

# Isoflurane-induced postoperative cognitive dysfunction is mediated by hypoxia-inducible factor-1 $\alpha$ -dependent neuroinflammation in aged rats

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**Abstract.** Elderly patients are at high risk of developing postoperative cognitive dysfunction (POCD) after prolonged exposure to inhaled anesthetics. However, the pathogenesis of POCD remains unknown. Hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ) is activated by inhaled anesthetics. The aim of the present study was to determine the role of HIF-1 $\alpha$  in isoflurane-induced neuroinflammation and the resulting cognitive impairment. Following a 4-h exposure to 1.5% isoflurane in 20-month-old rats, increased expression of HIF-1 $\alpha$  protein, activation of nuclear factor (NF)- $\kappa$ B signaling and increased expression of TNF-1 $\alpha$  were observed in the hippocampus of isoflurane-exposed rats compared with the control group. Pharmacological inhibition of HIF-1 $\alpha$  activation by 5-[1-(phenylmethyl)-1H-indazol-3-yl]-2-furanmethanol (YC-1) markedly suppressed the enhanced expression of HIF-1 $\alpha$ , disrupted NF- $\kappa$ B signaling pathway activity and inhibited the isoflurane-induced increase of TNF-1 $\alpha$  expression. YC-1 pretreatment also significantly attenuated isoflurane-induced cognitive deficits according to the results of the Morris water maze task. These results suggest that hippocampal HIF-1 $\alpha$  appears to be involved in an upstream mechanism of isoflurane-induced cognitive impairment. Further research is warranted to fully clarify the pathogenesis and investigate HIF-1 $\alpha$  as a potential therapeutic target for POCD.

## Introduction

Postoperative cognitive dysfunction (POCD) often occurs in the elderly after undergoing anesthesia and surgery, and is associated with increased perioperative complications and mortality rate. According to recent studies, neuroinflammation (1), blood-brain barrier disruption (2,3), activation of the neurotrophin receptor (4) and depression of synaptic function (5) are likely involved in the pathogenesis of POCD, but the etiology remains unknown despite enormous research efforts. Clinical observational studies revealing that POCD arises more frequently after surgery in the elderly are consistent with an inflammatory component (6). Furthermore, animal studies have shown that proinflammatory cytokines can cause neurological disorders (1,7). Thus, it is possible that inflammation plays a substantial role in the pathogenesis of POCD.

The commonly used inhalation anesthetic isoflurane has been shown to induce activation of nuclear factor (NF)- $\kappa$ B, a master regulator of inflammation (8), increase TNF- $\alpha$ , IL-6 and IL-1 $\beta$  in the aged brain (9), and is associated with increased risk of cognitive dysfunction in the elderly. Hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ) is upregulated by factors such as angiotensinII (10) and carbachol (11), even though HIF-1 $\alpha$  plays a major role in the maintenance of oxygen homeostasis and cellular adaptation (12). In addition, isoflurane transiently increases HIF-1 $\alpha$  protein in Hep3B cells (13), myocardial tissue (14) and renal organs (15).

Recent studies have indicated extensive interaction between NF- $\kappa$ B and HIF-1 $\alpha$ , the two main molecular players involved in hypoxia and inflammation. It has been suggested that HIF-1 $\alpha$  promotes NF- $\kappa$ B activity (16), and that activation of HIF-1 $\alpha$  may simultaneously suppress the activity of NF- $\kappa$ B (17). However, it has also been reported that NF- $\kappa$ B regulates HIF-1 $\alpha$  transcription in pulmonary artery smooth muscle cells during hypoxia (18). At present, this interaction between NF- $\kappa$ B and HIF-1 $\alpha$  in POCD of the aging brain caused by isoflurane anesthesia has proven elusive. Based on the above findings, we preliminarily investigated whether HIF-1 $\alpha$  plays a role in isoflurane-induced cognitive

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dysfunction and whether the HIF-1 $\alpha$  inhibitor, 5-[1-(phenylmethyl)-1H-indazol-3-yl]-2-furanmethanol (YC-1), can improve isoflurane-induced cognitive dysfunction by preventing inflammation in aged rats. In addition, we observed a marker of the NF- $\kappa$ B signaling pathway in the hippocampus of aged rats, and the expression levels of IL-1 $\beta$  and TNF-1 $\alpha$  for 7 days following 4-h 1.5% isoflurane exposure.

## Materials and methods

**Animal model.** Male Sprague-Dawley rats (n=144, 20 months of age and weighing 500–600 g) were purchased from the Dongchuang Laboratory Animal Center (Changsha, Hunan, China) and housed in standard barrier facilities. They were maintained under a 12-h light/dark cycle (lights on at 07:00) with food and water *ad libitum*. The animals had a recovery period of at least 7 days to adapt to their new environment before experiments began.

Isoflurane exposure was performed as previously described (2). In a transparent anesthetic chamber, rats were exposed to 1.5% isoflurane (Baxter Healthcare, Deerfield, IL, USA) with 2 l/min of 100% oxygen (Beijing Millennium City Gas Sales Center, China) as the carrying gas, or to vehicle (2 l/min of 100% oxygen) for 4 h. At the outlet of the chamber, gas composition (the concentrations of isoflurane, oxygen, and carbon dioxide) within the chamber was continuously analyzed by a gas monitor (Datex-Ohmeda, Inc., Louisville, CO, USA). After anesthesia, the rats received 100% oxygen until they recovered complete consciousness. In a previous study, it has been shown that this anesthesia protocol does not cause significant changes in glucose or blood gas (1).

**Experiment protocols.** Rats were randomly assigned to isoflurane (n=6) or control (n=6) groups, and were exposed to isoflurane or vehicle gas, respectively. Expression levels of hippocampal HIF-1 $\alpha$  proteins were examined by western blotting and immunofluorescence to investigate changes in HIF-1 $\alpha$  protein after 4-h isoflurane exposure. Based on preliminary results, the HIF-1 $\alpha$  inhibitor YC-1 (cat. no. 170632-47-0; Santa Cruz Biotechnology, Inc., Dallas, TX, USA) was used for intervention studies. Subsequently, rats were randomly assigned to control, ISO, YC-1 + ISO, and YC-1 groups (n=6 per group). Rats in the YC-1 + ISO and YC-1 groups received 2 mg/kg of intraperitoneal YC-1 at 24 h 30 min before gas exposure. The solution of YC-1 in 1% dimethyl sulfoxide (DMSO) was freshly prepared before use, and 0.5 ml was injected. The rats in the other two groups received 0.5 ml 1% DMSO. This YC-1 dosing protocol effectively inhibits HIF-1 $\alpha$  activation in rats (19).

The next day after 4-h isoflurane anesthesia or vehicle gas exposure, hippocampal-dependent spatial memory ability was evaluated using the Morris water maze (MWM) test (n=12 per group). As the most well-characterized inhibitor of NF- $\kappa$ B (20,21), the expression of I $\kappa$ B $\alpha$  and p-I $\kappa$ B $\alpha$  protein in the hippocampus of aged rats was assessed immediately after isoflurane exposure, and a 1 day (1 day), 3 day, and 7 day after isoflurane exposure using western blotting (n=4 per time point). The expression levels of TNF-1 $\alpha$  and IL-1 $\beta$  were also examined at the same time points using enzyme-linked immunosorbent assay (ELISA; n=4 per time point).

**Morphology.** Immunofluorescence staining of brain sections were performed as previously described (17) using an anti-HIF-1 $\alpha$  primary antibody (dilution, 1:500; cat. no. 13515; Santa Cruz Biotechnology, Inc., Dallas, TX, USA) and a fluorescein isothiocyanate-labeled secondary antibody (dilution, 1:200; cat. no. ab7010; Abcam, Cambridge, UK). The nuclei were counterstained with mounting medium with 4, 6-diamidino-2-phenylindole (1:5,000; Roche Applied Science, Mannheim, Germany).

**Western blot analysis.** The protein quantity of samples was determined using western blot analysis. We used the following antibodies: anti-HIF-1 $\alpha$  (dilution, 1:500; cat. no. 13515; Santa Cruz Biotechnology, Inc.); anti-I $\kappa$ B $\alpha$  and anti-p-I $\kappa$ B $\alpha$  (dilution, 1:1,000; cat. no. 4812 and 9246; Cell Signaling Technology, Inc., Danvers, MA, USA) and anti- $\beta$ -actin (dilution, 1:10,000; cat. no. 4970; Santa Cruz Biotechnology, Inc.) primary antibodies overnight at 4°C, followed by incubation with the IRDye® 800CW-conjugated goat anti-rabbit secondary antibody (dilution, 1:10,000; cat. no. 926-32211; LI-COR Biosciences, Inc., Lincoln, NE, USA) for 2 h at room temperature.

**ELISA.** Homogenates from the hippocampus were centrifuged at 12,000 rpm for 10 min at 4°C as described previously (14), and the supernatants were collected. The concentrations of IL-1 $\beta$  and TNF-1 $\alpha$  were measured with an ELISA kit (cat. no. ab100768 and ab46070; Abcam), using 100  $\mu$ l of protein for detection according to the manufacturer's instructions. Each experimental condition was tested in three different wells and measured in duplicate.

**MWM test.** As previously described (2), four groups with 12 rats per group were tested for spatial learning and memory using the MWM test by investigators blinded to the group conditions. Briefly, the rats received four training trials daily for 5 consecutive days. During each trial, the rats were gently placed in the water facing the wall of the maze at one of the four equally spaced start positions (north, south, east, or west). The time spent to locate the submerged platform (defined by the latency cut-off time of 120 sec) and the swimming velocity was recorded. On day 6, a series of probe trials were conducted without the platform. The platform site crossovers and the percentage of time spent in the previous platform quadrant in a 90-sec period were determined.

**Statistical analysis.** For statistical analyses, SPSS 14.0 for Windows (SPSS, Chicago, IL, USA) was used. The values for physiological parameters and data obtained by western blotting analysis and ELISA were expressed as the mean  $\pm$  SD, and analyzed by one-way analysis of variance (ANOVA), followed by a Fisher's least significant difference multiple comparison test. Data collected from the behavioral studies were expressed as the mean  $\pm$  SEM, and analyzed by two-way repeated-measures ANOVA, with Bonferroni post hoc analysis.  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**HIF-1 $\alpha$  protein expression is induced by isoflurane exposure, and degraded by YC-1.** We used immunofluorescence staining

to investigate the nuclear translocation of HIF-1 $\alpha$ . The increased HIF-1 $\alpha$  was mainly localized in neuronal nuclei in the hippocampus after a 4-h isoflurane exposure compared with vehicle gas, but then it was attenuated by YC-1 pretreatment (Fig. 1). Similarly, compared with control rats, the expression of HIF-1 $\alpha$  protein increased after 4-h isoflurane exposure, and YC-1 pretreatment prevented the isoflurane-induced increase (Fig. 2). These changes indicate a transient increase in HIF-1 $\alpha$  after the 4-h isoflurane challenge, and suggest YC-1 induces HIF-1 $\alpha$  degradation.

*YC-1 disturbs isoflurane-induced activation of the NF- $\kappa$ B signaling pathway.* The expression of I $\kappa$ B $\alpha$  inhibited the activation of NF- $\kappa$ B signaling pathways (20), thus, hippocampal I $\kappa$ B $\alpha$  and p-I $\kappa$ B $\alpha$  protein expression following isoflurane exposure was determined (Fig. 3). The I $\kappa$ B $\alpha$  phosphorylation levels were significantly increased, and the protein levels of I $\kappa$ B $\alpha$  significantly decreased after 4-h isoflurane exposure. Specifically, these changes were detected immediately after isoflurane exposure, and then recovery to the baseline levels within 3 days. Western blotting demonstrated that YC-1 pretreatment significantly prevented the isoflurane-induced decrease in I $\kappa$ B $\alpha$  and increase in p-I $\kappa$ B $\alpha$  protein levels in the hippocampus. The results suggest that the NF- $\kappa$ B pathway was activated in the hippocampus of aged rats, and was altered by YC-1 pretreatment after a 4-h isoflurane challenge.

*Isoflurane exposure-induced TNF-1 $\alpha$  accumulation is suppressed by YC-1.* TNF-1 $\alpha$  expression was significantly increased immediately after 4-h isoflurane exposure, and then decreased to the baseline level within 24 h after anesthesia. The isoflurane-induced increase in TNF-1 $\alpha$  was inhibited by YC-1 (Fig. 4). IL-1 $\beta$  was also examined (Fig. 4), but showed no significant alteration after isoflurane exposure. When administered alone, YC-1 had no effect on the expression of TNF-1 $\alpha$  or IL-1 $\beta$  at 4 h after anesthesia.

*Isoflurane exposure-induced cognitive impairment is attenuated by YC-1.* Cognitive performance was assessed using the MWM. There was no significant difference in swim speed among the four groups (data not shown). During the 6-day training period, aged rats in the ISO group showed longer escape latencies compared with the control group at days 4 and 5 (Fig. 5). Rats in the YC-1 + ISO group required less time to find the platform than those in the ISO group on days 4 and 5 of training (Fig. 5A). There were no significant differences in latencies between the control and YC-1 groups (Fig. 5A). During the probe test, which evaluated memory in the rats, the latency to the first entrance in the targeted area in the YC-1 + ISO group was much shorter (Fig. 5C), and the percentages of time and distance in the targeted area were much higher than those in the ISO group (Fig. 5B and D). These findings indicate that there were memory impairments after isoflurane exposure, but that YC-1 pretreatment could significantly prevent these impairments in spatial learning and memory.

## Discussion

In the present study, we demonstrated that YC-1 pretreatment can protect against cognitive impairment in aged rats after

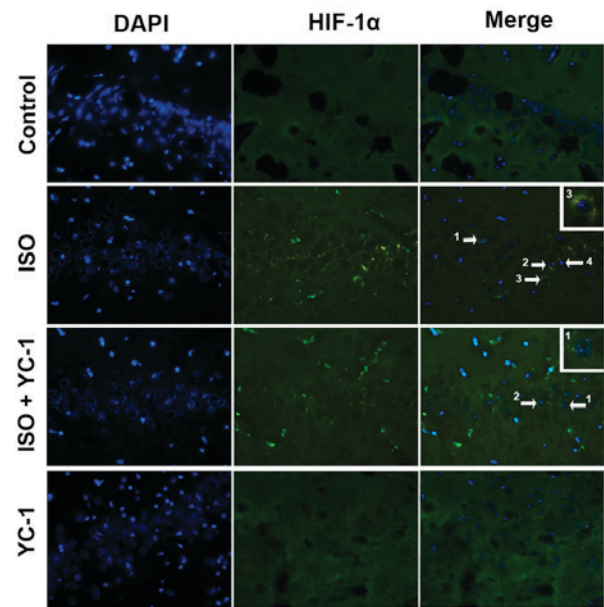


Figure 1. Immunofluorescence staining demonstrating distribution of HIF-1 $\alpha$  (green) in the hippocampal CA1 area of aged rats. HIF-1 $\alpha$ -positive cells in the hippocampal CA1 area were observed after 4-h isoflurane exposure. This staining was inhibited by YC-1, vs. the control group. n=6, Magnification, x400. Scale bar=20  $\mu$ m.

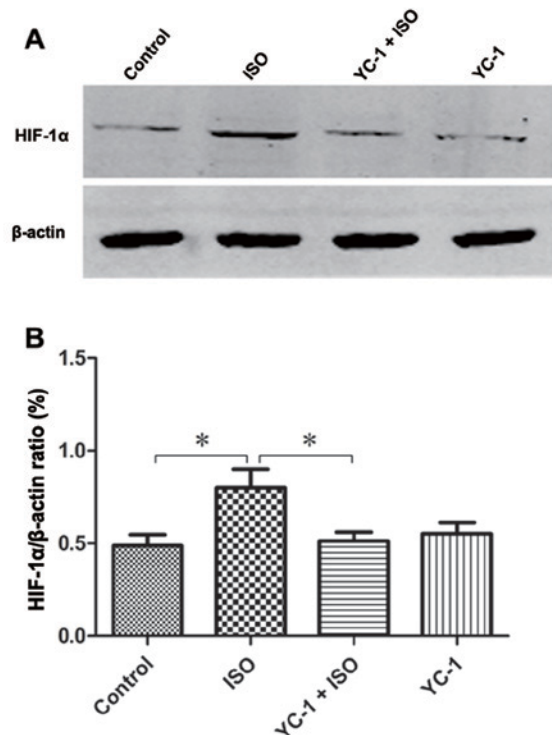


Figure 2. Increased levels of HIF-1 $\alpha$  protein was observed in isoflurane-treated rats and these increases were inhibited by YC-1 pretreatment. (A) Western blot analysis of HIF-1 $\alpha$  protein in the hippocampus. Quantitative analysis of protein levels of HIF-1 $\alpha$  (B), with  $\beta$ -actin used as a loading control. Data are means  $\pm$  SEM, n=6, \*P<0.05 as indicated.

4-h isoflurane exposure. Specifically, pretreatment with YC-1 significantly attenuated the increase in HIF-1 $\alpha$  protein caused



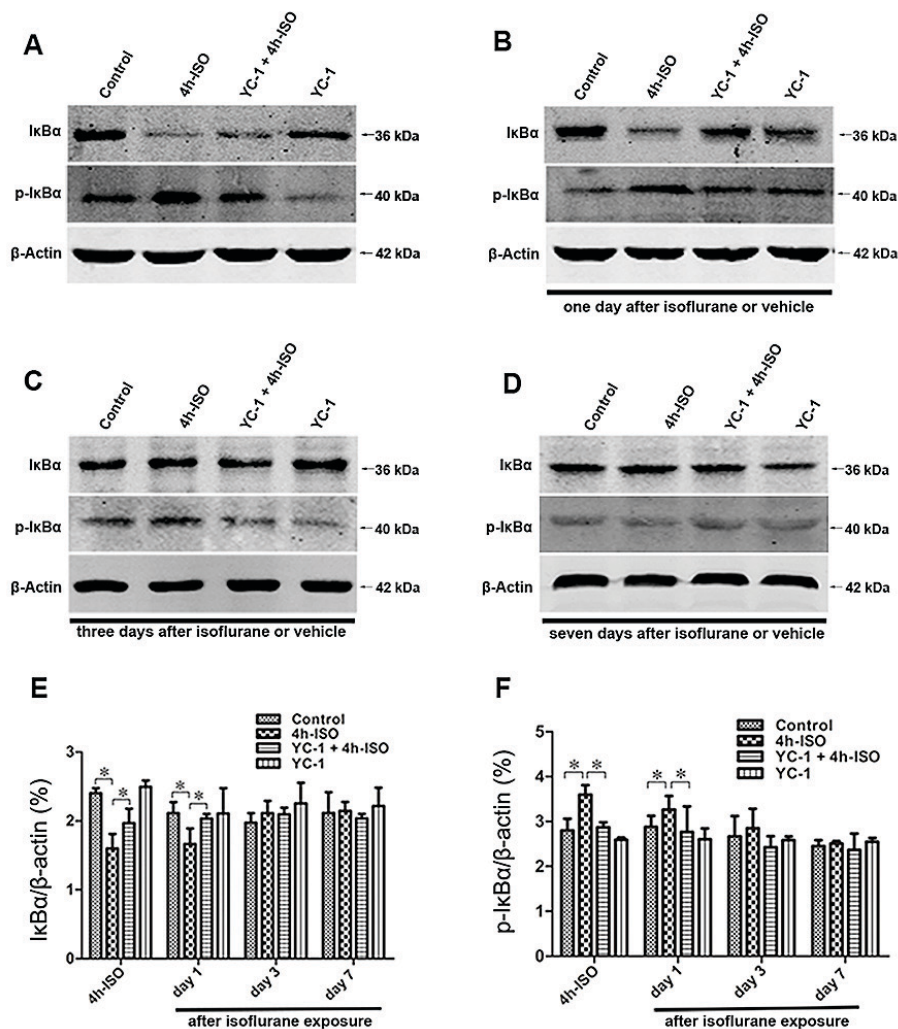


Figure 3. YC-1 disturbed isoflurane-induced NF- $\kappa$ B activation in the hippocampus. (A-D) The protein expression levels of I $\kappa$ B $\alpha$  in aged rats were determined by western blotting. Quantitative analysis of protein levels of I $\kappa$ B $\alpha$  (E and F), with  $\beta$ -actin used as a loading control. Isoflurane-induced increase of I $\kappa$ B $\alpha$  protein was further increased by YC-1. Data are means  $\pm$  SEM, n=4, \*P<0.05 as indicated.

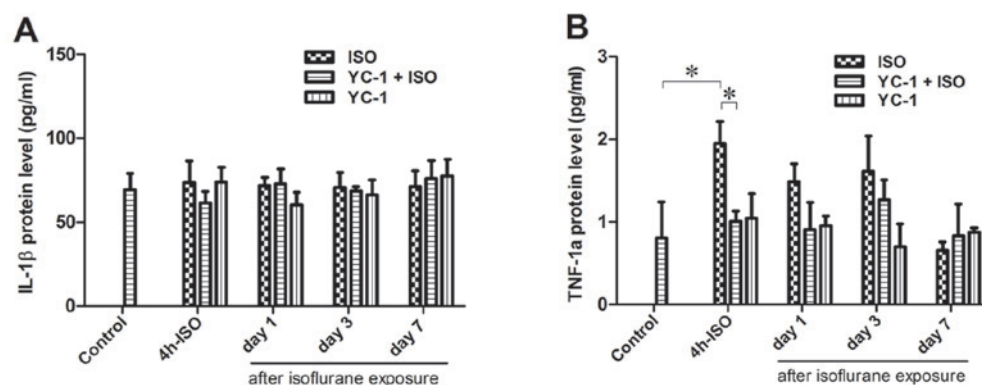


Figure 4. Effects of isoflurane exposure on the levels of IL-1 $\beta$  and TNF-1 $\alpha$  in the hippocampus. (A) Compared with control rats, no significant changes in the levels of IL-1 $\beta$  is observed at any time points. (B) The hippocampal TNF-1 $\alpha$  expression changes significantly over time after isoflurane exposure, and Isoflurane-induced increase of TNF-1 $\alpha$  was suppressed by YC-1. Data are means  $\pm$  SD (n=4) for each condition. \*P<0.05 as indicated.

by longer isoflurane exposure. Furthermore, YC-1-induced attenuation of HIF-1 $\alpha$  expression appeared to significantly disturb the isoflurane-induced NF- $\kappa$ B activation and decrease the expression of inflammatory cytokines *in vivo*.

The expression of HIF-1 $\alpha$  protein maintain its balance via protein synthesis and degradation in physiological conditions (22). In this study, we showed that exposure to clinically relevant concentrations of isoflurane enhanced expression of

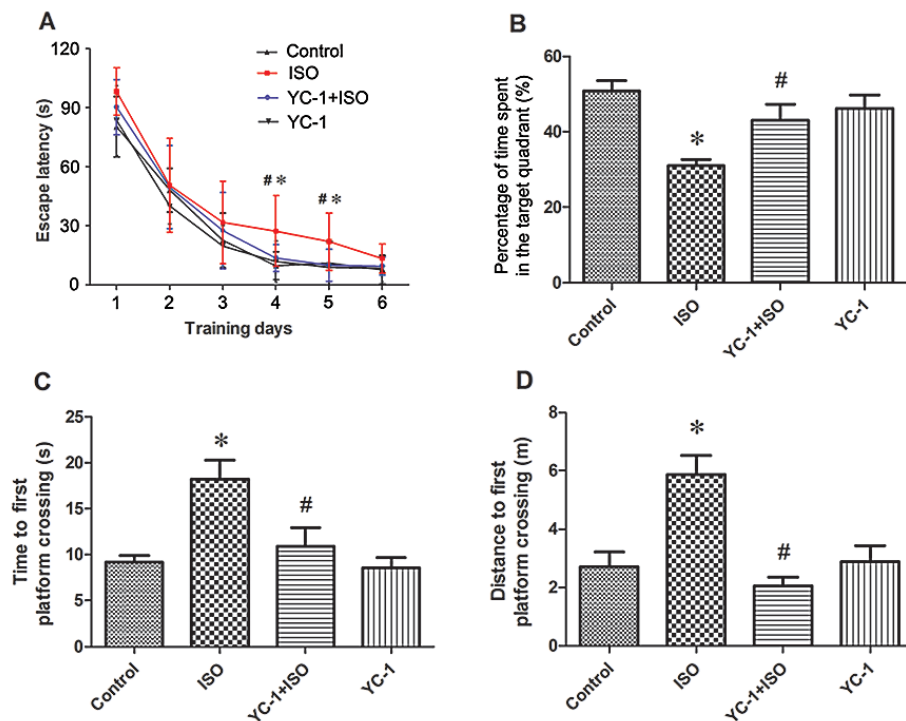


Figure 5. YC-1 pretreatment mitigated isoflurane-induced spatial memory impairments in aged rats. (A) Acquisition trials demonstrating latencies for rats to reach the platform, a measurement of spatial information acquisition. (B-D) Probe trials demonstrating memory retention capabilities, including time spent in the target quadrant (B) and time (C) and distance (D) to first platform crossing, measurements of memory retention capabilities. Results are means  $\pm$  SEM,  $n=12$ . \* $P<0.05$ , vs. control; # $P<0.05$ , vs. ISO group.

HIF-1 $\alpha$  in the hippocampus of aged rats, and demonstrated that isoflurane disrupted the cognition of aged rats, which might be partly mediated by the HIF-1 $\alpha$  pathway. Whereas, the defined molecular mechanisms by which isoflurane up-regulate HIF-1 $\alpha$  remains unknown, previous studies have reported that isoflurane activation of the Akt-mTOR pathway induced HIF-1 $\alpha$  expression *in vivo* (14), and the levels of phospho-Akt and GSK 3 $\beta$  also played roles in isoflurane-induced HIF-1 $\alpha$  expression *in vitro* (23). Our data confirmed that 4 h-isoflurane exposure resulted in up-regulation of HIF-1 $\alpha$  without hypoxia interventions, moreover, this is the first experiment to demonstrate that the interaction between NF- $\kappa$ B and HIF-1 $\alpha$  pathway in isoflurane-induced cognitive decline in aging brain.

Recent evidence has shown that isoflurane may increase the levels of proinflammatory cytokines in the brain of aged rats, and that this process plays a critical role in the progression of cognitive dysfunction (1,9). Other studies have reported that HIF-1 $\alpha$  may be considered proinflammatory in that it promotes inflammatory cell survival (24). In the present study, isoflurane-induced HIF-1 $\alpha$  protein expression in aged rat brain was inhibited by YC-1, and the canonical NF- $\kappa$ B signaling pathway was transiently activated, as evidenced by the increased expression of p-I $\kappa$ Ba protein and decreased expression of I $\kappa$ Ba protein in the hippocampus immediately after isoflurane exposure, which persisted until 24 h. Moreover, isoflurane-induced I $\kappa$ Ba was increased with YC-1 pretreatment, as the product of the NFKBIA gene, I $\kappa$ Ba is thought to be an inhibitor of NF- $\kappa$ B due to its ability to bind to the p65/p50 dimers, preventing them from translocating into the nucleus, and thus counteracting NF- $\kappa$ B signaling (20,21). In addition, studies have reported a positive correlation between

the NF- $\kappa$ B signaling pathway and TNF-1 $\alpha$  (1,25). TNF-1 $\alpha$  protein expression was dramatically decreased after depleting HIF-1 $\alpha$  in the present study, therefore, we speculate that NF- $\kappa$ B signaling pathway activity may be suppressed through inactivation of the HIF-1 $\alpha$  protein. Conversely, IL-1 $\beta$  was not changed, which may be because IL-1 $\beta$  contents were measured in the hippocampus of rats exposed to isoflurane at different time points, which was increased from 1 to 6 h after isoflurane exposure (1). The findings suggest that inhibition of HIF-1 $\alpha$  by YC-1 disrupted NF- $\kappa$ B signaling pathway activity, suppressed its downstream TNF-1 $\alpha$  expression and mitigated the isoflurane-induced cognitive impairment.

Previous studies have shown that hippocampal apoptosis increased when HIF-1 $\alpha$  was inhibited by YC-1, suggesting that HIF-1 $\alpha$  has a neuroprotective effect against the cognitive function deteriorated induced by subarachnoid hemorrhage of rats (26). Contrarily, it has been reported that YC-1 protects neurons from the toxicity associated with A $\beta$ 25-35 peptides is mainly mediated by the induction of Hsp70 (27), and YC-1 also against ketamine-induced long-term cognitive defects in neonatal rats via the ROS/HIF-1 $\alpha$  pathway (28). Thus, because of the complexity of such regulations, HIF-1 $\alpha$  may play different roles under different conditions, and its activation may even prove to be a promising intervention against isoflurane-induced POCD. Our results showed improvement of isoflurane-induced spatial learning and memory deficits with HIF-1 $\alpha$  inhibitor pretreatment. However, the pathophysiology of the cognitive deficits is complicated; other signaling pathways or mechanisms activated by YC-1 might also be responsible for improving cognitive function during the perioperative period. This will require further investigation. The

current findings lead to a better understanding of the underlying mechanisms of POCD in the aged brain.

In conclusion, we report that isoflurane-induced spatial cognitive impairment in aged rats was associated with expression of the HIF-1 $\alpha$  protein. Suppressing HIF-1 $\alpha$  protein expression attenuated the neuroinflammation in the hippocampus by disturbing NF- $\kappa$ B signaling pathway activity and decreasing TNF-1 $\alpha$  after 4-h isoflurane exposure. These data suggest that HIF-1 $\alpha$  may be an upstream regulator of the NF- $\kappa$ B signaling pathway in POCD, and inhibition of this pathway may serve as a potential treatment target.

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## Availability of data and materials

All data generated or analyzed during the present study are included in this published article.

## Authors' contributions

YC, XG and ZL designed and performed experiments; YC, LM, CN, LL and NY performed the experiments; YC and CS analyzed the data; YC and XG wrote the paper. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

The present study was performed following the approval of Peking University Biomedical Ethics Committee Experimental Animal Ethics Branch (approval no. 20150041).

## Consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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