

# Trefoil factor 3 expression in epithelial ovarian cancer exerts a minor effect on clinicopathological parameters

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**Abstract.** The role of trefoil factor 3 (intestinal) (TFF3) has been analyzed in numerous cancers, such as breast and gastrointestinal cancer, and has been associated with poor prognosis. However, the role of TFF3 in ovarian cancers is not clear. Expression analysis of TFF3 in 91 ovarian cancer patients was performed by immunohistochemistry of primary paraffin-embedded tumor samples. The results were scored according to staining intensity and percentage of positive tumor cells resulting in an immune-reactive score (IRS) of 0-12. These results were correlated with clinicopathological characteristics and survival. TFF3 expression in our patient cohort exhibited a tendency towards improved overall and progression-free survival (PFS). In TFF3-positive serous and high-grade serous ovarian cancers, the median PFS was 27.6 months [95% confidence interval (CI): 0-55.7] vs. 15.2 months in TFF3-negative tumors (95% CI: 13.8-16.6) (P=0.183). The median overall survival was 53.9 months in TFF3-positive tumors (95% CI: Non-applicable) vs. 44.4 months in TFF3-negative cases (95% CI: 30.5-58.3) (P=0.36). TFF3 negativity was significantly associated with higher tumor grade (P=0.05). Based on our results, further studies are required in order to elucidate whether survival and chemosensitivity are affected by TFF3 expression in ovarian cancer.

## Introduction

Epithelial ovarian cancer (EOC) is the fifth most common lethal cancer in women. The majority of the patients present with advanced-stage disease and, therefore, an unfavorable

prognosis (1). EOC is not a single disease entity. Histological subtyping is a reasonable first stratification; however, the identification of prognostic and predictive biomarkers remains a major challenge in order to establish targeted therapies. The five major histological/morphological types of ovarian carcinoma are as follows: High-grade serous carcinoma (68%), clear cell (12%), endometrioid (11%), mucinous (3%) and low-grade serous carcinoma (3%) (2).

Despite high response rates to first-line chemotherapy, resistance to treatment frequently develops. Indeed, ~80% of the patients with International Federation of Gynecology and Obstetrics (FIGO) stage II-IV EOC will progress during or after adjuvant chemotherapy (3). Therefore, there is a need for new therapeutic approaches, as well as prognostic and predictive markers. Identification of new prognostic factors may help to distinguish different biological subgroups. In EOC, this is particularly important for the group of patients who develop recurrent disease. These patients often do not benefit from current treatment modalities and may suffer from severe therapy-related side effects. Future research should be focused on establishing more targeted and individualized treatment strategies in biologically distinct subgroups.

Trefoil factor 3 (TFF3) is an estrogen-regulated oncogene (4). TFF3 expression has been found to be associated with prognostic factors in a multitude of different types of cancer, including estrogen receptor-positive breast cancer (4). Furthermore, TFF3 has been found to be involved in gastric cancer progression (5). TFF3 is part of the marker panel that was selected for the calculator for ovarian carcinoma subtype prediction, introduced by Kölbl *et al* in 2011, as being most predictive of ovarian carcinoma subtype in both the archival and the tumor bank cohorts (2). The selection of TFF3 was based on comprehensive gene expression profiling data (2,6). To the best of our knowledge, data on TFF3 in ovarian cancer are scarce. Therefore, the expression of the TFF3 in 91 ovarian cancer patients was investigated to determine its effect on prognosis and platinum sensitivity in ovarian cancer.

## Patients and methods

**Patients and treatment.** The study included patients with primary EOC treated between 1995 and 2008 at

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the Department of Obstetrics and Gynecology of Goethe University (Frankfurt, Germany). A total of 91 patients were retrospectively analyzed. Formalin-fixed, paraffin-embedded (FFPE) tissue samples were obtained from the Department of Pathology. The patient characteristics are listed in Table I. Clinical and pathological factors were evaluated by reviewing medical charts and pathology records. The Local Research Ethics Committee approved studies of human tissue and the samples were processed anonymously. Clinical outcome was assessed from the date of surgery to the date of death or until the end of 2009. Only patients with histologically proven EOC were included. The majority of the patients had advanced-stage disease (FIGO III-IV). All the patients received primary surgery followed by platinum- and taxane-based chemotherapy.

**Tissue samples and immunohistochemistry.** The tissue samples were processed as previously described (7). Routine histopathological sections stained with hematoxylin and eosin were used for primary diagnosis and second reviewing (M.O.). Diagnosis and grading were performed according to the current World Health Organization criteria (8,9). Following mounting on Superfrost Plus slides (Fisherbrand, Fisher Scientific, Hampton, NH, USA), 2- $\mu$ m paraffin sections were dewaxed in xylene and rehydrated with graduated ethanol treatment. For antigen retrieval, the sections were incubated for 20 min in a microwave oven (800 W) using citrate buffer (10 mM; pH 6.0). The monoclonal anti-TFF3 antibody (ab57752, Lot GR71649-1; Abcam, Cambridge, UK,) was used at a dilution of 1:100. Incubation with the antibody for 1 h at room temperature was performed. For negative controls, the primary antibody was omitted. For secondary antibody incubation, the Dako REAL Detection System Alkaline Phosphatase/RED (REF K5005, Lot 20023341; Dako, Glostrup, Denmark) was applied, according to the manufacturer's instructions. The sections were counterstained with hematoxylin (Gill no. 3, Lot 060M4356; Sigma-Aldrich, St. Louis, Missouri, USA). Expression levels for cytoplasmic TFF3 were scored semi-quantitatively based on the product of staining intensity (SI) and percentage of positive cells (PP) using the immunoreactive score (IRS) as follows (10):  $IRS=SI \times PP$ . SI was assigned as 0, negative; 1, weak; 2, moderate; or 3, strong. PP was defined as 0, negative; 1, <10%; 2, 11-50%; 3, 51-80%; or 4, >80% positive cells. All assessments were performed blinded with respect to clinical patient data.

**Statistical analysis.** For statistical analysis a cut-off value was defined according to the IRS, i.e., scores of 0-3 (negative and low) were collectively defined as a low score, whereas scores  $\geq 3$  were defined as a high TFF3 expression score. The Chi-square and Fisher's exact tests were used to assess the associations between TFF3 expression of tumors and clinicopathological parameters. For those patients with available follow-up data, Kaplan-Meier curves were constructed and the log-rank test was used to determine the univariate significance of the variables. Cox regression analysis was performed to determine hazard ratios. All reported P-values were two-sided and P-values of  $\leq 0.05$  were considered to indicate statistically significant differences. All analyses were performed using the SPSS software package, version 22.0 (IBM SPSS, Armonk, NY, USA).

Table I. Patients characteristics.

Characteristics	Number (n)	%
Age, years		
>50	74	81.3
$\leq 50$	17	18.6
Grade		
G3	62	68.1
G1 and G2	29	31.9
FIGO stage		
I	15	16.5
II	7	8.2
III	54	58.8
IV	15	16.5
Histological subtype		
Serous	70	76.9
Other	21	23.1
Residual tumor, cm		
0	43	47.3
0-1	19	20.9
>1	29	31.9
Platinum sensitivity		
Sensitive	55	60.4
Resistant	32	35.2
Not applicable	4	4.4
Progression-free survival, months		
Median		16.9
Range		14.0-19.7
Overall survival, months		
Median		51.6
Range		42.9-60.2

FIGO, international federation of obstetrics and gynecology.

## Results

**Patient characteristics.** A total of 91 patients were included in this study. All the patients underwent primary debulking surgery including hysterectomy, bilateral salpingo-oophorectomy, infragastric omentectomy, appendectomy (mucinous subtype), systematic pelvic and para-aortic lymphadenectomy and, in distinct cases, peritonectomy and resection of affected tissues with intended resection of all visible tumors. In the majority of the patients (n=62; 68%) optimal debulking, i.e., achieving an optimal postoperative residual tumor of  $\leq 1$  cm, according to the National Comprehensive Cancer Network guidelines (11), could be achieved.

The median follow-up time was 33 months. The Kaplan-Meier estimate for median progression-free survival (PFS) for the entire group was 16.9 months [95% confidence interval (CI): 14.0-19.7] and for overall survival (OS) 51.6 months (95% CI: 42.9-60.2). The corresponding 5-year PFS and OS rates were  $17.9 \pm 5.1$  and  $34.9 \pm 6.2\%$ , respectively. In

Table II. Clinical characteristics according to TFF3 expression.

Characteristics	TFF3-negative (IRS 0-3); n (%)	TFF3-positive (IRS 4-12); n (%)	P-value	Total (n=91)
Residual tumor, cm			0.64	
0	30 (69.8)	13 (30.2)		43
>0	36 (75.0)	12 (25.0)		48
Grade			0.05	
1, 2	17 (58.6)	12 (41.4)		29
3	49 (79.0)	13 (21.0)		62
Age, years			0.77	
>50	53 (71.6)	21 (28.4)		74
≤50	13 (76.5)	4 (23.5)		17
FIGO stage			0.29	
I, II	14 (63.6)	8 (36.4)		22
III, IV	52 (75.4)	17 (24.6)		69
Histological subtype			0.01	
Serous	56 (80)	14 (20)		70
Other	10 (47.6)	11 (52.4)		21
Platinum response			n.a.	
Sensitive	39 (70.9)	16 (29.1)		55
Resistant	24 (75.0)	8 (25.0)		32
Unknown/n.a.	3 (75.0)	1 (25.0)		4

TFF3, trefoil factor 3; FIGO, international federation of obstetrics and gynecology; IRS, immunoreactive score; n.a., not applicable.

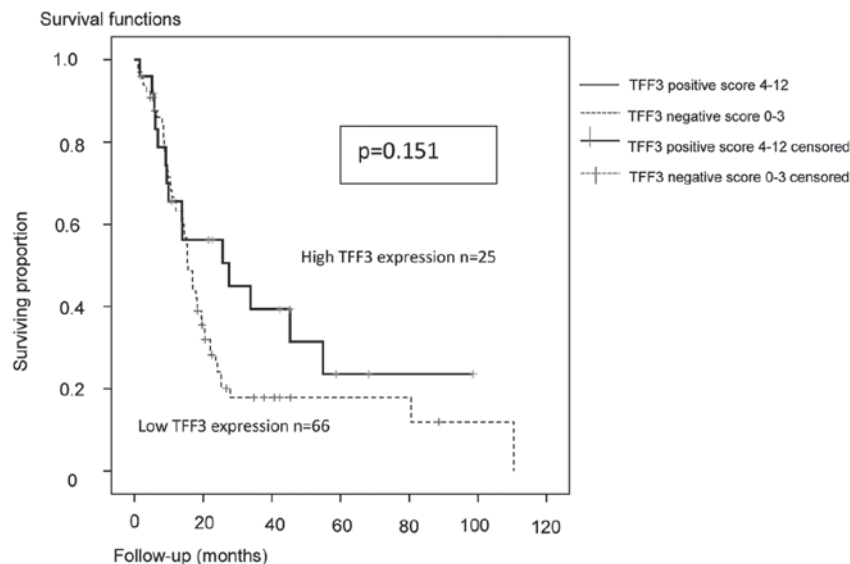


Figure 1. Kaplan-Meier analyses of progression-free survival was performed for all 91 patients with ovarian cancer according to TFF3 expression (TFF3-positive vs. -negative). TFF3, trefoil factor 3.

the group of serous ovarian cancers, the PFS was 15.4 months (95% CI: 13.7-17.0) and the OS was 44.4 months (95% CI: 26.0-62.1). The patient characteristics for the entire cohort are displayed in Table I.

*TFF3 expression according to different clinicopathological characteristics.* Immunohistochemistry revealed higher

expression levels of TFF3 (IRS score ≥4) in 25 tumor samples (27.5%). In the cohort of 91 patients, no significant difference in TFF3 expression was found based on age, FIGO stage or residual tumor. In the group of serous carcinomas, there was a significant association with lower expression of TFF3 when compared with other subtypes. Furthermore, a significant correlation of TFF3 expression and grade was observed.

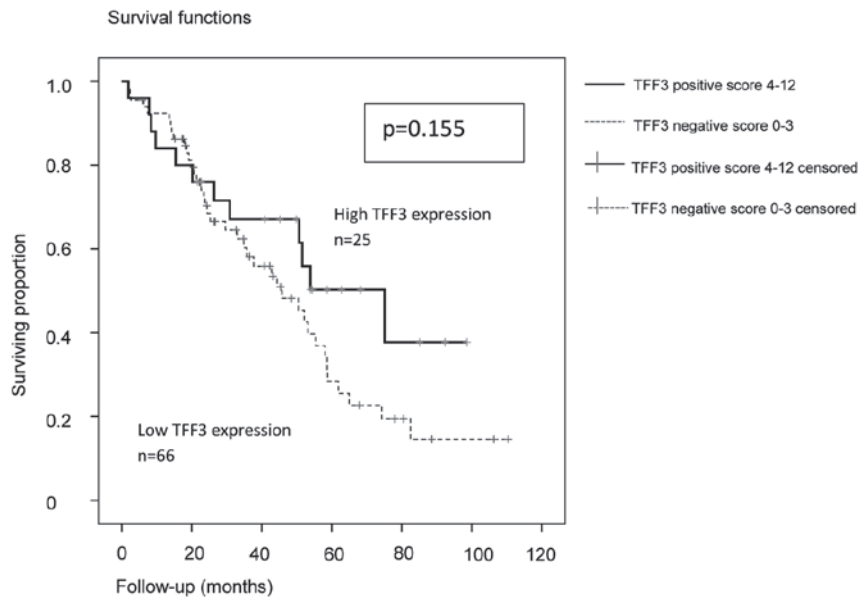


Figure 2. Kaplan-Meier analyses of overall survival was performed for all 91 patients with ovarian cancer according to TFF3 expression (TFF3-positive vs. -negative). TFF3, trefoil factor 3.

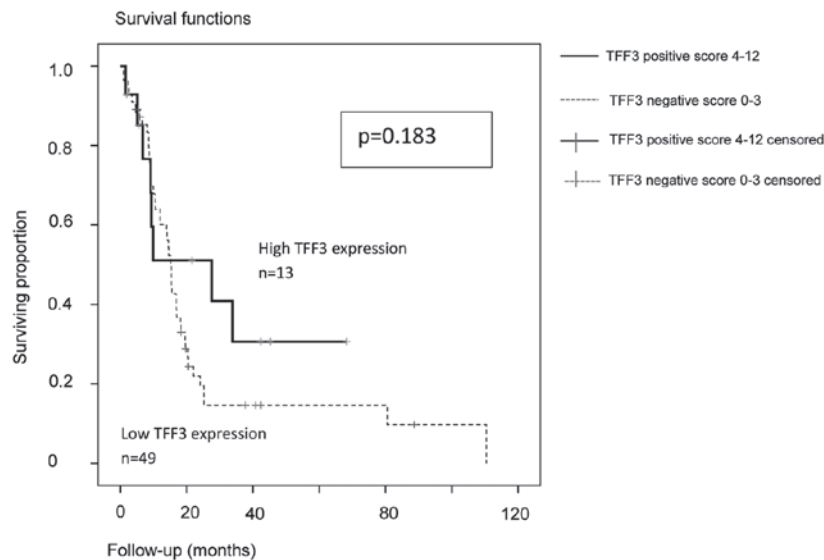


Figure 3. Kaplan-Meier analyses of progression-free survival was performed for the subgroup of 62 patients with high-grade serous ovarian cancer according to TFF3 expression (TFF3-positive vs. -negative). TFF3, trefoil factor 3.

Among TFF3-negative tumors (IRS=0-3), 25.8% were G1 or G2 and 74.2% were G3, while among TFF3-positive tumors, grade was equally distributed between the two groups (48 vs. 52%, respectively). The correlation of TFF3 negativity with higher grade was statistically significant ( $P=0.05$  Fisher's exact test; Table II).

*Association of TFF3 expression with survival.* In the Kaplan-Meier analysis of the entire cohort, the median PFS and OS were increased in TFF3-positive patients (Figs. 1 and 2). However, this was statistically not significant ( $P=0.151$  and  $P=0.155$ , respectively; log-rank Mantel-Cox) although there was a trend for a better PFS.

In the entire group, the median PFS in TFF3-positive patients was 27.6 months (95% CI: 1.4-53.8) vs. 15.6 months

(95% CI: 13.6-17.6) in TFF3-negative patients. The OS for the entire group in TFF3-positive patients was 75.1 months (95% CI: 40.4-109.8) vs. 45.8 months (95% CI: 30.5-61.6) in TFF3-negative cases.

By analyzing the subgroups of serous ( $n=70$ ) and high-grade serous ( $n=62$ ) ovarian carcinomas, the trend for a better PFS and OS in patients with high expression of TFF3 was confirmed. For the subgroup of high-grade serous ovarian cancer ( $n=62$ ), the PFS ( $P=0.183$ ) and OS ( $P=0.36$ ) results are shown in Figs. 3 and 4: In TFF3-positive serous and high-grade serous ovarian cancers, the median PFS was 27.6 months (95% CI: 0-55.7) vs. 15.2 months in TFF3-negative cases (95% CI: 13.8-16.6). The median OAS was 53.9 months in TFF3-positive (95% CI: Non-applicable) vs. 44.4 months in TFF3-negative cases (95% CI: 30.5-58.3).

Table III. Univariate Cox regression analysis of overall and progression-free survival.

A, Overall survival				
Variables	P-value	HR	95% CI	
Histology, other vs. serous	0.102	0.548	0.266	1.126
Residual tumor, ≥0 vs. 0 cm	0.000	3.714	2.010	6.865
FIGO stage, III and IV vs. I and II	0.001	1.085	1.036	1.137
TFF3-positive (IRS 4-12) vs. -negative (IRS 0-3)	0.158	0.626	0.327	1.200
Age, ≤50 vs. >50 years	0.079	0.504	0.234	1.084
Grade, G3 vs. G1 and G2	0.141	0.949	0.884	1.018
B, Progression-free survival				
Variables	P-value	HR	95% CI	
Histology, other vs. serous	0.043	0.531	0.288	0.981
Residual tumor, ≥0 vs. 0 cm	<0.001	3.165	1.887	5.308
FIGO stage, III and IV vs. I and II	<0.001	1.065	1.031	1.101
TFF3-positive (IRS 4-12) vs. -negative (IRS 0-3)	0.156	0.654	0.364	1.175
Age, ≤50 vs. >50 years	0.445	0.775	0.403	1.491
Grade, G3 vs. G1 and G2	0.095	0.949	0.892	1.009

TFF3, trefoil factor 3; FIGO, international federation of obstetrics and gynecology; HR, hazard ratio; CI, confidence interval.

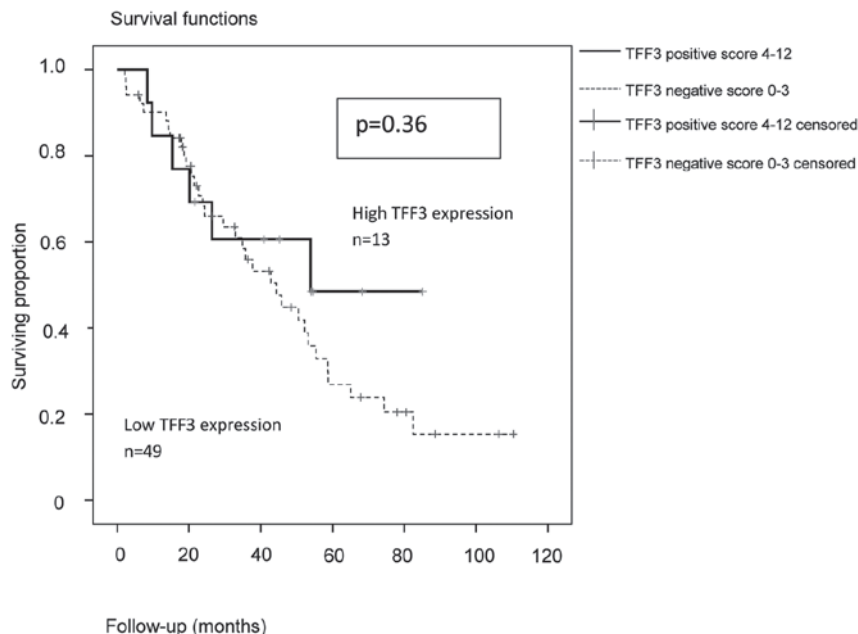


Figure 4. Kaplan-Meier analyses of overall survival was performed for the subgroup of 62 patients with high-grade serous ovarian cancer according to TFF3 expression (TFF3-positive vs. -negative). TFF3, trefoil factor 3.

**Univariate Cox regression analysis.** The univariate Cox regression analysis revealed a statistically significant effect of residual tumor on OS and PFS ( $P < 0.001$  and  $P < 0.001$ , respectively) and of FIGO stage on OS and PFS ( $P = 0.001$  and  $P < 0.001$ , respectively). However, TFF3 positivity did not exert an effect on OS and PFS in the univariate Cox regression analysis ( $P = 0.158$  and  $P = 0.156$ , respectively) (Table III).

**Multivariate Cox regression analysis.** The multivariate Cox regression analysis for OS and PFS included age ( $>50$  vs.  $\leq 50$  years), grade (G1 or G2 vs. G3), FIGO stage (I and II vs. III and IV), pathology (serous vs. others) and residual tumor (0 vs.  $>0$  cm). Only FIGO stage and residual tumor exhibited a statistically significant correlation with poor OS ( $P = 0.041$  and  $P = 0.043$ , respectively). TFF3 expression was not found to be

Table IV. Multivariate Cox regression analysis of standard variables among ovarian cancers for progression-free and overall survival.

A, Progression-free survival				
Variables	P-value	HR	95% CI	
Age, >50 vs. ≤50 years	0.458	1.310	0.642	2.672
Grade, G1 and G2 vs. G3	0.678	1.137	0.619	2.088
Histology, serous vs. other	0.880	1.053	0.540	2.051
Residual tumor, 0 vs. >0 cm	0.041	0.511	0.269	0.971
FIGO stage, I and II vs. III and IV	0.043	0.397	0.162	0.970
TFF3-negative (IRS 0-3) vs. -positive (IRS 4-12)	0.105	1.673	0.898	3.118
B, Overall survival				
Variables	P-value	HR	95% CI	
Age, >50 vs. ≤50 years	0.229	1.643	0.732	3.686
Grade, G1 and G2 vs. G3	0.528	1.243	0.632	2.446
Histology, serous vs. other	0.645	0.829	0.375	1.837
Residual tumor, 0 vs. >0 cm	0.054	0.492	0.240	1.012
FIGO stage, I and II vs. III and IV	0.027	0.259	0.078	0.856
TFF3-negative (IRS 0-3) vs. -positive (IRS 4-12)	0.754	1.117	0.559	2.229

TFF3, trefoil factor 3; FIGO, international federation of obstetrics and gynecology; HR, hazard ratio; CI, confidence interval.

significantly correlated with PFS or OS in all patients in the multivariate analysis ( $P=0.249$ ) (Table IV).

## Discussion

Ovarian carcinomas of different histological types originate from different precursor cells; thus, they retain specific gene and biomarker expression profiles (2). Ovarian cancer subtyping remains one of the major challenges in order to establish prognostic and predictive markers. Apart from histological subtype and the recently established binary grading system that forms subgroups of high-grade and low-grade serous EOC, very few predictive or prognostic biomarkers have been identified in order to better describe the clinical course of the disease, as well as sensitivity to different therapeutic approaches. Furthermore, genetic tumor profiling may reveal several prognostic and predictive genetic signatures. However, these signatures have yet to be validated. In this context, TFF3 was found to be involved in certain signatures.

The TFF family, which comprises three proteins (TFF1, TFF2 and TFF3), is involved in the development and progression of various types of cancers (12).

However, the role of TFF3 in ovarian cancer has not been fully elucidated (13). In breast cancer cells, TFF3 expression is generally positively associated with mammary carcinoma of the estrogen receptor-positive subtype, and TFF3 was recently identified as a promoter of tumor angiogenesis (4). In breast cancer, TFF3 expression is associated with poor survival, lymph node dissemination and distant metastasis. Furthermore, TFF3 may promote gastric cancer and its expression is associ-

ated with increased microvessel density in gastric as well as breast cancer (4,5). High TFF3 mRNA levels in colorectal cancer tissues are associated with distant metastasis, recurrence and poor survival (12). Other authors investigated serum TFF3 levels in gastrointestinal cancer patients and found a correlation of high serum levels with advanced-stage disease and poor response to chemotherapy (12). *TFF3* is an estrogen receptor-related gene in breast and ovarian cancer. Apart from the effect of TFF3 on endocrine therapy, TFF3 expression may also be associated with chemoresistance in breast cancer (14). As immunohistochemical staining of the estrogen receptor does not affect the clinical management of ovarian cancer, it is not part of the routine histopathological work-up of ovarian cancer. Thus, in the present study, we did not focus on the correlation of TFF3 expression with the estrogen receptor. However, further studies are required in order to elucidate the effect of simultaneous estrogen receptor and TFF3 expression in ovarian cancer.

This retrospective analysis of 91 patients with EOC shows that loss of TFF3 expression may be correlated with disease progression. To the best of our knowledge, this is the first report on the prognostic effect of TFF3 expression in this tumor entity.

Interestingly, in our patient collective, there was a trend for improved prognosis in TFF3-positive patients; however, this trend was not significant ( $P=0.151$  and  $P=0.155$  for OS and PFS, respectively). This tendency of differences in OS and PFS in TFF3-positive vs. -negative patients appears to be contradictory to the results of other tumor entities, which reported TFF3 to be a marker of poor prognosis (12). Above all,

a correlation of higher TFF3 expression with poor prognosis was found in gastrointestinal tumors that were, in this respect, most intensively evaluated. Furthermore, TFF3 appears to be a potential marker for screening in this tumor entity. Although gastrointestinal tumors and EOC share certain common characteristics, TFF3 expression was hardly investigated in ovarian cancer. Only a very recent study demonstrated an effect of TFF3 expression on clinical course in EOC. The authors demonstrated a significant correlation of high TFF3 expression and lower risk of recurrence (15). However, that study by Jatoi *et al* (15) was designed to focus specifically on interactions between several potential prognostic markers, rather than analyze the impact of single markers. The results confirmed our observation of TFF3 as a protective marker against recurrence of EOC, in contrast to gastrointestinal tumors. Based on our results, two hypotheses may be conjured: TFF3-negative ovarian cancers may be more aggressive, regardless of stage and histopathological subtype; however, TFF3 positivity may be associated with higher chemosensitivity, which may explain the improved survival due to a better response to chemotherapy. In our patient collective, no significant correlation was observed between TFF3 expression and sensitivity to platinum agents. In order to elucidate this question, *in vitro* essays and further studies are required. In our patient collective, all histopathological subtypes were included. However, histological subtypes other than serous EOC were underrepresented. Under the assumption that TFF3 is part of the subtype markers for different histopathological entities of ovarian cancer, larger studies are required in order to clearly differentiate between the different histological subtypes (2). In particular, the subgroup of mucinous EOC should be further investigated due to its similarities to gastrointestinal tumors. Based on the dualistic model of ovarian carcinogenesis proposed by Kurman and Shih, we performed a subgroup analysis of high-grade serous ovarian cancers (type II tumors) (16). In our patient collective, the subgroup analyses of high-grade serous ovarian cancers yielded identical results. However, these results must be interpreted with caution, as the differences in OS and PFS between TFF3-positive and -negative patients were not significant.

A significant difference in TFF3 expression based on grade (G1 or 2 vs. G3) was observed, namely G3 tumors were less likely to express TFF3 ( $P=0.05$ ). To the best of our knowledge, there are no reported data on a correlation between grade and TFF3 overexpression to date. Further studies with larger patient collectives and subgroup analyses are required, as our patient collective was heterogeneous regarding histological subtype, stage and therapy.

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