

# Neutrophil gelatinase-associated lipocalin as a potential novel biomarker for ventilator-associated lung injury

RUI XIAO<sup>1</sup> and RONGCHANG CHEN<sup>2</sup>

<sup>1</sup>Department of Respiration, Guangzhou Panyu Central Hospital, Guangzhou, Guangdong 511400; <sup>2</sup>State Key Laboratory of Respiratory Disease, Guangzhou Institute of Respiratory Disease, Guangzhou, Guangdong 510120, P.R. China

Received January 29, 2016; Accepted February 9, 2017

DOI: 10.3892/mmr.2017.6442

**Abstract.** Neutrophil gelatinase-associated lipocalin (NGAL) is a 25-kDa protein of the lipocalin superfamily and its presence was initially observed in activated neutrophils. It has previously been demonstrated that the expression of NGAL is markedly increased in stimulated epithelia, and is important in the innate immunological response to various pathophysiological conditions, including infection, cancer, inflammation and kidney injury. The present study constructed a ventilator-associated lung injury model in mice. NGAL mRNA and protein expression levels in lung tissue were detected using reverse transcription-quantitative polymerase chain reaction and western blotting, respectively. In addition, NGAL protein levels in bronchoalveolar lavage fluid and serum were measured via western blotting. The results of the present study suggested that NGAL expression increased under all mechanical ventilation treatments. The increase was most prominent in the high peak inflation pressure and high-volume mechanical ventilation groups, where there was the greatest extent of lung injury. In addition, NGAL expression increased in a time-dependent manner under high-volume mechanical ventilation, consistent with the degree of lung injury. These findings suggested that NGAL may serve as a potential novel biomarker in ventilator-associated lung injury.

## Introduction

Mechanical ventilation may induce and exacerbate lung injury, in contrast to its lifesaving effects. It has previously been demonstrated that biotrauma is an underlying mechanism of lung injury, important factors of which include inflammation and the innate immune response (1). Biological markers of ventilator-associated lung injury (VALI) are important for

early diagnosis and prognosis, and provide novel insights into the mechanisms of VALI. Currently identified biomarkers of VALI are key elements of the inflammatory and immune response pathways; however, the precise function of each mediator remains to be elucidated. To the best of our knowledge, no studies have validated the sensitivity or specificity of any biological markers that may aid early diagnosis or treatment of VALI (2,3). Further studies are required in order to identify novel, sensitive and specific biological markers.

Neutrophils (polymorphonuclear leukocytes, PMNs) have been demonstrated to be important in the development and progression of VALI and may lie at the center of a positive feedback loop that results in lung injury (4). Therefore, PMNs or key mediators of the PMN regulatory signaling pathway may be molecular candidates for the occurrence of VALI (5). Neutrophil gelatinase-associated lipocalin (NGAL), a 25-kDa protein of the lipocalin family, was originally isolated from specific neutrophil granules (6,7). In addition to excretion by activated neutrophils, NGAL may be released in small quantities by epithelial and kidney tubular cells, and during inflammation or injury. Elevated NGAL is an early blood-based marker of acute kidney injury and is detectable within 2-12 h following an ischemic/toxic insult in patients with cardiovascular diseases (8,9).

The function of NGAL in the regulation of alveolar epithelial cells and in VALI remains to be elucidated. The expression level of NGAL, which is extensively involved in inflammation and the immune response, is an indicator of PMN activation. As an acute and secretory phase protein, NGAL is easily detected in serum and bronchoalveolar lavage (BAL) fluid (10,11). The aim of the present study was to demonstrate the use of NGAL as a novel diagnostic marker for VALI. The results indicated that NGAL levels increase in lung tissue, BAL fluid and serum during VALI in mice. Therefore, NGAL may represent a novel diagnostic marker for the early prediction of patients at high risk of VALI.

## Materials and methods

**Animals and experimental protocol.** Male C57BL/6 mice, (20±2 g, 6-8 weeks and free of murine specific pathogens), were obtained from the Experimental Animal Center of Guangdong Province (Guangzhou, China). The mice were housed in a specific pathogen free animal house at a temperature of 22±2°C

---

*Correspondence to:* Dr Rongchang Chen, State Key Laboratory of Respiratory Disease, Guangzhou Institute of Respiratory Disease, 151 Yan Jiang Road, Guangzhou, Guangdong 510120, P.R. China  
E-mail: chenrc@vip.163.com

**Key words:** neutrophil gelatinase-associated lipocalin, mechanical ventilation, lung, bronchoalveolar lavage, serum

and a relative humidity of 55±5% with a 12:12 h light/dark cycle. Throughout the experimental process, the mice were housed in a laminar flow cabinet and maintained on standard laboratory food *ad libitum*. The study was approved by the ethics committee of Guangzhou University of Traditional Chinese Medicine.

Experiments were conducted on 42 wild-type mice, which were divided into 7 groups. The protocol for the control group was spontaneous breathing for 2 h. The protocol for the high peak inflation pressure (high-PIP) group was breathing under the condition of 50 cm H<sub>2</sub>O of PIP and 2.5 cm H<sub>2</sub>O positive end-expiratory pressure (PEEP) at 17 breaths/min for 2 h with a tidal volume of 1 ml. The protocol for low peak inflation pressure (low-PIP) group was breathing under the condition of 15 cm H<sub>2</sub>O of PIP and 0 cm H<sub>2</sub>O PEEP for 2 h at 120 breaths/min with a tidal volume of 0.29 ml. The protocol for high-volume for 2 h (HV-2) group was breathing under the condition of 30 ml/kg, 0 cm H<sub>2</sub>O PEEP for 2 h at 65 breaths/min. The protocol for low-volume for 2 h (LV-2) group was breathing under the condition of 6 ml/kg, 5 cm H<sub>2</sub>O PEEP for 2 h at 135 breaths/min. The protocol for high-volume for 4 h (HV-4) group was breathing under the condition of 30 ml/kg, 0 cm H<sub>2</sub>O PEEP for 4 h at 65 breaths/min. The protocol for low-volume for 4 h (LV-4) group was breathing under the condition of 6 ml/kg, 5 cm H<sub>2</sub>O PEEP for 4 h at 135 breaths/min. The experimental protocols for each group are detailed in Table I.

**Collection of BAL fluid, serum or lung tissue.** Following treatment, animals were sacrificed via administration of 50 mg/kg sodium pentobarbital (Sigma-Aldrich; Merck KGaA, Darmstadt, Germany). Peripheral blood was extracted by removing the eyeball. Following overnight storage at 4°C, serum was collected via centrifugation at 1,000 × g for 30 min at 4°C. Immediately post-mortem, the heart-lung block was dissected and BAL fluid was obtained using the method previously described (12,13). BAL fluid was centrifuged at 1,000 × g for 10 min at 4°C and the supernatant was collected for western blotting. For reverse transcription-polymerase chain reaction (RT-qPCR) and western blotting of lung samples, the right lung was cut into samples (~1×1 cm) and flash-frozen in liquid nitrogen. For immunohistochemical and hematoxylin and eosin (H&E) staining, the left lung was fixed as previously described (14). The vertical axis of each left lung was identified and the lung was cut perpendicular to this axis into 4-mm thick slices.

**RT-qPCR.** Total RNA was extracted from frozen lung samples using TRIzol reagent (Ambion; Thermo Fisher Scientific, Inc., Waltham, MA, USA) according to the manufacturer's protocols. RNA was quantified by measuring absorption at wavelengths of 260 and 280 nm using the NanoDrop system (NanoDrop; Thermo Fisher Scientific, Inc., Wilmington, DE, USA). and stored at -70°C until required. A total of 1 µg total RNA was reverse-transcribed to cDNA using the AffinityScript QPCR cDNA Synthesis kit (Agilent Technologies, Inc., Santa Clara, CA, USA), and RT-qPCR was performed using the Brilliant II SYBR-Green QPCR Master Mix kit (Agilent Technologies, Inc.) under the conditions as follows: preheating at 95°C for 10 min, followed by 40 cycles of 95°C for 10 sec, 60°C for

20 sec and 72°C for 10 sec. The primers were purchased from Sangon Biotech Co., Ltd. (Shanghai, China) and the sequences were as follows: Forward, 5'-CCCTGAAGTGAAGGAACG-3' and reverse, 5'-TTGGTATGGTGGCTGGTG-3' for NGAL, and forward, 5'-CATCCGTAAAGACCTCTATGCCAA C-3' and reverse, 5'-ATGGAGCCACCGATCCACA-3' for β-actin. PCR reactions were performed on an iQ5 Multicolor Real-Time PCR Detection system (Bio-Rad Laboratories, Inc., Hercules, CA, USA). Gene expression was measured in triplicate, quantified using the 2<sup>-ΔΔC<sub>q</sub></sup> method (15) and normalized to the β-actin internal control.

**Western blotting.** Lung tissue protein was extracted using ice-cold RIPA buffer (Beyotime Institute of Biotechnology, Nantong, China) according to the manufacturer's protocols. Protein concentration was determined using the Bicinchoninic Acid Protein Assay kit (Thermo Fisher Scientific, Inc.). Total proteins (30 µg) were separated by 8-12% SDS-PAGE at 120 V, and transferred onto polyvinylidene difluoride membranes (EMD Millipore, Billerica, MA, USA) at 250 mA for 90 min via wet transfer. Following blocking non-specific binding sites with 5% non-fat milk in Tris-buffered saline (TBS) with Tween-20 (20 mM/l TBS pH 7.5, 500 mM/l NaCl and 0.1% Tween-20) for 1 h, the blots were probed with mouse monoclonal anti-β-actin (sc-47778; 1:500; Santa Cruz Biotechnology, Inc., Dallas, TX, USA) and anti-NGAL (MAB1857; 1:1,000; R&D Systems, Inc., Minneapolis, MN, USA) primary antibodies at 37°C for 1 h. Bands were detected using an anti-mouse horseradish peroxidase-conjugated secondary antibody (7076; 1:2,000; Cell Signaling Technology, Inc.) at 37°C for 40 min followed by treatment with SuperSignal® West Pico Chemiluminescent Substrate (Thermo Fisher Scientific, Inc.) and X-ray film exposure (Kodak, Rochester, NY, USA). The film was scanned, and densitometric analysis was performed using QuantityOne software version 4.6.2 (Bio-Rad Laboratories, Inc.). Squares of equal size were drawn around each band to measure the density, and the value was adjusted based on the density of the background near that band. Densitometric analysis was repeated three times. Results were expressed as a ratio of the target protein compared with the reference protein. The ratio of the target protein from the control group was arbitrarily set to 1.

**H&E staining.** Lung samples were fixed in 10% formalin solution at 4°C for 24 h, and dehydrated. The samples were wax embedded and cut into 4-6 µm sections on a microtome. The sections were flattened, mounted and heated on blank glass slides. Histological evaluations were performed by H&E staining and pathological examination using a CTR 6500 microscope (Leica Microsystems GmbH, Wetzlar, Germany).

**Immunohistochemical staining of NGAL.** Following deparaffinization and dehydration, the sections were immersed in 10 mM sodium citrate buffer (pH 6.0) with 0.05% Tween-20, for 5 min at 58°C, and subsequently treated with 0.25% Triton X-100 for 5 min. Following blocking with 5% bovine serum albumin (Beijing Solarbio Science & Technology Co., Ltd., Beijing, China) at room temperature for 20 min, the slides were incubated at 4°C with a rat monoclonal anti-NGAL antibody (MAB1857; 1:100; R&D Systems, Inc.) overnight. Finally,

Table I. Experimental protocol for each group.

Main group	Subgroup	Protocols
Control	Control	Spontaneous breathing for 2 h
Ventilation for 2 h group	High-PIP (n=6)	50 cm H <sub>2</sub> O of PIP and 2.5 cm H <sub>2</sub> O PEEP at 17 breaths/min for 2 h with tidal volume of 1 ml
	Low-PIP (n=6)	15 cm H <sub>2</sub> O of PIP and 0 cm H <sub>2</sub> O PEEP for 2 h at 120 breaths/min with a tidal volume of 0.29 ml
Ventilation for 4 h group	HV2 (n=6)	30 ml/kg, 0 cm H <sub>2</sub> O PEEP for 2 h at 65 breaths/min
	LV2 (n=6)	6 ml/kg, 5 cm H <sub>2</sub> O PEEP for 2 h at 135 breaths/min
	HV4 (n=6)	30 ml/kg, 0 cm H <sub>2</sub> O PEEP for 4 h at 65 breaths/min
	LV4 (n=6)	6 ml/kg, 5 cm H <sub>2</sub> O PEEP for 4 h at 135 breaths/min

High-PIP, high peak inflation pressure; Low-PIP, low peak inflation pressure; PEEP, positive end-expiratory pressure; HV, high-volume; LV, low-volume.

slides were incubated with a rabbit anti-rat biotin-conjugated IgG H&L secondary antibody (ab6733; 1:1,000; Abcam, Cambridge, MA, USA) at room temperature for 1 h, and detected using an avidin-biotin complex system (Vectastain ABC-kit; Vector Laboratories, Inc., Burlingame, CA, USA).

**Statistical analysis.** All statistical analyses were performed using SPSS software, version 19.0 (IBM SPSS, Armonk, NY, USA). Data are expressed as the mean  $\pm$  standard deviation. Statistical comparisons were performed using one-way analysis of variance, followed by Scheffe's test. Statistical differences between two groups were determined using the unpaired Student's t-test.  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**Different modalities of mechanical ventilation affect the extent of lung injury.** Following the application of different modalities of mechanical ventilation, lung tissue was collected and evaluated via H&E staining, as presented in Fig. 1A. The control, LV2, LV4 and low-PIP groups exhibited a normal histology. HV2, HV4 and high-PIP groups presented an abnormal histology compared with the control group. These abnormalities included alveolar septal thickening indicative of edema formation, mononuclear cell infiltration of the alveolar walls, hemorrhage, fibrin exudation and intra-alveolar erythrocyte infiltration.

To validate the VALI model in mice, total protein from BAL fluid was detected. As presented in Fig. 1B, total protein concentration in BAL fluid of LV2, LV4 and low-PIP groups did not differ from the control group. Total protein concentration in BAL fluid of HV2, HV4 and high-PIP groups was greater compared with the control group. Therefore, these observations suggested that LV2, LV4 and low-PIP modalities of mechanical ventilation are safe, whereas HV2, HV4 and high-PIP may result in lung injury.

**NGAL expression increases upon mechanical ventilation.** To investigate NGAL expression in a mouse model of VALI, lung tissues, BAL fluid and serum were collected from control, LV2,

HV2 low-PIP and high-PIP groups. RT-qPCR demonstrated that NGAL mRNA expression in lung tissue was increased in all groups compared with the control group, particularly the HV2 and high-PIP groups (Fig. 2A). As presented in Fig. 2B and C, NGAL protein expression levels were increased in all groups compared with the control group, particularly in the HV2 and high-PIP groups.

**NGAL expression increase is time-dependent under high-volume mechanical ventilation treatment.** To investigate the expression of NGAL in a mouse model of VALI, mice were subjected to low- or high-volume mechanical ventilation for 2 h or 4 h. As presented in Fig. 3A, NGAL mRNA expression levels in lung tissue demonstrated no difference between LV2 and LV4 exposure. However, a significant increase was present in HV4 compared with the HV2 group. Similarly, NGAL protein expression levels in lung tissue did not differ between the LV2 and LV4 groups; however, they were markedly greater in the HV4 group compared with the HV2 group (Fig. 3B and C). Protein expression profiles of NGAL in BAL fluid and serum were similar to those in lung tissue (Fig. 3B and C).

**Localization of NGAL expression in murine lungs.** To evaluate the spatial localization of NGAL expression, immunohistochemical staining of murine lung tissue samples from injured and control mice was performed. As presented in Fig. 4, strong murine NGAL expression was detected in the lung tissue from injured mice. In addition, NGAL expression was increased in lung vascular endothelial cells and infiltrating neutrophils of the injured lung.

## Discussion

VALI has recently been of primary concern for clinicians and researchers. The reduction in mortality associated with low tidal volume ventilation in patients with acute lung injury, and acute respiratory distress syndrome has resulted in an increased research interest toward the underlying mechanism of VALI (16). The roles of the innate immune response and inflammation in the pathogenesis of VALI have been extensively studied in recent years. It has previously been suggested



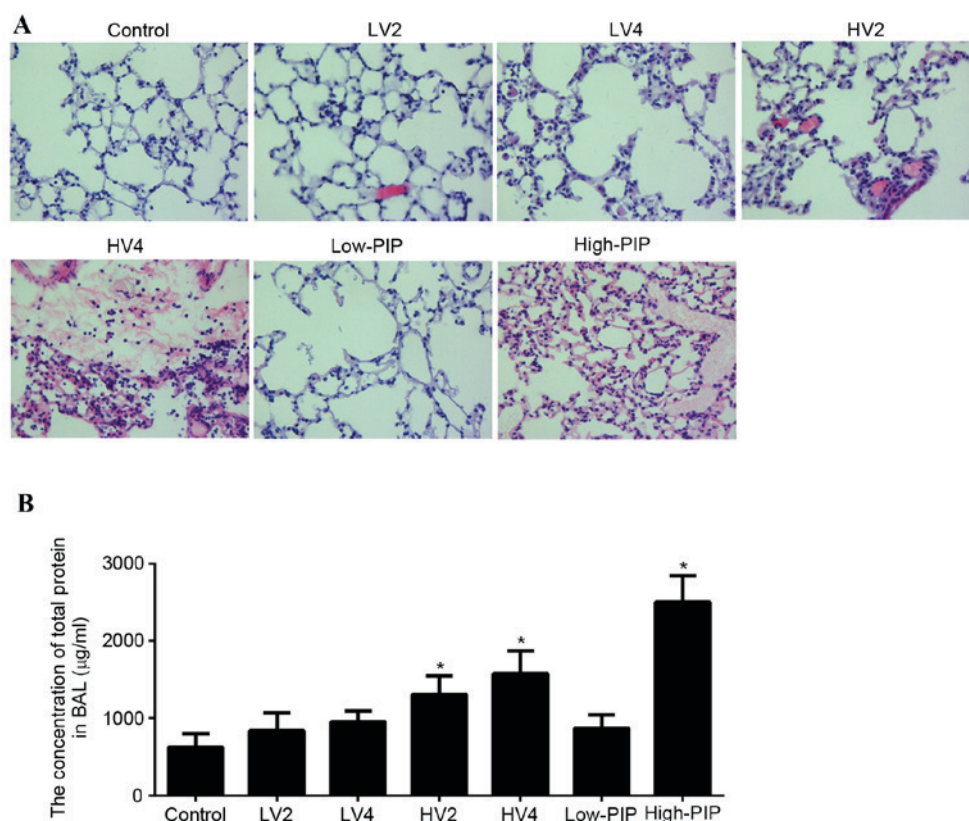


Figure 1. Effect of different modalities of mechanical ventilation on lung injury. (A) Hematoxylin and eosin staining images of various modalities of mechanical ventilation on lung tissue injury. (B) Quantification of total protein from BAL. Data are presented as the mean  $\pm$  standard deviation. \* $P < 0.05$  vs. control. BAL, bronchoalveolar lavage fluid; LV2, 4, low volume exposure for 2 or 4 h; HV2, 4, high volume exposure for 2 or 4 h; PIP, peak inflation pressure.

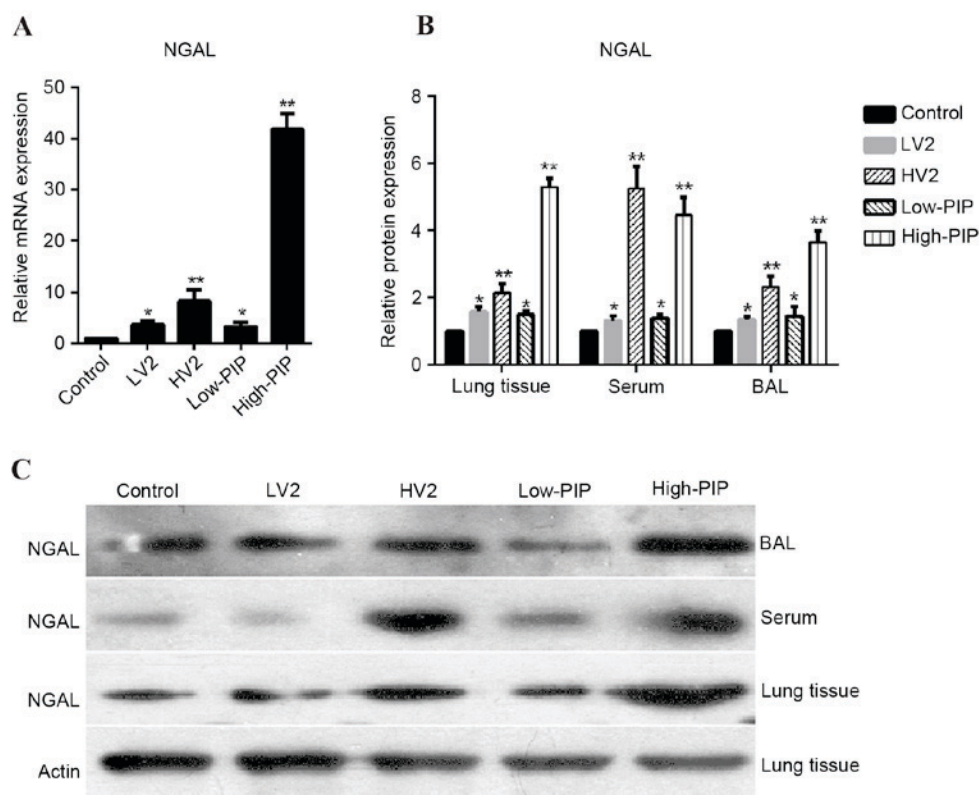


Figure 2. mRNA and protein expression levels of NGAL in groups treated with different mechanical ventilation protocols. NGAL (A) mRNA (B) protein expression levels, expressed as the mean  $\pm$  standard deviation. \* $P < 0.05$ , \*\* $P < 0.01$  vs. control. (C) Representative western blot images of NGAL protein expression levels. NGAL, neutrophil gelatinase-associated lipocalin; BAL, bronchoalveolar lavage fluid; LV2, low volume exposure for 2 h; HV2, high volume exposure for 2 h; PIP, peak inflation pressure.

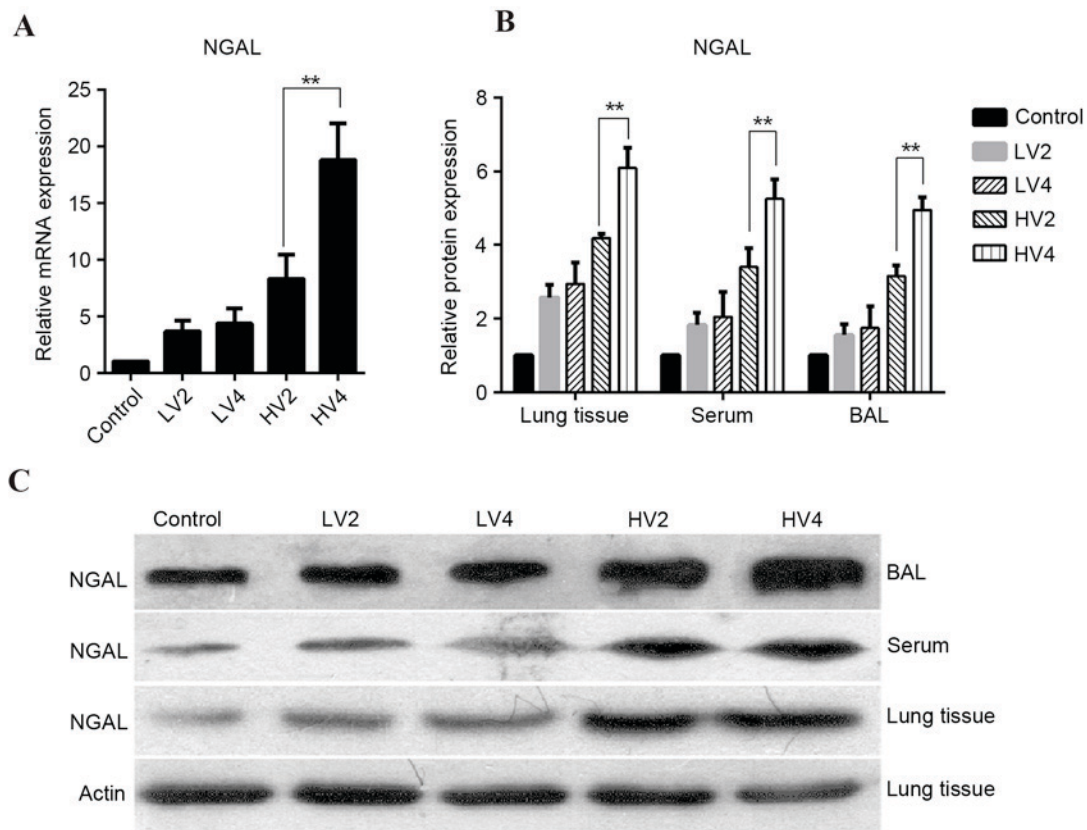


Figure 3. NGAL mRNA and protein expression levels in each group treated with LV2, LV4, HV2 or HV4. NGAL (A) mRNA and (B) protein expression levels, expressed as the mean  $\pm$  standard deviation.  $^{**}P < 0.01$  (C) Representative western blot images of NGAL protein expression levels. NGAL, neutrophil gelatinase-associated lipocalin; BAL, bronchoalveolar lavage fluid; LV2, 4, low volume exposure for 2 or 4 h; HV2, 4, high volume exposure for 2 or 4 h.

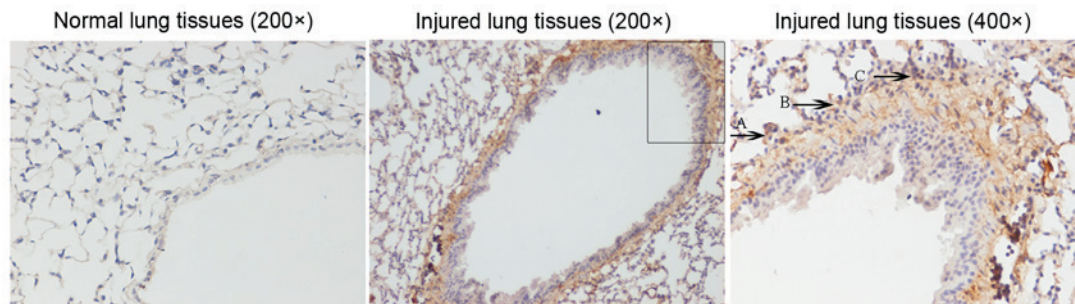


Figure 4. Localization of neutrophil gelatinase-associated lipocalin expression in normal (magnification, x200) and injured (magnification, x200 and x400) murine lung tissue as detected by immunohistochemistry. The black box represents the area magnified in the right-hand image. Arrows A and B indicate vascular endothelial cells (B, lung vascular endothelial cell); arrow C indicates infiltrating neutrophils.

that inflammation may not be integral to the initiation of VALI; however, prevalent data in the field suggests a major pathogenetic role of inflammation and lung neutrophil recruitment. Therefore, investigators have searched for biological markers of VALI and therapeutic targets that may facilitate treatment.

NGAL of the lipocalin superfamily, was originally isolated from specific neutrophil granules (6,7). NGAL protein levels are typically very low in various biological fluids (17). Previous studies have demonstrated that NGAL expression is associated with acute injury, particularly acute kidney injury. However, an association between NGAL and acute lung injury has not yet been reported. The present study characterized the expression profile of NGAL in mice subjected to different mechanical

ventilation protocols. NGAL mRNA and protein expression levels in lung tissue increased under all mechanical ventilation treatments; however, a significant increase was observed following high-volume or high-PIP mechanical ventilation. A similar NGAL increase was further detected in BAL fluid and serum, and was notably increased following high-volume or high-PIP mechanical ventilation. NGAL expression was time-dependent under high-volume mechanical ventilation treatment. Immunohistochemical localization studies revealed increased NGAL expression in lung endothelium, alveolar epithelial cells, and infiltrating neutrophils. NGAL is easily detected in serum and BAL fluid (10,11). The results of the present study verify the role of NGAL as a novel and potential

biomarker for VALI. A previous study revealed that plasma concentrations of NGAL were increased in oleic acid-induced acute lung injury and a conventional mechanical ventilation model in piglets (18). Serum NGAL levels were additionally significantly increased in lung transplant patients (19).

In conclusion, the present study used an animal model to identify NGAL as a potential novel biomarker for mechanical-induced lung injury. Further studies are required to define the functional role of NGAL and the pathophysiology of altered NGAL expression in VALI.

## References

1. Slutsky AS: Ventilator-induced lung injury: From barotrauma to biotrauma. *Respir Care* 50: 646-659, 2005.
2. Parsons PE, Eisner MD, Thompson BT, Matthay MA, Ancukiewicz M, Bernard GR and Wheeler AP; NHLBI Acute Respiratory Distress Syndrome Clinical Trials Network: Lower tidal volume ventilation and plasma cytokine markers of inflammation in patients with acute lung injury. *Crit Care Med* 33: 1-6; 230-232, 2005.
3. Frank JA, Parsons PE and Matthay MA: Pathogenetic significance of biological markers of ventilator-associated lung injury in experimental and clinical studies. *Chest* 130: 1906-1914, 2006.
4. Lee WL and Downey GP: Neutrophil activation and acute lung injury. *Curr Opin Crit Care* 7: 1-7, 2001.
5. Choudhury S, Wilson MR, Goddard ME, O'Dea KP and Takata M: Mechanisms of early pulmonary neutrophil sequestration in ventilator-induced lung injury in mice. *Am J Physiol Lung Cell Mol Physiol* 287: L902-L910, 2004.
6. Xu SY, Carlson M, Engström A, Garcia R, Peterson CG and Venge P: Purification and characterization of a human neutrophil lipocalin (HNL) from the secondary granules of human neutrophils. *Scand J Clin Lab Invest* 54: 365-376, 1994.
7. Bolignano D, Donato V, Lacquaniti A, Fazio MR, Bono C, Coppolino G and Buemi M: Neutrophil gelatinase-associated lipocalin (NGAL) in human neoplasias: A new protein enters the scene. *Cancer Lett* 288: 10-16, 2010.
8. Helanova K, Spinar J and Parenica J: Diagnostic and prognostic utility of neutrophil gelatinase-associated lipocalin (NGAL) in patients with cardiovascular diseases-review. *Kidney Blood Press Res* 39: 623-629, 2014.
9. Clerico A, Galli C, Fortunato A and Ronco C: Neutrophil gelatinase-associated lipocalin (NGAL) as biomarker of acute kidney injury: A review of the laboratory characteristics and clinical evidences. *Clin Chem Lab Med* 50: 1505-1517, 2012.
10. Reghunathan R, Jayapal M, Hsu LY, Chng HH, Tai D, Leung BP and Melendez AJ: Expression profile of immune response genes in patients with severe acute respiratory syndrome. *BMC Immunol* 6: 2, 2005.
11. Gwira JA, Wei F, Ishibe S, Ueland JM, Barasch J and Cantley LG: Expression of neutrophil gelatinase-associated lipocalin regulates epithelial morphogenesis in vitro. *J Biol Chem* 280: 7875-7882, 2005.
12. O'Croinin DF, Nichol AD, Hopkins N, Boylan J, O'Brien S, O'Connor C, Laffey JG and McLoughlin P: Sustained hypercapnic acidosis during pulmonary infection increases bacterial load and worsens lung injury. *Crit Care Med* 36: 2128-2135, 2008.
13. O'Croinin DF, Hopkins NO, Moore MM, Boylan JF, McLoughlin P and Laffey JG: Hypercapnic acidosis does not modulate the severity of bacterial pneumonia-induced lung injury. *Crit Care Med* 33: 2606-2612, 2005.
14. Hayes M, Curley GF, Masterson C, Devaney J, O'Toole D and Laffey JG: Mesenchymal stromal cells are more effective than the MSC secretome in diminishing injury and enhancing recovery following ventilator-induced lung injury. *Intensive Care Med* 3: 29, 2015.
15. Altemeier WA, Matute-Bello G, Gharib SA, Glenn RW, Martin TR and Liles WC: Modulation of lipopolysaccharide-induced gene transcription and promotion of lung injury by mechanical ventilation. *J Immunol* 175: 3369-3376, 2005.
16. Livak KJ and Schmittgen TD: Analysis of relative gene expression data using real-time quantitative PCR and the 2<sup>-</sup>(Delta Delta C(T)) Method. *Methods* 25: 402-408, 2001.
17. Kuwabara T, Mori K, Mukoyama M, Kasahara M, Yokoi H, Saito Y, Yoshioka T, Ogawa Y, Imamaki H, Kusakabe T, *et al*: Urinary neutrophil gelatinase-associated lipocalin levels reflect damage to glomeruli, proximal tubules, and distal nephrons. *Kidney Int* 75: 285-294, 2009.
18. Liu AJ, Ling F, Li ZQ, Li XF, Liu YL, Du J and Han L: Effect of oleic acid-induced acute lung injury and conventional mechanical ventilation on renal function in piglets. *Chin Med J* 126: 2530-2535, 2013.
19. Szewczyk M, Wielkoszyński T, Zakliczyński M and Zembala M: Plasma neutrophil gelatinase-associated lipocalin (NGAL) correlations with cystatin c, serum creatinine, and glomerular filtration rate in patients after heart and lung transplantation. *Transplant Proc* 41: 3242-3243, 2009.