

# Liquid biopsy: Novel perspectives on the importance and spectrum of *PIK3CA*, *PTEN* and *RET* mutations in solid tumors

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**Abstract.** Many people die from lung and breast cancer. Consequently, both physicians and researchers strive to provide reliable monitoring for disease, diagnosis and prognosis as well as resistance prediction. In the present study, a comprehensive liquid biopsy panel was performed on 474 patients to examine the importance and spectrum of recurrent somatic cancer mutations. Most patients visited the clinic with a diagnosis of advanced resistant cancer. The patients underwent a comprehensive liquid biopsy panel. Patients were divided into four groups based on cancer type as follows: Lung (n=379, 79.9%), breast (n=72, 15.2%), gastrointestinal (n=11, 2.3%) and other (n=12, 2.5%). Tier I-II-III classified variants were included in the study. The mean age was 60 years, with a range of 20-86 years. There were notably more male (n=272, 57.4%) than female patients (n=202, 42.6%). The most commonly mutated genes were *TP53*, *EGFR*, *PIK3CA*, *RET*, *PTEN*, *MET*, *ATM* and *KRAS*. The most common mutations were ‘*PIK3CA*, c.3140A>G, p.His1047Arg’, ‘*RET*, c.2324delinsGAC, p.Glu775Glyfs\*6’, ‘*TP53*, c.217G>C, p.Val73Leu’, ‘*EGFR*, c.2155G>A, p.Gly719Ser’, ‘*PIK3CA*, c.1624G>A, p.Glu542Lys’, ‘*PTEN*, c.397G>A, p.Val133Ile’ and ‘*EGFR*, c.2235\_2249del, p.Glu746\_Ala750del’. The *PIK3CA*, *PTEN* and *RET* variants showed a higher incidence in the breast and lung groups compared with other groups. To the best of our knowledge, the present study is the first to concentrate on *PIK3CA*, *PTEN* and *RET* mutations in the context of breast and lung adenocarcinoma and to evaluate both genetic variability and the effect of treatment. The present results showed that patients with

solid tumors, particularly lung and breast cancer, may benefit from *PIK3CA*, *PTEN* and *RET* sequencing to assess clinical characteristics and prognosis. Discoveries regarding the gene structure and mechanisms of *PIK3CA*, *PTEN* and *RET* may inform more clinically meaningful therapeutic approaches for patients with cancer and serve an essential role in improving individual risk prediction, therapy and prognosis.

## Introduction

More people die of cancer than any other disease in the world today (1). Accordingly, both physicians and researchers strive to provide reliable monitoring for disease, diagnosis and prognosis as well as resistance prediction. The primary goal is to provide patients with adequate treatment and restore their well-being. Lung cancer is the most common malignancy and contributes to the greatest number of cancer deaths (2). Colorectal cancer is the third-most frequently contracted malignant disease worldwide (3). Among women, breast cancer is the most common type of cancer (4).

In previous years, liquid biopsy techniques have been used to treat a number of different types of cancer (5-7). This less invasive testing method (compared with traditional biopsy) offers potential for a satisfactory outcome, higher recovery rate and more accurate results (5,6). Changes in circulating tumor DNA (ctDNA) are used for cancer screening in asymptomatic people, detecting mutations for theranostic consideration and monitoring tumor dynamics and genetic evolution (5). Repeated analysis and quantitation of ctDNA may provide information on changes in clonal composition over time, allowing for modification of treatment regime (8). *KRAS*, *BRAF* and *EGFR* mutations may be identified via liquid biopsy in patients with colon cancer, melanoma and lung cancer (5,6).

Numerous types of tumor are dependent on oncogenes: Oncogene addiction has been noted in numerous types of neoplasm, such as lung cancer (9). Among patients with lung adenocarcinoma, ~50% have at least one driver mutation representing a potential target for clinical intervention. For example, activating *EGFR* mutations are predictive of susceptibility to *EGFR* inhibitors, such as erlotinib and gefitinib. ctDNA analysis has been shown to diagnose *EGFR* exon 19

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deletions and L858R mutations with a sensitivity of 82-87% and a precision of 97-98% and may thus be an alternative to tissue genetic analysis. Most patients taking *EGFR*-inhibiting medication experience disease development after 2 years of therapy and 60% of resistance to treatment is due to a disease clone containing a secondary *EGFR* T790M mutation, which inhibits drug access to the target kinase. T790M is more common in exon 19 deletion than in L858R among patients with acquired resistance to *EGFR* tyrosine kinase inhibitors (TKIs) (7,10).

Among patients with non-small cell lung cancer (NSCLC), 1% have chromosome translocations in the *RET* gene. The College of American Pathologists, the International Association for the Study of Lung Cancer and the Association for Molecular Pathology published recommendations including *ROS1* testing for all patients with adenocarcinoma, the use of additional genes (*ERBB2*, *MET*, *BRAF*, *KRAS* and *RET*) for laboratories performing next-generation sequencing (NGS) panels and immunohistochemistry as an alternative to fluorescence *in situ* hybridization. Acquired resistance mutation C797S may occur in tumors that have progressed following osimertinib treatment with T790M mutation (7).

It is estimated that 30-40% of estrogen and/or progesterone receptor-positive breast cancer cases have *PIK3CA* mutations (11). Increased activation of the PI3K/AKT/mTOR pathway contributes to various aspects of cancer, including acquired growth signals, inhibition of apoptosis, vessel generation and insensitivity to anti-growth signals. The PI3K/AKT/mTOR pathway is associated tumor development and progression in lung cancer. Therefore, this pathway represents a novel target for anticancer treatment (12). The most validated anti-oncogenic effect of *PTEN* is inhibition of the PI3K/AKT/mTOR oncogenic signaling system; other documented effects include chromosomal integrity and DNA repair (13).

Cancer-associated gene variants may be found in apparently healthy people, arising in part from clonal hematopoiesis (14). Age-associated clonal hematopoiesis, often referred to as indeterminate potential clonal hematopoiesis, is distinguished by recurrent somatic variants that are associated with peripheral blood hematological cancer. The most commonly involved genes are *DNMT3A*, *TET2* and *ASXL1*; other genes that are often mutated include *TP53*, *JAK2*, *SF3B1*, *GNB1*, *PPM1D*, *GNAS* and *BCORL1*. Due to limited data, caution is required when interpreting ctDNA variants in these genes and more research is needed to understand how to interpret and report ctDNA variants in these genes (14,15).

In the present study, a comprehensive liquid biopsy panel was performed on 474 patients to assess the importance and spectrum of recurrent cancer somatic mutations.

## Materials and methods

**Patients.** The present study was approved by the Ethics Committee at the University of Health Sciences, Dr. Abdurrahman Yurtaslan Ankara Oncology Training and Research Hospital (Ankara, Turkey). Written informed consent was obtained from all patients. Most patients visited the Medical Genetics Clinic at the Department of Medical Genetics, University of Health Sciences, Dışkapı

Yıldırım Beyazıt Training and Research Hospital and the Department of Medical Genetics, University of Health Sciences, Dr. Abdurrahman Yurtaslan Ankara Oncology Training and Research Hospital (Ankara, Turkey) with a diagnosis of advanced resistant cancer. Clinical histories and molecular results were reviewed for 474 patients examined at the Department of Medical Oncology, Hacettepe University, Faculty of Medicine, Department of Medical Genetics, University of Health Sciences, Dışkapı Yıldırım Beyazıt Training and Research Hospital and the Department of Medical Genetics, University of Health Sciences, Dr. Abdurrahman Yurtaslan Ankara Oncology Training and Research Hospital (Ankara, Turkey). The patients underwent a comprehensive liquid biopsy panel between January 2018 and December 2020 at the Ankara Central Genetic Laboratory (Turkey). They were evaluated according to the National Comprehensive Cancer Network guidelines for breast-ovarian cancer and Lynch syndrome (16,17). Patients with a strong family history of cancer underwent a familial cancer panel. Patients with missing data were excluded.

Patients were divided based on cancer type into the following groups: Lung (n=379, 79.9%), breast (n=72, 15.2%), gastrointestinal (n=11, 2.3%) and other (n=12, 2.5%). The other group included patients with rare or unspecific cancer (including carcinoma of unknown primary, melanoma and bladder, gallbladder, liver, laryngeal and endometrial cancer).

**DNA panels and NGS.** From blood samples (10 ml) collected in EDTA tubes, genomic DNA was extracted according to the manufacturer's procedure using a QIAamp DNA Blood Midi kit and QIAcube (both Qiagen, Inc.). Paired-end sequencing was performed with a loading concentration of 1.6 pM. The concentration was measured with an Invitrogen Qubit 3 Fluorometer (Thermo Fisher Scientific, Inc.). Amplicon lengths were 265 bp for Sophia Genetics 56 G Oncology Solution (Sophia Genetics) and 250 bp for the ArcherDx Reveal ctDNA 28 kit (ArcherDx, Inc.).

Two different multigene panels were used: ArcherDx Reveal ctDNA 28 kit (*AKT1*, *CTNNB1*, *ESR1*, *IDH2*, *MAP2K2*, *NTRK1*, *RET*, *ALK*, *DDR2*, *FGFR1*, *KIT*, *MET*, *NTRK3*, *ROS1*, *AR*, *EGFR*, *HRAS*, *KRAS*, *MTOR*, *PDGFRA*, *SMAD4*, *BRAF*, *ERBB2*, *IDH1*, *MAP2K1*, *NRAS*, *PIK3CA*, *TP53*) and Sophia Genetics 56 G Oncology Solution (*ABL1*, *AKT1*, *ALK*, *APC*, *ATM*, *BRAF*, *CDH1*, *CDKN2A*, *CSF-1R*, *CTNNB1*, *DDR2*, *DNMT3A*, *EGFR*, *ERBB2*, *ERBB4*, *EZH2*, *FBXW7*, *FGFR1*, *FGFR2*, *FGFR3*, *FLT3*, *FOXL2*, *GNAI1*, *GNAQ*, *GNAS*, *HNFI1A*, *HRAS*, *IDH1*, *IDH2*, *JAK2*, *JAK3*, *KDR*, *KIT*, *KRAS*, *MAP2K1*, *MET*, *MLH1*, *MPL*, *MSH6*, *NOTCH1*, *NPM1*, *NRAS*, *PDGFRA*, *PIK3CA*, *PTEN*, *PTPN11*, *RBI*, *RET*, *STK11*, *SMAD4*, *SMARCB1*, *SMO*, *SRC*, *TP53*, *TSC1*, *VHL*). The Sophia Genetics 56G Oncology Solution was used between January 2018 and November 2020 and ArcherDx Reveal ctDNA 28 kit has been used since January 2020. The sequencing was performed on an Illumina MiSeq system (Illumina, Inc.). The data were analyzed using the Archer Analysis Platform (ArcherDx, Inc.) for the ArcherDx Reveal ctDNA 28 kit and Sophia DDM software v4 (Sophia Genetics) for the Sophia Genetics 56G Oncology Solution. Visualization of the data was performed with Integrative Genomics Viewer 2.7.2 (Broad Institute) software.

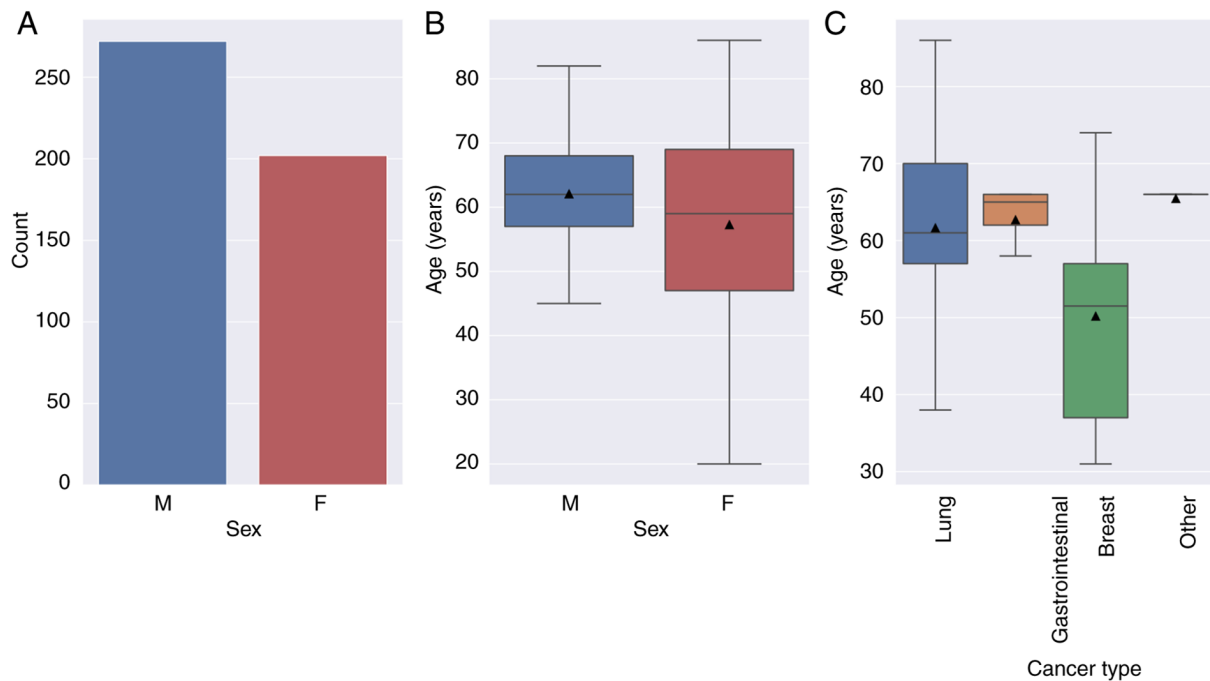


Figure 1. Patient characteristics and groups. (A) Sex distribution of patients. Mean (black triangle) and median (black line) age of patients separated by (B) sex and (C) cancer type. M, male; F, female.

**Statistical analysis.** The available evidence (population frequency information, case notes, case/control and functional tests, internal co-occurrence and co-segregation data, evolutionary conservation data and *in silico* predictions) for all variants, except previously characterized benign alterations, was thoroughly evaluated and analyzed. Data are presented as the mean. In compliance with the recommendations issued by the Association for Molecular Pathology, the American Society of Clinical Oncology and the College of American Pathologists, variants were categorized into tiers as follows: I, variants with strong clinical significance; II, variants with potential clinical significance; III, variants with unknown clinical significance and IV, variants that are benign or likely benign (18). Tier I, II and III variations were included in the study. Figs. 1-3 were prepared using Python (version 3.9.2, <https://docs.python.org/>); Fig. 4 was prepared using Lollipop-v1.3.5 (19).

## Results

**Patients.** The mean age of the participants was 60 years, with a range of 20-86 years (Fig. 1). Most patients were between the ages of 50 and 70 years. The mean age in each group was as follows: breast, 50.2; gastrointestinal, 62.7; lung, 61.6 years and other, 65.5 years. Patients with advanced resistant breast cancer were referred to the clinic at an earlier age when compared with other groups. There were notably more male patients (n=272, 57.4%) than females (n=202, 42.6%; Fig. 1).

**Mutations.** Mutations were detected in 357 patients and the majority of variant fractions were 0.1-10.0% (data not shown). A total of 131 mutations were nonsense or frameshifts. The most commonly mutated genes detected in patients were *TP53*, *EGFR*, *PIK3CA*, *RET*, *PTEN*, *MET*, *ATM* and *KRAS*. The most common mutations detected were '*PIK3CA*, c.3140A>G,

p.His1047Arg', '*RET*, c.2324delinsGAC, p.Glu775Glyfs\*6', '*TP53*, c.217G>C, p.Val73Leu', '*EGFR*, c.2155G>A, p.Gly719Ser', '*PIK3CA*, c.1624G>A, p.Glu542Lys', '*PTEN*, c.397G>A, p.Val133Ile', '*DNMT3A*, c.2656C>T, p.Gln886Ter', '*EGFR*, c.2235\_2249del, p.Glu746\_Ala750del', '*SMO*, c.1604G>T, p.Trp535Leu' and '*TP53*, c.764T>C, p.Ile255Thr'. The '*PIK3CA*, c.3140A>G, p.His1047Arg' mutation was observed eight times (2.24%; Fig. 2). The total number of different *EGFR* exon 19 deletions exceeded other mutations (n=9).

The most commonly mutated genes in each group were as follows: Breast, *TP53* (n=16, 23.5%), *PIK3CA* (n=13, 19.1%), *RET* (n=6, 8.8%) and *EGFR* (n=4, 5.9%); gastrointestinal, *TP53* (n=3, 42.8%), *EGFR* (n=2, 28.6%) and *KRAS* (n=2, 28.6%); lung, *TP53* (n=59, 21.8%), *EGFR* (n=37, 13.7%), *PIK3CA* (n=18, 6.6%), *PTEN* (n=6, 5.9%), *RET* (n=13, 4.8%), *ATM* (n=11, 4%) and *MET* (n=11, 4%) and other, *TP53* (n=4, 33.3%) and *MET* (n=2, 16.6%; Fig. 3).

The most common mutations in each group were as follows: Breast, '*PIK3CA*, c.3140A>G, p.His1047Arg', '*RET*, c.2324delinsGAC, p.Glu775Glyfs\*6' and '*TP53*, c.217G>C, p.Val73Leu'; gastrointestinal, '*KRAS*, c.194G>A, p.Ser65Asn', '*TP53*, c.217G>C, p.Val73Leu' and '*EGFR*, c.2155G>A, p.Gly719Ser'; lung, '*PIK3CA*, c.1624G>A, p.Glu542Lys', '*EGFR*, c.2235\_2249del, p.Glu746\_Ala750del', '*DNMT3A*, c.2656C>T, p.Gln886Ter', '*TP53*, c.764T>C, p.Ile255Thr', '*RET*, c.2324delinsGAC, p.Glu775Glyfs\*6', '*EGFR*, c.2573T>G, p.L858R', '*TP53*, c.524G>A, p.Arg175His', '*RET*, c.1784A>G, p.Glu595Gly', '*EGFR*, c.2369C>T, p.Thr790Met' and '*PIK3CA*, c.3140A>G, p.His1047Arg' and other, '*MET*, c.3380T>C, p.Val1127Ala' and '*TP53*, c.403T>C, p.Cys135Arg' (Fig. 3).

In 117 (24.7%) patients, no responsible mutation was identified. *TP53*, *PIK3CA* and *RET* gene mutations were predominant

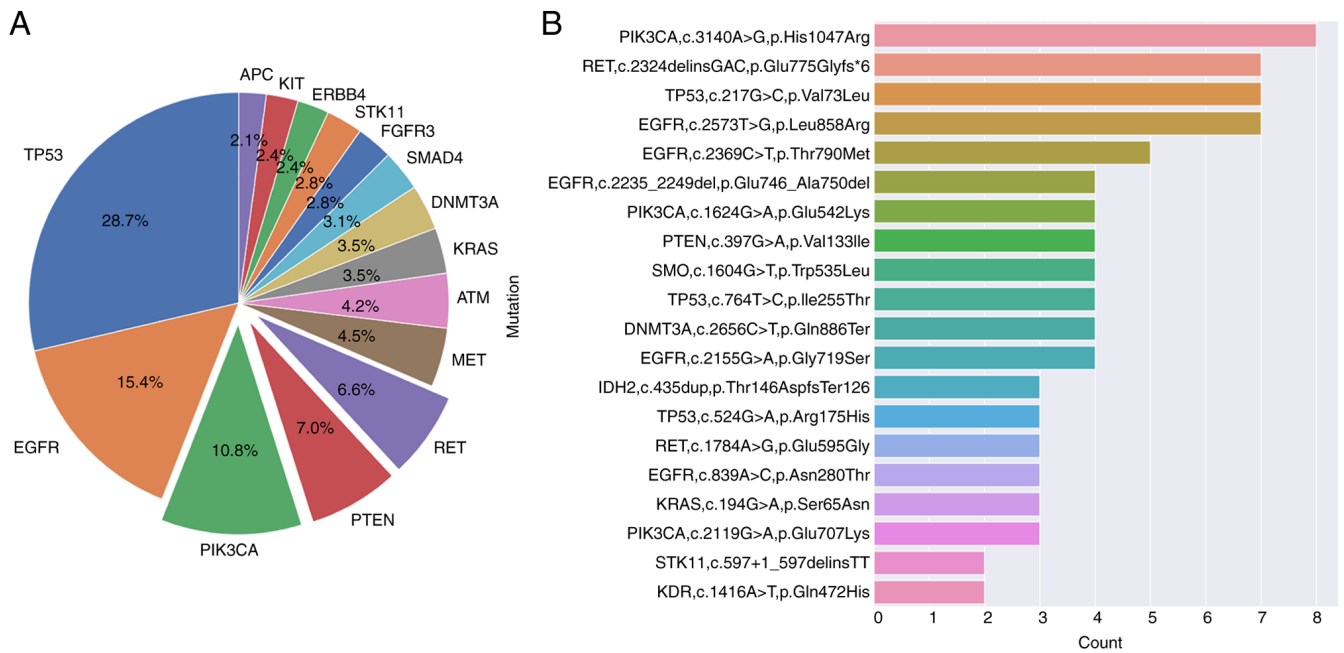


Figure 2. Genes and mutations. (A) Pie chart showing the 15 most commonly mutated genes. (B) Bar chart showing the most common mutations.

in patients aged <50 years; in those aged ≥50, *TP53*, *EGFR*, *PIK3CA* and *PTEN* gene mutations were predominant. *PIK3CA*, *PTEN* and *RET* variants showed a higher incidence in the breast and lung groups (Fig. 3).

## Discussion

*TP53*, which encodes a tumor suppressor protein, was the most commonly mutated gene. According to the present data, the most commonly mutated genes were *TP53*, *EGFR*, *PIK3CA*, *PTEN*, *RET*, *MET*, *ATM*, *KRAS* and *DNMT3A*. The most common mutation was ‘*PIK3CA*, c.3140A>G, p.His1047Arg.’ *PIK3CA* mutations were primarily detected in domains associated with the PI3K/AKT/mTOR pathway (Fig. 4). Most patients were diagnosed with lung cancer and this distribution varies in comparison with prior research (11,12). ‘*PIK3CA*, c.1624G>A, p.Glu542Lys’ and ‘*PIK3CA*, c.1633G>A, p.Glu545Lys’ have been reported together, but in the present study these mutations were detected separately and ‘*PIK3CA*, c.1624G>A, p.Glu542Lys’ was primarily predominant in the lung group. ‘*PIK3CA*, c.3140A>G, p.His1047Arg’ was primarily detected in the breast group. In one patient, ‘*PIK3CA*, c.1624G>A, p.Glu542Lys’ was observed with *EGFR* exon 19 deletion and T790M mutations. Nonsense mutations were infrequent in *PIK3CA*. *PIK3CA* signaling pathways serve a crucial role in replication, differentiation and apoptosis. *EGFR* activation promotes tumor growth, invasion and migration via the *PIK3CA* and mTOR pathways. *PIK3CA* mutations may cause resistance to *EGFR*-targeting therapies in patients with lung cancer (20,21).

*RET* mutations were most frequent in the breast (8.8%) and lung groups (4.8%). The ‘*RET*, c.2324delinsGAC, p.Glu775Glyfs\*6’ mutation was most common and most of *RET* mutations were around the kinase domain (Fig. 4). *PTEN* is one of the most commonly inactivated tumor suppressor genes in a wide variety of cancer types. *PTEN*

loss and the activation of PI3K/mTOR signaling are associated with *EGFR* TKIs resistance in patients with NSCLC (20,21). *PTEN* mutations were observed in the breast (4.4%) and lung (6%) groups. ‘*PTEN*, c.397G>A, p.Val133Ile’ was the most common mutation. Half of the *PTEN* mutations were nonsense or frameshift and most mutations were around the catalytic domain. Similarly, most *APC* mutations were nonsense or frameshift. While most germline *APC* mutations were missense, the majority of the somatic *APC* mutations were nonsense or frameshift. This may help to distinguish between germline and somatic mutations.

It has been reported that patients with *EGFR* exon 19 deletions who receive long-term *EGFR*-TKI therapy show a high prevalence of T790M mutations (22). In the present study, T790M mutation was detected in two patients who also exhibited the exon 19 deletion. In one patient, the ‘*ALK*, c.3626delG, p.Arg1209Glnfs\*49’ mutation was detected and no *ROS* mutations were detected. Another patient exhibited an *ALK* L1198F mutation in addition to the C1156Y mutation. L1198F substitution confers resistance to lorlatinib via steric interference with drug binding. However, L1198F paradoxically enhances binding to crizotinib, negating the effect of C1156Y and re-sensitizing resistant cancer to crizotinib. The patient received crizotinib, following which cancer-associated symptoms and liver failure resolved (23). Further studies are needed to detect and clarify the effects of these neutralizing mutations.

Several studies have evaluated the concordance between *KRAS*, *BRAF* and *NRAS* point mutations detected by ctDNA and tumor-tissue analysis (24,25). More mutations were detected by ctDNA analysis than by tumor biopsy, reflecting the ability of liquid biopsy to reflect tumor heterogeneity. Moreover, the shorter turnaround time required to perform ctDNA analysis compared with tumor tissue analysis makes it possible to start treatment earlier (24).

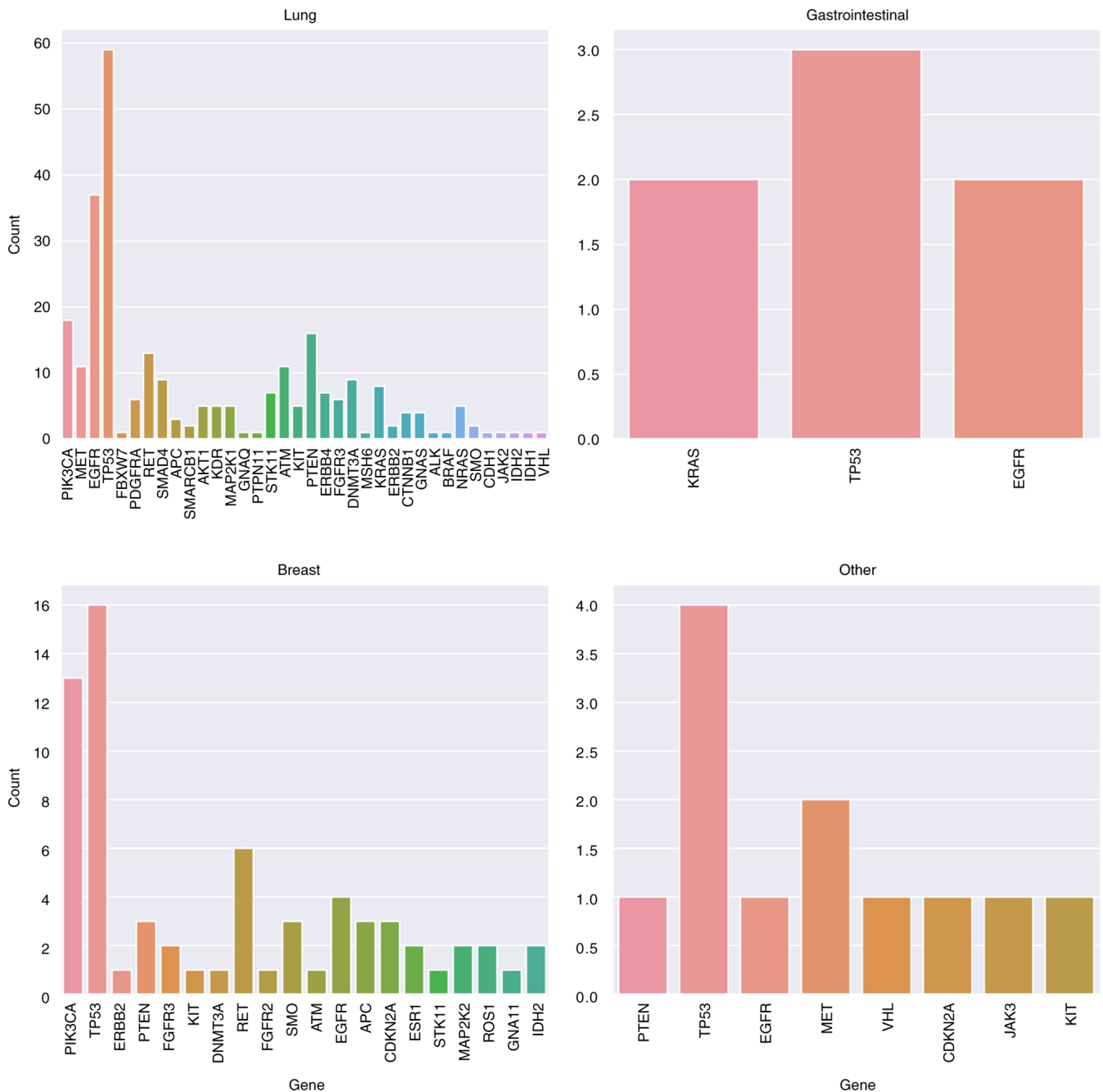


Figure 3. Most commonly mutated genes in the lung, breast, gastrointestinal and other groups.

Familial cancer syndromes account for 5-10% of all malignancies caused by inherited mutations; they raise the risk of tumor development and are typically characterized by early-onset cancer (26). In the present study, patients with high variant fraction mutations in *APC*, *ATM*, *MLH1*, *MSH6*, *PTEN*, *PTPN11*, *RBI*, *RET*, *STK11*, *TP53*, *TSC1* and *VHL* underwent testing with a familial cancer panel. Even though the variant fractions of the mutations were between 1 and 10%, there may be some risk associated with germline inheritance. Family screening and genetic counseling are important for suspected germline mutations, primarily when the variant fraction is between 50 and 100%.

The majority of patients presented with advanced metastatic tumors from different cities around the country. Treatment

and survival information could not be collected for all the patients and follow-up tests could not be performed. Only mutations that can be targeted with treatment were reported and discussed here. Despite these limitations, the liquid biopsy test helped to identify the resistance mechanism in most cases (Figs. 2, 3 and 4).

The treatment of patients with lung and breast cancer with *PIK3CA*, *PTEN* and *RET* mutations has not yet been defined. In the present study, *PIK3CA* mutations occurred in ~6.6% of patients with lung adenocarcinoma and 19% of patients with breast cancer. It has been reported that *PIK3CA*-positive patients have a worse prognosis (2). PI3K/AKT/mTOR pathway inhibitors may be considered for patients with *PIK3CA* and *PTEN* mutations. To the best of our knowledge, the present



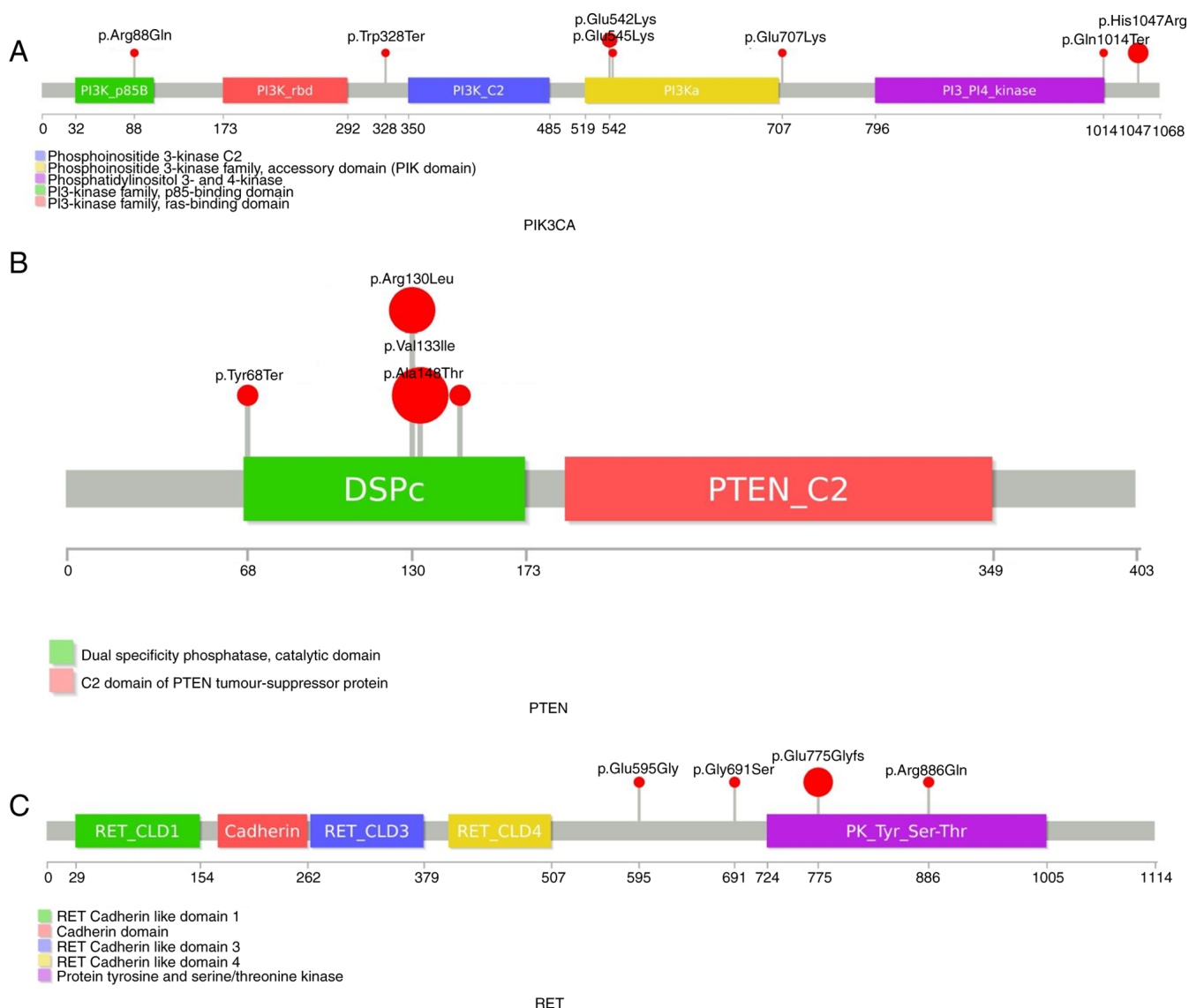


Figure 4. *PIK3CA*, *PTEN*, *RET* mutations detected. The most common mutations in (A) *PIK3CA*, (B) *PTEN*, (C) *RET* genes and associated domains.

study is the first to concentrate on *PIK3CA*, *PTEN* and *RET* mutations in the context of breast and lung adenocarcinoma and evaluate the genetic variability. The findings support the potential of using gene therapy to target mutant *PIK3CA*, *PTEN* and *RET* genes.

In conclusion, the findings of the present study suggested that patients with solid tumors, particularly lung and breast cancer, should undergo *PIK3CA*, *PTEN* and *RET* sequencing to assess clinical characteristics and prognosis. Greater understanding of the structure and mechanisms of *PIK3CA*, *PTEN* and *RET* may help to inform more clinically meaningful therapeutic approaches for patients with cancer. Moreover, developments in assessing and researching novel variants of known cancer genes will serve a key role in improving individual cancer risk prediction, therapy and prognosis.

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#### Availability of data and materials

The datasets generated and/or analyzed during the current study are not publicly available due to restrictions of the Ministry of Health of Turkey but are available from the corresponding author on reasonable request.

#### Authors' contributions

IS designed the study, analyzed and interpreted the results and wrote the manuscript. HS collected the data, analyzed and interpreted the results and reviewed the manuscript. SA and OD evaluated the patients and collected the clinical data. HBE and TB collected the data and analyzed and interpreted the results. All authors read and approved the final version of the manuscript. IS, HS, HBE and TB confirm the authenticity of all the raw data.

## Ethics approval and consent to participate

The present study was approved (approval no. 03/1072) by the Ethics Committee at the University of Health Sciences, Dr. Abdurrahman Yurtaslan Ankara Oncology Training and Research Hospital. Written informed consent was obtained from all patients.

## Patient consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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