

# Integrative genomic analyses on HES/HEY family: Notch-independent *HES1*, *HES3* transcription in undifferentiated ES cells, and Notch-dependent *HES1*, *HES5*, *HEY1*, *HEY2*, *HEYL* transcription in fetal tissues, adult tissues, or cancer

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**Abstract.** Notch signaling pathway maintains stem cells through transcriptional activation of HES/HEY family members to repress tissue-specific transcription factors. Here, comparative integrative analyses on HES/HEY family members were carried out. *HES3* gene encodes two isoforms due to alternative promoters. Complete coding sequence of *HES3* variant 2 was determined by curating CX755241.1 EST. Refined phylogenetic analysis using *HES3* variant 2 instead of variant 1 revealed that mammalian bHLH transcription factors with Orange domain were grouped into HES subfamily (*HES1*, *HES2*, *HES3*, *HES4*, *HES5*, *HES6*, *HES7*) and HEY subfamily (*HEY1*, *HEY2*, *HEYL*, *HESL*/*HELT*, *DEC1*/*BHLHB2*, *DEC2*/*BHLHB3*). Eight amino-acid residues were added to the C-terminal WRPW motif in human *HES3* due to lineage specific T to G nucleotide change at stop codon of chimpanzee, rat, and mouse *HES3* orthologs. *HES1* and *HES3* were expressed in undifferentiated embryonic stem (ES) cells. *HES1* was also expressed in fetal tissues, and regenerating liver. *HES1*, *HEY1* and *HEY2* were expressed in endothelial cells. *HES1*, *HES4* and *HES6* were expressed in gastric cancer, *HES1* and *DEC1* in pancreatic cancer, *HES1*, *HES2*, *HES4*, *HES6* and *DEC2* in colorectal cancer. *HES6* was also expressed in other tumors, such as brain tumors, melanoma, small cell lung cancer, retinoblastoma, ovarian cancer, and breast cancer. Double NANOG-binding sites, CSL/RBPSUH-binding site and TATA-box in *HES1* promoter,

NANOG-, SOX2-, POU5F1/OCT3/OCT4-binding sites and TATA-box in *HES3* promoter, double CSL-binding sites in *HES5* promoter, SOX2-, POU-binding sites and TATA-box in *HES6* promoter, and CSL-binding site in *HEY1*, *HEY2* and *HEYL* promoters were evolutionarily conserved. However, double CSL-binding sites in mouse *Hes7* promoter were not conserved in human *HES7* promoter. Together these facts indicate that *HES1* and *HES3* were target genes of the ES cell-specific network of transcription factors, and that *HES1*, *HES5*, *HEY1*, *HEY2* and *HEYL* were target genes of Notch signaling pathway.

## Introduction

Notch signaling pathway is implicated in self-renewal of stem cells and cell-fate determination of progenitors (1-4). Notch signaling pathway constitutes the stem cell signaling network together with WNT signaling pathway (5-9), FGF signaling pathway (10-14), BMP signaling pathway (15-17), and Hedgehog signaling pathway (18-24). Stem cell signaling network is implicated in embryogenesis and maintenance of adult tissue homeostasis. Dysregulation of the stem cell signaling network leads to pathological conditions, such as congenital disorders, metabolic syndrome, and cancer (25-27).

JAG1, JAG2, DLL1, DLL3 and DLL4 are typical transmembrane-type Notch ligands sharing the common domain architecture with extracellular DSL domain and EGF-like repeats (28-31), while NOTCH1, NOTCH2, NOTCH3 and NOTCH4 are Notch family receptors sharing the common domain architecture with extracellular EGF-like repeats, Lin12/Notch repeats, cytoplasmic RAM23 domain, Ankyrin repeats, and PEST domain (32,33). Ligand-binding induces the  $\gamma$ -secretase-mediated processing of Notch family receptors to release Notch intracellular domain (NICD) for its interaction with CSL (RBPSUH) transcription factor (34). MAML1, MAML2 and MAML3 are Mastermind family coactivators (35) associating with the CSL-NICD complex to activate the transcription of Notch target genes (1-4).

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Notch target genes *HES1* and *HES5* encode HES/HEY family of transcription factors with basic helix-loop-helix (bHLH) domain and Orange domain (36-39). bHLH domain is implicated in the DNA-binding and dimerization, and Orange domain in the selection of bHLH heterodimer partner (39). Because *HES1* and *HES5* repress the transcription of tissue-specific transcription factors, canonical Notch signaling activation results in the maintenance of stem or progenitor cells through the inhibition of differentiation (1-4).

Functional and phenotypical analyses on HES/HEY family members in mouse and zebrafish experimental system have been reported (reviewed in ref. 39); however, biological function of human HES/HEY family members remained relatively unclear. Here, integrative genomic analyses on HES/HEY family members were carried out to elucidate the expression profile and transcriptional mechanisms of human HES/HEY family members.

## Materials and methods

**Comparative genomics analyses.** Genome sequences of human and mouse HES/HEY family members were searched for using the BLAST programs as previously described (40-42). Exon-intron boundaries were determined based on the consensus sequence of exon-intron junctions ('gt ..... ag' rule of intronic sequence) and codon usage within the coding region. Conserved transcription factor-binding sites within promoter regions were then searched for based on the Match program, Genetix program, and manual curation as previously described (43-45).

**Comparative proteomics analyses.** The CLUSTALW program was used for phylogenetic analysis on human and mouse bHLH transcription factors with Orange domain. Amino-acid sequences of human *HES1* (NP\_005515.1), *HES2* (NP\_061962.2), *HES3* (this study), *HES4* (NP\_066993.1), *HES5* (NP\_001010926.1), *HES6* (NP\_061115.2), *HES7* (NP\_115969.1), *HEY1* (NP\_036390.3), *HEY2* (NP\_036391.1), *HEYL* (NP\_055386.1), *HESL/HELT* (46), *DEC1/BHLHB2* (NP\_003661.1), *DEC2/BHLHB3* (NP\_110389.1), mouse *Hes1* (NP\_032261.1), *Hes2* (NP\_032262.2), *Hes3* (47), *Hes5* (NP\_034549.1), *Hes6* (NP\_062352.1), *Hes7* (NP\_149030.2), *Hey1* (NP\_034553.2), *Hey2* (NP\_038932.1), *Heyl* (NP\_038933.2), *Hesl* (NP\_038933.2), *Dec1* (NP\_035628.1), and *Dec2* (NP\_077789.1) were used for the phylogenetic analysis.

**In silico expression analyses.** Expressed sequence tags (ESTs) derived from human HES/HEY family members were searched for using the BLAST programs as previously described (48-50). Human *HES1* RefSeq (NM\_005524.2), *HES2* RefSeq (NM\_019089.3), *HES3* EST (CX755241.1), *HES4* RefSeq (NM\_021170.2), *HES5* RefSeq (NM\_001010926.1), *HES6* RefSeq (NM\_018645.3), *HES7* RefSeq (NM\_032580.1), *HEY1* RefSeq (NM\_012258.3), *HEY2* RefSeq (NM\_012259.2), *HEYL* RefSeq (NM\_014571.3), *HESL* cDNA (46), *DEC1* RefSeq (NM\_003670.1), and *DEC2* RefSeq (NM\_030762.1) were used as query sequences for the BLAST programs. Sources of human ESTs were then listed up for *in silico* expression analyses.

## Results

**Complete coding sequence of human *HES3*.** We have previously reported the coding sequence of human *HES3* (37); however, 5'-UTR and transcription start site of *HES3* remained unclear. Human *HES3* RefSeq NM\_001024598.1 was an artificial prediction with aberrant 5'-splicing site for exon 2 and aberrant splicing out within exon 4. We found that CX755241.1, CN370500.1, and CN413592.1 ESTs were derived from the human *HES3* gene. The first exon of human *HES3* transcript for CX755241.1, CN370500.1 and CN413592.1 ESTs was distinct from that of human *HES3* RefSeq NM\_001024598.1. Hirata *et al* reported that mouse *Hes3* gene encodes two splicing variants due to alternative promoters (47). Human *HES3* RefSeq NM\_001024598.1 corresponded to mouse *Hes3* splicing variant 1 with exon 1a, while human *HES3* ESTs CX755241.1, CN370500.1 and CN413592.1 corresponded to mouse *Hes3* splicing variant 2 with exon 1b.

Three nucleotide substitutions were identified in CX755241.1 EST, compared with other human *HES3* ESTs and human genome sequence. In addition, the transcription start site of the human *HES3* gene was predicted to be located at least 9-bp upstream position of CX755241.1 5'-end based on the comparison of human *HES3* and mouse *Hes3* genes. Complete coding sequence of human *HES3* splicing variant 2 was determined by curating the points mentioned above (Fig. 1A). Nucleotide position 7-633 was the coding region of human *HES3* splicing variant 2. Human *HES3* variant 2 was a 208-amino-acid protein, which was longer than human *HES3* variant 1 in the N-terminal region by 22 amino acids (Fig. 1A).

**Comparative proteomics on mammalian HES/HEY family members.** Orthologs of human *HES/HEY* family genes, except *HES4*, were identified within the mouse genome. Human *HES/HEY* family consists of 13 members, while mouse *Hes/Hey* family consists of 12 members. We previously reported phylogenetic analysis on *HES/HEY* family members using human *HES3* variant 1 and mouse *Hes3* variant 1 (46). Here, refined phylogenetic analysis on *HES/HEY* family members was carried out using human *HES3* variant 2 and mouse *Hes3* variant 2 (Fig. 2A). *HES1*, *HES2*, *HES3*, *HES4*, *HES5*, *HES6* and *HES7* were classified as the *HES* subfamily, while *HEY1*, *HEY2*, *HEYL*, *HESL*, *DEC* and *DEC2* were classified as the *HEY* subfamily. *HES* subfamily members share the common domain architecture of bHLH domain, Orange domain and WRPW motif. *HEY* subfamily members share the common domain architecture of bHLH domain and Orange domain; however, the WRPW motif was absent in *HEY* subfamily members. *HES1*, *HEY1* and *HEYL* orthologs were well conserved between human and mouse, while *HES2* and *HES3* orthologs were divergent (Fig. 2A).

Alignment of human *HES3* and mouse *Hes3* revealed that eight amino-acid residues were added to the C-terminal WRPW motif in human *HES3* (Fig. 1B). Coding region of human *HES3* transcript was elongated in the 3'-position by 24 bp compared to that of mouse *Hes3* transcript due to the T to G nucleotide substitution at the position corresponding to the stop codon of mouse *Hes3* transcript. The T to G



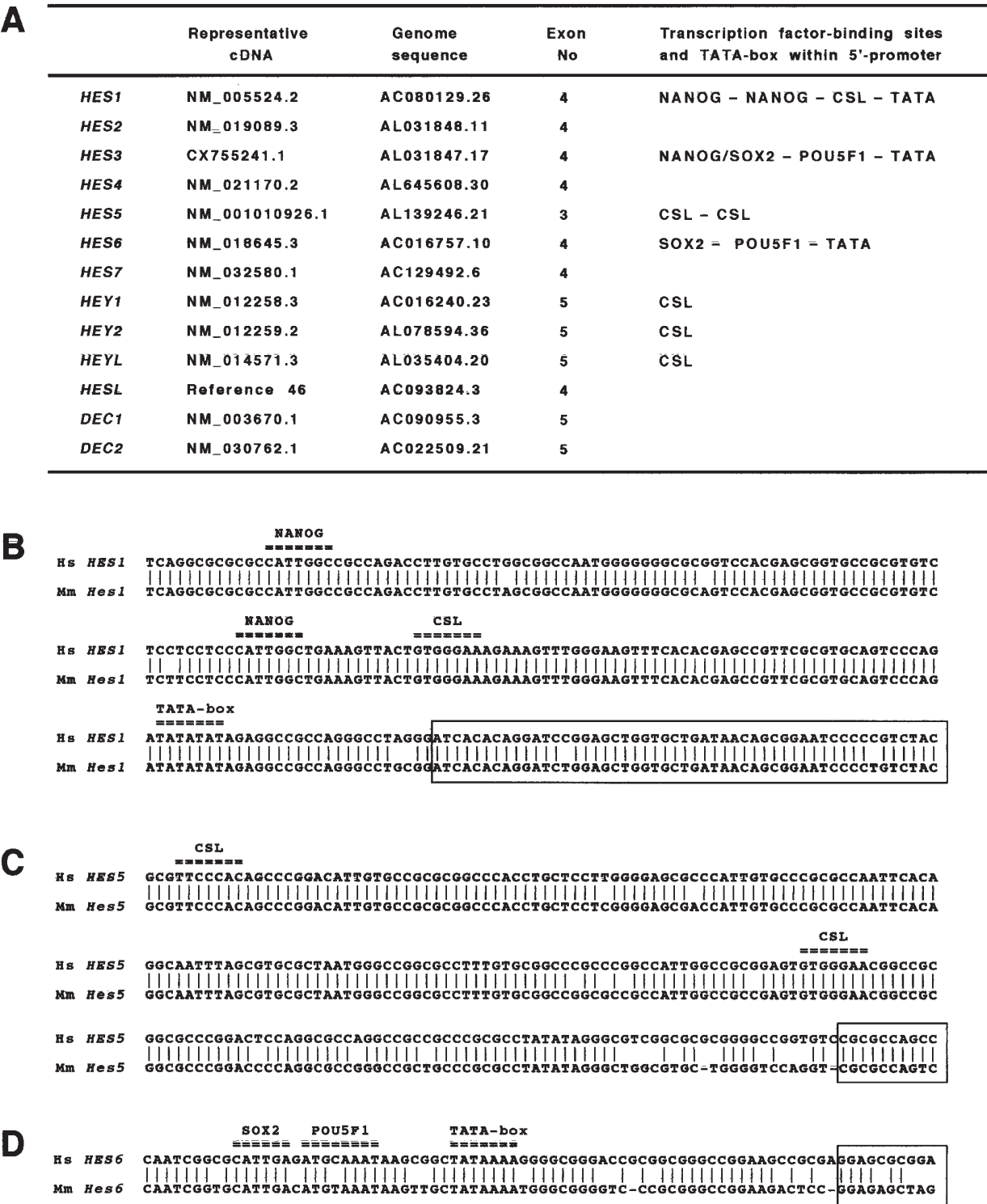


Figure 3. Comparative genomics on 5'-promoter region of mammalian *HES/HEY* family genes. (A) List of conserved transcription factor-binding sites in the promoter region of *HES/HEY* family genes. (B) Alignment of human *HES1* and mouse *Hes1* promoters. Double NANOG-binding sites, CSL-binding site, and TATA-box in human *HES1* promoter are conserved in mouse *Hes1* promoter. (C) Alignment of human *HES5* and mouse *Hes5* promoters. Double CSL-binding sites in human *HES5* promoter are conserved in mouse *Hes5* promoter. (D) Alignment of human *HES6* and mouse *Hes6* promoters. SOX2-, POU5F1-binding sites, and TATA-box in human *HES6* promoter are conserved in the mouse *Hes6* promoter.

also in endothelial cells, and brain tumors. *HEY2* mRNA was expressed in neural tissues, and endothelial cells. *HEYL* mRNA was expressed in neural tissues, pancreatic islet, and rhabdomyosarcoma. *HESL* mRNA was expressed in ovarian fibrotheoma. *DEC1* mRNA was expressed in ES cell-derived

embryoid body, neural tissues, pancreatic islet, pancreatic cancer, melanoma, cervical cancer, head and neck cancer. *DEC2* mRNA was expressed in neural tissues, germinal center B cells, multiple sclerosis, brain tumors, parathyroid tumors, and colorectal cancer.



*Comparative genomics on mammalian HES/HEY family members.* The human *HES1*, *HES2*, *HES3*, *HES4*, *HES5*, *HES6*, *HES7*, *HEY1*, *HEY2*, *HEYL*, *HESL*, *DEC1* and *DEC2* genes were located within AC080129.26, AL031848.11, AL031847.17, AL645608.30, AL139246.21, AC016757.10, AC129492.6, AC016240.23, AL078594.36, AL035404.20, AC093824.3, AC090955.3, AC022509.21 genome sequences, respectively (Fig. 3A). Mouse *Hes1*, *Hes2*, *Hes3*, *Hes5*, *Hes6*, *Hes7*, *Hey1*, *Hey2*, *Heyl*, *Hesl*, *Dec1* and *Dec2* genes were located within CT030736.8, AL772240.7, AL611985.22, BX004788.7, AC110510.6, AL645527.20, AC132225.3, AC125532.3, AL606934.12, AC156551.5, AC153593.4, and AC144936.4 genome sequences, respectively.

Transcription factor-binding sites conserved between human and mouse *HES/HEY* orthologs were then searched for (Fig. 3A). Double NANOG-binding sites, CSL-binding site, and TATA-box in human *HES1* promoter were conserved in mouse *Hes1* promoter (Fig. 3B). NANOG/SOX2-binding site, POU5F1 (OCT3/4)-binding site, and TATA-box in human *HES3* promoter were conserved in mouse *Hes3* promoter (Fig. 1C). Double CSL-binding sites in human *HES5* promoter were conserved in mouse *Hes5* promoter (Fig. 3C). SOX2-binding site, POU5F1-binding site and TATA-box in human *HES6* promoter were conserved in mouse *Hes6* promoter (Fig. 3D). Although double CSL-binding sites in mouse *Hes7* promoter was not conserved in human *HES7* promoter, CSL-binding site in human *HEY1*, *HEY2*, and *HEYL* promoters was evolutionarily conserved (Fig. 3A).

## Discussion

Complete coding sequence of *HES3* variant 2 was determined by curating CX755241.1 EST (Fig. 1A). Human *HES3* variant 2 was longer than human *HES3* variant 1 in the N-terminal region by 22 amino acids (Fig. 1A). Mouse *Hes3* variant 2 with the basic region binds to genomic DNA, but mouse *Hes3* variant 1 without the basic region does not bind to genomic DNA (47). *HES3* orthologs encode two isoforms with N-terminal divergence due to alternative promoters.

Refined phylogenetic analysis using *HES3* variant 2 instead of variant 1 revealed that mammalian bHLH transcription factors with Orange domain were grouped into *HES* subfamily (*HES1*, *HES2*, *HES3*, *HES4*, *HES5*, *HES6*, *HES7*) and *HEY* subfamily (*HEY1*, *HEY2*, *HEYL*, *HESL/HELT*, *DEC1/BHLHB2*, *DEC2/BHLHB3*) (Fig. 2A).

Phylogenetic analysis also indicated that *HES3* orthologs were relatively divergent among the *HES/HEY* family (Fig. 2A). Comparative genomics revealed that eight amino-acid residues were added to the C-terminal WRPW motif in human *HES3* due to lineage specific T to G nucleotide change at the position corresponding to the stop codon of chimpanzee, rat, and mouse *HES3* orthologs (Fig. 1B). These facts indicated that protein evolution occurred in human *HES3*.

*HES3* expression was restricted to undifferentiated ES cells, while *HES1* expression was detected in undifferentiated ES cells as well as in fetal tissues, adult tissues, and gastrointestinal tumors (Fig. 2B). NANOG-, SOX2-, POU5F1-binding sites, and TATA-box in human *HES3* promoter were conserved in the mouse *Hes3* promoter (Fig. 1C). Double NANOG-binding sites, CSL-binding site, and TATA-box in

human *HES1* promoter were conserved in the mouse *Hes1* promoter (Fig. 3B). *HES1* and *HES3* were transcribed in undifferentiated human ES cells due to ES cell-specific network of transcription factors, while *HES1* was also transcribed in fetal and adult tissues due to Notch signaling activation.

*HES1*, *HES4*, *HES5*, *HES6*, *HEY1*, *HEY2*, *HEYL*, *DEC1*, and *DEC2* were expressed in neural tissues. *HES4*, *HES5*, and *HES6* were preferentially expressed in hypothalamus, while *HEY1* and *DEC2* in hippocampus. Regional preferentiality of *HES/HEY* family members within human brain was clarified using *in silico* expression analyses in this study.

CSL-binding sites within *HES1*, *HES5*, *HEY1*, *HEY2*, and *HEYL* promoters were evolutionarily conserved; however, those within *HES7* promoter were not conserved (Fig. 3A). Because canonical Notch signaling induces the transcriptional activation of target genes through the MAML-NICD-CSL transcriptional complex (1-4), expression of *HES1*, *HEY1* and *HEY2* in endothelial cells indicate the implication of the Notch signaling pathway in angiogenesis.

*HES1* transcription is oscillated in many cell types, such as fibroblasts, myoblasts, neuroblasts, and mesenchymal stem cells (51,52). Human *HES1* is oscillated with a period of 5 h, while mouse *Hes1* is oscillated with a period of 2 h (52). After *HES1* transcription and translation, *HES1* protein represses the transcription of *HES1* mRNA in cell autonomous manner based on the negative autoregulation (39).

*HES1*, *HES4* and *HES6* were expressed in gastric cancer; *HES1* and *DEC1* in pancreatic cancer; *HES1*, *HES2*, *HES4*, *HES6* and *DEC2* in colorectal cancer (Fig. 2B). *HES6* was also expressed in other tumors, such as brain tumors, melanoma, small cell lung cancer, retinoblastoma, ovarian cancer, and breast cancer (Fig. 2B). *HES1* expression in gastroenterological tumors is due to Notch signaling activation, and *HES6* expression in tumors is partly due to SOX-POU transcriptional complex (Fig. 3). Because *HES6* was expressed in a variety of tumors, further investigation on *HES6* could lead to better understanding of carcinogenesis, and development of novel diagnostics or therapeutics for human cancer in various tissues.

Integrative genomic analyses on the *HES/HEY* family revealed that *HES1* and *HES3* were target genes of the ES cell-specific network of transcription factors, and that *HES1*, *HES5*, *HEY1*, *HEY2* and *HEYL* were target genes of the Notch signaling pathway.

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