A novel *TBX20* loss-of-function mutation contributes to adult-onset dilated cardiomyopathy or congenital atrial septal defect

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Abstract. Dilated cardiomyopathy (DCM) is the most prevalent form of primary cardiomyopathy in humans and is a leading cause of heart failure and sudden cardiac death. Genetic abnormalities have been demonstrated to be a major contributor to the development of DCM. However, DCM is a genetically heterogeneous disease, and the genetic basis underlying DCM in a significant proportion of patients remains unclear. In the current study, the coding exons and splicing junction sites of the T-Box 20 (TBX20) gene, which encodes a T-box transcription factor essential for cardiac morphogenesis and structural remodeling, were sequenced in 115 unrelated patients with idiopathic DCM, and a novel heterozygous mutation, p.E143X, was identified in one patient. Genetic analysis of the mutation carrier's pedigree indicated that the nonsense mutation was present in all the living family members with DCM, and also in a female patient with a congenital atrial septal defect. The mutation, which was predicted to generate a truncated protein with only the N-terminus and a fraction of the T-box domain remaining, was absent in 800 control chromosomes. Functional assays using a dual-luciferase reporter assay system revealed that the truncated TBX20 protein had no transcriptional activity in contrast to its wild-type counterpart. Furthermore, the mutation abolished the synergistic activation between TBX20 and NK2 homeobox 5, or between TBX20 and GATA binding protein 4. The observations of the current study expand the mutation spectrum of *TBX20* associated with DCM and congenital heart disease (CHD), which provide novel insight into the molecular mechanisms underlying DCM and CHD, suggesting the potential implications for the effective and personalized treatment of these diseases.

Introduction

Dilated cardiomyopathy (DCM) is a heart muscle disease characterized by ventricular chamber enlargement and contractile dysfunction with normal left ventricular wall thickness (1). It is the most common form of primary cardiomyopathy, affecting approximately 1 in 2,500 individuals worldwide (1). DCM may give rise to congestive heart failure, ventricular and supraventricular arrhythmias, cardiac conduction blocks, thromboembolism and sudden cardiac death. DCM is the third most common cause of heart failure and the most frequent reason for requiring heart transplantation (1,2). Accumulating evidence demonstrates that genetic risk factors serve a crucial role in the pathogenesis of familial DCM, which can be inherited in an autosomal dominant, autosomal recessive, X-linked, or mitochondrial pattern, with the pattern of autosomal dominant inheritance present in greater than 90% of patients (3,4). At present, >50 genes have been associated with familial or sporadic DCM (4-9). The majority of these DCM-associated genes encodes for sarcomeric proteins in addition to cytoskeletal proteins, including contractile elements, sarcolemma elements, Z-disc elements and Z-I region components, which are responsible for the generation and transmission of contractile force (4,7). However, these known DCM-causing genes only account for approximately a third of patients with DCM and furthermore, the majority of these have a low mutation frequency, occurring in <1% of patients with DCM (10). Thus, the identification of novel genetic defects underpinning DCM is required in order to fully elucidate the molecular mechanisms of DCM.

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Previously, numerous key cardiac transcription factors, including homeobox protein NK2 homeobox 5 (NKX2-5), zinc finger proteins GATA binding protein 4 (GATA4), GATA5 and GATA6, and T-box factors T-Box 5 (TBX5) and TBX20, have been demonstrated to physically interact with each other to mediate embryonic cardiogenesis and adult cardiac remodeling (11-18). In addition, mutations in these core cardiac transcription factors have been causally associated with various congenital heart diseases (CHD) and cardiac arrhythmias (19-40). Of note, mutations in NKX2-5, GATA4, GATA5, GATA6 and TBX5 have additionally been associated with DCM in humans (41-49). Given that the expression profile and functional characteristics of TBX20 overlap at least partially with those of NKX2-5, GATA4, GATA5, GATA6 and TBX5 (13-15,18,50), it is suggested that mutations in TBX20 may contribute to DCM in a subset of patients.

Materials and methods

Study subjects. In the current case-control study, 115 unrelated patients (65 males and 50 females, mean age of 52 years) with idiopathic DCM were enrolled from a Han Chinese population, with 400 ethnically-matched (228 males and 172 females, mean age of 52 years), unrelated healthy individuals recruited as controls. The 14 available family members of the index patient carrying an identified TBX20 mutation were also included. The participants of the present study were recruited from Yangpu Hospital, Renji Hospital, Shanghai Jiao Tong University and Shanghai Chest Hospital (Shanghai, China), during between January 2013 and December 2014. All study participants were clinically evaluated by medical history, physical examination, echocardiography, electrocardiogram and exercise performance testing. Cardiac catheterization, coronary angiography, myocardial biopsy or cardiac magnetic resonance imaging were performed only if there was a strong indication for the corresponding procedure. Diagnosis of idiopathic DCM was made as described previously: Left ventricular end-diastolic diameter >27 mm/m² and an ejection fraction <40% or fractional shortening <25% in the absence of underlying conditions including ischemic heart disease, hypertension, CHD, valvular heart disease, myocarditis and metabolic disorders (42-49). The current study was conducted in line with the principles of the Declaration of Helsinki. The study protocol was approved by the ethics committee of Yangpu Hospital (Tongji University School of Medicine, Shanghai, China) and written informed consent was obtained by all participants prior to investigation.

Genetic scan of TBX20. Peripheral venous blood samples were obtained by using syringe from antecubital veins of all study subjects. Genomic DNA was isolated from blood cells with the Wizard Genomic DNA Purification kit (Promega Corporation, Madison, WI, USA). The coding exons and flanking introns of the *TBX20* gene were sequenced in 115 unrelated patients with sporadic DCM, in addition to in 200 control individuals. The primer pairs used to genotype *TBX20* by polymerase chain reaction (PCR)-sequencing were designed as previously described (32). PCR was performed using HotStar Taq DNA Polymerase (Qiagen GmbH, Hilden, Germany) on a Veriti Thermal Cycler (Applied Biosystems; Thermo Fisher

Scientific, Inc., Waltham, MA, USA) with standard conditions and concentrations of reagents (27). Both strands of each PCR product were sequenced with a BigDye[®] Terminator v3.1 Cycle Sequencing kit (Applied Biosystems; Thermo Fisher Scientific, Inc.) on an ABI PRISM 3130 XL DNA Analyzer (Applied Biosystems; Thermo Fisher Scientific, Inc.). DNA sequences were analyzed with DNA Sequencing Analysis software, version 5.1 (Applied Biosystems; Thermo Fisher Scientific, Inc.). A variant was confirmed by re-sequencing of an independent PCR-generated amplicon from the same subject. For each identified sequence variant, the single nucleotide polymorphism (SNP; http://www.ncbi.nlm.nih.gov/SNP) database and human gene mutation database (HGMD; http://www.hgmd.org) were searched for its novelty.

Prediction of the disease-causing potential of a TBX20 sequence variation. The causative potential of a *TBX20* sequence variation was predicted using MutationTaster (http://www.mutationtaster.org), which produced the probability for the variation to be either a pathogenic mutation or a benign polymorphism. Notably, the given P-value was the probability of the correct prediction rather than the probability of error as used in t-test statistics. Thus, a value close to 1 indicates a high accuracy of prediction.

Expression plasmids and site-directed mutagenesis. The recombinant expression plasmid TBX20-pcDNA3.1 was constructed as described previously (32). The expression plasmids GATA4-pSSRa and NKX2-5-pEFSA, and the reporter plasmid ANF-luciferase (ANF-luc), which contains the 2600-bp 5'-flanking region of the ANF gene and expresses Firefly luciferase, were provided by Dr Ichiro Shiojima from Chiba University School of Medicine (Chiba, Japan). The identified mutation was introduced into the wild-type TBX20-pcDNA3.1 construct by site-directed mutagenesis using the QuikChange II XL Site-Directed Mutagenesis kit (Stratagene; Agilent Technologies, Inc., Santa Clara, CA, USA) and a complementary pair of primers (F: 5'-TCGGGG GTGGATCCTTAGGCCAAGTACATAG-3'; R: 5'-CTATGT ACTTGGCCTAAGGATCCACCCCGA-3'). The mutant was sequenced to confirm the desired mutation and to exclude any other sequence variations.

Cell culture and reporter gene assays. COS-7 cells were grown in 6-well plates in Dulbecco's modified Eagle's medium supplemented with 10% fetal calf serum and maintained in an atmosphere of 10% CO₂ at 37°C. Transfections of COS-7 cells were conducted at approximately 90% confluency with Lipofectamine[™] 2000 reagent (Invitrogen; Thermo Fisher Scientific, Inc.). In cell transfection experiments, 0.8 µg wild-type TBX20-pcDNA3.1, 0.8 µg E143X-mutant TBX20-pcDNA3.1, 0.4 µg wild-type TBX20-pcDNA3.1 or 0.4 μ g wild-type TBX20-pcDNA3.1 together with 0.4 µg E143X-mutant TBX20-pcDNA3.1 was used, in combination with 1.0 μ g ANF-luc and 0.04 μ g pGL4.75 (Promega Corporation). In order to investigate the ability of the mutant TBX20 to activate the ANF gene synergistically with NKX2-5 or GATA4, the same quantity (0.6 μ g) of expression plasmid DNA (empty pcDNA3.1, wild-type TBX20-pcDNA3.1, NKX2-5-pEFSA, GATA4-pSSRa or

E143X-mutant TBX20-pcDNA3.1) was used alone or together, in the presence of 1.0 μ g ANF-luc and 0.04 μ g pGL4.75. The empty expression vector plasmid pcDNA3.1 was used as a negative control. The internal control reporter vector pGL4.75 expressing Renilla luciferase was used to normalize transfection efficiency. Cells were harvested and lysed 36 h subsequent to transfection. Luciferase assays were performed using a Dual-Glo luciferase assay kit (Promega Corporation) according to the manufacturer's protocol. The results were expressed as fold activity of Firefly luciferase relative to Renilla luciferase. A total of 14 separate transfection experiments were conducted, and for each experiment, transfections were performed in triplicate.

Statistical analysis. Data are presented as the mean \pm standard deviation. Continuous variables were compared between two groups using student's unpaired t-test. Comparison of the categorical variables between two groups was performed using Pearson's χ^2 test. Two-tailed P<0.05 was considered to indicate a statistically significant difference.

Results

Baseline clinical characteristics of the study subjects. A cohort of 115 unrelated patients with sporadic DCM underwent clinical investigation in contrast to a total of 400 unrelated control individuals. None of them had other identifiable risk factors for DCM. All the patients developed DCM when they were reached adulthood. The average age of onset of DCM was 43±8 years, with a median of 43 years. The control individuals had no evidence of cardiac structural abnormality or functional impairment, and their echocardiographic results were normal. There was no significant difference in the age, gender and body-mass index between the case and control groups (P>0.05). Compared with those in the control group, in the patient group the arterial blood pressure levels and left ventricular ejection fraction were significantly reduced (P<0.05), whereas the heart rate, left ventricular end-diastolic diameter and left ventricular end-systolic diameter were significantly increased (P<0.05). The baseline clinical characteristics of the study subjects are summarized in Table I.

TBX20 mutation. By direct sequencing of TBX20, a heterozygous mutation was identified in one of 115 unrelated patients with sporadic DCM, with a mutational prevalence of nearly 0.87%. Specifically, a transition of guanine (G) to thymine (T) in the first nucleotide of codon 143 (c.427G>T), resulting in a substitution of stop codon for glutamic acid codon at amino acid position 143 (p.E143X), was identified in an index patient. The sequence chromatograms demonstrating the heterozygous TBX20 mutation and its wild-type control sequence are presented in Fig. 1A. A schematic diagram of the TBX20 protein depicting the structural domains and location of the mutation identified in the present study is presented in Fig. 1B. The nonsense mutation was neither observed in the 400 control individuals nor in the SNP and HGM databases. Genetic screening of the proband's available family members demonstrated that the mutation was present in all family members affected with DCM, which was transmitted in an autosomal dominant pattern in the family. However, all the

Table I. Baseline clinical characteristics of the patients with DCM and control individuals.

Parameters	Patients (n=115)	Controls (n=400)	P-value	
Age (years)	52±14	52±12	1.0000	
Male	65 (57)	228 (57)	0.9273	
BMI (kg/m ²)	23±5	23±6	1.0000	
SBP (mmHg)	116±17	128±15	< 0.0001	
DBP (mmHg)	72±10	84±9	<0.0001	
HR (bpm)	82±15	74±11	< 0.0001	
LVEDD (mm)	68±8	47±6	< 0.0001	
LVESD (mm)	55±6	34±5	<0.0001	
LVEF (%)	37±11	62±8	< 0.0001	
NYHA function class				
Ι	11 (10)	NA	NA	
II	29 (25)	NA	NA	
III	52 (45)	NA	NA	
IV	23 (20)	NA	NA	
Medicine				
ACEI	71 (62)	NA	NA	
ARB	22 (19)	NA	NA	
β-blockers	67 (58)	NA	NA	
Diuretics	95 (83)	NA	NA	
Digitalis	86 (75)	NA	NA	
-				

Data were given as mean ± standard deviation or number (%). DCM, dilated cardiomyopathy; BMI, body-mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HR, heart rate; LVEDD, left ventricular end-diastolic diameter; LVESD, left ventricular end-systolic diameter; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association; ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; NA, not applicable or not available.

DCM-affected family members appeared healthy when they were juveniles. Additionally, the proband's sister (II-6) additionally presented with congenital atrial septal defect (ASD) and her juvenile daughter (III-3) only presented with ASD. The pedigree structure of the family is illustrated in Fig. 1C. The phenotypic characteristics and mutation status of the affected family members are presented in Table II.

Disease-causing potential of the TBX20 variation. The *TBX20* sequence variation of c.427G>T was predicted by MutationTaster to be a pathogenic mutation, with a P-value of 1.

Diminished transcriptional activity of the mutant TBX20 protein. As presented in Fig. 2, the same amount (0.8 μ g) of wild-type TBX20 and E143X-mutant TBX20 activated the ANF promoter by ~11-fold and ~1-fold, respectively (wild type vs. mutant: t=11.7530, P=0.0003). When 0.4 μ g wild-type TBX20 was transfected alone or together with 0.4 μ g E143X-mutant TBX20, the induced activation of the ANF promoter was ~6-fold (wild type vs. wild type plus mutant: t=4.5758, P=0.0102). These results demonstrate that the mutant TBX20

Individual	Gender	Age (years)	Cardiac phenotype	LVEDD (mm)	LVESD (mm)	LVEF (%)	LVFS (%)	ECG findings	TBX20 mutation (E143X)
I-1	М	68	DCM	56	65	26	14	AVB, PVC	+/-
II-3	М	44	DCM	45	58	32	23		+/-
II-6	F	39	DCM, ASD	47	55	36	15	AVB	+/-
III-2	F	22	DCM	43	54	48	21		+/-
III-3	F	14	ASD	37	25	65	32	IRBBB	+/-

Table II. Phenotypic characteristics and TBX20 mutation status of the affected family members.

TBX20, T-Box 20; M, male; F, female; DCM, dilated cardiomyopathy; ASD, atrial septal defect; LVEDD, left ventricular end-diastolic diameter; LVESD, left ventricular end-systolic diameter; LVEF, left ventricular ejection fraction; LVFS, left ventricular fractional shortening; ECG, electrocardiogram; AVB, atrioventricular conduction block; PVC, premature ventricular contraction; IRBBB, incomplete right bundle branch block; +/-, heterozygote.



Figure 1. Novel TBX20 mutation associated with adult-onset dilated cardiomyopathy or congenital atrial septal defect. (A) Sequence electropherograms showing the heterozygous *TBX20* mutation and its normal control. The arrow points to the heterozygous nucleotides of G/T in the proband (mutant) or the homozygous nucleotides of G/G in a control individual (wild type). The rectangle marks the nucleotides that comprise a codon of TBX20. (B) Schematic diagrams of the structures of the full-length and truncated TBX20 proteins. The mutation identified in patients with dilated cardiomyopathy or congenital atrial septal defect is presented above the truncated T-box structural domain (mutant). NH2 indicates amino-terminus; COOH, carboxyl-terminus. (C) Pedigree structure of the family with dilated cardiomyopathy or atrial septal defect. The family was designated as family 1 and the family members are identified by generations and numbers. Squares indicate male family members; circle, female member; closed symbol, affected member; open symbol, unaffected member; arrow, proband; '+', carrier of the heterozygous mutation; and '-', non-carrier. TBX20, T-box 20.



Figure 2. Transcriptional functional failure caused by TBX20 mutations. Activation of ANF-luc reporter in COS-7 cells with wild-type TBX20 or mutant TBX20 (E143X), alone or in combination, exhibited no transcriptional activity or dominant-negative effects by the mutant protein. Experiments were performed in triplicate, and the data are presented as the mean ± stan-dard deviation. *P<0.05, vs. wild-type TBX20. TBX20, T-box 20; ANF, atrial natriuretic factor; luc, luciferase.



Figure 3. Abolished synergistic activation between mutant TBX20 and NKX2-5 or GATA4. The synergistic activation of the ANF promoter in COS-7 cells by TBX20 and NKX2-5 or GATA4 was abolished by the mutation. Experiments were performed in triplicate, and the data is presented as the mean \pm standard deviation. *P<0.05, vs. wild-type as indicated. TBX20, T-box 20; NKX2-5, NK2 homeobox 5; GATA4, GATA binding protein 4; ANF, atrial natriuretic factor; luc, luciferase.

lacks transactivational activity and has no dominant-negative effect on wild-type TBX20.

Disrupted synergistic transactivation between mutant TBX20 and NKX2-5 or GATA4. As presented in Fig. 3, in the presence of 0.6 μ g wild-type NKX2-5, the same amount (0.6 μ g) of wild-type and E143X-mutant TBX20 activated the ANF promoter by ~14-fold and ~9-fold, respectively (wild type vs. mutant in the presence of NKX2-5: t=4.1650, P=0.0141); while in the presence of 0.6 μ g wild-type GATA4, the same amount (0.6 μ g) of wild-type and E143X-mutant TBX20 activated the ANF promoter by ~23-fold and ~4-fold, respectively (wild type vs. mutant in the presence of GATA4: t=16.3428, P<0.0001). These data indicate that the E143X-mutant TBX20 fails to transactivate the ANF promoter in synergy with NKX2-5 or GATA4.

Discussion

In the current study, a novel heterozygous TBX20 mutation of p.E143X was identified in a family with DCM or ASD. The

nonsense mutation, which was predicted to be causative by MutationTaster, was absent in the 800 reference chromosomes from an ethnically-matched control population. Functional analyses demonstrated that the mutant TBX20 failed to transcriptionally activate the *ANF* promoter and exhibited no inhibitory effect on its wild-type counterpart. Furthermore, the mutation disrupted the synergistic activation between TBX20 and NKX2-5 or GATA4. These observations suggest that haploinefficiency resulting from the *TBX20* mutation is an alternative mechanism of DCM or CHD in a subset of patients.

In order to maintain the high fidelity of gene expression, cells may utilize multiple decay approaches to eliminate nonfunctional transcripts. At the mRNA level, there are three avenues to protect cells from the possible accumulation of abnormal mRNA and potentially toxic proteins, including non-stop decay, which detects and degrades mRNAs lacking a stop codon, no-go decay, which targets mRNAs with ribosomes arrested in translation elongation, and nonsense-mediated mRNA decay (NMD), which promotes the degradation of mRNAs undergoing premature translation termination (51). The premature termination codon (PTC) is derived from various types of mutations, including nonsense mutations that convert a sense codon into an in-frame PTC, insertion or deletion mutations that alter the ribosomal reading frame, causing translating ribosomes to encounter a PTC, and mutations that result in mRNA splicing defects that lead to retention of an intron altering the reading frame, causing translating ribosomes to encounter a PTC (52). In the current study, the identified nonsense mutation in TBX20 is suggested to induce NMD, resulting in haploinefficiency. However, due to inaccessibility to the mutation carriers' tissue specimens, it was not possible to confirm the absence of the truncated TBX20 protein.

Multiple TBX20 mutations have been previously associated with enhanced vulnerability to DCM in humans. Kirk et al (53) sequenced TBX20 in 352 unrelated patients with CHD and identified two mutations (p.I152 M and p.Q195X) in two index patients with ASD, respectively. Genetic analysis of the two pedigrees indicated that in the family harboring Q195X mutation, there were two living mutation carriers, of whom a female had DCM and mitral valve malformation, and a male had DCM, ASD, coarctation of the aorta and pulmonary hypertension. Functional assays demonstrated that the nonsense mutation led to a loss-of-function effect. Qian et al (54) scanned TBX20 in 96 unrelated DCM patients and identified three mutations (p.L196 V, p.R334Q and p.W349R) in three patients, respectively. However, the functional effect of these DCM-associated mutations remains to be elucidated. Zhao et al (55) screened TBX20 in 120 unrelated patients with idiopathic DCM and identified a mutation (p.F256I) in one patient. Functional analyses indicated that the mutation had a dominant-negative effect. In the present study, a novel TBX20 mutation that caused haploinefficiency was associated with isolated DCM in humans for, to the best of our knowledge, the first time. These results suggest that TBX20 mutations are an uncommon cause for DCM.

The association of the TBX20 loss-of-function mutation with increased susceptibility to DCM may be partially ascribed to the abnormal development and structural remodeling of the heart. In mice, as a transcriptional regulator required for cardiac development, TBx20 served a crucial role in the maintenance of structural and functional phenotypes in adult mouse heart, and homozygous deletion of TBX20 led to embryonic lethality resulting from an underdeveloped heart that was poorly proliferative and lacked myocardial chambers; while adult heterozygous TBx20-null mice presented with DCM and mild ASD (53). In addition, conditional knockout of TBx20 in adult murine cardiomyocytes resulted in a rapid onset and progression of heart failure, arrhythmias and death (56). In humans and mice during heart failure, TBX20 expression has been reported to be downregulated, accompanied by elevated cardiomyocyte apoptotic levels (57). In cultured neonatal rat cardiomyocytes, H₂O₂ was observed to result in a concurrent reduction in TBX20 expression and increase in apoptosis, whereas TBX20 overexpression reduced H₂O₂-induced cardiomyocyte apoptosis. In addition, estrogen was able to protect cardiomyocytes from H₂O₂-induced apoptosis by upregulating TBX20 expression in a concentration-dependent manner; while TBX20 silencing increased oxidative stress-induced apoptosis in H9c2 cells (57). These experimental observations provide evidence supporting the hypothesis that in humans, genetically defective TBX20 predisposes to DCM.

Previous studies demonstrated that TBX20 transcriptionally activated multiple cardiac target genes, including *ANF*, *CX40* and *SRF*, alone or in synergy with cooperative partners (18), and loss-of-function mutations in several transcriptionally cooperative partners of TBX20, including NKX2-5, GATA4, GATA5, GATA6 and TBX5, have been associated with DCM in humans (41-49). Therefore, *TBX20* mutations may enhance vulnerability to DCM, likely by reducing synergistic transactivation of certain target genes key to embryonic cardiogenesis and adult cardiac structure and function.

Notably, multiple *TBX20* mutations have been previously reported to be responsible for various CHDs, including ASD, ventricular septal defect, tetralogy of Fallot, pentalogy of Fallot, patent ductus arteriosus, common atrioventricular canal, double outlet right ventricle, coarctation of the aorta, total anomalous pulmonary venous connection and cardiac valvular malformation, with ASD being the most common phenotype (32,58-61). In the current study, ASD was documented in two family members carrying the *TBX20* mutation, including a female adult who additionally presented with adult-onset DCM and her juvenile daughter had only ASD without DCM. These results highlighted the pivotal role of TBX20 in humans during cardiovascular development.

There were limitations to the present study. Firstly, due to the fact that other DCM-associated genes were not screened in this family, it was not possible to rule out the contribution of other mutant genes to the pathogenesis of the disease. In addition, due to lack of availability of tissue samples from the mutation carrier, it was not possible to verify the presence of NMD, although the occurrence of NMD yielded haploinefficiency, an effect identical to that of the functional characterization. Finally, more functional analyses, including analyses of subcellular distribution and the binding ability of the mutant TBX20 to target DNA molecules or other components in the transcriptional machinery, are required in order to explain why the mutant TBX20 lost transcriptional activity. In conclusion, the current study associated *TBX20* haploinefficiency with isolated DCM, and expanded upon the mutational spectrum of *TBX20* associated with DCM and CHD, which provides novel insight into the molecular mechanism of DCM and CHD, suggesting potential implications for early personalized treatment of these diseases.

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