole into the bone marrow cavity.

DLI was performed as follows: spleens were removed from donor B6 mice and then minced with scissors. Single cells were prepared by passing the minced spleen through stainless steel mesh in PBS containing 2% FCS. After centrifugation at 2,000 rpm for 10 min at 20°C, the pellets were suspended in PBS containing 2% FCS, then adjusted to 2.0x10⁷ cells/ 0.2 ml (1.0x10⁸ cells/ml) in PBS containing 2% FCS. In some experiments, CD4⁺ cell-depleted spleen cells were prepared using Dynabeads (Dynal A.S., Oslo, Norway) by the treatment of spleen cells with biotin-coupled anti-CD4 mAb (Pharmingen, San Diego, CA) and biotin-coupled anti-TER-119/erythroid cell mAb (Pharmingen) plus avidin-coupled Dynabeads.

Preparation of bone marrow-derived dendritic cells (DCs). BMCs were obtained from B6 mice, and mononuclear cells were separated from the BMCs by centrifugation with lymphoprep. DCs were induced by culture of mononuclear cells in the presence of GM-CSF (10 ng/ml), IL-3 (10 ng/ml), IL-12 (10 ng/ml), INF- γ (10 ng/ml) and formalin-fixed Meth A for 4 days, as previously described (23). DCs were harvested from the culture at day 4 and used for the experiments. The cultured DCs were injected subcutaneously into BALB/c mice. The recombinant murine GM-CSF, IL-3, IL-12 and INF- γ were purchased from PeproTech EC (London, UK).

Measurement of body weight and tumor size. Body weight and tumor size were measured every other day. In all experiments, the mice were weighed on a weighing machine, and the weight was expressed in grams. Tumor size was measured by vernier calipers and expressed as width (mm) x length (mm) x height (mm) = tumor size (mm³).

Assessment of GVHD. Macroscopically GVHD was assessed by weight loss, hunching posture, loss of activity, ruffled hair and diarrhea. It was also assessed microscopically by the infiltration of lymphocytes into the liver (27).

Statistical analysis. Survival data were analyzed using the Kaplan-Meier method in the Stat Mate software. Statistical differences between groups were analyzed using the log-rank test in the Stat Mate software. p<0.05 was considered to be significant.

Results

FFTCP DCs can augment anti-tumor effects of DLI plus IBM-BMT. We have previously reported that the combination of allogeneic IBM-BMT plus DLI has anti-tumor effects on mouse fibrosarcoma, Meth A (12). As shown in our previous report, the tumor sizes of mice treated with 'IBM-BMT + DLI (whole)' (10,500±5,200 mm³ at 30 days after BMT) were smaller than those of 'IBM-BMT' (12,400±6,000 mm³), 'IBM-BMT + DC' $(11,800\pm5,400 \text{ mm}^3)$ or non-treated mice (26,000±15,000 mm³) (Fig. 1). Next, we examined whether FFTCP DCs can enhance the anti-tumor effects of 'IBM-BMT + DLI (whole)', using the mice injected with Meth A subcutaneously, since it has been reported that tumor antigenpulsed auto-DCs have some suppressive effects on tumor growth (18-20) and syngeneic FFTCP DCs suppress the growth of tumor cells (unpublished data). The tumors in the mice treated with the combination of IBM-BMT, DLI plus FFTCP DC injection ['IBM-BMT + DLI (whole) + DC'] (6,600±3,800 mm³) were smaller than those of 'IBM-BMT + DLI (whole)' (10,500±5,200 mm³) or 'IBM-BMT + DC' (11,800±5,400 mm³). These results suggest that FFTCP DCs can enhance the effects of IBM-BMT plus DLI, resulting in the suppression of tumor growth. Previously, we have reported that the combination of IBM-BMT plus DLI using $CD4^+$ cell-depleted spleen cells [IBM-BMT + DLI(CD4⁻)] shows anti-tumor effects without showing prominent GVHD. Therefore, we examined the anti-tumor effects of the combination of IBM-BMT, DLI using CD4+ cell-depleted spleen cells plus FFTCP DCs [IBM-BMT + $DLI(CD4^{-}) + DC$]. 'IBM-BMT + DLI(CD4⁻) + DC' also showed anti-tumor effects (2,700±600 mm³) similar to the combination of FFTCP DCs,

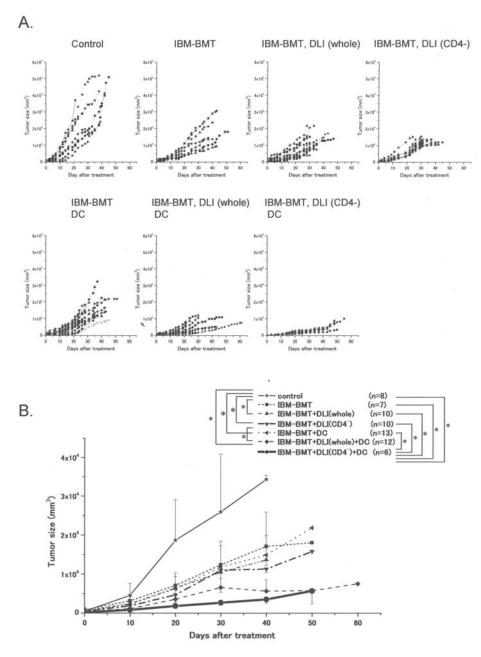


Figure 1. The effects of the combination of FFTCP DCs, IBM-BMT plus DLI on subcutaneously injected sarcoma. BALB/c mice were irradiated at 3 Gy and were inoculated with Meth A cells subcutaneously. When the tumor had grown to approximately 1 cm in diameter, the mice were irradiated at 5 Gy and were transplanted with bone marrow cells (BMCs) from B6 mice into the bone cavity (intra-bone marrow-bone marrow transplantation; IBM-BMT) and were intravenously transplanted with spleen cells from B6 mice. Simultaneously, the mice were subcutaneously injected with FFTCP DCs, which had been derived from the BMCs of B6 mice. Tumor size was measured every other day. Several experimental groups were prepared as follows. i) 'Control' indicates subcutaneous injection of Meth A cells, only. ii) 'IBM-BMT' indicates subcutaneous injection of Meth A cells, only. iii) 'IBM-BMT' indicates subcutaneous injection of Meth A cells, only. iii) 'IBM-BMT' indicates subcutaneous injection of Meth A cells, only. iii) 'IBM-BMT' indicates subcutaneous injection of Meth A cells, iBM-BMT from B6 mice, DLI using whole spleen cells of B6 mice. iv) 'IBM-BMT+DC' indicates subcutaneous injection of Meth A cells, IBM-BMT from B6 mice and subcutaneous injection of FFTCP DCs derived from BMCs of B6. v) 'IBM-BMT+DLI (whole)+DC' indicates subcutaneous injection of Meth A cells, IBM-BMT from B6 mice, DLI using whole spleen cells of subcutaneous injection of FFTCP DCs derived from BMCs of B6. vi) 'IBM-BMT + DLI (CD4⁻) + DC' indicates subcutaneous injection of Meth A cells, IBM-BMT from B6 mice, DLI using whole spleen cells, IBM-BMT from B6 mice, DLI using whole spleen cells, IBM-BMT from B6 mice, DLI using CD4⁺ cell-depleted spleen cells of subcutaneous injection of FFTCP DCs derived from BMCs of B6 mice. Changes in tumor sizes in individual mice (A) and means and SD (B) in each group are shown. *p<0.05 versus 'control group'.

IBM-BMT plus DLI using whole spleen cells ('IBM-BMT + DLI (whole) + DC') (6,600±3,800 mm³).

FFTCP DCs do not enhance graft versus host disease (*GVHD*). As shown in Fig. 3 and also our previous report, DLI using whole spleen cells reduced the tumor sizes but enhanced GVHD, resulting in no significant prolongation

of survival time in comparison with only allogeneic IBM-BMT, since the mice died not due to the tumors themselves but of GVHD. Survival times in the control group, 'IBM-BMT', and 'IBM-BMT + DLI (whole)' were 34.6 ± 8.5 , 40.3 ± 7.0 and 33.7 ± 7.0 days, respectively. 'IBM-BMT + DLI (whole) + DC' suppressed the growth of the tumor but did not significantly reduce survival rates (34.2 ± 12.0 days).

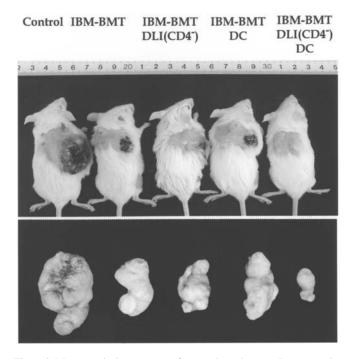


Figure 2. Macroscopical appearance of tumors in each group. Representative macroscopical appearances of tumors in each group 14 days after IBM-BMT are shown. In control mice, the tumor is shown 10 days after the tumor attained 1 cm in diameter. The upper photograph shows inoculated tumors and the lower photograph shows removed tumors. The sizes of tumors of the mice treated with 'IBM-BMT + DLI(whole) + DC' were similar to those of the mice treated with 'IBM-BMT + DLI(CD4⁻) + DC' (data not shown).

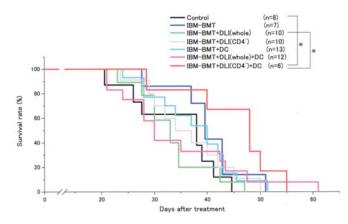


Figure 3. Survival rate of each group of mice subcutaneously injected with Meth A cells. Survival rates of mice in each group are shown. Indicated days show the passage of time after IBM-BMT (groups except for control group) and days after tumors attained 1 cm in diameter. *p<0.05 versus 'control group'.

'IBM-BMT + DLI(CD4') + DC' showed no clear evidence of GVHD clinically or histologically, and there was a significant prolongation of survival time (44.8 \pm 9.6 days). These results suggest that the FFTCP DCs do not enhance GVHD induced by DLI, and that the usage of FFTCP DCs has the benefit of enhancing anti-tumor effects without enhancing GVHD.

Effects of 'IBM-BMT + $DLI(CD4^{-})$ + DC' on lung metastatic model. The above data suggest that 'IBM-BMT + $DLI(CD4^{-})$

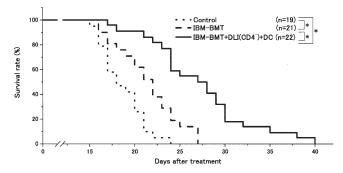


Figure 4. Survival rates in the mice intravenously injected with Meth A cells. Three Gy-irradiated BALB/c mice were intravenously injected with Meth A cells. Twelve hours after the injection of Meth A, the BALB/c mice were treated with IBM-BMT using BMCs from B6 mice (IBM-BMT) or IBM-BMT using B6 mice and DLI using CD4⁺ cell-depleted spleen cells of B6 ['IBM-BMT + DLI(CD4⁻) + DC']. Control consisted of the injection of only Meth A cells. The horizontal axis shows the passage of days after injection of Meth A cells. *p<0.05 versus 'control group'.

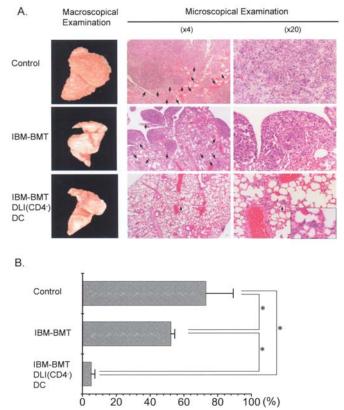


Figure 5. Macroscopical and histological examination of the lungs in each group. Five Gy-irradiated BALB/c mice were intravenously injected with Meth A cells. Twelve hours after the injection of Meth A, the BALB/c mice were treated with 'IBM-BMT' or 'IBM-BMT + DLI(CD4') + DC'. Control consisted of the injection of only Meth A cells. Mice were sacrificed at 14 days after injection of Meth A cells. The lungs of each group were fixed with formalin. Representative data of the macroscopical photographs (A) and means and SDs of % of tumor occupied area (B) in the lungs in each group are shown. Arrows show tumor nodules. *p<0.05.

+ DC' is the best strategy in this experiment; this combination has potent anti-tumor effects but does not induce GVHD. Therefore, we next applied this combination therapy to the

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lung metastatic model. A 'lung metastatic model of the sarcoma' was prepared as described in Materials and methods. When the tumor cells were injected into the vein, multiple metastatic lesions of the sarcoma grew rapidly, and the mice died rapidly (18.7 \pm 2.5 days) in comparison with the mice subcutaneously injected with the tumor cells (Fig. 4). Therefore, in this model, we can easily compare the effects of the treatments by survival time. As shown in Fig. 4, the mice treated with 'IBM-BMT' showed a slightly improved survival time (21.5 \pm 3.1 days), and the mice treated with 'IBM-BMT + DLI(CD4') + DC' showed significantly longer survival time (27.2 \pm 4.2 days) in comparison with control mice (18.7 \pm 2.5 days) or the mice treated with 'IBM-BMT' (21.5 \pm 3.1 days).

To clarify the effects of 'IBM-BMT + $DLI(CD4^{-}) + DC'$, we examined the lungs macroscopically and microscopically 10 days after injection of Meth A cells (Fig. 5). In the macroscopical examination, many micronodules were observed in the lungs of mice just injected with Meth A cells. Fewer, and smaller, nodules were seen in the lungs of mice treated with 'IBM-BMT' than in the control mice, while there were no nodules in the lungs of mice treated with 'IBM-BMT + DLI(CD4⁻) + DC'; in these mice, lung morphology was similar to normal lung. In the microscopical examination, many large masses of the tumor cells were seen in the lungs of control mice, while fewer and smaller tumor masses were seen in the lungs of the mice treated with 'IBM-BMT'. Very few tumor cells were seen in the lungs of mice treated with 'IBM-BMT + $DLI(CD4^{-})$ + DC'. These results suggest that there is significant suppression of tumor growth in the lungs of mice treated with 'IBM-BMT + DLI(CD4') + DC', and that there is no GVHD.

Discussion

Recently, we have found that allogeneic BMT plus DLI using donor CD8-depleted spleen cells enhances GVHD, followed by induction of early death of recipients, and that allogeneic BMT plus DLI using donor CD4-depleted spleen cells prolonged survival due to the suppression of tumor growth in not only malignant tumor carrying mice but also rats (12,28). In these experiments, we confirmed that allogeneic BMT plus DLI using CD4-depleted spleen cells has a beneficial effect on the suppression of tumor growth and reduction of GVHD. It has been reported that DLI accelerates successful donor engraftments (29), and that DLI is successfully used for some life-threatening viral infections after stem cell transplantation (30-33). We have also reported that IBM-BMT accelerates donor hematopoiesis after allogeneic BMT (26). Therefore, it is conceivable that IBM-BMT with DLI could reduce the probability and severity of infections due to both infusion of mature immune cells by DLI and rapid recovery of immune cells from donor hematopoietic stem cells by IBM-BMT. This reduction of probability and severity of infection, in addition to its anti-tumor effect, is an advantage of IBM-BMT plus DLI.

Tumor antigen-pulsed DCs and the combination of BMT plus DLI have been used as potential therapeutic strategies for malignant solid tumors (3,18,19,34,35). In this report, we show that the combination of FFTPC DCs derived from

donor BMCs, allogeneic IBM-BMT and DLI suppresses the growth of solid tumors more effectively than FFTPC DC alone or the combination of allogeneic IBM-BMT and DLI. Moreover, the FFTPC DC did not augment GVHD of allogeneic IBM-BMT plus DLI. These results suggest that the FFTPC DCs have additive effects on immunotherapy using allogeneic BMT plus DLI for solid tumors.

It has been reported that helper T cells can be classified into several subsets, such as Th0, Th1, Th2 and Th3 cells (36,37). Th0 cells are reported to be immature helper T cells that differentiate into Th1, Th2 or Th3 cells (38). Th1 cells characteristically secrete IL-2 and INF-y, while Th2 cells specifically secrete IL-4, IL-5 and IL-6, and Th3 cells are characterized to secrete TGF-B (36-39). Therefore, Th1 cells augment cellular immunity and suppress humoral immunity, while Th2 cells augment humoral immunity and suppress cellular immunity. On the other hand, Th3 cells suppress various immunofunctions. The balance of Th1/Th2 is important to maintain normal immunoreactions, such as the defense against infections and suppression of autoimmune diseases (36-39). It has been reported that the direction toward Th1 is important for the suppression of tumor growth (25). It has also been reported that DCs play a crucial role in the activation of T cells, and determine the direction toward Th1 or Th2 from Th0. On the basis of these facts, DCs have been divided into 2 groups (DC1 and DC2): DC1 cells direct helper T cells toward Th1 cells and DC2 cells direct helper T cells toward Th2 cells (23,24). Recently, the effects of DC1 or DC2, which had been derived from BMCs using GM-CSF and some other cytokines, on malignant tumors were extensively examined. It was reported that DC1 suppressed the tumor growth, and that DC1 plus Th1 significantly suppressed the tumor growth and improved the survival rate (24). On the basis of the experiment, we induced DC1 from the donor BMCs and added formalin-fixed tumor cells to the culture of BMCs to promote the efficient uptake of tumor antigens by the DCs, since immature DCs show more efficient uptake of antigens than mature DCs, and tumor antigen-pulsed DCs have been reported to be effective in the treatment of several cancers in humans. We examined the effects of the combination of thus-induced FFTCP DCs and allogeneic IBM-BMT + DLI, which we have previously shown to be effective in the suppression of tumors. As we expected, the strategy suppressed tumor growth more effectively than 'IBM-BMT + DLI'. It has been reported that GVHD is related to activation of Th1 but, contrary to our predictions, the combination did not augment GVHD. This is likely due to the IBM-BMT, since IBM-BMT can efficiently recruit donor-derived stromal cells, which produce immunosuppressive cytokines such as TGF-B, HGF, IL-10 and IL-15 (40-48).

To distinguish graft versus tumor reaction from GVHD, several kinds of strategies have been tried. One such strategy is to prepare a mixed chimera of hematopoietic cells. In the murine system, it was described that the mixed chimera of donor hematopoietic cells and recipient hematopoietic cells reduced the frequency and severity of GVHD (49). Based on these findings, the mixed chimera was applied to human BMT. In some cases, the mixed chimera was successful in reducing GVHD (50). However, some researchers have

reported that GVHD still occurs where there is a mixed chimera in the peripheral blood of the recipients (51,52). Therefore, even in human BMT, IBM-BMT plus DLI could be a useful alternative strategy for suppressing GVHD.

There was very little metastasis of the tumor inoculated subcutaneously in our experiment (data not shown). Therefore, we next injected tumor cells intravenously to induce the lung metastatic model and then examined the effects of our strategy on malignant metastatic tumors. In the model, the tumor cells formed multiple nodules in the lungs and induced rapid death of the mice. In the experiment, we used the CD4+ cell-depleted spleen cells for DLI, since we have previously shown that DLI using CD4⁺ cell-depleted spleen cells has anti-tumor effects but does not induce GVHD (9). The combination significantly prolonged the survival time of the mice intravenously injected with tumor cells. These results suggest that FFTCP DCs and DLI using CD4+ cell-depleted spleen cells augments the anti-tumor effects of allogeneic IBM-BMT but does not augment GVHD. Therefore, we here propose that anti-tumor effects without augmentation of GVHD can be induced by the combination of IBM-BMT, DLI and DC-pulse therapy in humans.

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