

# Systemic chemotherapy with FOLFOX in metastatic grade 1/2 neuroendocrine cancer

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**Abstract.** Neuroendocrine tumors (NETs) comprise a heterogeneous group of malignancies with various clinical presentations and evolution. NETs are often diagnosed at a late stage, when they are already metastatic. Treatment is currently based on traditional chemotherapies, such as streptozocin, with serious side effects. The favorable toxicity profile of the combination of 5-fluorouracil with oxaliplatin, together with its significant antitumor activity in several gastrointestinal malignancies, led to the evaluation of its efficacy and tolerability in patients with advanced grade 1/2 (G1/G2) NETs. The endpoints of the study were tumor response (according to the Response Evaluation Criteria in Solid Tumors 1.1), overall survival (OS), progression-free survival (PFS) and symptom improvement. From January, 2013 to January, 2015, during our Regional Multidisciplinary Tumor Board dedicated to NETs (RENATEN network), FOLFOX was recommended for the treatment of metastatic NETs as first-line therapy or after failure of other therapies. The inclusion criteria were metastatic, well-differentiated G1/G2 NETs, progressing within the last 3 months. Cases with previous antitumor therapy were allowed. The patients received modified FOLFOX-6 and were assessed every 3 months by computed tomography or magnetic resonance imaging examinations. A total of 31 patients were included. The median follow-up was 20 months [95% confidence interval (CI): 15-27]. Nine patients (29%) exhibited a partial response, and 13 (41%) achieved stable disease; the disease control rate was 70%. A total of 9 patients exhibited disease progression. The control rate was 78% for pancreatic and 65% for extra-

pancreatic NETs. The median OS was not reached; the 1- and 2-year OS rates were 89 and 70%, respectively (Fig. 1). No significant difference in OS was observed between the <5 and 5-20% Ki-67 subgroups (P=0.41) (Fig. 2A) or according to primary tumor location (P=0.71) (Fig. 2B). The median PFS was 14.1 months (95% CI: 9.3-24.1), with no significant difference in PFS between the Ki-67 subgroups (P=0.26) (Fig. 3A) or by primary tumor location (P=0.995) (Fig. 3B). The median time to treatment failure was 14.72 months (95% CI: 10.0-not estimable). No unusual toxicity or toxicity-related deaths were reported. Finally, 7 of 9 patients who achieved a partial response benefited from a break in treatment of  $\geq 3$  months. The median duration of this break was 9.2 months (range, 3-42 months). Of the 13 patients with stable disease, 12 may have also benefited from a chemotherapy break. The median break duration was 10 months (range, 0.5-26 months).

## Introduction

Neuroendocrine tumors (NETs) are a heterogeneous group of malignancies with various clinical presentations and evolution. NETs are often diagnosed at a late stage, when they are already metastatic (1). Their prognosis and treatment mainly depend on histological grade, which is based on the Ki-67 proliferation index (2). The recommended treatment for poorly differentiated grade 3 (G3; Ki-67>20%) NETs is aggressive chemotherapy, usually based on cisplatin and etoposide. However, a proportion of G3 NETs are well-differentiated (3). The treatment of G1/G2 NETs has improved, but less so in cases with liver metastases (4-6) compared with disseminated disease, with the demonstration of the efficacy of somatostatin analogs (7,8), targeted therapies (9-11), and, more recently, peptide receptor radionuclide therapy (PRRT) (12-14). After the demonstration of the efficacy of sunitinib and everolimus, these drugs may be considered as the first systemic line of treatment. Systemic chemotherapy remains a standard of care, as the results of the first randomized study demonstrated the efficacy of combining streptozocin (STZ) with either doxorubicin or 5-fluorouracil (5FU) (15). Later, a large phase II/III analysis (16) showed no difference between 5FU-doxorubicin

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and 5FU-STZ. Other combinations based on temozolomide have also been tested (17). Oxaliplatin, a platinum analog with a favorable safety profile, has significant activity against various gastrointestinal cancers (18-21); in addition, one case of response to an oxaliplatin-based regimen in a patient with metastatic carcinoid tumor has been reported (22). More recently, the results of a phase II trial of the combination of capecitabine and oxaliplatin in patients with advanced untreated NETs were reported (23). These well-tolerated chemotherapies have the advantage that, if the patient achieves partial response or stable disease, it may be possible to have a break from treatment (24) in order to improve safety and quality of life. The favorable toxicity profile of 5FU-oxaliplatin, together with its significant antitumor activity in several gastrointestinal malignancies, led to the evaluation of its efficacy and tolerability in patients with advanced G1/G2 NETs.

## Patients and methods

*Patient selection.* From January, 2013 to January, 2015, during our Regional Multidisciplinary Tumor Board dedicated to NETs (RENATEN network), FOLFOX was proposed for the treatment of metastatic progressing NETs as first-line therapy or after failure of other therapies. The cases of patients treated in four centers of the RENATEN network in Provence (Paoli-Calmettes Institute, Marseille; La Timone Hospital, University of Méditerranée, Marseille; Antoine Lacassagne Cancer Center, Nice; and Sainte-Catherine Institute, Avignon) were retrospectively reviewed.

The inclusion criteria were as follows: NETs (in all cases, the diagnosis of neuroendocrine carcinoma was confirmed by an expert pathologist from the TEN-path network), progressing within the last 3 months, locally advanced or metastatic, well-differentiated and G1/G2. Previous treatments with chemotherapy, targeted therapies, transarterial chemoembolization, or somatostatin analogues were allowed. The exclusion criteria were as follows: G3 NET (Ki-67>20%) or patients with other malignancies.

*Treatment schedule.* The patient files were retrospectively reviewed for efficacy outcomes [response rate and progression-free survival (PFS)], toxicities, clinical benefit and overall survival (OS). The patients received modified FOLFOX-6 (mFOLFOX; 85 mg/m<sup>2</sup> oxaliplatin and 100 mg/m<sup>2</sup> leucovorin as a 2-h intravenous infusion on day 1, followed by 5FU as a 400 mg/m<sup>2</sup> bolus and then 2,400 mg/m<sup>2</sup> as a 46-h continuous infusion). The cycles were repeated every 2 weeks. Complete blood count, serum biochemistry and liver function tests were performed 24-48 h prior to each cycle. The chemotherapy doses were reduced if needed according to the standard guidelines. For oxaliplatin-specific toxicities (paresthesia and neuropathy), only the dose of oxaliplatin was reduced, first to 65 mg/m<sup>2</sup> and then to 50 mg/m<sup>2</sup>.

*Outcome evaluation.* All the patients were assessed at the end of each cycle by clinical examination and blood tests, including chromogranin A (CgA) level, and every 3 months by CT scan and/or magnetic resonance imaging (MRI) and CgA. The performance status and clinical symptoms (weight gain, pain and secretory symptoms) were recorded and a mean-

ingful improvement in performance status and clinical signs was considered as a clinical benefit. Radiological response was classified according to the Response Evaluation Criteria in Solid Tumors, version 1.0 (<http://jnci.oxfordjournals.org/content/92/3/205.long>). A complete response was defined as disappearance of all target lesions, lasting for  $\geq 4$  weeks. A partial response was defined as a decrease of  $>30\%$  in the sum of the largest perpendicular diameters of all measurable lesions, persisting for  $\geq 4$  weeks, without progression of any non-measurable sites and without the appearance of new lesions. Progressive disease included an increase of  $\geq 20\%$  in the sum of the largest diameters of target lesions, taking as a reference the smallest largest diameter recorded since treatment initiation, or the appearance of  $\geq 1$  new lesions. Stable disease was defined as neither sufficient shrinkage to qualify as a partial response nor sufficient increase to qualify as progressive disease. After chemotherapy withdrawal, the patients were followed up every 3 months with clinical and imaging examinations.

*Statistical analysis.* The statistical analysis was performed using SAS software, version 9.3 (SAS Institute, Cary, NC, USA). The baseline characteristics were summarized using descriptive statistics: Median and range for continuous variables, and number and percentage for categorical variables. OS was calculated from the date of chemotherapy initiation (cycle 1-day 1) to the date of death. PFS was calculated from the date of chemotherapy initiation (cycle 1-day 1) to the date of disease progression or death from any cause. The time-to-treatment failure (TTF) is defined as the duration between the first day of treatment and the development of toxicity or tumor progression, leading to the use of another therapeutic option. Patients without any event of interest were censored at the date of the last contact. Survival curves were estimated using the Kaplan-Meier method and compared using the log-rank test.

## Results

*Patient characteristics.* The baseline characteristics of the 31 patients (19 men and 12 women) are summarized in Table I. Briefly, the median age at the first line of FOLFOX was 61.9 years (range, 27.9-78.2 years). The majority of the patients had digestive or lung neuroendocrine carcinoma: The primary site was the pancreas in 14, the digestive tract in 3 and the lung in 8 patients, while the 6 remaining patients had primary tumors of unknown origin; 21 initially had a poor performance status ( $\geq 2$ ), and 28 (90%) had tumor-related symptoms (abdominal pain and carcinoid syndrome). All the patients had well-differentiated metastatic carcinomas. The Ki-67 index was available for 30 of the 31 patients: in 3 cases, the Ki-67 was  $<2\%$  (G1), while 28 patients had a Ki-67 index of 2-20% (G2). <sup>18</sup>F-fluorodeoxyglucose positron emission tomography examination was performed in 27 patients and was positive in 26. The median time from the diagnosis of metastasis to the initiation of the FOLFOX regimen was 28.72 months (range, 0-90 months). mFOLFOX was used as first-line therapy in 18 patients, second-line in 8, and third- and fourth-line in 3 and 2 patients, respectively. The treatments previously received were as follows: Transcatheter arterial chemoembolization in 5 cases, PRRT in 1, targeted therapies

Table I. Patient characteristics.

Characteristics	n	%
<b>Gender</b>		
Male/female	19/12	61/39
<b>Site of primary tumor</b>		
Pancreas	14	45
Lung	8	26
Small intestine	3	9.7
Unknown	6	19.3
<b>Initial performance status</b>		
0	1	3
1	9	30
2	20	64
3	1	3
<b>No. of previous treatment lines</b>		
0	18	58
1	8	26
2	3	10
3	2	6
<b>No. of metastatic sites</b>		
0	0	0
1	22	71
2	9	29
<b>Tumor syndrome</b>		
Yes	28	90
No	3	10
<b>FDG PET</b>		
Positive/negative	26/1	84/3
Not performed	4	13

FDG, fluorodeoxyglucose; PET, positron emission tomography.

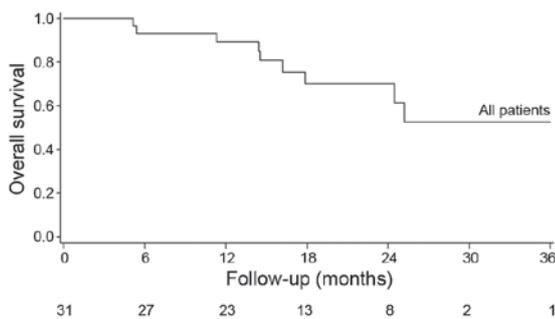


Figure 1. Overall survival of a population of 31 metastatic NETs treated by mFOLFOX chemotherapy.

in 5, and chemotherapy (dacarbazine, temozolomide, STZ, cisplatin + etoposide) in 13 cases.

The median follow-up was 20 months [95% confidence interval (CI):15-27]. Based on CT or MRI evaluation, 9 patients (29%) showed a partial response, and 13 (41%) had stable disease. The disease control rate was 70%; 9 patients exhibited disease progression. The control rate was 78% for pancreatic and 65% for extrapancreatic NETs.

The baseline CgA level was available (and initially high) in 15 patients. A total of 13 patients exhibited a decrease in the CgA level (in 9 cases the level decreased by >50%, including 3 cases exhibiting a decrease of >80%), whereas 2 patients exhibited an increase by 3 and 20%, contradicting the radiological evidence of partial response and stable disease, both indicating clinical benefit.

Overall, 22 patients had a clinical benefit, whereas 9 did not experience any clinical improvement. Among the 22 patients who had a clinical benefit, 9 exhibited partial tumor response, 12 had stable disease, and 1 had progressive disease. Among the 9 patients without clinical improvement, 8 had progressive disease and 1 had stable disease. The clinical benefit was observed after 1 or 2 treatment cycles.

The median OS was not reached; the 1- and 2-year OS was 89 and 70%, respectively (Fig. 1). No significant difference in OS was observed between the Ki-67 subgroups (<5 and 5-20%; P=0.41) (Fig. 2A) or according to the primary tumor location (P=0.71; Fig. 2B). The median PFS was 14.1 months (95% CI: 9.3-24.1), with no significant difference in PFS between the Ki-67 subgroups (P=0.26; Fig. 3A) or according to tumor location (P=0.995; Fig. 3B). The median TTF was 14.72 months (95% CI:10.0-non-estimable).

Overall, 235 cycles of mFOLFOX were administered, of which 158 (67%) were administered at full dose. The median number of mFOLFOX cycles administered per patient was 6 (range, 1-22). No unusual toxicity or toxicity-related deaths were reported. No patients discontinued FOLFOX due to severe toxicity, while 3 patients discontinued FOLFOX early due to clinical proof of disease progression after 2 or 4 weeks of FOLFOX therapy. Oxaliplatin dose reduction of 10-30%, usually due to mild neurotoxicity, was required in 17 patients.

Finally, 7 of the 9 patients who had partial response benefited from a break in treatment, lasting ≥3 months. The median duration of this break was 9.2 months (range, 3-42 months). Of the 13 patients with stable disease, 12 also benefited from a chemotherapy break. The median duration of this break was 10 months (range, 0.5-26 months); 9 of the 13 patients with stable disease received somatostatin analogues. Following disease progression, 7 of these patients resumed the same FOLFOX treatment.

## Discussion

Although no definitive conclusions may be drawn from our series of patients due to the retrospective nature of this study, the small number of patients and the heterogeneity of the underlying disease, certain observations were made. In our series, the overall disease control rate was 70%, with a 29% objective response rate. The FOLFOX combination appears to be effective, even in this heavily pretreated population. The comparison with response rates obtained with previously published combinations is complex (25). However, our results appear to be better compared with the results obtained with interferon or with the combination of interferon with 5FU, with a 9% partial response rate, even in carcinoid tumors (26), and similar to the results obtained with a combination of 5FU, doxorubicin and STZ in pancreatic NETs (response rate of 39%, median response duration of 9.3 months, 2-year PFS rate of 41% and 2-year OS rate of 74%) (27), XELOX (30% partial

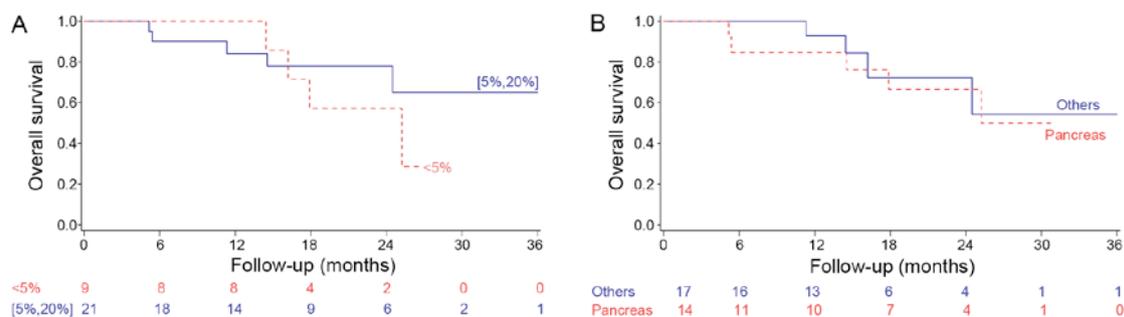


Figure 2. Overall survival by (A) Ki-67 immunolabeling (<5 vs. 5-20%) and (B) primary location (pancreas vs. other locations).

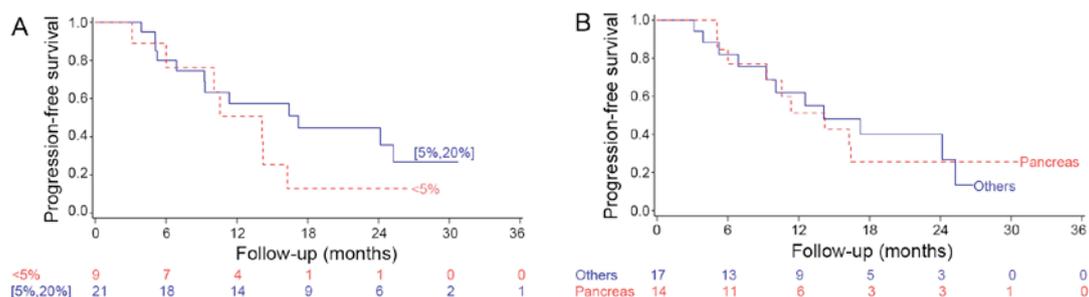


Figure 3. Progression-free survival by (A) Ki-67 immunolabeling (<5 vs. 5-20%) and (B) primary location (pancreas vs. other locations).

response and 48% stable disease) (23), or GEMOX (28). The results obtained with temozolomide appeared better but largely depend on O<sup>6</sup>-methylguanine DNA methyltransferase expression (29,30).

To the best of our knowledge, none of those studies had taken an active interest in the possibility of a prolonged break in chemotherapy. We observed the possibility of a prolonged break with improvement of the quality of life and symptoms, in both partial responders and patients with stable disease. In NETs, FOLFOX may be integrated into 'stop and go' strategies, as in colorectal cancer, with improvement of the quality of life, which is particularly important for a disease where the cumulative toxicity of chemotherapy is a major consideration. After a prolonged break in patients with partial response or stable disease, the same chemotherapy may be performed again with good results, as in our series.

The use of antiangiogenic drugs in NETs has been investigated in patients who are stable on octreotide. In a phase II study, bevacizumab achieved an objective response, radiological reduction in blood flow and longer PFS compared with interferon  $\alpha$ . The combination of chemotherapies with bevacizumab has also been investigated. The BETTER trials (31,32) recently evaluated the combination of bevacizumab and capecitabine in gastrointestinal NETs and of bevacizumab combined with 5FU/STZ in progressive pancreatic NETs; both studies conducted in the first-line setting demonstrated clinical effectiveness and a manageable safety profile with a promising median PFS at ~24 months.

The improvement of symptoms is of interest, even in cases of radiological stability. Improvement in clinical signs and biochemical activities that contradict minor antitumor activity on imaging has been described in NETs following treatment with somatostatin analogs (33) and interferon  $\alpha$  (34).

In conclusion, our overall experience with FOLFOX chemotherapy indicates that this combination is feasible and exhibits promising activity in patients with either previously treated or untreated NETs. Improvement may be obtained through combination with antiangiogenic drugs (35-38). Chemotherapy breaks were possible in the majority of the cases, alleviating treatment-related toxicity and improving the quality of life of the patients.

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