# Circular RNA hsa\_circ\_0078602 may have potential as a prognostic biomarker for patients with hepatocellular carcinoma

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Abstract. Circular RNA (circRNA), a type of endogenous non-coding RNA, is a closed continuous loop of RNA with no poly(A) tail. Previously, studies have identified that circRNAs are closely associated with several cancer types. However, their function in hepatocellular carcinoma (HCC) has rarely been studied. Therefore, the aim of the current study was to screen differential circRNA expression between HCC tissues and adjacent non-cancerous tissues, and test the potential clinical value of individual circRNAs. CircRNA microarray was used to investigate global circRNA expression profiles. Attention was then focused on the top four circRNAs whose expression levels were reduced in HCC tissues as compared with non-cancerous tissues. Additionally, RNA expression was validated in 30 matched tissue samples using reverse transcription-quantitative polymerase chain reaction. The results revealed that the expression levels of hsa\_circ\_0078602 and hsa\_circ\_0018764 were consistent with microarray analysis (P<0.05). Between these two circRNAs, hsa\_circ\_0078602 demonstrated an association with a favorable diagnostic efficiency, with an area under the receiver operating characteristic curve of 0.787 (P<0.001). To further verify the expression level of hsa circ 0078602, the patient sample size was increased to 79. The results supported the conclusion that circ\_0078602 was downregulated in HCC tissue compared with non-cancerous tissue (P=0.015) and exhibited diagnostic potential. Notably, it was identified that a lower hsa\_circ\_0078602 expression

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level was associated with a worse prognosis among patients with HCC. In addition, it was revealed that 9.0x10<sup>-5</sup> was the most efficient cut-off value of hsa\_circ\_0078602 expression to assess the outcomes of patients with HCC. The present study revealed that hsa\_circ\_0078602 may be a novel diagnostic biomarker of HCC and therefore have potential prognostic value.

# Introduction

Circular RNAs (circRNAs) are a type of non-coding RNA that was first discovered by Sanger in a virus using electron microscopy in the 1970s (1). These molecules have a closed loop structure with no 5'-terminal cap or 3'-terminal poly(A) tail. This unique structure protects circRNAs from rapid degradation by exonucleases (2). CircRNAs have subsequently been identified in other organisms (3-6). Previously, these molecules were considered to be byproducts of aberrant RNA splicing and few studies have investigated circRNAs due to their low abundance and lack of known functions. Due to the rapid development of bioinformatics and RNA deep sequencing technology, an increased number of studies investigating circRNAs have been conducted in recent decades. CircRNAs are now thought to be endogenous, abundant, stable and conserved in mammalian cells (2), and they have been reported to have considerable biological function (7,8).

CircRNAs perform their functions through various mechanisms. For instance, cerebellar-degeneration-related protein 1 antisense RNA (CDR1as) serves as competing endogenous RNA or a microRNA (miRNA) sponge to regulate gene expression by reducing the inhibitory effect of miRNA on its target gene (9). Additionally, previous studies have identified that circRNAs may regulate parental gene expression by binding to the RNA polymerase II transcription complex (10,11). In addition, recent studies have demonstrated that circRNAs also bind with certain proteins (12) and DNA (13) to regulate downstream signaling. An increasing number of studies have revealed that circRNAs are involved in many diseases, including atherosclerosis (14), heart failure (15), Alzheimer's disease (16), diabetes (17), osteoarthritis (18) and cancer (19). Although a number of studies on cancer-associated circRNA have been performed (19-21), the function and clinical value of circRNAs in hepatocellular carcinoma (HCC) remain to be investigated.

The current study analyzed differential circRNA expression between HCC tissues and adjacent non-cancerous tissues, and validated the results of microarray analysis. Finally, hsa\_ circ\_0078602 was identified as having decreased expression in HCC tissues and being closely associated with the prognosis of patients with HCC. The current study also demonstrated the diagnostic potential of hsa\_circ\_0078602. Decreased expression of hsa\_circ\_0078602 in HCC tissue may act as a biomarker for diagnosis and prognosis prediction, and may also serve as a potential therapeutic target for HCC.

# Materials and methods

Patients and tissue samples. HCC tissue samples and adjacent normal liver tissue samples were collected from 79 patients who underwent surgery at Fudan University Shanghai Cancer Center, between January 2011 and December 2015. The cohort consisted of 70 (88.6%) males and 9 (11.4%) females, with 56 (70.9%) of the patients being <60 years old. All patients were pathologically diagnosed with HCC and provided written informed consent before sample collection. Patients with severe disorders of major organs or a history of any other tumors were excluded. Information on the serum  $\alpha$ -fetoprotein (AFP) levels, measured prior to surgery using ELISA, was obtained from the medical records of the patients. The overall survival (OS) time was calculated from the date of diagnosis to the date of mortality or the last known follow-up date. Following tissue procurement, the samples were immediately stored at -80°C until further experiments. The present study was approved by the Ethics Committee of the Shandong Cancer Hospital Affiliated to Shandong University (Jinan, China).

RNA isolation and circRNA microarray analysis. Total RNA was extracted from HCC tissue and adjacent non-cancerous tissue using TRIzol reagent (Invitrogen; Thermo Fisher Scientific, Inc., Waltham, MA, USA) according to the manufacturer's protocol and RNA integrity was determined with agarose gel electrophoresis (1% gel). Qualified RNA was used for microarray detection or subsequent validation experiments. CapitalBio Corporation (Beijing, China) performed the circRNA microarray detection, with 6 samples (3 HCC and 3 paired non-cancerous samples). The CapitalBio Human CircRNA Array v2 was designed with 4 identical arrays per slide (4x180,000 format), with each array containing probes interrogating ~170,340 human circRNAs, selected based on known circRNA sites included in CircBase (http://www.circbase.org/) and deepBase (http://rna.sysu.edu. cn/deepBase/). The circRNA array data was analyzed using GeneSpring software (version 13.0; Agilent Technologies, Inc., Santa Clara, CA, USA). Threshold values of  $\geq 2$  and  $\leq -2$ -fold change, and P<0.05 were used to select the differentially expressed genes.

*Reverse transcription-quantitative polymerase chain reaction* (*RT-qPCR*). Complementary DNA was synthesized by RT using the RNA-to-cDNA kit (Takara Bio, Inc., Otsu, Japan),

according to the manufacturer's protocol. The relative expression of circRNA was determined using the ABI Prism 7900 Sequence Detection system (Applied Biosystems; Thermo Fisher Scientific, Inc.). The qPCR assay was conducted using a SYBR Green kit (Takara Bio Inc., Otsu, Japan), with the following thermocycling conditions: 45 cycles consisting of denaturation at 95°C for 5 sec, annealing at 60°C for 30 sec and extension at 72°C for 30 sec. A housekeeping gene, GAPDH, was used as a control and RNA enrichment was analyzed using the  $2^{-\Delta\Delta Cq}$  method (22). All primers were synthesized by ThinkGene Biotech Co., Ltd. (Shanghai, China). The primer sequences are listed in Table I.

Statistical analysis. All statistical analyses were performed using SPSS software (version 19.0; IBM Corp., Armonk, NY, USA) and GraphPad Prism software (version 5.0; GraphPad Software, Inc., La Jolla, CA, USA). Differences in circRNA expression between HCC and paired non-cancerous tissues were assessed using the paired t-test. A receiver operating characteristic (ROC) curve and the area under the curve (AUC) was used to assess diagnostic power. Survival curves were obtained using the Kaplan-Meier method and the differences between the curves were analyzed using a log rank test. X-tile (version 3.5.0 software; https://medicine.yale. edu/lab/rimm/research/software.aspx) was used to analyze the optimal cut-off value of hsa\_circ\_0078602 expression.  $P \le 0.05$ was considered to indicate a statistically significant difference.

# Results

Dysregulated circRNAs in HCC tissues relative to non-cancerous tissues. To explore differentially expressed circRNAs in HCC tumor tissue and adjacent non-tumor liver tissue, tissue samples from 3 patients were selected to perform circRNA microarray detection. Downregulated circRNAs in HCC tissue were of most interest, therefore the 20 circRNAs with the most suppressed expression in HCC tissues are presented in Fig. 1A. As demonstrated in Fig. 1B, the expression levels of global genes in the probe in different samples after standardization exhibited similar levels. Principal component analysis was also conducted to reflect the similarity of samples (Fig. 1C).

Validation of circRNA microarray results. Among circRNAs identified to be present at decreased levels in HCC tissue compared with non-cancerous tissue, the four most suppressed examples (hsa\_circ\_0078602, hsa\_circ\_0094117, hsa\_circ\_0127245 and hsa\_circ\_0018764) were selected for further verification experiments. The expression of these four circRNAs in HCC and paired non-cancerous tissues from 30 patients was analyzed by RT-qPCR (Fig. 2). It was identified that the expression of hsa\_circ\_0078602 and hsa\_circ\_0018764 were significantly and consistently decreased in HCC tissues compared with matched samples (Fig. 2A and D). However, there was no significant difference in the expression of hsa\_circ\_0094117 between the tumor and the healthy tissues (Fig. 2B). Furthermore, the expression of hsa\_circ\_0127245 was increased in the tumor tissue compared with the adjacent tissue, in contradiction to the microarray result (Fig. 2C). As the reason for this inconsistency required Table I. Primer sequences for reverse transcription-quantitative polymerase chain reaction analysis.

CircRNA name	Primer sequences	
hsa_circ_0078602	Forward 5'-TGGCCATGTCAAATTTGTTG-3'	
	Reverse 5'-CATGTAGTTGGGCGAGAAGG-3'	
hsa_circ_0094117	Forward 5'-CTCCTACCGCTGTGAGTGTG-3'	
	Reverse 5'-TCAAAGCATGTCTGCCTGTC-3'	
hsa_circ_0127245	Forward5'-TGATTTTTGTTCCATCGTATATCAA-3'	
	Reverse 5'-TTCATCCTTGGTGCTGAGAA-3'	
hsa_circ_0018764	Forward 5'-TGGAGCTCTTCCTGACCAAC-3'	
	Reverse 5'-CACCGTTGTTTTGCTCACAT-3'	
GAPDH	Forward 5'-TCGACAGTCAGCCGCATCTTCTTT-3'	
	Reverse 5'-ACCAAATCCGTTGACTCCGACCTT-3'	

#### CircRNA, circular RNA.

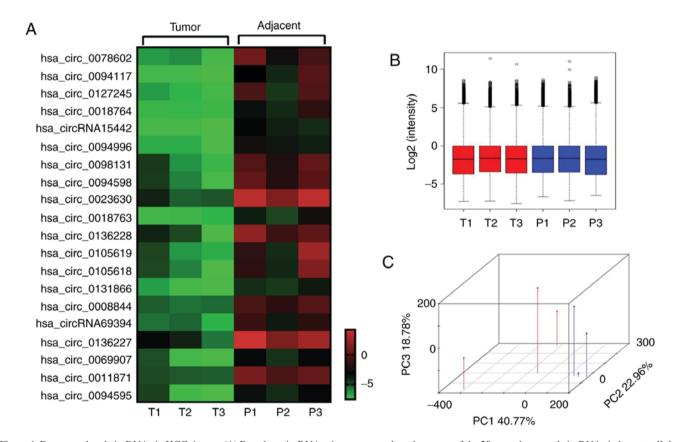


Figure 1. Downregulated circRNAs in HCC tissues. (A) Based on circRNA microarray results, a heat map of the 20 most decreased circRNAs in hepatocellular carcinoma tissues relative to paired non-cancerous tissues was constructed. Green color represents low expression and red color represents high expression. (B) A boxplot was used to compare the expression levels of global genes in the probe for different samples after normalization. (C) Principal component analysis reflected the similarity of the samples. circRNA, circular RNA; T, tumor tissue; P, paired non-cancerous tissue; PC, principal component.

clarification with further testing, hsa\_circ\_0127245 was not used in subsequent experiments. Next, the clinical role of hsa\_circ\_0078602 and hsa\_circ\_0018764 in patients with HCC was investigated. The potential diagnostic effect of hsa\_circ\_0078602 and hsa\_circ\_0018764 in distinguishing HCC tumor tissues from neighboring non-cancerous tissues was examined by establishing an ROC curve (Fig. 3). The AUCs for hsa\_circ\_0078602 and hsa\_circ\_0018764 were 0.787 and 0.676, respectively, indicating that the former may have potential to be a diagnostic biomarker. Therefore, attention was focused on hsa\_circ\_0078602 for further study.

Next, the expression of hsa\_circ\_0078602 in HCC tumor tissues was confirmed in 79 patients with HCC; it was revealed to be significantly decreased in HCC tissues compared with adjacent non-cancerous tissues (Fig. 4A). In addition, hsa\_circ\_0078602 demonstrated good diagnostic efficacy (Fig. 4B). Although the AUC in Fig. 4B is 0.587, P<0.05 indicated statistical significance, indicating that hsa-circ-0078602

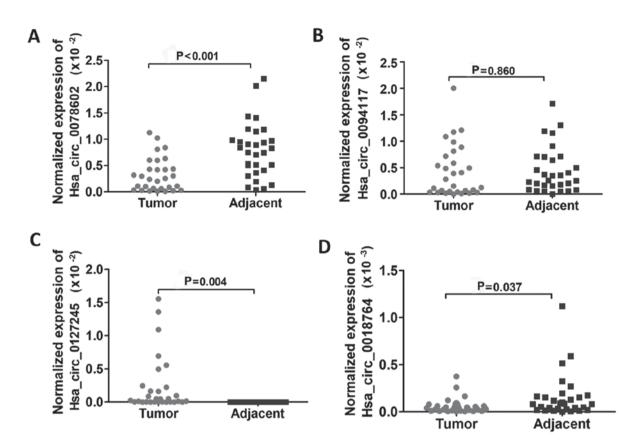


Figure 2. Validation of candidate circular RNAs in 30 HCC patient samples using quantitative polymerase chain reaction. (A) The expression of hsa\_circ\_0078602 was significantly decreased in HCC tissues compared with adjacent non-cancerous samples (P<0.001). (B) No significant difference was identified in the expression of hsa\_circ\_0094117 between HCC tissues and adjacent non-cancerous tissues (P=0.860). (C) The expression of hsa\_circ\_0127245 was relatively high in HCC tissues, which was inconsistent with the result of microarray. (D) The expression of hsa\_circ\_0018764 was significantly decreased in HCC tissues compared with adjacent non-cancerous tissues (P=0.860). (C) The expression of hsa\_circ\_0127245 was relatively high in HCC tissues, which was inconsistent with the result of microarray. (D) The expression of hsa\_circ\_0018764 was significantly decreased in HCC tissues compared with adjacent non-cancerous samples (P=0.037). This result is in accordance with microarray analysis. HCC, hepatocellular carcinoma.

may have potential as a diagnostic biomarker. The clinicopathological characteristics of the 79 patients and the expression levels of hsa\_circ\_0078602 are presented in Table II.

Low hsa\_circ\_0078602 expression is associated with poor survival outcome in patients with HCC. Since the expression level of hsa\_circ\_0078602 was significantly different between HCC tumor tissue and neighboring non-tumor tissue, the association of hsa\_circ\_0078602 expression with the survival of patients with HCC was investigated. Analysis was performed to assess the association between expression of hsa\_circ\_0078602 and overall survival of patients with HCC in 79 cases. Kaplan-Meier survival analysis was used and patients were divided into high and low hsa\_circ\_0078602 expression groups (P=0.035; Fig. 5A). The data suggested that patients with low hsa\_circ\_0078602 expression had significantly poorer survival outcomes. These analyses demonstrated that hsa\_circ\_0078602 expression level may have potential to predict the prognosis of patients with HCC. Next, the association between expression level of hsa\_circ\_0078602 and the clinical parameter, serum AFP was analyzed. Patients were stratified into two cohorts based on their level of serum AFP (<200 and  $\geq$ 200 ng/ml). The difference of overall survival between high and low hsa\_circ\_0078602 expression groups within the two cohorts was investigated (Fig. 5B and C). No statistically significant

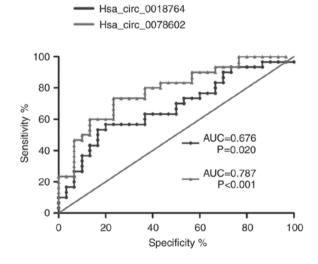


Figure 3. Diagnostic potential of hsa\_circ\_0078602 in hepatocellular carcinoma. Receiver operating characteristic curve analysis of hsa\_circ\_0078602 and hsa\_circ\_0018764 suggested hsa\_circ\_0078602 has a higher ability to discriminate between tumor and non-cancerous samples (AUC=0.787; P<0.001) compared with hsa\_circ\_0018764 (AUC=0.676, P=0.20). AUC, area under curve.

difference was identified between the high and low expression groups in the <200 ng/ml (P=0.146), or the  $\geq$ 200 ng/ml AFP cohort (P=0.309).



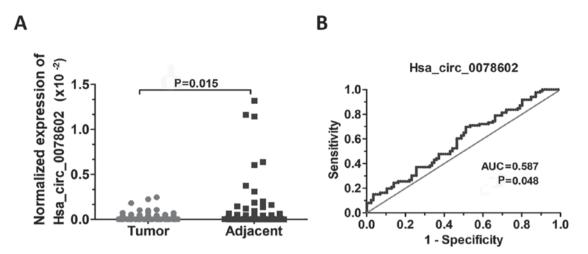


Figure 4. Further validation of hsa\_circ\_0078602. (A) Reverse transcription-quantitative polymerase chain reaction with 79 samples demonstrated lower hsa\_circ\_0078602 expression in HCC tumor tissues compared with non-cancerous tissues. (B) Receiver operating characteristic curve analysis of hsa\_circ\_0078602 revealed its potential as a diagnostic marker for HCC (AUC=0.587; P=0.048). HCC, hepatocellular carcinoma; AUC, area under curve.

Table II. Clinicopathological characteristics of the patients with hepatocellular carcinoma (n=79) and relative expression levels of hsa\_circ\_0078602.

Variable	Patient number (%)	$Mean \pm SD (x10^{-4})$
Sex		
Male	70 (88.6)	5.55±19.65
Female	9 (11.4)	6.02±9.16
Age, years		
<60	56 (70.9)	7.24±22.03
≥60	23 (29.1)	$1.62 \pm 2.07$
Cirrhosis		
Positive	30 (38.0)	3.68±11.89
Negative	49 (62.0)	6.78±21.84
Serum AFP, ng/ml		
<200	44 (55.7)	$7.20 \pm 2.43$
≥200	35 (44.3)	$3.60 \pm 6.84$
Vascular cancer embolus		
Positive	48 (60.8)	3.03±6.11
Negative	31 (39.2)	9.59±28.51
Intrahepatic metastasis		
Positive	23 (29.1)	4.58±8.16
Negative	56 (70.9)	6.02±21.64
Extrahepatic metastasis		
Positive	25 (31.6)	4.44±7.84
Negative	54 (68.4)	6.14±22.03

AFP,  $\alpha$ -fetoprotein; SD, standard deviation.

Analysis of optimum cut-off value of hsa\_circ\_0078602 expression. Finally, X-tile 3.5.0 software was used to calculate the optimal cut-off value of hsa\_circ\_0078602 expression, which could most robustly estimate outcomes of patients with HCC (Fig. 6). The data demonstrated that an hsa\_circ\_0078602 relative expression of  $9.0 \times 10^{-5}$  (normalized to housekeeping gene GAPDH) may be effective in predicting survival outcomes of patients with HCC. Patients with hsa\_circ\_0078602 relative expression levels <9.0 \times 10^{-5} may exhibit a poor prognosis.

# Discussion

On a global scale, primary liver cancer is a major contributor to both cancer incidence and cancer-associated cases of mortality (23). Liver cancer is the third most common type of cancer diagnosed in China (24) and is one of the leading causes of cancer-associated cases of mortality worldwide (25). HCC, the most common type of primary liver cancer, accounts for approximately 80 to 85% of primary liver cancer cases worldwide (26). With advancements in diagnostic and therapeutic approaches, certain patients with HCC could be diagnosed at an early stage and undergo radical surgery. Nonetheless, the prognosis of most patients with HCC remains unsatisfactory, mainly due to metastasis, recurrence or drug resistance (27,28). These clinical challenges promote studies to identify new biomarkers to diagnose HCC and reveal novel targets for the development of more effective therapies.

Currently, the roles of circRNAs in cancer have received widespread attention from researchers. For example, circRNAs have been revealed to be dysregulated in certain tumor types, including breast cancer (29), lung cancer (30), esophageal squamous cell carcinoma (31), gastric cancer (32) and colorectal carcinoma (33), and these circRNAs have been identified to be closely associated with tumorigenesis and tumor progression. However, to the best of our knowledge, the function of circRNAs in HCC is unknown. Rapid advancements in microarray analysis technology have promoted its widespread application in the study of non-coding RNA. The current study analyzed differential expression of circRNA between HCC tissues and adjacent non-cancerous tissues in three paired HCC samples using microarray analysis.

The results demonstrated that circRNA levels differed between HCC tissues and the adjacent normal tissues. Both

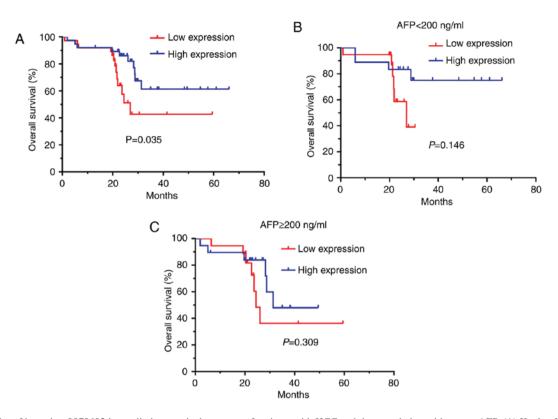


Figure 5. Ability of hsa\_circ\_0078602 in predicting survival outcome of patients with HCC and the association with serum AFP. (A) Kaplan-Meier survival analysis was performed to measure the association between hsa\_circ\_0078602 and OS of patients with HCC. The result identified that worse prognosis was associated with lower hsa\_circ\_0078602 expression (P=0.035). (B and C) Patients were divided into two cohorts according to the level of serum AFP. It was identified that there was no association between OS and the expression level of hsa\_circ\_0078602 in either the high or low AFP cohort. AFP,  $\alpha$ -fetoprotein; OS, overall survival; HCC, hepatocellular carcinoma.

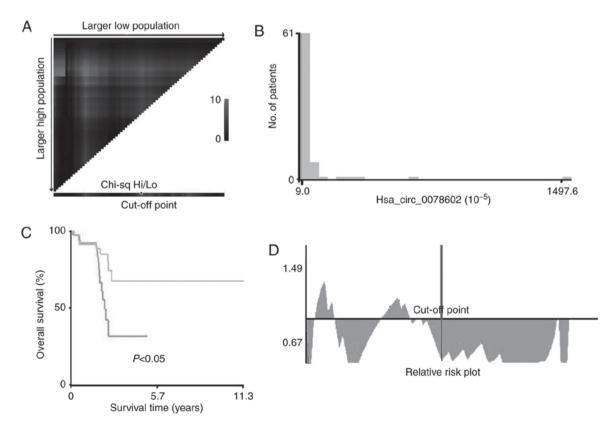


Figure 6. Calculation of the optimal cut-off value for hsa\_circ\_0078602 expression. (A) X-tile plot was used to analyze the efficient cut-off value. (B) Histogram plot demonstrated that  $9.0 \times 10^{-5}$  was the optimal cut-off value to predict outcomes for patients with HCC. (C) Survival curve for patients with HCC, stratified based on the critical value, suggested that the survival difference was statistically significant (P<0.05). (D) Continuous relative risk plot revealed that patients with hsa\_circ\_0078602 expression below the cut-off point may have worse prognosis. HCC, hepatocellular carcinoma.

upregulated and downregulated circRNAs were identified in HCC tissues compared with adjacent normal tissues. Following a relevant study that demonstrated that certain downregulated circRNAs may serve a role in hepatocellular carcinoma progression (34), the current study was more focused on downregulated circRNAs. To validate the results of microarray, RNA expression levels of the four most downregulated circRNAs were measured in a total of 30 matched HCC samples. As indicated by RT-qPCR, the levels of hsa\_circ\_0078602 and hsa\_circ\_0018764 were consistent with microarray analysis. Previous studies have suggested a potential role of circRNA as a biomarker in cancer diagnosis (35,36). Therefore, the current study explored the clinical value of circRNAs. Notably, the AUC of hsa\_circ\_0078602 was 0.787, demonstrating a favorable diagnostic efficiency, suggesting that further studies should be performed. The level of hsa\_circ\_0078602 was subsequently confirmed in 79 paired HCC tissues. The results demonstrated that the expression level of hsa\_circ\_0078602 in HCC tissue was significantly lower compared with non-cancerous tissue. These data suggest that hsa\_circ\_0078602 may be used as a novel biomarker that can contribute to the identification of HCC.

Next, it was identified that the decreased hsa\_circ\_0078602 expression in HCC tissue was significantly associated with a worse prognosis. The expression level of hsa\_circ\_0078602 in HCC tissues was significantly lower compared with adjacent non-cancerous tissues, which indicated that hsa\_circ\_0078602 acted as a tumor suppressor gene for carcinogenesis of HCC; the results of Kaplan-Meier analysis was consistent with this function. Considering the role of serum AFP in HCC diagnosis and efficacy evaluation, the current study investigated whether the association between circRNA and OS was affected by the level of serum AFP. However, no significant difference was identified in OS when patients were divided into two cohorts according to the level of serum AFP. It was speculated that there may be some uncertain connection between hsa\_circ\_0078602 and serum AFP, which requires further study. Finally, an optimal cut-off value of hsa\_circ\_0078602 (9.0x10<sup>-5</sup>) for the prognostic prediction was obtained using X-tile 3.5.0 software. Although the application of a circRNA cut-off value still needs to be confirmed in future studies, it is assumed that the hsa\_circ\_0078602 expression value of 9.0x10<sup>-5</sup> may provide value in predicting the outcomes of patients with HCC.

To date, few studies have focused on the association between HCC and circRNA, particularly regarding function and possible mechanism. Yang et al (37) reported that CDR1as exerted an effect on the proliferation of HCC cells partly through regulation of epidermal growth factor receptor signaling via control of miR-7 expression, which provided a reliable and highly efficient method for globally identifying circRNA-regulated proteins. Using RNA-sequencing, Zheng et al (38) identified that a circRNA derived from exon 2 of homeodomain interacting protein kinase 3, termed circHIPK3, was differentially expressed in HCC and regulated human cell growth by directly binding to miR-124 and inhibiting miR-124 activity. Similarly, Han et al (34) revealed that mitochondrial translation optimization 1 homologue (circMTO1) expression was significantly decreased in HCC and associated with poor prognosis of patients with HCC. In addition, circMTO1

inhibited HCC growth via sponge activity on miR-9 and upregulation of p21 expression. These studies indicated that circRNA could regulate gene expression by serving as an miRNA sponge, which was confirmed in other previous studies (9,39). The circRNA identified in the current study (hsa circ 0078602) was identified to be associated with prognosis of patients with HCC. Following a bioinformatics analysis to explore possible mechanisms, it was revealed that hsa\_circ\_0078602 is associated with several miRNAs, including hsa-miR-1207-5p, hsa-miR-6787-5p, hsa-miR-940, hsa-miR-1202, hsa-miR-4459 and hsa-miR-3194-5p, which have been identified to affect cell proliferation, cell cycle progression and apoptosis in tumor cell lines. The present study hypothesizes that hsa\_circ\_0078602 may affect the function of tumor cells via miRNA. The association between hsa circ 0078602 and miRNA may be revealed and validated in further studies.

In conclusion, the current data demonstrated that hsa\_circ\_0078602 expression was significantly decreased in HCC and may be utilized as a circRNA biomarker for the diagnosis of HCC. Survival analysis demonstrated that lower expression of hsa\_circ\_0078602 in HCC tissue was associated with a worse prognosis. Notably, it was also demonstrated that an hsa\_circ\_0078602 relative expression level <9.0x10<sup>-5</sup> may indicate a poor prognosis.

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# Availability of data and materials

All data generated or analyzed during the present study are included in this published article.

### Authors' contributions

PK performed the experiments, analysis and was a major contributor in writing the manuscript. CZ also performed some experiments and collected the clinical information of all patients. JL made substantial amendments to the manuscript and participated in the data analysis. HW made significant contributions to conception, design and data analysis, and provided final approval for the study to be published. All authors read and approved the final manuscript.

#### Ethics approval and consent to participate

The present study was approved by the Ethics Committee of the Shandong Cancer Hospital Affiliated to Shandong University.

## Patient consent for publication

Not applicable.

### **Competing interests**

The authors declare that they have no competing interests.

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