# Prognostic value of *KRAS/TP53/PIK3CA* in non-small cell lung cancer

JIAYI ZHAO<sup>1\*</sup>, YIPING HAN<sup>1\*</sup>, JIAMEI LI<sup>2\*</sup>, RONG CHAI<sup>1\*</sup> and CHONG BAI<sup>1</sup>

<sup>1</sup>Department of Respiratory and Critical Care Medicine, Changhai Hospital; <sup>2</sup>Department of Psychology, Second Military Medical University, Shanghai 200433, P.R. China

Received February 13, 2018; Accepted January 9, 2019

DOI: 10.3892/ol.2019.10012

Abstract. The present study explored the association between KRAS proto-oncogene GTPase (KRAS), phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit  $\alpha$  (*PIK3CA*) and tumor protein p53 (TP53) mutations, and the clinical features and survival prognosis in 50 patients with non-small cell lung cancer (NSCLC). The most common concurrent single gene mutation was TP53, followed by KRAS and PIK3CA. Co-existing mutations were found in 17 patients. KRAS, PIK3CA and TP53 mutations were associated with carbohydrate antigen 19-9 expression, invasive growth, vacuolar signs and margin lobulation on chest CT. The incidence of distant metastasis (bone and adrenal) with KRAS and TP53 mutations was greater than that of local metastasis (pleura). Patients with the wild-type genes experienced longer progression-free survival (PFS) times than those with KRAS, TP53, KRAS/TP53 or PIK3CA/TP53 mutations. Patients with KRAS/TP53 or PIK3CA/TP53 mutations experienced shorter PFS times than those with a single KRAS or TP53 mutation. KRAS, PIK3CA and TP53 mutations were associated with distant metastases and a poor prognosis. Patients with NSCLC should receive routine KRAS, PIK3CA and TP53 gene sequencing to determine mutations for the analysis of clinical characteristics and prognosis.

## Introduction

Lung cancer has a high mortality rate of  $\sim 27\%$  and is becoming more prevalent in younger populations (1). Despite progress in the diagnosis and treatment of lung cancer, the 5-year survival rate is only 16% (2). Individualized therapy is a promising treatment strategy for non-small cell lung cancer (3). Mutations

*Correspondence to:* Professor Yiping Han, Department of Respiratory and Critical Care Medicine, Changhai Hospital, Second Military Medical University, 168 Changhai Road, Yangpu, Shanghai 200433, P.R. China E-mail: yphan2006@163.com

## \*Contributed equally

in epidermal growth factor receptor (*EGFR*) drive the development of lung adenocarcinoma and have altered the traditional treatment approaches. Next-generation sequencing revealed that patients with wild-type *EGFR* or *ALK* could present concurrent oncogenic mutations in *KRAS* proto-oncogene GTPase (*KRAS*) (4), phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit  $\alpha$  (*PIK3CA*) (5) and tumor protein p53 (*TP53*) (6). These mutations may result in differential clinical features, treatment outcomes and survival prognoses. The association between *KRAS*, *PIK3CA* and *TP53* mutations, clinical features, and the prognosis of patients with NSCLC is unclear. The present study retrospectively analyzed 89 cases of NSCLC patients with *KRAS*, *PIK3CA* and *TP53* mutations to elucidate the association between gene mutation, clinical characteristics and survival prognosis as a basis for individualized treatment.

## **Patients and methods**

*Patient selection*. A total of 122 patients accepted next-generation sequencing for advanced NSCLC at Shanghai Changhai Hospital (Shanghai, China) and were enrolled between January 2015 and December 2016. Missing information and loss to follow-up resulted in the exclusion of 33 patients. Blood samples and clinical data from 89 patients with identified genes were collected, including sex, age, smoking status, symptoms, laboratory test results, chest computed tomography (CT) results, tumor location, pathological type, Tumor-Node-Metastasis stage (7) and site of metastasis. Among the 89 samples, 50 exhibited *KRAS*, *TP53* and *PIK3CA* mutations. The Ethics Committee of Shanghai Changhai Hospital approved the present study, and written informed consent was obtained from each participant.

*Gene sequencing*. Circulating Single-Molecule Amplification and Resequencing Technology (cSMART; Illumina CN500; Berry Genomics Co., Ltd., Beijing, China) was used to detect *KRAS*, *PIK3CA* and *TP53* mutation in all patients with NSCLC. In brief, genomic DNA was extracted from the plasma of the patients using MagMAX Cell-Free DNA Isolation kit, (Thermo Fisher Scientific, Inc., Waltham, MA, USA; Article no. A29319) DNA was purified using a DNA purification kit (Berry Genomics Co., Ltd; Article no. R0037). The libraries were prepared from 10 ng plasma DNA by ligation of universal sequencing adaptors containing unique 6-bp barcodes. Modified DNA was denatured and single strands were circularized by Taq ligase. Bidirectional

Key words: KRAS, TP53, PIK3CA, non-small cell lung cancer, prognosis

back-to-back primers, in either singleplex or multiplex format, were annealed close to the mutation loci. Inverse PCR was performed to replicate targeted genes. Amplified products were subjected to massive parallel sequencing on the MiSeq platform (Illumina, Inc., San Diego, CA, USA) to generate paired-end reads of 2x200 bp (8).

*Treatment*. All patients were administered with a first-line chemotherapy regimen of pemetrexed (500 mg/m<sup>2</sup>)/paclitaxel (135 mg/m<sup>2</sup>) and carboplatin (area under the curve=5). All patients provided written informed consent.

*Survival analysis*. Tumors were evaluated every 2 cycles during chemotherapy treatment or earlier when significant signs of progression, including aggravation of cough or hemoptysis, were present. Progression-free survival (PFS) was determined according to the Response Evaluation Criteria in Solid Tumors guidelines (version 1.1) (9). The PFS time was defined as the time from the beginning of chemotherapy to the presence of objective evidence of progression. The final follow-up date was June 30, 2017.

Statistical analysis. Survival curves were calculated using the Kaplan-Meier method from the beginning of chemotherapy to documented progression or mortality from any cause, differences in PFS were assessed using the log-rank test. Statistical analysis was performed with SPSS version 21 (IBM, Corp., Armonk, NY, USA). The  $\chi^2$  test was used to compare the categorical variables. P<0.05 was considered to indicate a statistically significant difference.

## Results

Patient characteristics. A total of 122 patients with NSCLC received cSMART sequencing and 33 patients were excluded due to missing information or loss to follow-up. A total of 89 patients were therefore enrolled in the present study, and the baseline demographic characteristics are shown in Table I. The study cohort consisted of 52 males and 37 females, with a median age of 61.0 years and a mean ( $\pm$  standard error) age of 59.4 ( $\pm$ 12.2) years. Adenocarcinoma was histologically determined in 75 patients. There were 2 patients with adenosquamous carcinoma and 12 with squamous carcinoma. In total, 41 patients were smokers and 48 had never smoked.

*Gene mutations*. Oncogenic mutations were found in 50 patients, including *KRAS* (n=21, 23.6%), *PIK3CA* (n=8, 9.0%) and *TP53* (n=40, 44.9%). Among the 21 patients with *KRAS* mutations, 18 had mutations in exon 2, 3 in exon 3 and 2 in exon 4. There were 8 patients with a *PIK3CA* mutation in exon 10. A total of 17/40 patients had *TP53* mutations located in exon 5, 6 in exon 6, 10 in exon 7 and 19 in exon 8. Coexisting mutations were identified in 17 patients (19.1%), including *KRAS/TP53* (n=10, 11.2%), *PIK3CA/TP53* (n=2, 2.2%). There were 32 cases with *EGFR* mutations (36.0%), 3 cases with the EMAP-like 4-ALK receptor tyrosine kinase fusion oncogene (3.4%), and 3 cases of c-MET exon 14 skipping (3.4%). The *KRAS/TP53/PIK3CA* mutations and percentage distribution of the 50 patients are shown in Figs. 1 and 2.

Table I. Baseline demographic characteristics of the 89 patients with non-small cell lung cancer.

Characteristics	n (%)
Sex	
Male	52 (58.4)
Female	37 (41.6)
Age, years	
<65	57 (64.0)
≥65	32 (36.0)
Surgical history	
Yes	21 (23.6)
No	68 (76.4)
Smoking status	
Former/current	41 (46.1)
Never	48 (53.9)
First symptom	
Yes	60 (67.4)
No	29 (32.6)
Tumor site	
Left lung	45 (50.6)
Right lung	44 (49.4)
Histology	
Adenocarcinoma	75 (84.3)
Adenosquamous carcinoma	2 (2.2)
Squamous cell carcinoma	12 (13.5)
Invasive growth	
Yes	50 (56.2)
No	39 (43.8)
TNM stage	
Ι	1 (1.1)
II	3 (3.4)
III	14 (15.7)
IV	71 (79.8)
Metastasis	
Yes	71 (79.8)
No	18 (20.2)
Metastatic site	
Bone	39 (43.8)
Brain	20 (22.5)
Adrenal	8 (9.0)
Liver	9 (10.1)
Pleura	27 (30.3)
Lymph nodes	22 (24.7)

*Clinical characteristics*. The clinical characteristics of the 89 patients in association with the gene mutations are shown in Table II. Patients with *KRAS*, *TP53*, *PIK3CA* and *KRAS/TP53* mutations had a higher incidence of bone metastasis than those with the wild-type gene (61.9 vs. 25.6%, P=0.006; 62.5 vs. 25.6%, P=0.024; 62.5 vs. 25.6%, P=0.042; 70.0 vs. 25.6%, P=0.009). There was also a higher incidence of adrenal

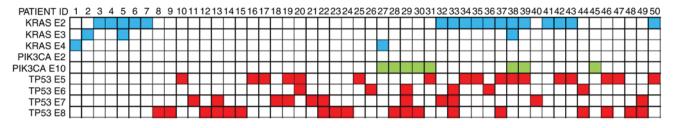


Figure 1. Mutations of *KRAS*, *PIK3CA* and *TP53* genes in 50 patients with non-small cell lung cancer. *KRAS*, *KRAS* proto-oncogene GTPase; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit  $\alpha$ ; *TP53*, tumor protein p53. Blue represent *KRAS* mutation; Red *TP53* mutation and Green *PIK3CA* mutation.

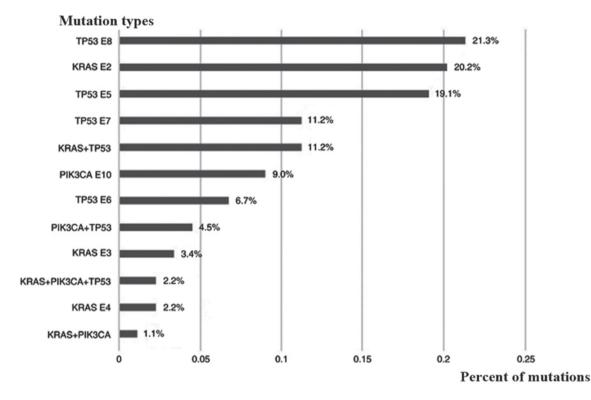


Figure 2. Percentage distributions of *KRAS*, *PIK3CA* and *TP53* gene mutations in 50 patients with non-small cell lung cancer. *KRAS*, *KRAS* proto-oncogene GTPase; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit α; *TP53*, tumor protein p53.

metastasis in the *TP53* mutation vs. wild-type groups (12.5 vs. 5.1%, P=0.017). Patients with *KRAS* or *KRAS/TP53* mutations had a lower incidence of pleural metastasis than those with the wild-type gene (14.3 vs. 43.6%, P=0.022; 0.0 vs. 43.6%, P=0.010). Infiltrative tumor growth was greater in patients with *KRAS*, *TP53* and *KRAS/TP53* mutations than in the wild-type group (71.4 vs. 51.3%, P=0.039; 67.5 vs. 51.3%, P=0.032; 90.0 vs. 51.3%, P=0.009).

*KRAS/TP53* mutations were associated with elevated carbohydrate antigen 19-9 (CA19-9) expression, vacuolar signs and margin lobulation in chest CT imaging in patients. Differences in *KRAS* mutation were observed in margin lobulation and invasive growth in chest CT imaging, meanwhile, first symptoms, including cough and dyspnea, indicated a statistical significance between wild-type patients and those with *PIK3CA* mutation (P=0.034).

*Survival analysis.* The PFS times of the *KRAS* mutation and wild-type group were  $8.9\pm2.3$  months (95% CI, 4.3-13.5) and

15.3±1.6 months (95% CI, 12.1-18.4), respectively (P=0.045). Patients with a single *TP53* mutation had a PFS time of 7.8±1.5 months (95% CI, 4.9-10.7), which was significantly shorter than that of the wild-type group (P<0.001). Patients with a *KRAS/TP53* coexisting mutation had a shorter PFS time of 6.6±1.6 months (95% CI, 3.5-9.7) compared with the wild-type group (P<0.001). This result was similar among *PIK3CA/TP53* patients (P=0.012). The difference in the PFS times was not statistically significant between the single *KRAS* and *KRAS/TP53* mutations, the single *TP53* and *KRAS/TP53* mutations. (Table III; Fig. 3).

#### Discussion

NSCLC accounts for 70-80% of lung cancer cases and 60% of patients are diagnosed at stage III or IV (10). Oncogenes such as *EGFR* and *ALK* have shifted the treatment model of lung cancer from pathology-guided to molecular-guided precision medicine

es.
featur
al
ï.
п.
Сl
tion between gene mutation and clinical
u
ti.
ita
mu
ē
ger
en
ve
ŝtv
Å,
nc
Ĕ
Cia
ŏ
Associat
₹.
Π
ole
Tab
Γ

Characteristics	All wt, n (%)	KRAS mt, n (%)	X <sup>2</sup>	P-value	PIK3CA mt, n (%)	X <sup>2</sup>	P-value	<i>TP53</i> mt, n (%)	$\chi^2$	P-value	<i>KRAS</i> + <i>TP53</i> mt, n (%)	X <sup>2</sup>	P-value	<i>PIL3CA</i> + <i>TP53</i> mt, n (%)	χ <sup>2</sup>	P-value
Sex Male Female	23 (59.0) 16 (41.0)	14 (66.7) 7 (33.3)	0.342	0.559	4 (50.0) 4 (50.0)	0.219	0.640	23 (57.5) 17 (42.5)	0.018	0.894	8 (80.0) 2 (20.0)	1.514	0.219	2 (50.0) 2 (50.0)	0.120	0.729
Age, years <65 ≥65	24 (61.5) 15 (38.5)	14 (66.7) 7 (33.3)	0.115	0.694	5 (62.5) 3 (37.5)	0.003	0.959	27 (67.5) 13 (32.5)	0.307	0.580	7 (70.0) 3 (30.0)	0.245	0.620	2 (50.0) 2 (50.0)	0.202	0.653
Tumor site Left lung Right lung	21 (53.8) 18 (46.2)	11 (52.4) 10 (47.6)	0.012	0.914	3 (37.5) 5 (62.5)	0.710	0.400	20 (50.0) 20 (50.0)	0.117	0.732	6 (60.0) 4 (40.0)	0.122	0.727	2 (50.0) 2 (50.0)	0.022	0.883
Smoking status Former/current Never	19 (48.7) 20 (51.3)	12 (57.1) 9 (42.9)	0.388	0.533	4 (50.0) 4 (50.0)	0.004	0.947	18 (45.0) 22 (55.0)	0.110	0.741	8 (80.0) 2 (20.0)	3.148	0.076	2 (50.0) 2 (50.0)	0.002	0.961
First symptom Yes No	24 (61.5) 15 (38.5)	15 (71.4) 6 (28.6)	0.587	0.440	8 (100.0) 0 (0.0)	4.519	0.034ª	30 (75.0) 10 (25.0)	1.654	0.198	8 (80.0) 2 (20.0)	1.197	0.274	4 (100.0) 0 (0.0)	2.363	0.124
CA19-9 Normal High	30 (76.9) 9 (23.1)	13 (61.9) 8 (38.1)	1.516	0.218	3 (37.5) 5 (62.5)	0.726	0.394	27 (67.5) 13 (32.5)	0.748	0.387	6 (60.0) 4 (40.0)	5.108	0.024ª	2 (50.0) 2 (50.0)	1.381	0.240
Invasive growth No Yes	19 (48.7) 20 (51.3)	6 (28.6) 15 (71.4)	4.250	0.039ª	2 (25.0) 6 (75.0)	2.621	0.105	13 (32.5) 27 (67.5)	4.575	0.032ª	1 (10.0) 9 (90.0)	6.883	0.009ª	1 (25.0) 3 (75.0)	1.439	0.230
Margin lobulation Yes No	29 (74.4) 10 (25.6)	10 (47.6) 11 (52.4)	4.290	0.038ª	6 (75.0) 2 (25.0)	0.001	0.970	23 (57.5) 17 (42.5)	2.495	0.114	4 (40.0) 6 (60.0)	4.273	0.039ª	4 (100.0) 0 (0.0)	1.336	0.248
Pleural traction Yes No Vacuolar signs Yes No	18 (46.2) 21 (53.8) 5 (12.8) 34 (87.2)	7 (33.3) 14 (66.7) 5 (23.8) 16 (76.2)	0.923	0.337 0.276	5 (62.5) 3 (37.5) 2 (25.0) 6 (75.0)	0.710 0.777	0.400 0.378	19 (47.5) 21(52.5) 7 (17.5) 33 (82.5)	0.014	0.905 0.562	5 (50.0) 5 (50.0) 4 (40.0) 6 (60.0)	0.047	0.828 $0.048^{a}$	4 (100.0) 0 (0.0) 1 (25.0) 3 (75.0)	4.210	0.040ª 0.503
Site of metastasis Bone	10 (25.6)		7.594	0.006 <sup>a</sup>	5 (62.5)	4.150	0.042ª	25 (62.5)	5.081	0.024 <sup>a</sup>	7 (70.0)	6.912	0.009ª	2 (50.0)	1.070	0.301

ned
ntin
ů
Ξ.
Table

.

AI	ll wt,	All wt, KRAS mt,			PIK3CA mt,			<i>TP53</i> mt,			KRAS+ TP53			<i>PIL3CA+</i> <i>TP53</i> mt,		
Characteristics n	n (%)	$n(\%) \qquad \chi^2$ P-value	$\chi^2$	P-value	n (%)	$\chi^2$	P-value	n (%)	$\chi^2$	P-value	mt, n (%)	$\chi^2$	P-value	n (%)	$\chi^2$	P-value
Brain 6 (	6 (15.4)	7 (33.3) 2.591	2.591	0.107	3 (37.5)	2.097	0.148	10 (25.0)	1.610		2 (20.0)		0.725	1 (25.0)	0.246	0.620
Adrenal 2 (	2 (5.1)	4 (19.0)	2.939	0.086	2 (25.0)	3.367	0.067	5 (12.5)	5.648	$0.017^{a}$	2 (20.0)	2.348	0.125	1 (25.0)	2.207	0.137
Liver 4 (	4(10.3)	3 (14.3)	0.215	0.643	1 (12.5)	0.035	0.851		2.806		2 (20.0)		0.402	0 (0.0)	0.452	0.501
Pleura 17 (	17 (43.6)	3 (14.3)	5.275	$0.022^{a}$	2 (25.0)	0.953	0.329	8 (20.0)	0.032		(0.0) 0		$0.010^{a}$	0.0) 0	2.884	0.089
Lymph nodes 6 (	6 (15.4)	6 (28.6) 1.484	1.484	0.223	3 (37.5)	2.097	0.148		1.610		4(40.0)		0.085	1 (25.0)	0.246	0.620

ene mutations.
00
S
TP53
σ
PIK3CA and TP53
A
, PIK3C
×
Ы
•
ith KRAS,
X
h J
ź
12
patients
cancer
ы
lu
cell
sis in non-small
-S
non-
ā
in
IS.
OS
- E0
5
Irvival progr
/a]
71.
ur
$\bar{\mathbf{N}}$
III. Surviv
ble III. S
Table
Tal
F .

	S Y D Y C		TD 52 mt	1. 1.	KRAS mt vs.	<i>KRAS+TP53</i> mt vs. all wt	<i>KRAS+TP53</i> mt vs. <i>KRAS</i> mt	+ <i>TP53</i> RAS mt	<i>KRAS+TP53</i> mt vs. <i>TP53</i> mt	- <i>TP53</i> P53 mt	<i>PIK3CA+TP53</i> mt vs. all wt	+ <i>TP53</i> all wt	<i>PIK3CA+TP53</i> mt vs. <i>TP53</i> mt	+ <i>TP53</i> P53 mt
	1W IIB .SV IIII CEVU	VS. 411 WL	IT JJ III VS. AII WL	VS. All WL	KRACT		KRACT		KRACT		PIK3CA+		PIK3CA+	
Variables	KRAS mt All wt	All wt	<i>TP53</i> mt All wt	All wt	TP53 mt	All wt	TP53 mt	<i>TP53</i> mt KRAS mt	TP53 mt TP53 mt	<i>TP53</i> mt	<i>TP53</i> mt	All wt	TP53 mt	<i>TP53</i> mt
PFS time,	8.9±2.3	15.3±1.6	8.9±2.3 15.3±1.6 7.8±1.5 15.3±1.6 6.6±1.6	15.3±1.6	6.6±1.6	15.3±1.6	15.3±1.6 6.6±1.6	8.9±1.3		7.8±1.5	7.1±1.5	6.6±1.6 7.8±1.5 7.1±1.5 15.3±1.6 7.13±11	7.13±11	7.8±1.5
95% CI P-value	4.3-13.5	12.1-18.4 0.045	4.3-13.5 12.1-18.4 4.9-10.7 12.1-18.4 3.5-9.7 0.045 <0.001	12.1-18.4 <0.001	3.5-9.7	12.1-18.4 <0.001	12.1-18.4 3.5-9.7 <0.001	4.3-13.5 0.398	3.5-9.7	4.9-10.7 0.873		3.1-11.2 12.1-18.4 3.1-11.2 0.012	3.1-11.2	4.9-10.7 0.986
KRAS, KRAS	KRAS, KRAS proto-oncogene GTPase; PIK3CA, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit $lpha$ ; TP53, tumor protein p53; wt, wild-type; mt, mutant; CI, confidence interval	ne GTPase; I	<i>PIK3CA</i> , phosl	ohatidylinosit	ol-4,5-bispho	sphate 3-kina	se catalytic su	bunit $\alpha$ ; <i>TP5</i> 3	3, tumor prote	in p53; wt, w	ild-type; mt, r	nutant; CI, cor	ufidence interv	al.

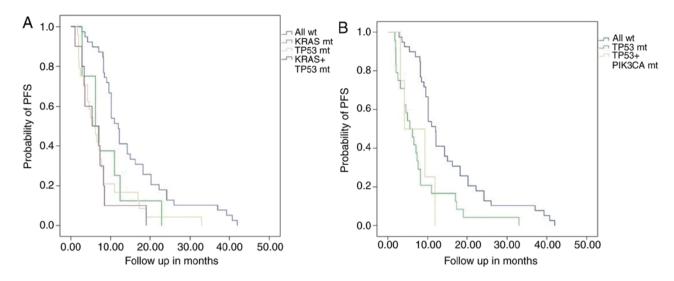


Figure 3. PFS Kaplan-Meier curves between different gene mutations group and wild-type group. (A) PFS in patients with non-small cell lung cancer was compared between *KRAS* mutant and all wild-type groups (8.9 $\pm$ 2.3 vs. 15.3 $\pm$ 1.6 months; P=0.045). PFS was compared between *TP53* mutant and all wild-type groups (7.8 $\pm$ 1.5 vs. 15.3 $\pm$ 1.6 months; P<0.001), and between *KRAS*+*TP53* mutant and all wild-type groups (6.6 $\pm$ 1.6 vs. 15.3 $\pm$ 1.6 months; P<0.001). Compared with the *KRAS* mutant and *TP53* mutant, respectively, the PFS time of patients with the *KRAS*+*TP53* mutant was shorter, but not statistically different. (B) PFS was also compared between patients with the *PIK3CA*+*TP53* mutant and all wild-type groups (7.1 $\pm$ 2.1 vs. 15.3 $\pm$ 1.6 months; P=0.012). The PFS time of the patients with the *PIK3CA*+*TP53* mutant was shorter than that of patients with the *TP53* mutant, but was not statistically different. PFS, progression-free survival; mt, mutant; wt, wild-type; *KRAS*, *KRAS* proto-oncogene GTPase; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit  $\alpha$ ; *TP53*, tumor protein p53.

with targeted therapy (11). With the improvement in examination technology and the increase in available treatment methods, the genetic and clinical characteristics of NSCLC-related genes, including *KRAS*, *PIK3CA* and *TP53*, are highly informative.

The present study evaluated 89 cases of NSCLC patients with KRAS, PIK3CA and TP53 mutations. KRAS mutations were found in 21 cases within exon 2 (n=18), exon 3 (n=3) and exon 4 (n=2). The total mutation rate of KRAS was 23.6%, which was similar to the results of a study undertaken by Mao et al (12), but higher than the mutation rates of 4.4-5.3% reported by Luo et al (13) and Yi et al (14). The mutation rate of PIK3CA was 3% in a study undertaken by Scheffler et al (15), but Liang et al (16) reported a rate of 47.83%. The present study included 8 cases of PIK3CA exon 10 mutations and the total mutation rate was 9.0%. TP53 has the highest mutation rate of all NSCLC-related genes, reported as 39-46% (15,16). The present study identified 40 cases with TP53 mutations within exon 5 (n=17), exon 6 (n=6), exon 7 (n=10) and exon 8 (n=19). In present study the total mutation rate of TP53 was 44.9%, which is in accordance to previous researches (17,18).

Kris *et al* (19) found that 3% of patients with NSLCL exhibited a double gene mutation. The Cancer Genome Atlas determined that the mutation rate of *KRAS/TP53* coexisting mutation could reach 20% (20). The present study identified 17 co-mutated samples with a rate of 19.1%, including 15 double-mutations of *KRAS/TP53*, *PIK3CA/TP53* and *KRAS/PIK3CA*, and 2 cases of *KRAS/PIK3CA/TP53* co-mutation. This difference may result from the sensitivity and sequencing depth of next-generation sequencing by cSMART. The varied sample size between studies may also contribute toward the discrepancies in gene mutation rates.

Clinical characteristics, including the baseline demographics, clinical manifestations, partial laboratory tests, partial pathological features and certain features of chest CT imaging, of patients with mutations were not significantly different from those of wild-type patients (P>0.05). This was consistent with the results of numerous previous studies (6,21-25). By contrast, *KRAS/TP53* were associated with elevated CA19-9 expression, vacuolar signs and margin lobulation in chest CT imaging. However, it is possible that the sample size of each subgroup resulted in the difference in certain clinical characteristics to some extent, and further study is required due to the limited sample size used in the present study.

Invasive growth of the tumor tissue in patients was associated with *KRAS*, *TP53* and *KRAS/TP53*, which was consistent with the clinical features observed. The incidence of distant metastasis was higher than that of local metastasis in patients with *KRAS* and *TP53* mutations. The possible mechanism of this is the activation of the *EGFR* downstream Rat sarcoma/ Rapidly Accelerated Fibrosarcoma/mitogen-activated protein kinases signaling pathways by *KRAS* mutations to regulate cell differentiation and proliferation. Prolonged activation of the *KRAS* signal is hypothesized to cause tumor cell proliferation and progression (26). *TP53* gene mutations result in an oncogenic transformation of the tumor suppressor gene due to a conformational change; therefore, the regulation of cell growth, apoptosis and DNA repair is disrupted, which allows tumor cells to proliferate, grow and metastasize (27,28).

The biological significance of these mutations remains uncertain, but to some extent specific driver genes have prognostic value. Mascaux *et al* (29) first reported a poor prognosis in NSCLC patients with *KRAS* mutations, and other studies have confirmed this hypothesis (30). Recent studies have found that *TP53* gene mutations may generate the same results in patients with NSCLC (31-33). *PIK3CA* encodes the type I phosphatidylinositol-3-kinase p110 $\alpha$  catalytic subunit (34) and is important for the development of NSCLC. *PIK3CA* phosphorylates the EGFR bypass pathway, PI3K/AKT/mTOR, to activate downstream signaling that promotes the proliferation, survival, adhesion and differentiation of tumor cells (35). Liang *et al* (16) proposed that *PIK3CA* gene mutations are more likely to co-exist with other oncogenic mutations and that they may weakly induce independent carcinogenesis.

In the present study, patients with NSCLC who underwent first-line chemotherapy were divided into groups according to their genotype. For all patients who have EGFR mutation in Changhai hospital, targeted therapy is discussed and anti-EGFR tyrosine kinase inhibitors are recommended as the first-line treatment. The majority of these patients do receive targeted therapy. However, due to economic problems or for other reasons, certain patients cannot afford targeted therapy. For the baseline balance of the present study, the 89 patients who received first-line chemotherapy were chosen. Patients with a single KRAS or TP53 mutation experienced shorter PFS times than the wild-type patients, which was consistent with the results of the studies by Molina-Vila et al (36) and Meng et al (37). Shepherd *et al* (6) hypothesized that a double gene mutation, such as KRAS/TP53, in NSCLC patients may indicate a poor prognosis. Patients with KRAS/TP53 or PIK3CA/TP53 mutations experienced a shorter PFS time than those patients with the wild-type. The PFS time of the KRAS/TP53 group was shorter than that in the single KRAS and single TP53 groups, as was the time in the PIK3CA/TP53 group compared with the single TP53 group (P>0.05). We hypothesized that there could be a 'gene superposition' effect in NSCLC patients with a co-mutated gene, which leads to a shortened PFS compared with a single gene mutation. However, the trend observed in the present study was not statistically significant, which was in agreement with the results of a study by Jao et al (38). The mean PFS time of patients with KRAS/PIK3CA/TP53 gene co-mutations was 6.2 months, which was shorter than that of the double and single mutation groups. Only 2 patients had this co-mutation and therefore, a larger sample size is necessary for further study. Sampling error may also exist due to the next-generation sequencing technology and the limited sample size. The subgroups of gene mutations, as well as the chemotherapy regimen and doses, were not identical; therefore, further evidence should be obtained in a large clinical study.

In conclusion, the treatment strategy for NSCLC patients with *KRAS*, *PIK3CA* and *TP53* mutations has not yet been defined. The present study determined the predictive value of *KRAS*, *PIK3CA* and *TP53* mutations in patients with NSCLC. Additionally, the results of the present study suggested that patients with NSCLC should undergo routine *KRAS*, *PIK3CA* and *TP53* sequencing to determine single or multiple gene mutations for the analysis of patient clinical characteristics and prognosis.

## Acknowledgements

The authors would like to thank the staff of the Department of Respiratory and Critical Care Medicine, Shanghai Changhai Hospital (Shanghai, China) for providing assistance in data management and statistical analysis.

## Funding

The present study was supported by the Shanghai Scientific Research Projects (grant no. 15411960400).

#### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

#### Authors' contributions

JZ, YH, RC and CB conceived and designed the study. JL analyzed the statistics. CB provided a part of patients' clinical data and monitored the whole study; JZ and RC wrote the original draft, YH and CB reviewed and edited the draft. All authors have read and approved the final version of this manuscript.

## Ethics approval and consent to participate

The study was approved by the Ethics Committee of Changhai Hospital affiliated to Second Military Medical University (Shanghai, China).

## Patient consent for publication

Written nformed consent and permission for publication was obtained for all patients in the present study.

#### **Competing interests**

The authors declare that they have no competing interests.

#### References

- Siegel R, Ma J, Zou Z and Jemal A: Cancer statistics, 2014. CA Cancer J Clin 64: 9-29, 2014.
- Zeng H, Zheng R, Guo Y, Zhang S, Zou X, Wang N, Zhang L, Tang J, Chen J, Wei K, *et al*: Cancer survival in China, 2003-2005: A population-based study. Int J Cancer 136: 1921-1930, 2015.
- 3. Moreira AL and Thornton RH: Personalized medicine for non-small-cell lung cancer: Implications of recent advances in tissue acquisition for molecular and histologic testing. Clin Lung Cancer 13: 334-339, 2012.
- Martin P, Leighl NB, Tsao MS and Shepherd FA: KRAS mutations as prognostic and predictive markers in non-small cell lung cancer. J Thorac Oncol 8: 530-542, 2013.
- Papadimitrakopoulou V: Development of PI3K/AKT/mTOR pathway inhibitors and their application in personalized therapy for non-small-cell lung cancer. J Thorac Oncol 7: 1315-1326, 2012.
- 6. Shepherd FA, Lacas B, Le Teuff G, Hainaut P, Jänne PA, Pignon JP, Le Chevalier T, Seymour L, Douillard JY, Graziano S, *et al*: Pooled analysis of the prognostic and predictive effects of TP53 comutation status combined with KRAS or EGFR mutation in early-stage resected non-small-cell lung cancer in four trials of adjuvant chemotherapy. J Clin Oncol 35: 2018-2027, 2017.
- Detterbeck FC, Boffa DJ, Kim AW and Tanoue LT: The eighth edition lung cancer stage classification. Chest 151: 193-203, 2017.
- Lv W, Wei X, Guo R, Liu Q, Zheng Y, Chang J, Bai T, Li H, Zhang J, Song Z, *et al*: Noninvasive prenatal testing for Wilson disease by use of circulating single-molecule amplification and resequencing technology (cSMART). Clin Chem 61: 172-181, 2015.
- Watanabe H, Okada M, Kaji Y, Satouchi M, Sato Y, Yamabe Y, Onaya H, Endo M, Sone M and Arai Y: New response evaluation criteria in solid tumours-revised RECIST guideline (version 1.1). Gan To Kagaku Ryoho 36: 2495-2501, 2009 (In Japanese).

- 3240
- 10. Tsim S, O'Dowd CA, Milroy R and Davidson S: Staging of non-small cell lung cancer (NSCLC): A review. Respir Med 104: 1767-1774, 2010.
- 11. Cooper WA, O'Toole S, Boyer M, Horvath L and Mahar A: What's new in non-small cell lung cancer for pathologists: The importance of accurate subtyping, EGFR mutations and ALK rearrangements. Pathology 43: 103-115, 2011. 12. Mao C, Qiu LX, Liao RY, Du FB, Ding H, Yang WC, Li J and
- Chen Q: KRAS mutations and resistance to EGFR-TKIs treatment in patients with non-small cell lung cancer: A meta-analysis of 22 studies. Lung Cancer 69: 272-278, 2010.
- 13. Luo W, Wang H, Xu WJ, et al: Analysis of KRAS mutation in patients with non- small cell lung cancer. Guangdong Med J 35: 2025-2028, 2014 (In Chinese).
- 14. Yi SQ, Zhuang Y, Zhu WD, et al: Analysis of KRAS gene mutations in non-small cell lung cancer. Zhonghua Lin Chuan Yi Shi Za Zhi (Electronic Edition) 7: 9111-9115, 2013 (In Chinese).
- 15. Scheffler M, Bos M, Gardizi M, König K, Michels S, Fassunke J, Heydt C, Künstlinger H, Ihle M, Ueckeroth F, et al: PIK3CA mutations in non-small cell lung cancer (NSCLC): Genetic heterogeneity, prognostic impact and incidence of prior malignancies. Oncotarget 6: 1315-1326, 2015.
- 16. Liang NX, Liu YX, Liu L and Li SQ: Co-mutation of PIK3CA and other oncogenes in patients with non-small cell lung cancer. Med J PUMCH 6: 186-190, 2015 (In Chinese).
- 17. Huang CL, Taki T, Adachi M, Konishi T, Higashiyama M, Kinoshita M, Hadama T and Miyake M: Mutations of p53 and K-ras genes as prognostic factors for non-small cell lung cancer. Int J Oncol 12: 553-569, 1998.
- 18. Kato S, Han SY, Liu W, Otsuka K, Shibata H, Kanamaru R and Ishioka C: Understanding the function-structure and function-mutation relationships of p53 tumor suppressor protein by high-resolution missense mutation analysis. Proc Natl Acad Sci UŠA 100: 8424-8429, 2003.
- 19. Kris MG, Johnson BE, Berry LD, Kwiatkowski DJ, Iafrate AJ, Wistuba II, Varella-Garcia M, Franklin WA, Aronson SL, Su PF, et al: Using multiplexed assays of oncogenic drivers in lung cancers to select targeted drugs. Jama 311: 1998-2006, 2014.
- 20. Cancer Genome Atlas Research Network: Comprehensive molecular profiling of lung adenocarcinoma. Nature 511: 543-550, 2014.
- 21. Gao J, Chen JQ, Zhang L and Liang ZY: Relationship between EGFR and KRAS mutations and prognosis in Chinese patients with non-small cell lung cancer: A mutation analysis with real-time polymerase chain reaction using scorpion amplification refractory mutation system. Zhonghua Bing Li Xue Za Zhi 41: 652-656, 2012 (In Chinese).
- 22. Kim HR, Ahn JR, Lee JG, Bang DH, Ha SJ, Hong YK, Kim SM, Nam KC, Rha SY, Soo RA, et al: The impact of cigarette smoking on the frequency of and qualitative differences in KRAS mutations in Korean patients with lung adenocarcinoma. Yonsei Med 54: 865-874, 2013.
- 23. Li Y, Li Y, Yang T, Wei S, Wang J, Wang M, Wang Y, Zhou Q, Liu H and Chen J: Clinical significance of EML4-ALK fusion gene and association with EGFR and KRAS gene mutations in 208 Chinese patients with non-small cell lung cancer. PLoS One 8: e52093, 2013.
- 24. Zhang Q, Wang J, Li X, Zhang H, Nong J, Qin N, Zhang X, Wu Y, Yang X, Lv J and Zhang S: Clinical Analysis of 107 NSCLC Patients Harboring KRAS Mutation. Zhongguo Fei Ai Za Zhi 19: 257-262, 2016 (In Chinese).
- 25. Hu W, Liu Y and Chen J: Concurrent gene alterations with EGFR mutation and treatment efficacy of EGFR-TKIs in Chinese patients with non-small cell lung cancer. Oncotarget 8: 25046, 2017.

- 26. Korpanty GJ, Graham DM, Vincent MD and Leighl NB: Biomarkers that currently affect clinical practice in lung cancer: EGFR, ALK, MET, ROS-1, and KRAS. Front Oncol 4: 204, 2014.
- 27. Deben C, Van den Bossche J, Van Der Steen N, Lardon F, Wouters A, de Beeck KO, Hermans C, Jacobs J, Peeters M, Van Camp G, et al: Deep sequencing of the TP53 gene reveals a potential risk allele for non-small cell lung cancer and supports the negative prognostic value of TP53 variants. Tumour Biol 39: 1010428317694327, 2017.
- 28. Vanderlaan PA, Rangachari D, Mockus SM, Spotlow Reddi HV, Malcolm J, Huberman MS, Joseph LJ, Kobayashi SS and Costa DB: Mutations in TP53, PIK3CA, PTEN and other genes in EGFR mutated lung cancers: Correlation with clinical outcomes. Lung Cancer 106: 17-21, 2017.
- 29. Mascaux C, Iannino N, Martin B, Paesmans M, Berghmans T, Dusart M, Haller A, Lothaire P, Meert AP, Noel S, et al: The role of RAS oncogene in survival of patients with lung cancer: A systematic review of the literature with meta-analysis. Br J Cancer 92: 131-139, 2005.
- 30. Lin EY, Rupani R and Gitlitz BJ: Markers in lung cancer. Springer, New York, NY, 2013.
  Scoccianti C, Vesin A, Martel G, Olivier M, Brambilla E,
- Timsit JF, Tavecchio L, Brambilla C, Field JK and Hainaut P; European Early Lung Cancer Consortium: Prognostic value of TP53, KRAS and EGFR mutations in nonsmall cell lung cancer: The EUELC cohort. Eur Respir J 40: 177-184, 2012.
- 32. Ma X, Rousseau V, Sun H, Lantuejoul S, Filipits M, Pirker R, Popper H, Mendiboure J, Vataire AL, Le Chevalier T, et al: Significance of TP53 mutations as predictive markers of adjuvant cisplatin-based chemotherapy in completely resected non-small-cell lung cancer. Mol Oncol 8: 555-564, 2014.
- Lee SY, Jeon HS, Hwangbo Y, Jeong JY, Park JY, Lee EJ, Jin G, Shin KM, Yoo SS, Lee J, *et al*: The influence of TP53 mutations on the prognosis of patients with early stage non-small cell lung cancer may depend on the intratumor heterogeneity of the mutations. Mol Carcinog 54: 93-101, 2015.
- 34. Mcgowan M, Hoven AS, Lund-Iversen M, Solberg S, Helland Å, Hirsch FR and Brustugun OT: PIK3CA mutations as prognostic factor in squamous cell lung carcinoma. Lung Cancer 103: 52-57, 2017
- 35. Kang S, Bader AG and Vogt PK: Phosphatidylinositol 3-kinase mutations identified in human cancer are oncogenic. Proc Natl Acad Sci USA 102: 802-807, 2005.
- Molina-Vila MA, Bertran-Alamillo J, Gascó A, Mayo-de-las-Casas C, Sánchez-Ronco M, Pujantell-Pastor L, Bonanno L, Favaretto AG, Cardona AF, Vergnenègre A, et al: Nondisruptive p53 mutations are associated with shorter survival in patients with advanced non-small cell lung cancer. Clin Cancer Res 20: 4647-4659, 2014.
- 37. Meng D, Yuan M, Li X, Chen L, Yang J, Zhao X, Ma W and Xin J: Prognostic value of K-RAS mutations in patients with non-small cell lung cancer: A systematic review with meta-analysis. Lung Cancer 81: 1-10, 2013.
- 38. Jao K, Tomasini P, Kamel-Reid S and Tsao MS: Prognostic effect of single versus multiple somatic mutations in non-small cell lung cancer (NSCLC). J Clin Oncol 33: 7521, 2015.



COSE This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International (CC BY-NC-ND 4.0) License.