

# Intraductal papillary mucinous neoplasms of the pancreas: Clinical association with KRAS

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**Abstract.** Intraductal papillary mucinous neoplasms of the pancreas (IPMN) are among the most important precancerous lesions in the pancreas. V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog (KRAS) is one of the most important genes involved in pancreatic neoplasms, and exhibits a high mutation rate in pancreatic ductal adenocarcinomas and pancreatic intraepithelial neoplasia. The present study aimed to further elucidate the associations among IPMN subtypes (gastric, intestinal, pancreatobiliary and oncocytic), pathological classifications [low-grade, intermediate-grade, and high-grade IPMN, and associated minimally invasive carcinoma (invasive depth  $\leq 0.5$  cm) and advanced invasive carcinoma (invasive depth  $> 0.5$  cm)]. A total of 56 cases of IPMN were studied using scorpion amplified refractory mutation system analysis of KRAS mutations, pathological features and prognosis. KRAS mutations were identified in 50% (28/56 cases). The frequency was 60% (9/15 cases) in gastric-type, 52.6% (10/19 cases) in intestinal-type, 47.3% (9/19 cases) in pancreatobiliary-type and zero (0/3 cases) in oncocytic-type IPMN. Except for oncocytic type IPMN, the frequencies of KRAS mutations in IPMN with low, intermediate and high grade, and IPMN-associated

carcinoma were 58.3% (7/12 cases), 27.3% (3/11 cases), 80% (4/5 cases) and 56% (14/25 cases), respectively. With more advanced dysplasia and invasion, the prevalence of KRAS mutations in intestinal-type IPMN increased ( $P=0.012$ ). The Kaplan-Meier survival curve demonstrated that survival rate was not associated with KRAS mutation (log-rank test;  $P=0.308$ ). The prevalence of KRAS mutations was lowest in intestinal-type IPMN, and was in proportion to the degree of dysplasia and invasion. Therefore, KRAS mutation in IPMN does not correlate with histological subtype, dysplasia grade, depth of invasion or survival.

## Introduction

Intraductal papillary mucinous neoplasms of the pancreas (IPMN) are one of the most important precancerous lesions associated with pancreatic cancers. IPMN are slow-growing intraductal tumors with a favorable prognosis (1). With the development of radiological technology, intraductal lesions of the pancreas have been diagnosed increasingly frequently. IPMN currently accounts for 8-20% of all resected pancreatectomy specimens (2-6), and the incidence is increasing.

IPMN are characterized by intraductal papillary proliferation of mucin-producing cells, which causes cystic dilation of the pancreatic ducts. IPMN are different from pancreatic intraepithelial neoplasias (PanINs). IPMN are visible and detectable lesions with a diameter  $> 1$  cm, whereas PanINs are diagnosed microscopically and are usually  $< 0.5$  cm in diameter (7). Similar to the adenoma-carcinoma sequence that is observed in colon cancer, IPMN stand to progress from adenomatous lesions (IPMN with low- or intermediate- grade dysplasia) to high-grade dysplasia and finally to invasive carcinomas (IPMN-associated carcinomas), which exhibit the most severe architectural and cytological atypia. Histologically, IPMN may be divided into gastric-type, intestinal-type, pancreatobiliary-type and oncocytic-type, according to the epithelial lining. Each histological type has specific histopathological features and biological behaviors. IPMN-associated carcinomas primarily comprise pancreatic ductal adenocarcinomas (PDAs) and colloid carcinomas. It has been previously demonstrated that intestinal-type IPMNs usually progress to pancreatic carcinomas via a colloidal pattern, while pancreatobiliary-type progress via a tubular pattern. Minimally invasive IPMN is defined by

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**Abbreviations:** IPMN, intraductal papillary mucinous neoplasms of the pancreas; IPMN-MI, IPMN with an associated micro-invasive carcinoma; IPMN-AI, IPMN with an associated advanced invasive carcinoma; IPMN-GT, gastric type IPMN; IPMN-IT, intestinal type IPMN; IPMN-PT, pancreatobiliary type IPMN; PDA, pancreatic ductal adenocarcinomas; KRAS, V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog; ABI, Applied Biosystems; ARMS, amplified refractory mutation system; qPCR, quantitative polymerase chain reaction

**Key words:** IPMN, pancreas, KRAS mutation, survival

Nara *et al* (8) as a lesion that invades slightly beyond the ductal wall.

KRAS, a member of the ras gene family, is one of the most important and commonly mutated oncogenes observed in pancreatic neoplasms (9). Numerous reports have confirmed that KRAS mutations serve a dominant and important role in the tumorigenesis of PDAs and IPMN (10-14). Previous studies have discussed the association between KRAS mutations and survival in patients with PDAs, and demonstrated that mutations were not associated with poor prognosis (15-18). Previous studies have reported that point mutations are located primarily at codon 12 in exon 2 in 31-86% of patients with IPMN (10-14,19-22). There have been few studies elaborating upon the alteration of KRAS mutation frequency among different subtypes (gastric, intestinal or pancreatobiliary type) or different grades (low, intermediate and high grade). Few articles have discussed the correlation between prognosis and KRAS mutation status in patients with IPMN (23).

In China, to the best of our knowledge, there is no available research regarding the incidence of IPMN or other associated data. A total of 56 IPMN cases were collected for the present study, clinicopathological analysis was performed and KRAS mutation status was detected. The objective of the present study was to discuss the clinical meaning of KRAS mutations and their association with histological classification, and subtypes, invasion and survival of IPMN.

## Materials and methods

**Study population.** All of the pancreatic specimens were obtained from Peking Union Medical College Hospital, Beijing, China. Between January 2000 and December 2009, a total of 900 pancreatic tumors were resected and confirmed by pathology analysis. A total of two expert pathologists reviewed all slides and classified the cases according to the new World Health Organization (WHO) classification system. A total of 61 patients were diagnosed with IPMN, and 29 exhibited invasive carcinomas associated with IPMN. Of the 61 IPMN cases, there was a failure to extract sufficient genomic DNA to assess KRAS genetic alterations in five cases. All IPMN lesions were associated with the pancreatic duct system, and microscopic observation did not reveal any 'ovarian-type' hypercellular stroma surrounding the neoplasms. The present study was approved by the Ethics Committee of Peking Union Medical College Hospital, and informed consent was obtained from all cases.

**Pathological examination of IPMN.** Histological grading of the IPMN was performed according to the criteria defined by the 2010 edition of the WHO classification system for digestive neoplasms (24). Infiltrative growth accompanied by desmoplastic fibrosis was used as the only criterion to determine infiltration. A small number of samples exhibited evidence of mucin leaking into the interstitial space and forming a simple mucous lake around the pancreatic duct in the absence of floating cancer cells, and this was not regarded as invasion in the present study. Invasive depth was measured from the duct to the deepest infiltrating cancer cells. A cut-off point of 0.5 cm was selected for the depth of invasion and the cases

were divided into two groups: Cases with an invasive depth  $\leq 0.5$  cm was designated as IPMN-associated minimally invasive carcinoma (IPMN-MI), and invasive depth  $> 0.5$  cm was designated as IPMN-associated advanced invasive carcinoma (IPMN-AI). All sections were observed at a magnification of  $\times 40$ , 100 and 200 by Olympus BX53 microscope (Olympus Corporation, Tokyo, Japan).

**Mutation analysis.** Neutral 10% formalin-fixed (at room temperature, overnight) and paraffin-embedded pancreatic neoplasm tissue sections were cut ( $6 \mu\text{m}$ ), and subsequently microdissected manually to ensure the highest purity and the largest amount of tumor cells in each sample. The resection margins with non-tumorous tissue served as normal controls. Genomic DNA was extracted using the QIAmp DNA Mini kit (Qiagen Inc., Valencia, CA, USA).

A DxS ARMS KRAS mutation test kit (DXS International PLC, Farnham, UK) was used to detect point mutations in codons 12 and 13 of exon 2 of the KRAS gene. This ARMS assay was a quantitative polymerase chain reaction (qPCR) assay that detected a total of eight genetic mutations, including 35G>A, 35G>T, 35G>C, 34G>A, 34G>T, 34G>C, 37G>C and 38G>A. The mutation test was performed according to the manufacturer's protocol. qPCR was performed using an internal Scorpion reporter probe and primers specific for wild and mutant KRAS on the ABI 7500 Real-time polymerase chain reaction system (ABI; Thermo Fisher Scientific, Inc., Waltham, MA, USA). The following thermocycling conditions were used for the qPCR: 50°C for 2 min, initial denaturation at 95°C for 10 min; 40 cycles of 95°C for 15 sec and 60°C for 10 sec. Data analysis was performed using the ABI SDS software v1.4.0.25 (Thermo Fisher Scientific, Inc.). The differences between the cycle threshold (CT) values of the mutant and wild types were calculated. When the difference of CT value between wild and mutant type was less than the cut-off value, we determined that there was a corresponding mutation in the sample (25,26). Subsequently, the mutation of KRAS in codon 61 was detected via direct sequencing of the PCR amplification product with an automated ABI 3730 sequencer (ABI; Thermo Fisher Scientific, Inc.). The primers for codon 61 of KRAS were 5'-TCCCTTCTCAGGATTCCTACA-3' and 5'-CAAAGAAAGCCCTCCCCAGT-3'. The following thermocycling conditions were used for the PCR amplification of codon 61: Initial denaturation at 94°C for 5 min; 40 cycles of 94°C for 30 sec, 58°C for 30 sec and 72°C for 30 sec; and final extension for 10 min at 72°C. The amplification was performed using TaKaRa Ex Taq polymerase (Takara Bio Inc., Otsu, Japan).

**Statistical analysis.** All statistical analyses were performed using SPSS version 12.0 (SPSS Inc., Chicago, IL, USA) software. Data are presented as the mean value.  $P < 0.05$  was considered to indicate a statistically significant difference. The comparison between continuous variables was calculated using a Student's t-test. The correlation between categorical variables was acquired by  $\chi^2$  test or Fisher's exact test. The  $\chi^2$  test was used in the IPMN constituent ratio analysis. The Kaplan-Meier method was used to assess survival time, and the log-rank test was applied to determine significance.

Table I. Association between KRAS mutation and the clinicopathological features of IPMN.

Feature	KRAS+ (%)	KRAS- (%)	P-value
Sex			0.408 <sup>a</sup>
Male	19 (76.0)	16 (64.0)	
Female	9 (42.9)	12 (57.1)	
Age, years			>0.9 <sup>a</sup>
≥65	12 (50.0)	12 (50.0)	
<65	16 (50.0)	16 (50.0)	
Mean size, cm	3.50	3.71	0.718 <sup>b</sup>
Pancreatitis			0.408 <sup>a</sup>
Yes	12 (57.1)	9 (42.9)	
No	16 (64.0)	19 (76.0)	
Smoking			0.771 <sup>a</sup>
Yes	9 (52.9)	8 (47.1)	
No	19 (48.7)	20 (51.3)	
Macroscopic type			0.695 <sup>c</sup>
Main duct type	14 (45.2)	17 (54.8)	
Branch duct type	4 (50.0)	4 (50.0)	
Mixed type	10 (58.8)	7 (41.2)	
Histological classification			0.605 <sup>a</sup>
Low grade	7 (58.3)	5 (41.7)	
Intermediate grade	3 (27.3)	8 (72.7)	
High grade	4 (57.1)	3 (42.9)	
With associated carcinoma	14 (53.8)	12 (46.2)	
Histological subtype			0.159 <sup>c</sup>
Gastric-type	9 (60.0)	6 (40.0)	
Intestinal-type	10 (52.6)	9 (47.4)	
Pancreatobiliary-type	9 (47.4)	10 (52.6)	
Oncocytic-type	0 (0)	3 (100)	
IPMN/associated carcinoma			0.592 <sup>a</sup>
Yes	14 (53.8)	12 (46.2)	
No	14 (46.7)	16 (53.3)	

<sup>a</sup>Chi-square test; <sup>b</sup>T-test; <sup>c</sup>Fisher's exact test. KRAS, V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog; IPMN, intraductal papillary mucinous neoplasms of the pancreas.

## Results

**IPMNs subtypes and classification.** Of the 56 cases of IPMN, 33 were in the head of the pancreas, 12 in the tail of the pancreas, and 11 in the whole pancreas. The age of patients ranged between 39 and 79 years, and the average was 61.8 years. The onset manifestation was abdominal discomfort (abdominal pain, abdominal distention and diarrhea) in 34 cases. A total of 13 cases were detected accidentally with no clinical symptoms. The remaining 9 cases represented symptoms with jaundice (n=4), weight loss (n=2), back pain (n=1), a high level of cancer antigen 199 (n=1) and a high creatinine level (n=1). Sex, age and tumor location were not associated with invasion. However, 21/56 (37.5%) patients had a history of acute or chronic pancreatitis with a high amylase level in the blood on at least one occasion prior to the surgery, and 17 patients smoked for >10 years. However, pancreatitis

(P=0.408) and smoking (P=0.771) were not associated with KRAS mutations.

IPMN were subclassified into four histological subtypes: gastric-type (15/56; 26.8%), intestinal-type (19/56; 33.9%), pancreatobiliary-type (19/56; 33.9%) and oncocytic-type (3/56; 5.3%). Based on the highest degree of architectural and cytological atypia, the present cohort comprised 12 (21.4%) IPMN cases with low-grade dysplasia, 11 (19.6%) IPMN cases with intermediate-grade dysplasia, 7 (12.5%) IPMN cases with high-grade dysplasia and 26 (46.4%) IPMN-associated invasive carcinomas (Table I). The majority of the gastric-type IPMN cases (14/15) were classified as IPMN with low- and intermediate-grade dysplasia (Fig. 1). Almost all of the pancreatobiliary-type IPMN cases (18/19) were IPMN-associated carcinomas. This suggested that pancreatobiliary-type IPMN are significantly associated with high grade dysplasia and invasive adenocarcinoma, while gastric-type IPMN were associated with low and intermediate

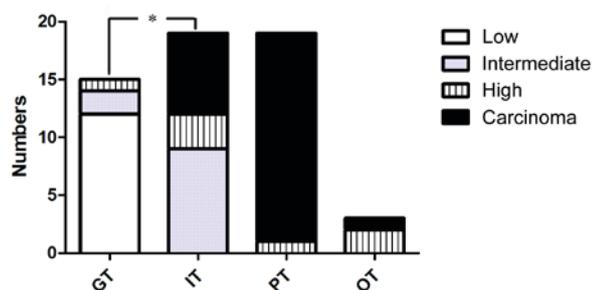


Figure 1. Numbers and ratio of IPMN with low-, intermediate- and high-grade dysplasia and IPMN with an associated carcinoma in GT, IT, PT and OT IPMN. PT was associated with high grade dysplasia of IPMN and invasion; in addition, GT IPMN was associated with low and intermediate grade dysplasia. \* $P < 0.05$ . IPMN, intraductal papillary mucinous neoplasms of the pancreas; GT, gastric-type; IT, intestinal-type; PT, pancreatobiliary-type; OT, oncocytic-type.

grade dysplasia ( $P = 0.004$ ). IPMN-associated carcinomas were primarily PDAs (21/26; 80.8%), colloid carcinomas (4/26; 15.4%) and, rarely, undifferentiated carcinomas (1/26; 3.8%). A total of 11 cases belonged to the IPMN-microinvasive group, including 10 tubular adenocarcinomas and one colloid carcinoma.

**Survival of patients with IPMNs.** A total of five out of 56 patients were lost to follow-up, 35 patients were alive and tumor-free, four patients were alive with IPMN, and 12 patients succumbed to IPMN-associated invasive carcinoma. The mean postoperative follow-up period was 40.8 months (range, 12-112 months) for living patients. The overall 5-year survival rate was 76%. The 5-year survival rate of the patients with non-invasive IPMN was 100%. The 5-year survival rate of the patients with invasive IPMN was not available; instead, the 3-year survival rate of the patients with invasive IPMN was 55%. Of the 12 patients who succumbed, eight presented with pancreatobiliary-type IPMN, three had intestinal-type IPMN and one had oncocytic-type IPMN. A patient diagnosed with colloid carcinoma succumbed due to a complication of Trousseau syndrome 11 months post-surgery. Of the 12 patients who succumbed, all of them presented with IPMN-associated carcinomas and succumbed 7-41 months post-surgery; 11 of these patients succumbed within 2 years. A total of four patients developed recurrent tumors in the remnant pancreas, and these patients presented with gastric-type IPMN with low-grade dysplasia, intestinal-type IPMN with intermediate-grade dysplasia, and intestinal-type and oncocytic-type IPMN with high-grade dysplasia, respectively. Recurrent tumors remained within the pancreatic ducts and exhibited the same histological features as their origin tumors. The first two patients had positive surgical margins in the first segmental pancreatectomy operation.

Disease-specific survival rates were analyzed using the Kaplan-Meier method. Upon comparison of the survival stages of IPMN-MI and IPMN-AI, it was identified that the survival stage of IPMN-AI tumors was significantly shorter compared with that of IPMN-MI tumors (log-rank test;  $P = 0.0006$ ; Fig. 2A). Among the three histological subtypes, gastric-type IPMN had the best prognosis, pancreatobiliary-type IPMN had the worst, and intestinal-type IPMN lay in between (log-rank test;  $P < 0.0001$ ; Fig. 2B).

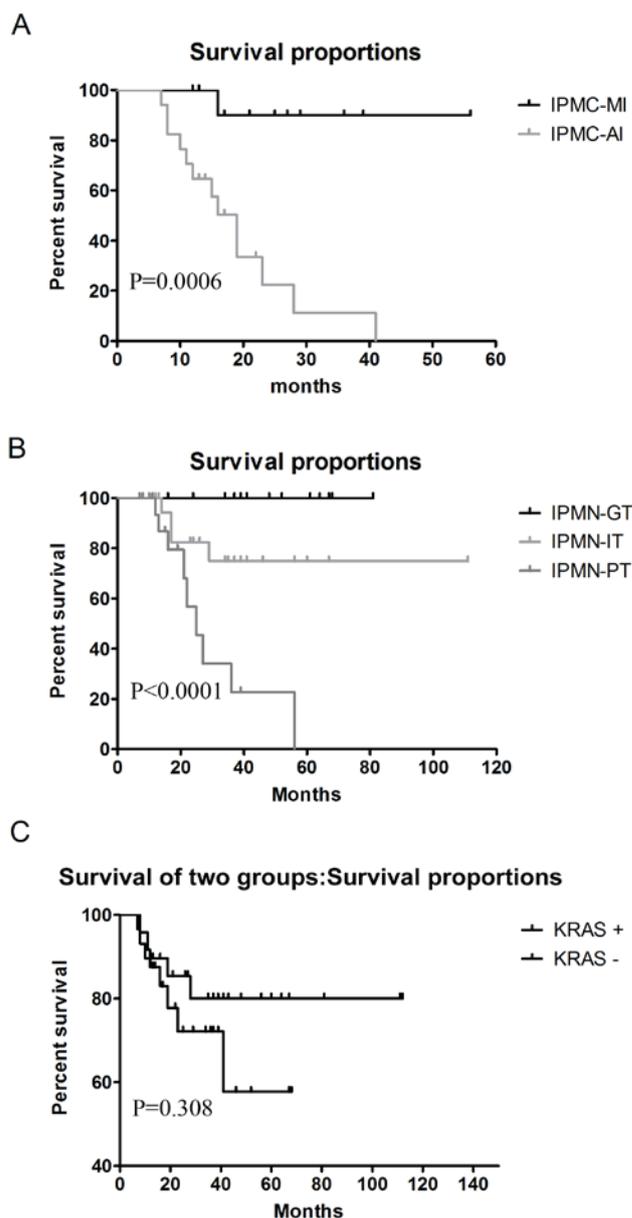


Figure 2. Kaplan-Meier survival curves of 56 patients with IPMN. (A) Overall survival following resection of IPMN-MI was significantly improved compared with IPMN-AI. (B) The overall survival of patients with GT-IPMN was increased compared with patients with IT-IPMN, while the overall survival of patients with PT-IPMN was the worst. (C) The overall survival of patients with KRAS mutations exhibited no marked difference compared with the KRAS non-mutated population. IPMN, intraductal papillary mucinous neoplasms of the pancreas; IPMN-MI, IPMN-associated minimally invasive carcinoma; IPMN-AI, IPMN-associated advanced invasive carcinoma; GT, gastric-type; IT, intestinal-type; PT, pancreatobiliary-type; KRAS, V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog.

Pancreatobiliary-type IPMN were more likely to develop into infiltrative carcinomas.

**KRAS mutations.** KRAS mutations were identified in 28 of the 56 tested patients, with a rate of 50%. No mutation was detected in the matching normal tissues. The frequency of KRAS mutations was 60% (9/15 cases) in gastric-type IPMN, 52.6% (10/19 cases) in intestinal-type, and 47.3% (9/19 cases) in pancreatobiliary-type. KRAS mutations were not present in oncocytic-type IPMN. Except for oncocytic-type IPMN,

Table II. V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog mutational ratio of gastric-type, intestinal-type and pancreatobiliary-type IPMN in IPMN with low and intermediate grade dysplasia, high grade dysplasia, and associated carcinoma.

	Gastric-type (n=15)	Intestinal-type (n=19)	Pancreatobiliary-type (n=19)
Low and intermediate grade	8/14 (57.1%)	2/9 (22.2%)	0
High grade	1/1	2/3	1/1
Associated carcinoma	0	6/7 (85.7%)	8/18 (44.4%)

IPMN, intraductal papillary mucinous neoplasms of the pancreas.

the frequencies of KRAS mutations in IPMN with low-, intermediate- and high-grade dysplasia, and IPMN-associated carcinomas were 58.3% (7/12 cases), 27.3% (3/11 cases), 80% (4/5 cases) and 56% (14/25 cases), respectively. KRAS mutation rates in low-grade (58.3%) and high-grade IPMNs (80%) were increased compared with intermediate-grade IPMN (27%). There was no association between KRAS mutations and histological subtype (P=0.159), or invasive cancer (P=0.592) (Table I).

There were certain differences in KRAS mutations among the three histological subtypes. Of the 19 intestinal-type IPMN cases, 6/7 invasive intraductal papillary mucinous carcinoma exhibited KRAS mutations, and 2/9 borderline IPMN exhibited KRAS mutations. With the increased degree of dysplasia and invasion of intestinal-type IPMN, KRAS mutation rates increased (P=0.012) (Table II). Compared with intestinal-type IPMN with low- and intermediate-grade dysplasia, gastric-type IPMN with low- and intermediate-grade dysplasia had increased KRAS mutations (57.1 and 22.2%). Intestinal-type IPMN with associated carcinomas had a higher KRAS mutation prevalence compared with pancreatobiliary-type IPMN with associated carcinomas (86.7 and 44.4%). Due to the small number of cases, it was not possible to calculate a P-value.

The present study compared the histopathological features of the invasive group (26 cases) with KRAS mutation occurrence, and demonstrated that the infiltrative depth (P=0.753), differentiation (P=0.909), lymph node metastasis (P=0.177), infiltrative extent (P=0.419) and the type of carcinoma (P=0.468) had no association with KRAS mutation occurrence (Table III). The Kaplan-Meier survival curve demonstrated that survival was not associated with KRAS mutation (log-rank test; P=0.308; Fig. 2C).

The mutated site exhibited single-amino-acid substitutions, including G12D (13 cases; 41%), G12V (12 cases; 38%), G12S (two cases), G12A (one case), G12C (two cases), G12R (one case) and 61 CAA>CGA (one case), and double-amino-acid substitutions, including G12V/G12S (two cases), G12D/G12S (one case) and G12D/G12V (one case). Among the four cases with double mutations, three were invasive carcinomas and the other was a benign tumor. A total of eight out of 13 cases with G12D mutation were IPMN with high-grade dysplasia and/or IPMN-associated carcinomas, and seven out of 12 cases with G12V mutation were IPMN with high-grade dysplasia and/or IPMN-associated carcinomas. The altered amino acids were not associated with IPMN classification. No mutations were identified within codon 13 of exon 2.

Table III. Association between KRAS mutation, and pathological features and prognosis of intraductal papillary mucinous neoplasms of the pancreas-associated carcinoma.

	KRAS+	KRAS-	P-value
Infiltrative depth, cm			
≤0.5/>0.5	4/10	7/5	0.753 <sup>a</sup>
Differentiation			
Well-differentiated	7	7	
Moderately-differentiated	4	3	
Poorly-differentiated	3	2	0.909 <sup>a</sup>
Lymph node metastasis			
Yes/no	4/10	2/10	0.470 <sup>a</sup>
Infiltrative scope			
Limited/adipose tissue	8/6	8/4	0.619 <sup>a</sup>
AJCC			
Stage I	6	5	
Stage II	7	7	
Stage III	1	0	
Stage IV	0	0	N/A
Carcinoma types			
Tubular/non-tubular	10/4	10/2	0.468 <sup>a</sup>

<sup>a</sup>Fisher's exact test; N/A, P-value not calculated due to small sample size. KRAS, V-Ki-ras 2 Kirsten rat sarcoma viral oncogene homolog; AJCC, American Joint Committee on Cancer.

## Discussion

IPMN are a common cystic neoplasm located in the main and/or branch ducts of the pancreas. The histological features and classification of IPMN have been well characterized (2,27-32). The results of the present study indicated that histological subtype may suggest prognosis, similar to the reports by Mino-Kenudson *et al* (33), Furukawa *et al* (34) and Kang *et al* (35). Gastric-type IPMN have an overall favorable prognosis, exhibiting a very low risk of developing into carcinomas and with a longer survival compared with other subtypes (33-35). Almost all IPMN-associated colloid carcinomas progress from intestinal-type IPMN (36), which have a better prognosis compared with IPMN-associated tubular adenocarcinomas (37,38). Pancreatobiliary-type

IPMN frequently appear with high-grade dysplasia and usually progress into tubular adenocarcinomas (39). A total of 18 of the 19 pancreatobiliary-type IPMN were invasive IPMN in the present study. Furthermore, the incidence rates of the pancreatobiliary-type and intestinal-type lesions were equal, and tubular adenocarcinoma was the predominant type of neoplasm, rather than colloid carcinoma. Therefore, pancreatobiliary-type IPMN have the worst prognosis.

In accordance with previous reports, the mutation rates of the KRAS gene in PDAs and undifferentiated carcinomas were high, up to 90-100% (40,41). Mutations in the KRAS gene have been identified in 36-81% of IPMN. In the present study, the mutation rate of the KRAS gene was 50% in IPMN and 54% in IPMN-associated carcinomas, significantly lower compared with PDAs and PanINs. This result suggested that IPMN may employ a different carcinogenic pathway compared with the PanIN-PDA sequence (11,14,42,43). The KRAS mutation foci of IPMN were primarily G12D and G12V, similar to PDAs (22,42-45). Wu *et al* (22) identified a high prevalence of KRAS mutations at codon 12. In accordance with the present results, the most commonly altered amino acid was G12D and G12V. The other amino acid alterations, including G12S, G12A, G12C and G12R, occurred at a low rate. No mutations were detected in codon 13 of exon 2 in the present study. Nikiforova *et al* (45) reported a 4% mutation rate in codon 13 of pancreatic cysts (G13D); it may be considered that the small number of cases and the Chinese cohort used in the present study may account for this difference. In addition, previous studies have demonstrated that Chinese cohorts have a lower KRAS mutation rate compared with European or Japanese cohorts (46,47).

In the present study, the rate of mutation in IPMN with low-grade dysplasia was 58%, which suggested that KRAS mutation is a very early event during tumorigenesis and serves an important role in the development of IPMNs, similar to what has been observed in PanIN (47). KRAS gene mutations have been demonstrated to be increased in proportion to the degree of dysplasia, and were previously identified in 36, 44 and 87% of PanIN1A, PanIN1B and PanIN-2/3 lesions, respectively (48). KRAS mutation has been observed to serve an important role in the PanIN-ductal adenocarcinoma sequence of the pancreas (49,50). Regarding the association between KRAS mutations and subtypes of IPMN, the previous studies in the literature are controversial (10-14,19-21). A particular study reported that KRAS mutation was not associated with classification or histological subtype (43), while another study suggested that KRAS mutation correlated with malignancy in IPMN (51). The present results were similar to those of Wu *et al* (22) and Nikiforova *et al* (45), demonstrating that higher KRAS mutation rates were identified in low- and high-grade IPMN compared with intermediate-grade IPMN. This suggested that KRAS mutations were early onset and had no association with the degree of dysplasia in IPMN.

KRAS mutations were present in all histological subtypes except oncocytic IPMN. Wu *et al* (22) demonstrated that KRAS mutations had the highest frequency in pancreatobiliary-type IPMN and the lowest frequency in intestinal-type IPMN. Nikiforova *et al* (45) reported that gastric-type IPMN had the highest mutation prevalence. The results of the present

study demonstrated that low-grade IPMN and gastric-type IPMN tended to have KRAS mutations, although it was not possible to acquire statistical data due to the low case numbers. Typically, intestinal-type IPMN had the lowest KRAS mutant prevalence. This may explain why IPMN with intermediate-grade dysplasia had the lowest mutation prevalence. However, no KRAS mutations were detected in the three oncocytic-type IPMN cases, consistent with other reports in the literature (52). KRAS mutation prevalence was markedly low in intestinal-type IPMN with low- and intermediate-grade dysplasia, and high in gastric-type IPMN. Almost no low- or intermediate-grade dysplasia was identified in pancreatobiliary type IPMN, while this type of dysplasia was frequently identified in intestinal and gastric type IPMN. KRAS mutation frequency differed between gastric-, intestinal- and pancreatobiliary type of IPMN and therefore the overall KRAS mutation rate depended on the relative proportion of each type of IPMN in the studied cohort. The higher the proportion of gastric type IPMN in the studied cohort, the higher the frequency of KRAS mutation. In a similar way, KRAS mutation prevalence in IPMN-associated carcinoma was determined to a great extent by the ratio of intestinal- and pancreatobiliary-type IPMN. The heterogeneity of IPMN may explain the marked difference in various studies into KRAS mutation prevalence.

In the present study, intestinal type IPMN was the only type with low-grade to high-grade dysplasia and infiltrating adenocarcinoma. There was no gastric-type associated adenocarcinoma, or pancreatobiliary-type with low- and intermediate dysplasia. Therefore, intestinal type IPMN was selected to study the association between KRAS mutation and dysplasia. It was demonstrated that the frequency of KRAS mutation increased with the increase of the degree of dysplasia and invasion. Intestinal-type IPMN-associated carcinomas exhibited higher KRAS mutation frequencies compared with non-invasive IPMN.

Previously, a number of studies have discussed the association between KRAS mutation and survival in patients with pancreatic carcinomas, and reported that KRAS mutations were not associated with poor prognosis in patients with PDA (15,17,53), and a KRAS mutant allele-specific imbalance was associated with worse prognosis in pancreatic cancer and progression to undifferentiated carcinoma of the pancreas (9). From the data in the present study, it was suggested that there was no significant difference in KRAS mutation frequencies among the different histological subtypes, associated invasive carcinoma incidence, infiltrative depth, differentiation, lymph node metastasis or invasive scope.

The Kaplan-Meier survival curve demonstrated that the survival rate had no association with KRAS mutation. Therefore, the detection of KRAS mutations in a biopsy from a patient with IPMN may not be used to draw the conclusion that the lesion had high dysplasia, infiltration or a bad prognosis. KRAS mutations do not serve a role in predicting the prognosis or survival of patients with IPMN.

The present study elaborated upon the clinical significance of KRAS mutations. However, the present study had a relatively small sample size. A future study is required with a larger sample size. Furthermore, further studies may focus on other molecular alterations in addition to KRAS.

In conclusion, KRAS mutation prevalence in intestinal-type IPMN was the lowest, and the incidence increases with the degree of dysplasia and invasion in intestinal-type IPMNs. KRAS mutation in IPMN does not correlate with histological subtype, dysplasia grade, invasion or survival.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

JC contributed to the conception of the idea. XC and YL contributed to the literature search and drafting of the manuscript. YW performed the molecular genetic studies. JW contributed to the statistical work and language editing. All authors critically reviewed and accepted the final version of the manuscript. JC will accept full responsibility for the present study.

### Ethics approval and consent to participate

This study was approved by Ethics Committee of Peking Union Medical College Hospital and informed consents were obtained from all patients.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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