

# Effects of respiratory motion on volumetric and positional difference of GTV in lung cancer based on 3DCT and 4DCT scanning

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**Abstract.** Differences in gross target volume (GTV) and central point positions among moving lung cancer models constructed by CT scanning at different frequencies were compared, in order to explore the effect of different respiratory frequencies on the GTV constructions in moving lung tumors. Eight models in different shapes and sizes were established to stimulate lung tumors. The three-dimensional computed tomography (3DCT) and four-dimensional computed tomography (4DCT) scanning were performed at 10, 15 and 20 times/min in different models. Differences in GTV volumes and central point positions at different motion frequencies were compared by means of GTV<sub>3D</sub>s (GTV<sub>3D-10</sub>, GTV<sub>3D-15</sub>, GTV<sub>3D-20</sub>) and IGTV<sub>4D</sub>s (IGTV<sub>4D-10</sub>, IGTV<sub>4D-15</sub>, IGTV<sub>4D-20</sub>). Volumes of GTV<sub>3D-10</sub>, GTV<sub>3D-15</sub>, GTV<sub>3D-20</sub> were 12.41±14.26, 10.38±11.18 and 12.50±15.23 cm<sup>3</sup> respectively (P=0.687). Central point coordinates in the x-axis direction were -8.16±96.21, -8.57±96.08 and -8.56±95.73 respectively (P=0.968). Central point coordinates in the y-axis direction were 108.22±25.03, 110.41±22.47 and 109.04±24.24 (P=0.028). Central point coordinates in the z-axis direction were 65.19±13.68, 65.43±13.40 and 65.38±13.17 (P=0.902). The difference was significant in the y-axis direction (P=0.028). Volumes of IGTV<sub>4D-10</sub>, IGTV<sub>4D-15</sub>, IGTV<sub>4D-20</sub> were 17.78±19.42, 17.43±19.56 and 17.44±18.80 cm<sup>3</sup> (P=0.417). Central point coordinates in the x-axis direction were -7.73±95.93, -7.86±95.56 and -7.92±95.14 (P=0.325). Central point coordinates in the y-axis direction were 109.41±24.54, 109.60±24.13 and 109.16±24.28 (P=0.525). Central point coordinates in the z-axis direction were 65.52±13.31, 65.59±13.39 and 65.51±13.34 (P=0.093). However, the central point position of GTV in the head and foot direction by 3DCT scanning was severely affected by the respiratory frequency.

## Introduction

Radiation therapy is an important treatment for lung cancer. Radiotherapy simulation and target volumes are the key factors for curative effect of radiation therapy. In lung cancer radiotherapy, the patient's respiratory movement can cause changes in the target area, resulting in decreased treatment efficacy and increased adverse reactions, in order to give the target area accurate radiotherapy, we often give it a safe border in clinic (1). Respiratory movement is the main cause of lung tumor displacement and deformation, which is one of the uncertain factors in radiotherapy (2). Due to the influence of the environment, mental and physical states, the respiratory frequency may vary during the simulation and radiotherapy.

Tumor displacement and shape may change in the three-dimensional directions for the reason of physiological activities such as respiration. Research on respiratory gating and four-dimensional computed tomography (4DCT) has been done to reduce the influence of respiratory movement on the target volume (3,4). The determination of internal target volume (ITV) based on 3DCT images in the free breathing state requires the expansion of 'safety margin' on the basis of gross target volume (GTV)<sub>3D</sub>. The margin is affected by many factors such as tumor location, tumor stage and lung volume (5). For example, the motion amplitude of the lower lobe tumor is larger than that of the upper lobe, and the motion amplitude in the head and foot direction is greater than that in the left and right direction. Also, the motion amplitude is smaller in the mediastinal and pleural tumors than that in the isolated lung tumors (6,7).

3DCT can just capture the morphological and positional information instantaneously during the motion period. So, the 3DCT images contain limited motion information and cannot fully reflect the motion period of models. However, 4DCT can collect the motion information during the complete motion period. The reconstructed images can dynamically demonstrate the trajectory in the motion cycle, which can reflect the motion range of tumors in the three-dimensional directions (8).

Therefore, in order to further study and compare the characteristics of 3DCT and 4DCT scanning in the accurate radiotherapy of lung cancer, we designed eight models to

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**Key words:** respiratory frequency, gross tumor volume, central point position, 4DCT, 3DCT

Table I. Comparison of GTV<sub>3D</sub> volumes and central point positions at three different motion frequencies.

Motion frequencies (times/min)	x-axis direction	y-axis direction	z-axis direction	Volumes (cm <sup>3</sup> )
10	-8.16±96.21	108.22±25.03	65.19±13.68	12.41±14.26
15	-8.57±96.08	110.41±22.47	65.43±13.40	10.38±11.18
20	-8.56±95.73	109.04±24.24	65.38±13.17	12.50±15.23
Z	0.065	7.154	0.207	0.750
P-value	0.968	0.028	0.902	0.687

GTV, gross target volume.

simulate lung tumors and analyze the effects of different respiratory frequencies on 3D target volume and 4D target volume.

### Materials and methods

**Equipment and parameter settings.** We used Philips Big Bore CT scanner, Varian Real-time Position Management System, Modus breathing platform and 8 models in different shapes and sizes (3 spherical, 4 cuboid, and a cylinder one; volume range: 0.52-33.50 cm<sup>3</sup>). CT scanning condition was at 120 kV, 300 mAs, with thickness of 3 mm, the rotation period 1.5 sec, the detector combination conditions 16 x 1.5 mm, the screw pitch 0.938, and the scanning bed forward speed of 22.5 mm/sec. The frequencies of the platforms were set at 10, 15 and 20 times/min, respectively. The motion amplitude of lung in the three-dimensional directions was simulated by the models, which was set at 3 mm in the x-axis, 12 mm in the y-axis, and 3 mm in the z-axis, respectively (9,10).

The study was approved by the Ethics Committee of Shandong Cancer Hospital Affiliated to Shandong University (Jinan, China). Signed informed consent was obtained from the patient or guardian.

**CT scanning and image acquisition.** The models were fixed on the breathing platform with an angle of 15°. The motion of the models was controlled by respiratory movement waveform of one patient at different respiratory frequencies [10, 15, 20 breathe per minute (BPM), respectively]. All models were scanned for 3DCT and 4DCT at three frequencies, respectively. Before 4DCT scanning, the infrared camera set at the end of the scanning bed was used to collect the motion trajectory of the models. Then, the motion signal of models was used to simulate the same patient's respiratory signal to the large aperture CT. The CT device started scanning after receiving the motion signal. Reconstruction of CT images in different phases (0, 10, 20, ..., 90%) was carried out by 4D volumetric data.

**Construction and definition of target volume.** Tumor LOC software was used to construct GTV<sub>3D-10</sub>, GTV<sub>3D-15</sub> and GTV<sub>3D-20</sub> on 3DCT images at 10, 15, 20 times/min, respectively, by the same doctor, under the same window width and window level. Then the volumes and central point coordinates of GTV<sub>3D</sub>s at three kinds of motion frequencies were obtained. GTVs on 4DCT images were delineated in ten phases at three motion frequencies as above. Then the GTVs fused together to get our

IGTVs. We defined them IGTV<sub>4D-10</sub>, IGTV<sub>4D-15</sub> and IGTV<sub>4D-20</sub>, and obtained their volumes and central point coordinates.

**Statistical analysis.** The SPSS17.0 (SPSS, Inc., Chicago, IL, USA) was used to perform Friedman test in order to analyse volumes and central point coordinates of GTV<sub>3D-10</sub>, GTV<sub>3D-15</sub> and GTV<sub>3D-20</sub> at different motion frequencies. The same method was used to compare volumes and center point coordinates of IGTV<sub>4D-10</sub>, IGTV<sub>4D-15</sub> and IGTV<sub>4D-20</sub> by 4DCT scanning. GTV<sub>3D</sub> and IGTV<sub>4D</sub> at the same motion frequency were also compared by Wilcoxon test. P<0.05 was considered to indicate a statistically significant difference.

### Results

**Comparison of GTV<sub>3D</sub> volumes and central point positions at three different motion frequencies.** There was no significantly statistical difference among the volumes and the central point positions in the x-axis and z-axis directions at the three different motion frequencies, however, the central point coordinates in the y-axis direction were statistically different (Table I). The minimum GTV<sub>3D</sub> central point coordinate values in the x-axis direction were -161.80, -161.50 and -159.90, and the maximum values were 102.17, 101.00 and 100.22 at three different motion frequencies, respectively. As for in the y-axis direction, the minimum coordinate values were 77.60, 80.60 and 77.60 and the maximum values were 145.10, 142.10 and 143.60. In the z-axis direction, the minimum coordinate values were 39.45, 40.23 and 41.02, and the maximum values were 80.08, 78.91 and 79.30.

**Comparison of IGTV<sub>4D</sub> volumes and central point positions at three different motion frequencies.** There was no significant difference among the IGTV<sub>4D</sub> volumes and the central point positions at 10, 15 and 20 times/min (Table II). The minimum central point coordinate values of the IGTV<sub>4D</sub> in the x-axis direction were -161.10, -159.50 and -158.11, and the maximum values were 101.79, 100.43 and 99.40, respectively. As for in the y-axis direction, the minimum coordinate values were all 78.10, and the maximum values were 144.10, 142.60 and 143.60, respectively. In the z-axis direction, the minimum values were 40.44, 40.33 and 40.32, and the maximum values were 79.30, 79.45 and 79.41.

**Comparison of GTV<sub>3D</sub> and IGTV<sub>4D</sub> volumes at the same motion frequency.** The volumes of IGTV<sub>4D</sub> were significantly larger

Table II. Comparison of IGTV<sub>4D</sub> volumes and central point positions at three different motion frequencies.

Motion frequencies (times/min)	x-axis direction	y-axis direction	z-axis direction	Volumes (cm <sup>3</sup> )
10	-7.73±95.93	109.41±24.54	65.52±13.31	17.78±19.42
15	-7.86±95.56	109.60±24.13	65.59±13.39	17.43±19.56
20	-7.92±95.14	109.16±24.28	65.51±13.34	17.44±18.80
Z	2.250	1.273	4.750	1.750
P-value	0.325	0.529	0.093	0.417

GTV, gross target volume; IGTV, internal gross tumor volume.

Table III. Comparison of GTV<sub>3D</sub> and IGTV<sub>4D</sub> volumes at the same motion frequency.

Groups	10 times/min	15 times/min	20 times/min
GTV <sub>3D</sub>	12.41±14.26	10.38±11.18	12.50±15.23
IGTV <sub>4D</sub>	17.78±19.42	17.43±19.56	17.44±18.80
Z	-2.521	-2.521	-2.521
P-value	0.012	0.012	0.012

GTV, gross target volume; IGTV, internal gross tumor volume.

than those of GTV<sub>3D</sub> at three different motion frequencies, and the difference was statistically significant (Table III). The volume ratios of IGTV<sub>4D</sub> and GTV<sub>3D</sub> were 1.56±0.40, 1.64±0.24 and 1.61±0.53, respectively. There was no significant difference in the volume ratio at different frequencies (P=0.687).

## Discussion

At present, radiotherapy of lung cancer has entered the era of precise radiotherapy. The determination of target area is the key point of radiotherapy. The respiratory movement has a significant effect on the radiation dose distribution and radiotherapy effect of lung cancer, which is one of the important factors to be considered when the target region expands outside (11). Therefore, many scholars have carried out relevant research to reduce the influence of tumor displacement on the target area (12).

4DCT incorporates time factors into the 3D images and accurately reflects the changes of the motion range and spatial position of the chest tumor. While significantly reducing respiratory motion artifacts, the 4DCT accurately reflects the spatial movement and displacement of the target zone during the respiratory cycle information to reduce the surrounding normal tissue and organ exposure, target area leakage and target low-dose area caused by unification of border extension based on conventional CT localization images for ITV determination (13,14).

CBCT online real-time monitoring correction technology of tumors and normal organs, which can adjust the treatment conditions according to changes in the position of the organs so that the irradiation field tightly 'follow' the target area, thereby reducing the setup error during radiotherapy (15).

In this study, we used models of different volumes to simulate lung tumor in order to analyse the impact of motion frequency on GTV<sub>3D</sub>. Results showed that there was no significant difference among the volumes of GTV<sub>3D</sub> in the three different motion frequencies. The volume of GTV<sub>3D</sub> was 11.52±13.37 cm<sup>3</sup> when they were scanned in the static state. Moreover, there was no significant difference between the volumes of GTV<sub>3D</sub> in the static state and in the three different motion states (Z =0.570; P=0.903). The volumes of GTV<sub>3D</sub> at 10 and 20 times/min were slightly larger than that in the static state, yet the volume of GTV<sub>3D</sub> at 15 times/min was slightly smaller than that in the static state. The total number of GTV<sub>3D</sub> volumes we constructed at the three motion frequencies was 24. Among them, 12 cases were larger, 11 cases were smaller, and only 1 case was equal to the volume of GTV<sub>3D</sub> in the static state. The GTV volume constructed by the 3DCT images in motion could be larger or smaller than that in the static state, and the form of the models would be stretched or compressed. The reason why there was difference in volumes was due to the presence of repeated scanning or omissive scanning (16). In the case of a spherical model, for example, when the motion direction of the model was just the same as that of the scanning bed in the y-axis direction, the velocity of the model was relatively slowed down if we regarded the bed as a reference. So, part of the model volume was repeatedly scanned and as a result, the volume of the GTV<sub>3D</sub> constructed was larger than that in the static state. On the other hand, when the motion direction of the model was opposite to that of the scanning bed, the velocity of the model was relatively accelerated. So, part of the model volume would be omissive and as a result, the volume of the GTV<sub>3D</sub> constructed is smaller than that in the static state. The repeated or omissive volumes of the scanned models were related to the direction and velocity of the scanning bed. When the model and the bed were in the same direction and the velocity was relatively slow, the repeated volume would increase and the form of the model would be stretched. On the other hand, when the model and the bed were in the opposite directions and the velocity was relatively quicker, the omissive volume increased and the form of the model was obviously compressed in the y-axis direction, then the volume of GTV<sub>3D</sub> would significantly decrease.

There was no significant difference in the volumes of GTV<sub>3D</sub> at three different frequencies, neither any significant difference in the central point positions in the x-axis and z-axis directions. However, there was significant difference in the GTV<sub>3D</sub> central point positions in the y-axis direction. Because of the quick CT scanning bed moving speed (22.5 mm/sec,

16 x 1.5 mm detector), the time for model scanning and CT images collection was very short. So the central point positions of GTV<sub>3D</sub> at different motion frequencies were closely related to the positions of the models in the y-axis direction when they were scanned. Since the central point position of a model during the motion period was random in the y-axis direction, the larger motion amplitude in the y-axis direction and the limited motion information included in the 3DCT images were both the relevant factors which led to the difference of GTV<sub>3D</sub> central point positions in the y-axis direction.

The IGTV<sub>4D</sub> formed by GTVs in ten motion phases, contained all the information of the motion period. The planning target volume (PTV) based on the 4DCT scanning was superior to that based on 3DCT by the traditional axial scanning (17,18). In the process of 4DCT scanning, the motion amplitudes of the models in the three-dimensional directions was the same at the three different motion frequencies. The difference of the IGTV volumes at the three motion frequencies was  $<0.5 \text{ cm}^3$ , and the three-dimensional coordinates of the IGTV central points were not statistically different. That meant changes in frequencies could not change the motion information contained in the 4DCT volume scanning. The GTV<sub>3D</sub> of the model constructed by 3D images was significantly smaller than that constructed by 4DCT images at the same motion frequency. The difference was statistically significant. The volume ratios of IGTV<sub>4D</sub>/GTV<sub>3D</sub> were  $1.56 \pm 0.40$ ,  $1.64 \pm 0.24$  and  $1.61 \pm 0.53$  at 10, 15 and 20 times/min, respectively. The same amplitude was the key reason why IGTV<sub>4D</sub>/GTV<sub>3D</sub> volume ratios were close to each other at different frequencies. The motion information collected by 3DCT is very limited, and the safety margin is based on experience (19). It may cause omission of tumor or excessive exposure of normal tissues in radiotherapy, and the volume of omission can reach as much as 25% (20). IGTV constructed by 4DCT not only contains complete motion information, but also decreases the image artifacts. In this way, the image quality has significantly improved. So 4DCT is currently the best method to get chest tumor motion information caused by respiration and to construct individual target volumes (21,22).

In conclusion, under the condition of the same motion amplitude, change of motion frequency has little effect on the construction of 4DCT target volume, the size of 3DCT target volume and the central point position not only in the left and right direction but also in the forward and backward direction. The position in the head and foot direction is obviously affected, and GTV<sub>3D</sub> constructed in different motion periods have great deformation.

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### Availability of data and materials

The datasets used and/or analyzed during the present study are available from the corresponding author on reasonable request.

### Authors' contributions

SW and DS drafted this manuscript and helped with CT scanning. XM and XS contributed to the construction and definition of target volume. YM and JY helped with statistical analysis. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

The study was approved by the Ethics Committee of Shandong Cancer Hospital Affiliated to Shandong University (Jinan, China). Signed informed consent was obtained from the patient or guardian.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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