Chronic kidney disease and positive surgical margins as prognosticators for upper urinary tract urothelial carcinoma patients undergoing radical nephroureterectomy

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Abstract. Chronic kidney disease (CKD) is a common condition among elderly patients and has been reported to be a biomarker for the presence of malignant disease. In addition, unfavorable outcomes for patients with upper tract urothelial carcinoma (UTUC) undergoing radical nephroureterectomy can be due to independent clinical factors. Therefore, the present study analyzed the clinicopathological data of patients with UTUC, who underwent radical nephroureterectomy at our institution, to clarify whether preoperative CKD and other factors are independent predictors of the shorter disease-specific and/or recurrence-free survival time of these patients. A retrospective review of 187 patients who underwent radical nephroureterectomy was conducted, and patients were followed for at least 3 months postoperatively. The clinicopathological factors that are thought to have potentially significant roles in the progression and metastasis of malignant tumors and for disease-specific and recurrence-free survival were evaluated. Positive surgical margins and an estimation of the glomerular filtration rate (eGFR) of <60 were independent factors for the shorter disease-specific survival time in multivariate analysis with Cox's proportional hazards model [hazard ratio (HR), 2.401: 95% confidence interval (CI), 1.044-5.255; and HR, 2.371: 95% CI, 1.024-5.898, respectively]. Another multivariate analysis also revealed that positive surgical margins (HR, 4.477; 95% CI, 2.042-9.469), and preoperative eGFR <60 (HR, 2.362; 95% CI, 1.067-5.592) were independent factors for the worse recurrence-free survival rate in all patients. Patients with UTUC who had eGFR <60 as well as positive surgical margins had significantly shorter time to disease-specific mortality and extraurothelial recurrence. The

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present study demonstrated that patients with UTUC undergoing radical nephroureterectomy who have CKD as well as positive surgical margins should be carefully followed up postoperatively.

Introduction

Urothelial carcinoma (UC) is a heterogeneous group of malignant tumors that develop in the lower urinary tract (UT) (bladder and urethra) or in the upper UT (renal pelvis and ureter). Bladder tumors account for 90-95% of UCs and are the most common cancers of the urinary tract (1). In contrast, UTUCs are rare and account for only 5-10% of UCs (2,3). Renal pelvic tumors are twice as frequent as ureteral tumors (4). About 17% of UTUC patients have concurrent bladder cancer. Intravesical recurrence occurred in 22-47% of UTUC patients versus 2-6% in the contralateral upper UT (5-7).

Chronic kidney disease (CKD) is common among elderly patients and has been associated with malignant disease (8,9). In addition, CKD is particularly associated with cancers of the kidneys and urinary tract (10). In our previous report, we evaluated the prognostic factors for ureteral carcinoma, and found that the estimated glomerular filtration rate (eGFR) of <60 ml/min/1.73 m² was one of the preoperative predictive factors of extraurothelial recurrence as well as that of positive cytology, clinical T at stage \geq 3, and the length of ureteral cancer 3 cm in patients with ureteral carcinoma (11). Identification of predictors, including CKD, enabled the selection of candidates for neoadjuvant chemotherapy and/or to decide whether extended lymphadenectomy should be performed during radical nephroureterectomy (11).

However, to date, no report has suggested any prognostic association of preoperative CKD with oncological outcomes such as worse disease-specific and/or recurrence-free survival rates in patients with the cancers of the renal pelvis or the ureter. Therefore, it is imperative to investigate the role of preoperative CKD as a prognostic factor in patients with whole UTUC. Our previous findings suggest that CKD could be a promising prognostic factor for poor prognosis in patients with not only ureteral cancer but also whole UTUC.

In addition, the relatively poor outcomes of patients with localized UTUC undergoing radical nephroureterectomy are influenced by factors such as more aggressive tumor biology, lymphovascular invasion, or lymph node metastasis (12,13). Therefore, we speculate that patients with UTUC who have some clinical factors and CKD could have even worse survival rates than those with either condition. Herein, we retrospectively analyzed the clinicopathological data of patients with UTUC who underwent radical nephroureterectomy at National Defense Medical College (single center). We aimed to clarify whether preoperative CKD as well as other pathological factors are independent predictors of shorter disease-specific and/or recurrence-free survival time in patients with UTUC.

Patients and methods

Patients. We retrospectively reviewed clinicopathological data from the medical records of 187 N0M0 UTUC patients who underwent radical nephroureterectomy and were histologically diagnosed with urothelial carcinoma between March 1999 and March 2017. The retrospective data used to support the findings of this study are included within the article. The study protocol (ID 2734) was accepted on June 14, 2017, by our Institutional Ethics Committee (National Defense Medical College), and an opt-out approach on the web page of the National Defense Medical College was used instead of collecting written informed consent from all participants. A total of 138 men and 49 women of median age 71 years (range: 38-90 years) were included in the present study. The mean follow-up period after surgery was 49.2 months (range: 3.4-209.2 months). Table I shows the additional clinicopathological data.

Overall, 104 patients were treated by open nephroureterectomy, while 83 were treated by laparoscopic nephroureterectomy. 47 patients had a history of bladder cancer. Regional lymphadenectomy was performed in 57 patients, and extended lymph node dissection was not routinely performed. The method of lymphadenectomy was the same as that described in our previous paper (11). Of the 57 patients who underwent regional lymphadenectomy, 15 had positive lymph node metastasis. Neoadjuvant chemotherapy was administered in four patients, of which two patients developed postoperative recurrence or distant metastasis. Adjuvant chemotherapy was performed in 53 patients with histologically confirmed lymph node involvement or pathologically stage T3 or higher cancer, of which 30 patients developed recurrence and/or distant metastasis. We used a combination of cisplatin and gemcitabine as the chemotherapy regimen for all patients undergoing neoadjuvant or adjuvant chemotherapy. We adjusted the dose of cisplatin according to the renal function of patients undergoing neoadjuvant or adjuvant chemotherapy. Local recurrence or distant metastasis was monitored for each patient every 3-6 months for the first 5 years after surgery and 6-12 months thereafter.

All the surgical specimens were processed according to the standard pathological procedures and were histologically confirmed to be urothelial carcinoma with or without other tumor cell types. The pathological staging of the primary tumor (pT) was assessed according to the American Joint Committee on Cancer Tassification (14), whereas tumor grading was performed according to the 2004 WHO classification of urothelial tumors (15). Tumor slides were evaluated by two pathologists, and patients were divided into two groups on the basis of the 2004 WHO classification system for tumor grading.

Inflammatory indices. Inflammatory indices were evaluated by laboratory tests. The neutrophil to lymphocyte ratio (NLR) was calculated by dividing the absolute neutrophil count by the absolute lymphocyte count (16). The platelet to lymphocyte ratio (PLR) was calculated by dividing the absolute platelet count by the absolute lymphocyte count (16). The C-reactive protein (CRP) to albumin (Alb) ratio (CAR) was calculated by dividing the CRP value by Alb value. The Glasgow prognostic score (GPS) was evaluated by using the CRP and Alb values. Patients with elevated CRP levels (>1 mg/dl) in combination with hypoalbuminemia (<3.5 g/dl) were allocated 2 points. Patients with elevated CRP alone or hypoalbuminemia alone were allocated 1 point, whereas patients exhibiting these parameters within normal limits were allocated 0 points (17). In addition, serum fibrinogen levels acted as inflammatory markers in the present study.

The following formula was used for the estimation of glomerular filtration rate (eGFR) by computation: eGFR (ml/min/1.73 m²)=194 x (serum creatinine)^{-1.094} x Age^{-0.287} x (0.739, for women).

This formula is a modified study equation of the Japanese Society of Nephrology-Chronic Kidney Disease Initiatives (JSN-CKDI) coefficient from the isotope dilution mass spectrometry (IDMS)-traceable 4-variable Modification of Diet in Renal Disease (MDRD) Study equation. It was reported to be more accurate for hospitalized Japanese patients with eGFR <60 ml/min/1.73 m² than the IDMS MDRD Study Equation (18). Laboratory data were measured within a month or two before radical nephroureterectomy.

Statistical analysis. We performed univariate and multivariate analyses by using the Cox proportional hazards model to identify independent factors for shorter disease-specific and recurrence-free survival time. We also performed a receiver operator characteristic(ROC) analysis to determine the cut-off values of eGFR, CAR, NLR, PLR, and fibrinogen levels according to the method shown in previous reports (19-22). We constructed the survival curves by using the Kaplan-Meier method and evaluated the statistical differences among them with the log-rank test. We performed statistical analyses with JMP Pro 11 (SAS Institute, Inc., Cary, NC, USA). P<0.05 was considered to indicate a statistically significant difference.

Results

Independent prognosticator of worse disease-specific survival rate. ROC analysis revealed that patients with eGFR <60, CAR \geq 0.079, NLR \geq 2.035, PLR \geq 165, and fibrinogen levels \geq 337 had a significantly higher association with disease-specific deaths than patients without these conditions. The ROC curves are shown in Fig. 1A-E. We constructed a Cox proportional hazards model for detecting the independent factors for worse disease-specific survival rate among preoperative clinicopathological factors for the study subjects. Among these factors, in a univariate analysis, positive urine cytology, tumor histology besides urothelial carcinoma, higher pT stage,

Table I. Clinicopathological features.

Parameters	Patients, n
Sex	
Men	138
Women	49
Urine cytology	
≥IIIb	116
≤IIIa	71
Histology	
UC + differentiation	38
UC alone	149
Pathological T stage	01
<t2< td=""><td>96</td></t2<>	96
Tumor grade	20
High	132
PUNLMP/low	55
Lympho node metastasis	
Positive	15
Negative	172
Ureteral involvement	
Positive	100
Negative	87
Surgical margins	21
Positive	21 166
I ymphoyascular invasion	100
Positive	65
Negative	122
History of bladder cancer	
Present	47
Absent	140
CIS	
Present	22
Absent	165
Hydronephrosis	110
Positive	112
Destenentive recommence or meteorosis	15
Positive	53
Negative	134
Surgical procedure	
Open	104
Laparoscopic	83
eGFR	
<60	99
≥60	88
CAR	(0)
≥0.079	68 110
	119
>2 035	110
<2.035	67

Table I. Continued.

Parameters	Patients, n
PLR	
≥165	63
<165	123
GPS	
≥1	163
0	24
Fibrinogen (n=146)	
≥337	45
<337	101

UC, urothelial carcinoma; PUNLMP, papillary urothelial neoplasm of low malignant potential; CIS, carcinoma *in situ*; eGFR, estimated glomerular filtration rate (ml/min/1.73 m²); CAR, C-reactive protein to albumin ratio; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; GPS, Glasgow prognostic score.

high tumor grade, lymph node metastasis, positive surgical margins, and LVI were found to be independent factors for shortened disease-specific survival time (P=0.034, P=0.002, P<0.001, P<0.001, P<0.001, P=0.004, P<0.001, respectively). In addition, in another univariate analysis, preoperative eGFR, CAR, NLR, PLR, GPS, and serum fibrinogen levels acted as independent factors for shortened disease-specific survival time among laboratorial factors (P<0.001, P=0.002, P=0.009, P=0.008, P=0.003, and P<0.001, respectively). Among these factors, positive surgical margins and eGFR <60 ml/min/1.73 m² were independent factors for the worse disease-specific survival rate in the multivariate analysis [hazard ratio (HR), 2.401; 95% confidence interval (CI), 1.044-5.255; P=0.040, and HR, 2.371; 95% CI, 1.024-5.898; P=0.044, respectively] (Table II).

In fact, the Kaplan-Meier curves and the results of a log-rank test revealed a significant difference in the disease-specific survival rates between patients with and without positive surgical margins and eGFR <60 ml/min/1.73 m² (P=0.001, P<0.001, respectively) (Fig. 2A and B).

Independent predictors of worse recurrence-free survival rate. In the univariate analysis, recurrence-free survival, that is extraurothelial-recurrence-free survival, was found to be shorter in patients with tumor histology besides urothelial carcinoma, higher pT stage, high tumor grade, lymph node metastasis, positive surgical margins, and LVI (P=0.001, P<0.001, P<0.001, P<0.001, P=0.001, P<0.001, respectively). In addition, in another univariate analysis, preoperative eGFR, CAR, NLR, PLR, GPS, and serum fibrinogen levels acted as independent factors for shortened recurrence-free survival time among laboratorial factors (P<0.001, P=0.004, P=0.040, P=0.019, P=0.003, and P<0.001, respectively). Among these factors, high tumor grade, positive surgical margins, LVI, and preoperative eGFR <60 ml/min/1.73 m² were found to act as independent factors for worse recurrence-free survival rate in all patients included in the multivariate analysis



Figure 1. There was a significant association between (A) albumin ratio, (B) neutrophil to lymphocyte ratio, (C) platelet to lymphocyte ratio, (D) fibrinogen levels, and (E) estimation of the glomerular filtration rate with disease-specific mortality (P=0.030, P=0.036, P=0.011, P<0.001, P=0.024, respectively). The values of the farthest points from a diagonal from the lower left to the upper right (the tangent points at which the black diagonal line contacts with ROC curve) are (A) 0.079, (B) 2.035, (C) 164.697, (D) 337, and (E) 61.77. TPF, true positive fraction; FPF, false positive fraction; AUC, area under the curve.

(HR, 2.934; 95% CI, 1.069-9.570; P=0.036, and HR, 4.477; 95% CI, 2.042-9.469; P<0.001, and HR, 2.615; 95% CI, 1.180-5.969; P=0.018, and HR, 2.362; 95% CI, 1.067-5.592; P=0.034, respectively) (Table III).

The Kaplan-Meier curves and the results of the log-rank test both revealed a significant difference in the recurrence-free survival rates between patients with and without high tumor grade, positive surgical margins, LVI, and preoperative eGFR <60 ml/min/1.73 m² (both P<0.001) (Fig. 3A and B).

Survival rates in patients with stratified risks. From the aforementioned findings, positive surgical margins and eGFR <60 were found to be independent predictors of worse disease-specific and recurrence-free survival rates. We stratified patients according to the number of independent factors and we used Kaplan-Meier curves and the results of the log-rank test to analyze survival rates. There was a significant difference in disease-specific and recurrence-free survival rates between patients with both positive surgical margins and eGFR <60, those with either factor, and those with neither factor (both P<0.001) (Fig. 4A and B).

Discussion

In the present study, preoperative eGFR <60 ml/min/1.73 m² was one of the independent predictors of worse disease-specific and recurrence-free survival rate as well as positive surgical margins. Other inflammatory indices such as CAR, NLR, PLR, GPS, and serum fibrinogen levels were found to be independent factors for shortened disease-specific and recurrence-free

survival time in univariate analyses, but not for worse survival times in multivariate analyses using Cox proportional hazards models. Based on this finding, we designated preoperative eGFR <60 ml/min/1.73 m² as a prognosticator of worse survival in addition to positive surgical margins, which were also an independent factor for worse disease-specific and recurrence-free survival rates in multivariate analyses.

CKD has been related to worse recurrence-free and/or disease-specific survival in patients with several urinary tract or urogenital carcinomas such as renal cell carcinoma, bladder urothelial carcinoma, and prostate carcinoma (23-25). Preoperative eGFR <50 ml/min/1.73 m² as well as an inflammatory status indicated a poor prognosis in patients with UTUC (26). The present study also revealed that inflammatory markers such NLR and PLR were related to poor prognosis in univariate analysis, but not in multivariate analysis, although eGFR <60 ml/min/1.73 m² was found to be significantly associated with worse disease-specific and recurrence-free survival rates in patients with UTUC who underwent radical nephroureterectomy in multivariate analysis. In addition, others suggested CKD or end-stage renal disease may be involved in the progression or aggressiveness of UTUC, i.e., decreasing disease-specific and/or recurrence-free survival (27-29). Another study has suggested that UTUC patients with CKD undergoing hemodialysis lack the immune cells that recognize the tumor-associated antigens, thereby resulting in the acceptance of tumor progression under the status of immunological suppression (30). These findings suggested that CKD is associated with the aggressiveness of UTUC in several studies, including our study.

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	Univariate		Multivariate			
A, Pathological parameters	HR 1.502	P-value 0.165	HR	95% CI		P-value
Age (\geq 71 or <71 years)						
Sex (men or women)	0.832	0.569				
Urine cytology (positive or negative)	1.971	0.034	1.479	0.679	3.398	0.330
Tumor histology (UC alone or with other component)	2.895	0.002	1.371	0.514	3.380	0.514
Pathological T stage (\geq T3 or \leq T2)	4.686	< 0.001	1.853	0.750	4.835	0.183
Tumor grade (high or PUNLMP/low)	5.056	< 0.001	1.824	0.643	6.025	0.268
Lymph node metastasis (positive or negative)	5.066	< 0.001	1.021	0.300	3.150	0.972
Ureter involvement (positive or negative)	1.329	0.339				
Surgical margins (positive or negative)	2.904	0.004	2.401	1.044	5.255	0.040
Lymphovascular invasion (positive or negative)	7.029	< 0.001	2.366	0.920	6.376	0.074
History of bladder cancer (present or absent)	1.046	0.893				
Carcinoma <i>in situ</i> (positive or negative)	0.676	0.429				
Hydronephrosis (positive or negative)	1.657	0.109				
Surgical procedure (open or laparoscopic)	1.463	0.209				

	Univ	Multivariate				
B, Clinical parameters	HR	P-value	HR	P-v	alue	P-value
Preoperative eGFR (<60 or ≥60)	3.259	<0.001	2.371	1.024	5.898	0.044
Preoperative CAR (≥ 0.079 or < 0.079)	2.528	0.002	1.343	0.523	3.446	0.538
Preoperative NLR (≥2.035 or <2.035)	2.371	0.009	1.598	0.643	4.268	0.318
Preoperative PLR (≥165 or <165)	2.200	0.008	0.964	0.463	2.011	0.922
Preoperative GPS (≥ 1 or 0)	3.088	0.003	0.985	0.303	2.941	0.979
Fibrinogen (≥337 or <337)	4.199	< 0.001	1.632	0.618	4.240	0.321

HR, hazard ratio; CI, confidence interval; UC, urothelial carcinoma; CIS, carcinoma *in situ*; PUNLMP, papillary urothelial neoplasm of low malignant potential; eGFR, estimated glomerular filtration rate (ml/min/1.73 m²); CAR, C-reactive protein to albumin ratio; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; GPS, Glasgow prognostic score.



Figure 2. There is a significant difference in the time to disease-specific mortality between patients with and without (A) positive surgical margins and (B) preoperative eGFR <60 ml/min/1.73 m². eGFR, estimated glomerular filtration rate.

The pathophysiological mechanism of the contribution of CKD to poor prognosis in patients with UTUC remains undermined despite some postulated reasons for the association of CKD with high incidence of chronic irritation of the bladder, decrease in urinary washout, atrophic change in the bladder, and uremia (31-33). In addition, an immune defect could be one of the most commonly mentioned mechanisms in patients with CKD who show immune

	Univariate		Multivariate			
A, Pathological parameters	HR	HR P-value	HR	95% CI		P-value
Age (≥71 or <71)	1.473	0.162				
Sex (men or women)	0.727	0.289				
Urine cytology (positive or negative)	1.499	0.166				
Tumor histology (UC alone or with other component)	2.908	0.001	1.692	0.706	3.962	0.234
Pathological T stage (\geq T3 or \leq T2)	4.965	< 0.001	2.010	0.911	4.719	0.085
Tumor grade (high or PUNLMP/low)	5.657	< 0.001	2.934	1.069	9.570	0.036
Lymph node metastasis (positive or negative)	5.829	< 0.001	1.815	0.611	4.940	0.271
Ureter involvement (positive or negative)	1.300	0.347				
Surgical margins (positive or negative)	3.210	0.001	4.477	2.042	9.469	< 0.001
Lymphovascular invasion (positive or negative)	6.190	< 0.001	2.615	1.180	5.969	0.018
History of bladder cancer (present or absent)	1.079	0.808				
Carcinoma in situ (positive or negative)	0.590	0.273				
Hydronephrosis (positive or negative)	1.723	0.065				
Surgical procedure (open or laparoscopic)	1.698	0.065				

Table III. Univariate and	l multivariate anal	vses of indepe	endent factors f	or recurrence-fr	ee survival.

	Univ	Multivariate				
B, Clinical parameters	HR	P-value	HR	95%	% CI	P-value
Preoperative eGFR (<60 or ≥60)	3.049	<0.001	2.362	1.067	5.592	0.034
Preoperative CAR (≥0.079 or <0.079)	2.265	0.004	1.091	0.467	2.480	0.838
Preoperative NLR (≥2.035 or <2.035)	1.846	0.040	1.488	0.669	3.477	0.334
Preoperative PLR (≥ 165 or <165)	1.939	0.019	0.877	0.434	1.755	0.711
Preoperative GPS (≥ 1 or 0)	3.011	0.003	1.220	0.407	3.384	0.711
Fibrinogen (≥337 or <337)	3.545	< 0.001	1.160	0.523	2.566	0.713

HR, hazard ratio; CI, confidence interval; UC, urothelial carcinoma; CIS, carcinoma *in situ*; PUNLMP, papillary urothelial neoplasm of low malignant potential; eGFR, estimated glomerular filtration rate (ml/min/1.73 m²); CAR, C-reactive protein to albumin ratio; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; GPS, Glasgow prognostic score.



Figure 3. Time taken for extraurothelial recurrence to occur significantly differed in patients with and without (A) positive surgical margins and (B) preoperative eGFR <60 ml/min/1.73 m². eGFR, estimated glomerular filtration rate.

dysfunction characterized by immunosuppression (34). The impaired ability of DNA to repair the cells, deficiencies in adequate nutrition, and chronic infection in urinary tract are also likely reasons for the association of CKD with poorer prognostic survival (35,36). Moreover, several inflammatory markers such as NLR and PLR have been



Figure 4. There is a significant difference in time to (A) disease-specific mortality or (B) extraurothelial recurrence in patients with both positive SM and preoperative eGFR <60 ml/min/1.73 m², those with either factor, and those with neither factor. SM, surgical margins; eGFR, estimated glomerular filtration rate.

reported to play important roles as prognosticators in UTUC patients who underwent radical nephroureterectomy (37). In fact, a study indicated that CKD is similar in status to inflammation (38).

Positive surgical margins may play a critical role as one of the independent predictors of shorter time to disease-specific death or extraurothelial recurrence in patients undergoing radical nephroureterectomy for UTUC (26,39). Open extravesical or open intravesical bladder cuff excision has been performed for all patients enrolled in the present study; however, there was no significant difference in marginal positivity of the distal excised ureter (data not shown). Recurrence and metastases rates are reported to be clinically similar, regardless of the method of bladder cuff excision performed during laparoscopic radical nephroureterectomy (40).

This study had some potential limitations. First, our sample size was relatively small although we evaluated the rates of disease-specific and recurrence-free survivals as our outcome endpoint. With a longer follow-up and a larger subject population, the statistical strength of our study should be reinforced, allowing us to comment on precise prognostic outcomes. Second, we could not verify the-cause-and-effect relation between CKD and the worse-survival rate in patients with UTUC, although the possible implications about patients with these two conditions were suggested. Third, the serum fibrinogen levels were not estimated in all patients. However, the patients in whom the serum fibrinogen levels were not measured were excluded from statistical analyses. Therefore, we believe that the statistical evaluation in the present study is accurate. Despite these limitations, we speculate that CKD prior to nephroureterectomy in addition to positive surgical margins could provide us additional information about the possibility of worse disease-specific and recurrence-free survival rates.

UTUC patients with CKD showed the worse diseasespecific and recurrence-free survival rates in this study. In addition, patients with positive surgical margins also have poor survival rates. Therefore, we recommend that patients with UTUC who have both CKD and positive surgical margins should be monitored carefully after radical nephroureterectomy.

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Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Authors' contributions

KK, JA, AH, MK, MS, AM, ST, AS and KI were involved in the conception and design of the study. KK collected and analyzed the data, and drafted the manuscript. KK and KI reviewed and edited the manuscript. All authors have read and approved the final manuscript.

Ethics approval and consent to participate

All procedures performed in the present study were in accordance with the ethical standards of National Defense Medical College (Saitama, Japan; no. 2734). The study protocol (ID 2734) was accepted on June 14, 2017, by our institutional ethics committee (National Defense Medical College, Saitama, Japan), and an opt-out approach on the web page of the National Defense Medical College was used instead of collecting written informed consent from all participants.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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