

Identification and validation of potential long non-coding RNA biomarkers in predicting survival of patients with head and neck squamous cell carcinoma

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Abstract. Long non-coding RNAs (lncRNAs) are frequently dysregulated in cancer and their aberrant expression has been associated with cancer diagnosis and prognosis, which suggests that they may be promising molecular biomarkers. However, understanding of the expression pattern of lncRNAs and their prognostic roles in head and neck squamous cell carcinoma (HNSCC) is relatively limited. In the current study, the prognostic value of lncRNA expression profiles in predicting the OS of patients with HNSCC was investigated by integrating clinical and profiling data from The Cancer Genome Atlas. A total of ten lncRNAs closely associated with the prognosis of patients with HNSCC were identified and may serve as novel biomarkers. This 10-lncRNA signature was used to classify patients into 2 groups with significantly different overall survival (OS) times (median OS time, 1.65 vs. 13.04 years; $P < 0.0001$). This lncRNA signature was validated in an independent testing cohort. The results of multivariable Cox regression and stratification analyses revealed that the prognostic value of the 10-lncRNA signature was independent of other clinical and pathological factors for the survival of patients with HNSCC. Functional analysis demonstrated that lncRNA expression-based risk scoring may reflect the basic status of the immune response in the tumor microenvironment. The presented study demonstrated the value of a lncRNA signature as a potential biomarker to improve the clinical prognosis of patients with HNSCC.

Introduction

Head and neck squamous cell carcinoma (HNSCC), arising in the oral cavity, oropharynx, hypopharynx and larynx, is the sixth most common type of cancer worldwide, with ~635,000 new cases diagnosed annually and >12% of these cases occurring in China (1). Advances in early diagnosis and surgical techniques combined with radiotherapy and chemotherapy have improved the survival rate of patients with HNSCC in the last 20 years, and the overall 5-year relative survival rate has increased from 54.7% (1992-1996) to 65.9% (2002-2006) (2). However, even among patients with HNSCC of the same classification, the prognosis may vary (3). Therefore, there is a requirement to identify novel molecular biomarkers of aggressive tumor behavior.

The human genome encodes ~20,000 protein-coding genes, accounting for <2% of the human genome, as the majority of the human genome is actively transcribed into non-coding RNAs (ncRNAs) (4). These ncRNAs are divided into two categories based on their sequence length: Short ncRNAs, including microRNAs (miRNAs), and long ncRNAs (lncRNAs). lncRNAs are often defined as transcripts >200 nucleotides in length that lack protein-coding capacity (5). lncRNAs function in regulation of gene expression and cellular activity through diverse mechanisms (6). Previous studies have suggested that lncRNA expression is frequently dysregulated in cancer and that aberrant expression is associated with cancer diagnosis and prognosis, suggesting that lncRNAs may be promising molecular biomarkers (7-10). Certain lncRNAs have been implicated in HNSCC, including H19 imprinted maternally expressed transcript (11), HOX transcript antisense RNA (12) and cytoskeleton regulator RNA (13). However, the understanding of lncRNA expression patterns and their prognostic roles in HNSCC remains limited.

The aim of the current study was to determine the prognostic value of lncRNA expression profiles and to identify novel lncRNA biomarkers closely associated with the OS of patients with HNSCC using a large cohort of >400 patients with HNSCC.

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Key words: long non-coding RNA, head and neck squamous cell carcinoma, survival, biomarker, signature

Materials and methods

HNSCC dataset. The clinical features of the patients with HNSCC used in the present study were obtained from The Cancer Genome Atlas (TCGA; tcga-data.nci.nih.gov/). The lncRNA expression data were downloaded from the The Atlas of Noncoding RNAs in Cancer (bioinformatics.mdanderson.org/main/TANRIC:Overview), in which lncRNA expression was quantified and normalized using reads per kilobase per million mapped values (14). To investigate the association between lncRNA expression and OS of patients with HNSCC, only patients with available survival data and lncRNA expression profiles were selected. Thus, 425 patients were selected and randomly divided into a training cohort (n=213) and testing cohort (n=212) for identifying and validating survival-associated lncRNA biomarkers.

Identification of survival-associated lncRNA biomarkers. Univariate Cox regression analysis was used to assess the association between lncRNA expression and OS time in the training cohort. lncRNAs achieving significance of $P < 0.01$ were considered as candidate survival-associated lncRNAs. These candidate survival-associated lncRNAs were then analyzed using multivariate Cox regression analysis, and those achieving $P < 0.01$ in this analysis were identified as independent survival-associated lncRNAs. All survival-associated lncRNAs were combined to construct a lncRNA expression signature using a risk scoring method. A lncRNA expression signature was constructed using a risk-scoring method as previously described (7,8,15-17): The lncRNA expression signature was established by including the expression values of each selected lncRNA, weighted by their estimated regression coefficients from the multivariate Cox regression analysis. A risk score was calculated for each patient using the lncRNA expression signature. Patients were further divided into low-risk and high-risk groups using the median score of all patients of the training cohort as the cut-off point.

Statistical analysis. The Kaplan-Meier method and a log-rank test were used to compare the difference in OS time between the high- and low-risk groups. Univariate and multivariate Cox regression analyses for OS time were performed for individual clinical features, with and without consideration of the lncRNA expression signature in each cohort. Hazard ratios (HR) and 95% confidence intervals (CI) were calculated. Time-dependent receiver operating characteristic (ROC) curve analysis for the 3-year OS time was performed to assess the prognostic value of the lncRNA expression signature using the timeROC package (version 0.3) in R (18). The survival analysis, univariate and multivariate Cox regression analyses was performed using the survival package in R (<https://github.com/therneau/survival>) (19). The correlations between protein-coding genes and the lncRNA biomarkers were identified by Pearson's correlation coefficient using the entire TCGA data cohort of 425 patients with HNSCC. All statistical analyses were performed using R/Bioconductor (version 3.0.2; bioconductor.org/).

Function enrichment analysis. To investigate the potential biological role of the identified lncRNA biomarkers,

Gene Ontology (GO; <http://geneontology.org/>) and Kyoto Encyclopedia of Genes and Genomes (KEGG; <https://www.genome.jp/kegg/>) functional enrichment analysis of protein-coding genes associated with the identified lncRNA biomarkers was performed using The Database for Annotation, Visualization and Integrated Discovery (DAVID) (v.6.8; david.ncifcrf.gov/).

Results

Identification of prognostic lncRNA biomarkers in HNSCC. To identify lncRNAs associated with the OS time of patients with HNSCC, univariate Cox regression analysis was used to assess the association between lncRNA expression and OS time in the training cohort. A total of 32 lncRNAs were demonstrated to be significantly associated with OS time for patients with HNSCC ($P < 0.01$) and were considered as candidate survival-associated lncRNAs (Fig. 1A). By performing multivariable Cox regression analysis on the 32 candidate survival-associated lncRNAs, 10 candidate survival-associated lncRNAs ($P < 0.01$; Table I) were identified as potential independent prognostic lncRNA biomarkers. A total of four prognostic lncRNAs were identified as risk factors and their overexpression was associated with a shorter OS time (Table I). The remaining six prognostic lncRNAs were protective factors and their overexpression was associated with longer OS time (Table I).

Construction and evaluation of the lncRNA expression signature in predicting survival in the training cohort. To construct an lncRNA-based prognostic model for predicting the OS time of patients with HNSCC in the training cohort, the ten lncRNA biomarkers were fitted into a multivariable Cox regression model. A lncRNA expression signature was constructed using a risk-scoring method as previously described (6,7,15-17): lncRNA-based risk score = $[(1.2680 \times \text{expression value of AC002066.1}) + (0.7834 \times \text{expression value of AC002351.1}) + (3.8306 \times \text{expression value of LINC00968}) + (7.3969 \times \text{expression value of AF213884.3}) + (1.7179 \times \text{expression value of AC067838.1}) + (2.7346 \times \text{expression value of AC015911.3}) + (0.7579 \times \text{expression value of LINC02159}) + (3.5427 \times \text{expression value of AC090948.1}) + (3.5687 \times \text{expression value of AL031714.1}) + (11.2941 \times \text{expression value of DLEU7-AS1})]$.

A patient with HNSCC was classified as low-risk (n=106) if their risk score was lower than the median risk score of the training cohort (-1.0376) and as high-risk (n=107) if it was higher. Patients with high-risk scores exhibited poorer OS times compared with patients with low-risk scores (median OS time, 1.65 vs. 13.04 years; $P < 0.0001$; Fig. 1B). In univariate analysis, the hazard ratios of the high-risk group vs. the low-risk group for OS time were 5.142 (95% CI, 2.924-9.043; $P < 0.0001$; Table II). The 3- and 5-year survival rates of the high-risk group were 34.8 and 16.8%, respectively, whereas those of the low-risk group were 77 and 72.7%, respectively. The area under the curve (AUC) of the time-dependent ROC curve for the lncRNA expression signature was 0.796 for 3-year OS time ($P < 0.01$; Fig. 1C).

Independent validation of the lncRNA expression signature in the testing and entire TCGA cohorts. To test the predictive

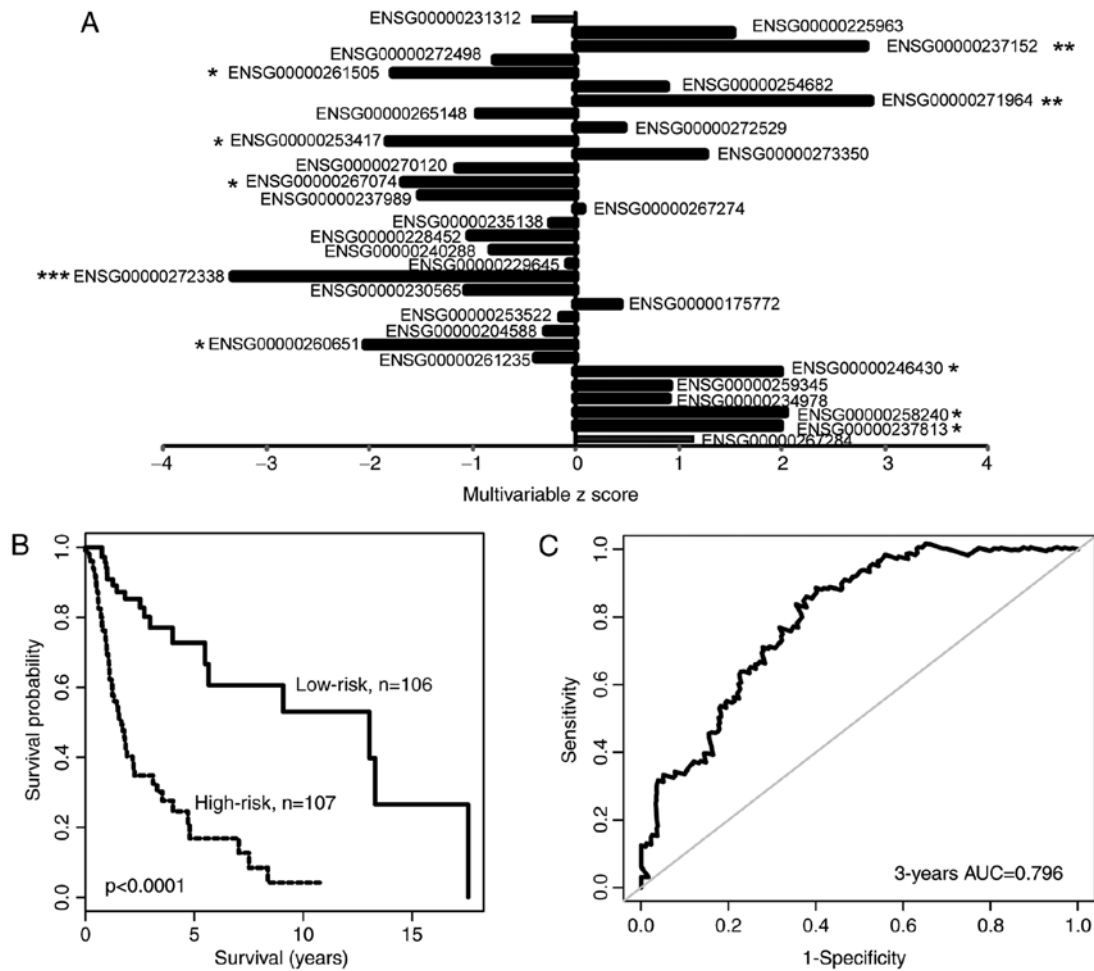


Figure 1. Identification of prognostic lncRNAs in the training cohort. (A) Multivariate analysis of the expression levels of 32 candidate survival-associated lncRNAs, with OS time as the dependent variable. *P<0.05, **P<0.01 and ***P<0.001. (B) Kaplan-Meier survival curves of OS time for the high- and low-risk groups. (C) Receiver operating characteristic curve of the lncRNA expression signature in predicting the 3-year OS time of patients with head and neck squamous cell carcinoma. lncRNAs, long non-coding RNAs; OS, overall survival; AUC, area under the curve.

performance of the lncRNA expression signature, the lncRNA expression signature was validated in the test cohort. By using the aforementioned risk score model, 212 patients from the testing cohort were classified into high-risk (n=85) and low-risk (n=127) groups using the risk score cut-off derived from training cohort (-1.0376). As observed in the training cohort, the OS time of patients in the high-risk group was significantly shorter compared with that of patients in the low-risk group (median OS time, 2.36 vs. 4.83 years, respectively; P=0.0075; Fig. 2A). In univariate analysis, the HR of the high-risk vs. low-risk group for OS time was 1.907 (95% CI, 1.179-3.085; P=0.0085; Table II). The 3- and 5-year survival rates of the high-risk group were 43.7 and 39.3%, respectively, whereas those of the low-risk group were 71.9 and 49.9%, respectively. The AUC of the time-dependent ROC curve for the lncRNA expression signature was 0.637 for 3-year OS time (P<0.01; Fig. 2B).

The prognostic value of the lncRNA signature was subsequently analyzed in the entire TCGA cohort of 425 patients. Using the aforementioned risk score model and cut-off value of the training cohort, patients were segregated into high-risk (n=179) and low-risk (n=233) groups with significantly different OS times (median OS time, 1.79 vs. 9.08 years;

P<0.0001; Fig. 2C). In univariate analysis, the HR of the high-risk vs. low-risk group for OS time was 3.014 (95% CI, 2.111-4.304; P<0.0001; Table II). The 3- and 5-year survival rates of the high-risk group were 39.1 and 27.1%, respectively, whereas those of the low-risk group were 73.8 and 61.9%, respectively. The AUC of time-dependent ROC curve for the lncRNA expression signature was 0.718 for 3-year OS time (P<0.01; Fig. 2D).

The distribution of risk score, the survival status of the patients with HNSCC and the expression pattern of the lncRNA biomarkers in the training cohort, testing cohort and entire TCGA cohort are presented in Fig. 3. Patients in the high-risk group exhibited higher expression of the four lncRNAs associated with poor prognosis compared with patients in the low-risk group, whereas patients in the low-risk group expressed higher levels of the six protective prognostic lncRNAs compared with the high-risk group.

lncRNA expression signature is independent of clinical features.

To assess whether the survival-prediction ability of the lncRNA expression signature was independent of clinical features, multivariate Cox regression analysis was performed using the following variables: Risk score, age, sex, anatomic neoplasm

Table 1. The 10 independent prognostic long non-coding RNA biomarkers in patients with head and neck squamous cell carcinoma.

| Ensembl ID | Gene symbol | Chromosomal location | P-value | Hazard ratio | Cox multivariate coefficient |
|-----------------|-------------|---|---------|--------------|------------------------------|
| ENSG00000237813 | AC002066.1 | Chromosome 7: 116,238,260-116,499,465 reverse strand | 0.0003 | 3.968 | 1.378 |
| ENSG00000258240 | AC002351.1 | Chromosome 12: 110,951,683-110,957,812 reverse strand | 0.0003 | 1.973 | 0.680 |
| ENSG00000246430 | LINC00968 | Chromosome 8: 56,496,246-56,559,823 reverse strand | 0.0017 | 10.549 | 2.356 |
| ENSG00000260651 | AF213884.3 | Chromosome 4: 102,500,841-102,501,319 reverse strand | 0.0020 | 0.00004 | 7.776 |
| ENSG00000272338 | AC067838.1 | Chromosome 8: 33,360,839-33,361,415 reverse strand | 0.0032 | 0.320 | 1.139 |
| ENSG00000267074 | AC015911.3 | Chromosome 17: 35,499,690-35,510,270 reverse strand | 0.0059 | 0.101 | 2.297 |
| ENSG00000253417 | LINC02159 | Chromosome 5: 160,931,778-160,938,626 reverse strand | 0.0067 | 0.469 | 0.758 |
| ENSG00000271964 | AC090948.1 | Chromosome 3: 16,314,439-16,314,987 forward strand | 0.0076 | 0.039 | 3.240 |
| ENSG00000261505 | AL031714.1 | Chromosome 16: 1,317,891-1,322,845 reverse strand | 0.0080 | 0.013 | 4.338 |
| ENSG00000237152 | DLEU7-AS1 | Chromosome 13: 50,807,856-50,849,905 forward strand | 0.0094 | 541.424 | 6.294 |

subdivision, history of other malignancies, lymphovascular invasion, perineural invasion, pathological lymph node status (pN), extracapsular spread, clinical stage, pathological stage, alcohol-consumption history, margin status and tumor grade. The results demonstrated that the lncRNA expression signature was significantly associated with OS time in the training cohort (HR, 16.03; 95% CI, 3.609-71.154; P=0.0003), testing cohort (HR, 4.337; 95% CI, 1.245-15.108; P=0.0212) and entire TCGA cohort (HR, 4.5375; 95% CI, 2.169-9.491; P=0.0001; Table II).

Data stratification analysis was performed for age and pN status, as these two variables were significant in the multivariate analysis. The patients were divided into two cohorts: Younger (<60 years; n=183) and older (≥60 years; n=242). Using the lncRNA expression signature, patients in the younger cohort were further divided into high-risk and low-risk groups (Fig. 4A). Similar results were observed when the lncRNA expression signature was applied to the older cohort, in which patients were further divided into high-risk and low-risk groups (Fig. 4B). All patients were subsequently divided into two patient cohorts according to pN status: pN-positive cohort (n=339) and pN-negative cohort (n=45). The lncRNA expression signature was applied to classify patients of the pN-positive cohort and the pN-negative cohort into high-risk and low-risk groups. Kaplan-Meier survival analysis indicated that the OS time of patients in the high-risk group was significantly shorter compared with that of patients in the low-risk group, despite having the same pN status (P<0.0001 for pN-positive cohort and P=0.0014 for pN-negative cohort). These results indicated that the predictive ability of the lncRNA expression signature was independent of commonly used clinical features for predicting the survival of patients with HNSCC.

Functional characteristics of the identified lncRNA biomarkers. To investigate the potential biological role of the identified lncRNA biomarkers, GO and KEGG functional enrichment analysis of protein-coding genes associated with the identified lncRNA biomarkers was performed. The correlations between protein-coding genes and the lncRNA biomarkers were identified by Pearson's correlation coefficient using the entire TCGA data cohort of 425 patients with HNSCC. The protein-coding genes ranked in the top 1% were used for GO and KEGG functional enrichment analysis. The results of GO analysis suggested that the protein-coding genes were enriched in 14 GO terms, which could be categorized into two functional clusters: 'Cell-adhesion' and 'immune response' (Fig. 5A). The results of GO analysis suggested that protein-coding genes correlated with the identified lncRNA biomarkers were enriched in 11 KEGG biological pathways, including 'Cytokine-cytokine receptor interaction', 'Primary immunodeficiency', 'Cell adhesion molecules', 'Hematopoietic cell lineage', 'T cell receptor signaling pathway', 'Ras signaling pathway', 'Focal adhesion', 'Rap1 signaling pathway', 'Regulation of actin cytoskeleton', 'Pathways in cancer', and 'PI3K-Akt signaling pathway' (Fig. 5B).

Discussion

HNSCC is a heterogeneous disease characterized by distinct clinical and molecular features (20). Traditional staging diagnosis, treatment options and prognostic prediction of HNSCC

Table II. Univariate and multivariate Cox regression analysis of overall survival in each patient cohort.

| A, Training cohort (n=213) | | | | | | |
|---------------------------------|---------------------|--------------|---------|-----------------------|--------------|---------|
| Variable | Univariate analysis | | | Multivariate analysis | | |
| | HR | 95% CI of HR | P-value | HR | 95% CI of HR | P-value |
| 10-lncRNA risk score | | | | | | |
| High/low | 5.1420 | 2.924-9.043 | <0.0001 | 16.0300 | 3.609-71.154 | 0.0003 |
| Age, years | | | | | | |
| ≥60/<60 | 1.2850 | 0.803-2.058 | 0.2960 | 1.9340 | 0.718-5.211 | 0.1921 |
| Sex | | | | | | |
| Male/Female | 0.9770 | 0.588-1.622 | 0.9280 | 1.2660 | 0.39-4.113 | 0.6945 |
| Anatomic neoplasm subdivision | | | | | | |
| Larynx/Others | 1.7810 | 0.934-3.396 | 0.0798 | 1.8800 | 0.429-8.236 | 0.4021 |
| Oral cavity/Others | 1.0140 | 0.488-2.108 | 0.9697 | 0.0801 | 0.007-0.919 | 0.0426 |
| Oral tongue/Others | 1.2080 | 0.627-2.33 | 0.5721 | 0.9678 | 0.215-4.353 | 0.9660 |
| History of other malignancy | | | | | | |
| Yes/No | 0.3650 | 0.051-2.638 | 0.3180 | <0.0001 | 0-∞ | 0.9982 |
| Lymphovascular invasion present | | | | | | |
| Yes/No | 1.3080 | 0.675-2.533 | 0.4260 | 0.4456 | 0.132-1.506 | 0.1932 |
| Perineural invasion present | | | | | | |
| Yes/No | 1.4150 | 0.754-2.657 | 0.2800 | 2.1350 | 0.543-8.401 | 0.2776 |
| pN | | | | | | |
| Positive/Negative | 0.7470 | 0.379-1.472 | 0.4000 | 0.0222 | 0.002-0.296 | 0.0040 |
| ECS | | | | | | |
| Positive/Negative | 2.2550 | 1.236-4.114 | 0.0081 | 2.2020 | 0.593-8.174 | 0.2384 |
| Clinical stage | | | | | | |
| III,IV/I,II | 1.2750 | 0.761-2.136 | 0.3567 | 2.6400 | 0.459-15.195 | 0.2769 |
| Pathological stage | | | | | | |
| III,IV/I,II | 1.7000 | 0.937-3.085 | 0.0810 | 0.7086 | 0.129-3.891 | 0.6918 |
| Alcohol history | | | | | | |
| Yes/No | 0.7990 | 0.496-1.289 | 0.3581 | 4.1760 | 0.955-18.265 | 0.0576 |
| Margin status | | | | | | |
| Positive/Negative | 1.2310 | 0.64-2.365 | 0.5332 | 8.2830 | 0.954-71.921 | 0.0552 |
| Tumor grade | | | | | | |
| G3,4/G1,2 | 0.7850 | 0.453-1.359 | 0.3867 | 3.6370 | 1.178-11.228 | 0.0247 |

| B, Test cohort (n=212) | | | | | | |
|-------------------------------|---------------------|--------------|---------|-----------------------|--------------|---------|
| Variable | Univariate analysis | | | Multivariate analysis | | |
| | HR | 95% CI of HR | P-value | HR | 95% CI of HR | P-value |
| 10-lncRNA risk score | | | | | | |
| High/low | 1.9070 | 1.179-3.085 | 0.0085 | 4.3370 | 1.245-15.108 | 0.0212 |
| Age, years | | | | | | |
| ≥60/<60 | 1.2590 | 0.774-2.049 | 0.3529 | 6.0650 | 1.297-28.365 | 0.0220 |
| Sex | | | | | | |
| Male/Female | 0.7420 | 0.45-1.224 | 0.2424 | 2.0370 | 0.442-9.386 | 0.3614 |
| Anatomic neoplasm subdivision | | | | | | |
| Larynx/Others | 0.6913 | 0.344-1.388 | 0.2990 | <0.0001 | 0-∞ | 0.9971 |
| Oral cavity/Others | 1.3777 | 0.742-2.56 | 0.3110 | 1.3930 | 0.277-7.009 | 0.6873 |
| Oral tongue/Others | 1.2292 | 0.631-2.395 | 0.5440 | 0.3850 | 0.095-1.552 | 0.1796 |

Table II. Continued.

| B, Test cohort (n=212) | | | | | | |
|--|---------------------|--------------|---------|-----------------------|---------------|---------|
| Variable | Univariate analysis | | | Multivariate analysis | | |
| | HR | 95% CI of HR | P-value | HR | 95% CI of HR | P-value |
| History of other malignancy | | | | | | |
| Yes/No | 1.0080 | 0.404-2.516 | 0.9861 | 0.6159 | 0.061-6.203 | 0.6809 |
| Lymphovascular invasion present | | | | | | |
| Yes/No | 1.4140 | 0.746-2.683 | 0.2885 | 3.6560 | 0.829-16.13 | 0.0869 |
| Perineural invasion present | | | | | | |
| Yes/No | 1.8060 | 0.991-3.291 | 0.0535 | 4.0110 | 1.049-15.345 | 0.0424 |
| pN | | | | | | |
| Positive/Negative | 0.5530 | 0.28-1.094 | 0.0889 | 0.0018 | 0.0002-0.1168 | 0.0030 |
| ECS | | | | | | |
| Positive/Negative | 1.9730 | 1.105-3.523 | 0.0216 | 0.7748 | 0.196-3.071 | 0.7165 |
| Clinical stage | | | | | | |
| III,IV/I,II | 0.9490 | 0.54-1.667 | 0.8554 | 16.1200 | 1.204-215.749 | 0.0357 |
| Pathologic stage | | | | | | |
| III,IV/I,II | 1.2590 | 0.667-2.376 | 0.4771 | 1.7120 | 0.136-21.539 | 0.6772 |
| Alcohol history | | | | | | |
| Yes/No | 0.9900 | 0.601-1.631 | 0.9696 | 3.4710 | 0.684-17.617 | 0.1332 |
| Margin status | | | | | | |
| Positive/Negative | 1.8580 | 0.954-3.617 | 0.0685 | 0.7339 | 0.095-5.688 | 0.7671 |
| Tumor grade | | | | | | |
| G3,4/G1,2 | 0.8440 | 0.504-1.412 | 0.5178 | 2.6290 | 0.589-11.728 | 0.2052 |
| C, Entire The Cancer Genome Atlas cohort (n=425) | | | | | | |
| Variable | Univariate analysis | | | Multivariate analysis | | |
| | HR | 95% CI of HR | P-value | HR | 95% CI of HR | P-value |
| 10-lncRNA risk score | | | | | | |
| High/low | 3.0140 | 2.111-4.304 | <0.0001 | 4.5375 | 2.169-9.491 | 0.0001 |
| Age, years | | | | | | |
| ≥60/<60 | 1.2860 | 0.918-1.802 | 0.1440 | 1.8743 | 0.947-3.709 | 0.0712 |
| Sex | | | | | | |
| Male/Female | 0.8370 | 0.588-1.192 | 0.3250 | 1.1553 | 0.519-2.573 | 0.7239 |
| Anatomic neoplasm subdivision | | | | | | |
| Larynx/Others | 1.1600 | 0.733-1.836 | 0.5270 | 0.3415 | 0.105-1.112 | 0.0745 |
| Oral cavity/Others | 1.2170 | 0.762-1.944 | 0.4120 | 0.5533 | 0.178-1.719 | 0.3062 |
| Oral tongue/Others | 1.1780 | 0.745-1.864 | 0.4840 | 0.9037 | 0.418-1.956 | 0.7972 |
| History of other malignancy | | | | | | |
| Yes/No | 0.7650 | 0.337-1.737 | 0.5216 | 0.5668 | 0.069-4.659 | 0.5973 |
| Lymphovascular invasion present | | | | | | |
| Yes/No | 1.3320 | 0.844-2.1 | 0.2183 | 1.0942 | 0.512-2.339 | 0.8165 |
| Perineural invasion present | | | | | | |
| Yes/No | 1.6360 | 1.06-2.526 | 0.0263 | 1.8780 | 0.894-3.947 | 0.0963 |
| pN | | | | | | |
| Positive/Negative | 0.6400 | 0.396-1.034 | 0.0680 | 0.0264 | 0.003-0.2 | 0.0004 |
| ECS | | | | | | |
| Positive/Negative | 2.0310 | 1.342-3.074 | 0.0008 | 1.4968 | 0.692-3.237 | 0.3055 |

Table II. Continued.

C, Entire The Cancer Genome Atlas cohort (n=425)

| Variable | Univariate analysis | | | Multivariate analysis | | |
|------------------------------------|---------------------|--------------|---------|-----------------------|--------------|---------|
| | HR | 95% CI of HR | P-value | HR | 95% CI of HR | P-value |
| Clinical stage III,IV/I,II | 1.1240 | 0.771-1.638 | 0.5433 | 2.7613 | 0.729-10.464 | 0.1351 |
| Pathological stage III,IV/I,II | 1.4940 | 0.969-2.305 | 0.0694 | 1.0189 | 0.278-3.732 | 0.9775 |
| Alcohol history Yes/No | 0.8930 | 0.633-1.258 | 0.5160 | 1.9261 | 0.854-4.342 | 0.1140 |
| Margin status Positive/Negative | 1.5120 | 0.95-2.406 | 0.0809 | 1.9081 | 0.59-6.173 | 0.2808 |
| Tumor grade G3,4/G1,2 | 0.8280 | 0.571-1.199 | 0.3174 | 1.0951 | 0.511-2.346 | 0.8153 |

HR, hazard ratio; CI, confidence interval; pN, pathological lymph node status; ECS, extracapsular spread; G, grade.

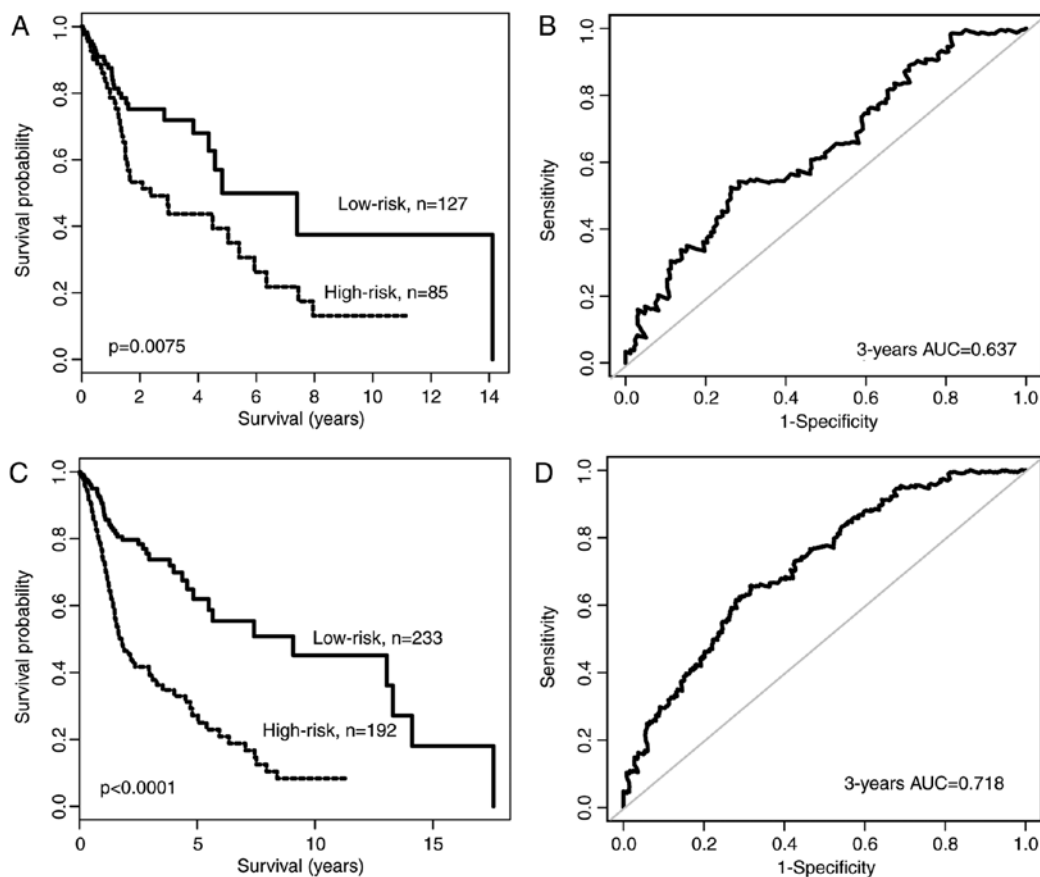


Figure 2. Further validation of the lncRNA expression signature in predicting overall survival. (A) Kaplan-Meier survival curves of the OS time in the high- and low-risk groups in the testing cohort. (B) ROC curve of the lncRNA signature in predicting 3-year OS time of patients in the test cohort. (C) Kaplan-Meier survival curves of OS time between high- and low-groups in the entire TCGA cohort. (D) ROC curve of the lncRNA signature in predicting the 3-year OS time of patients in the entire TCGA cohort. lncRNA, long non-coding RNA; ROC, receiver operating characteristic; AUC, area under the curve; TCGA, The Cancer Genome Atlas.

do not allow for precision medicine, due to the diverse molecular features between patients with identical American Joint Committee on Cancer TNM staging (21). Molecular profiles

of patients with HNSCC have been investigated in previous reports, which demonstrated the potential of molecular profiles as novel biomarkers to predict treatment outcome and to

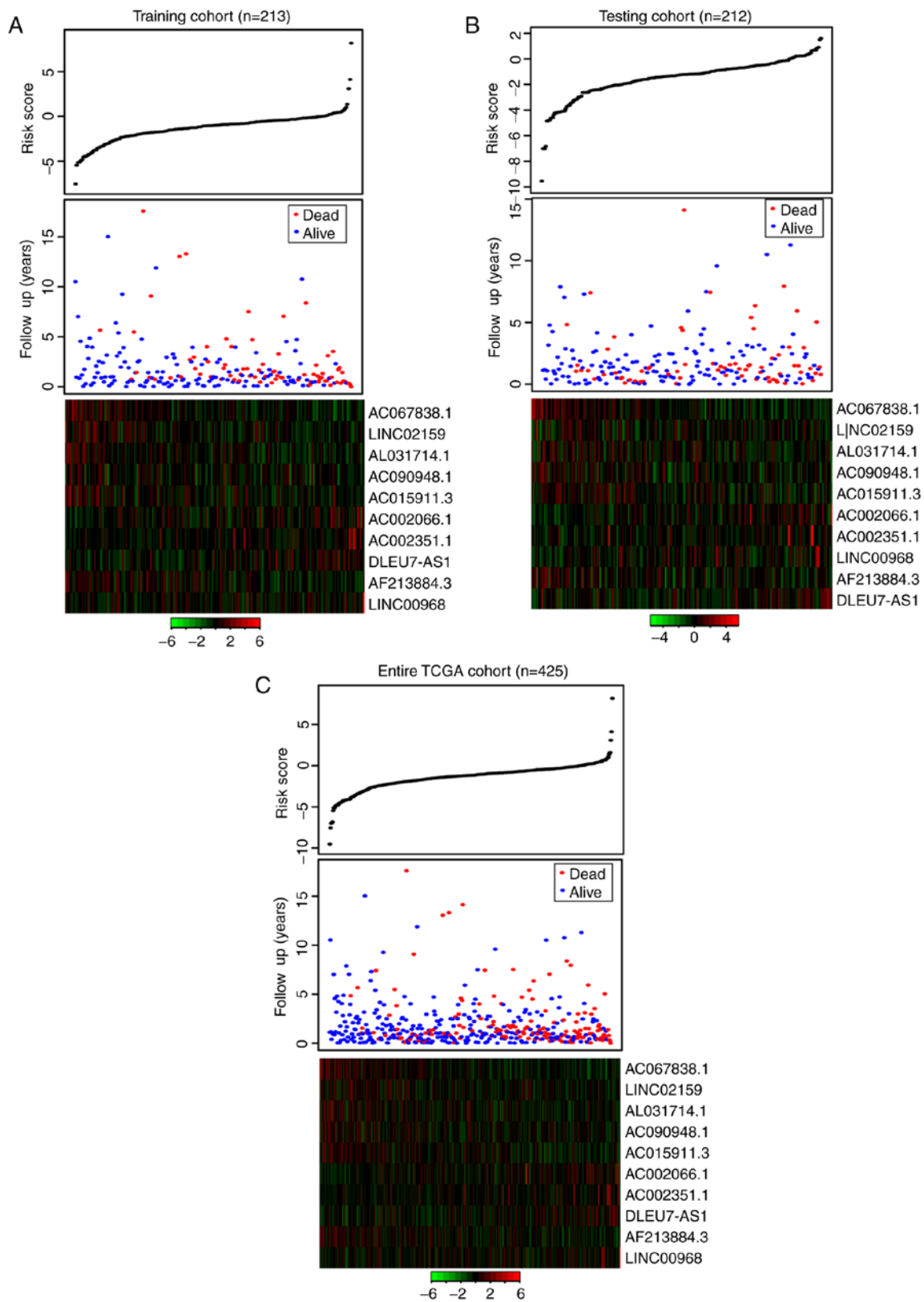


Figure 3. Presentation of the lncRNA signature-based risk scoring of patients with HNSCC. The distribution of risk score, the survival status of patients with HNSCC and the expression pattern of the lncRNA biomarkers in (A) the training cohort, (B) the testing cohort and (C) the entire TCGA cohort. Red represents upregulated lncRNAs and green represents downregulated lncRNAs. lncRNA, long non-coding RNA; HNSCC, head and neck squamous cell carcinoma; TCGA, The Cancer Genome Atlas.

guide treatment strategies (21-24). However, these studies are restricted to protein-coding gene data and miRNA data. An improved understanding of the role of lncRNAs in HNSCC

may result in lncRNA expression emerging as a promising molecular biomarker for predicting the prognosis of patients with HNSCC (25,26).

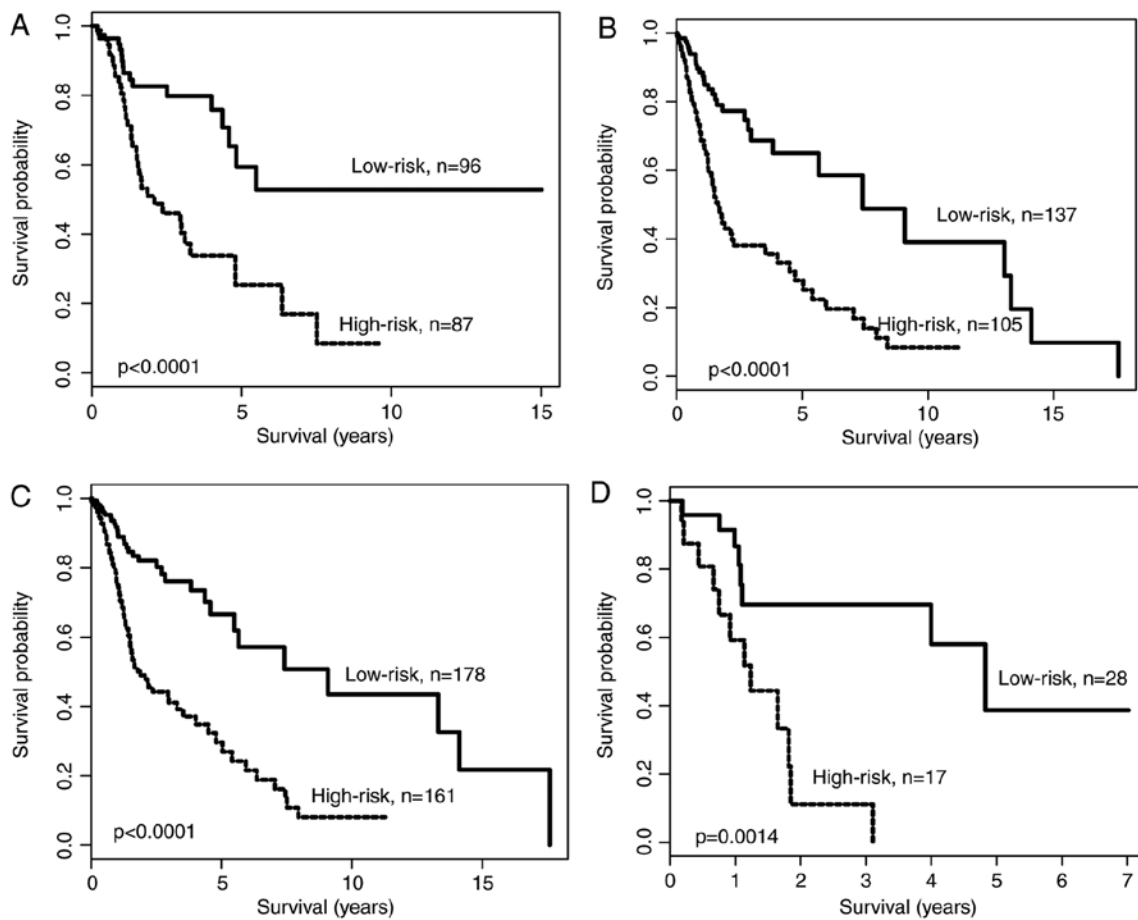


Figure 4. Predictive performance of the long non-coding RNA expression signature is independent of age and pathological lymph node status. (A) Kaplan-Meier survival curves for younger patients with HNSCC (<60 years). (B) Kaplan-Meier survival curves for older patients with HNSCC (≥60 years). (C) Kaplan-Meier survival curves for patients with HNSCC with positive pathological lymph node status. (D) Kaplan-Meier survival curves for patients with HNSCC with negative pathological lymph node status. HNSCC, head and neck squamous cell carcinoma.

The current study investigated the prognostic values of lncRNA expression profiles in predicting the OS time of patients with HNSCC by integrating clinical and profiling data from TCGA. A total of ten novel lncRNAs were identified as potential prognostic markers for patients with HNSCC. These were used to develop a prognostic signature using a risk scoring method, which classified the patients into 2 groups with significantly different OS times. The lncRNA signature identified in the training cohort demonstrated a similar prognostic value in the testing and the entire TCGA cohorts. Multivariable Cox regression analysis indicated that the signature was an independent prognostic factor for patients with HSNCC. Thus, the prognostic value of the lncRNA signature may have clinical potential for patients with HNSCC.

Furthermore, functional enrichment analysis was performed to investigate the biological roles of the lncRNA signature in HNSCC. Protein-coding genes, whose expression values were positively associated with the lncRNA signature, were enriched in 14 GO biological terms and 11 KEGG biological pathways. These enriched GO biological processes and KEGG pathways were categorized into ‘cell-adhesion’ and ‘immune response’. Thus, the ten lncRNAs associated with the survival of patients with HNSCC may be involved in cell-adhesion and the immune response. A number of

studies have indicated that dysfunction of cell-adhesion and cell-migration serves an important role in the processes of invasion and metastasis in HNSCC (27,28). HNSCC is an immunosuppressive disease characterized by dysregulation of immunocompetent cells and impaired cytokine secretion (29). The immune system serves an important role in the occurrence and progression of HNSCC, and the status of the immune system is likely to be of prognostic value in HNSCC (30). The pathway ‘immune response’ was significantly associated with the lncRNA signature, suggesting that the lncRNA expression-based risk scoring system described in the current study may reflect the basic status of the immune response in the tumor microenvironment. However, several limitations of the present study should be noted. Firstly, the ten lncRNA biomarkers identified in the present study were only validated in TCGA datasets. Further testing in other independent datasets is required. Secondly, the functions of the ten lncRNA biomarkers were only predicted using bioinformatics methods; therefore, these require further investigation using experimental methods.

In conclusion, the present study identified ten lncRNAs associated with the OS time of patients with HSNCC from a large cohort. These ten lncRNA biomarkers were used to develop a lncRNA signature which robustly predicted the survival of patients with HSNCC in the training, testing and entire TCGA

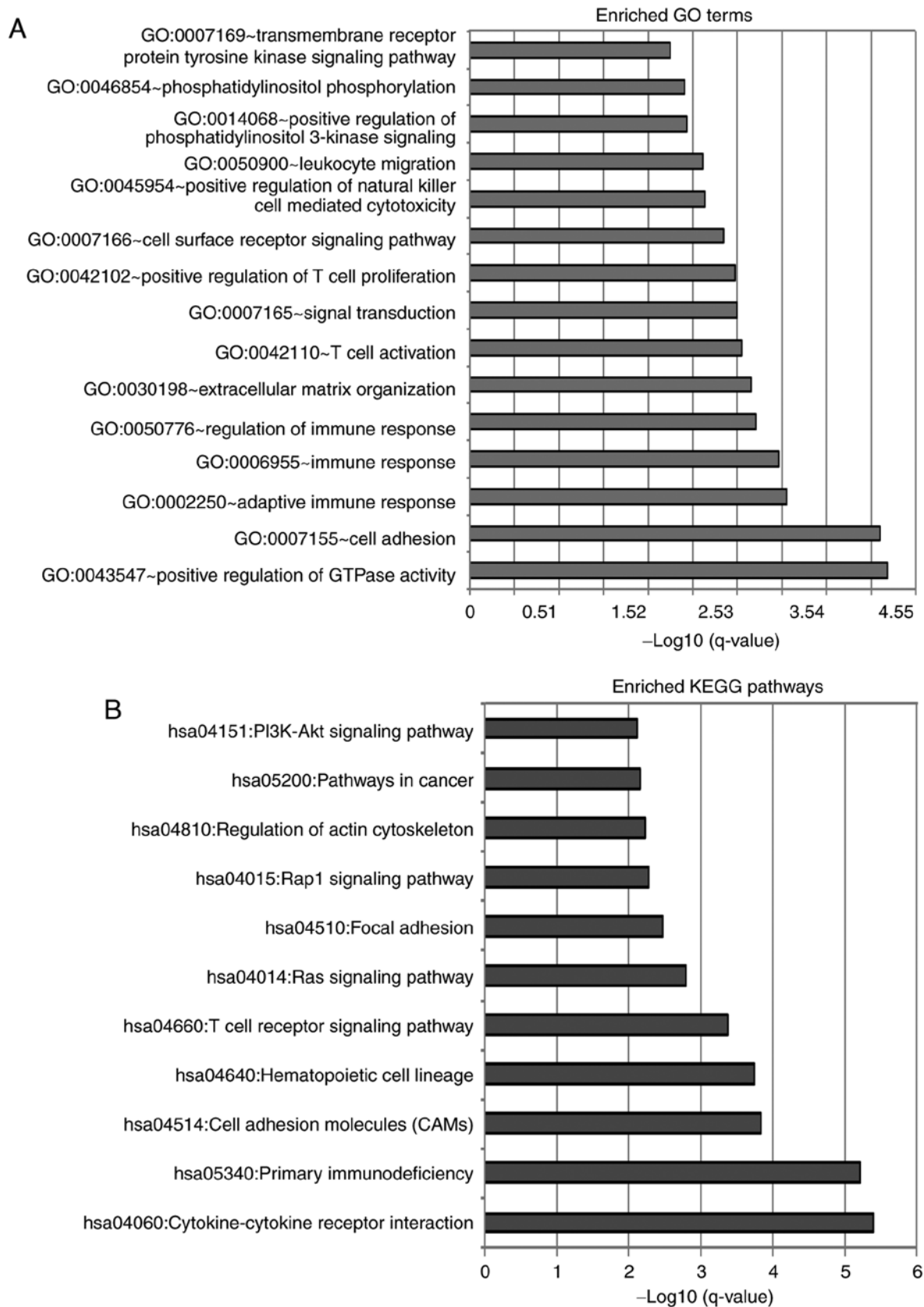


Figure 5. Functional annotations of the long non-coding RNA signature. (A) Enriched GO terms. (B) Enriched KEGG pathways. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes.

cohorts. Further analysis revealed that the prognostic value was independent of the clinical and pathological characteristics of patients with HSNCC. While the results presented in the current

study require further validation, the current study indicates that lncRNA expression profiles may be used as molecular markers to improve the clinical prognosis for patients with HSNCC.

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Availability of data and material

The datasets generated and/or analyzed during the current study are available in the The Cancer Genome Atlas (TCGA; tcga-data. nci.nih.gov/) and The Atlas of Noncoding RNAs in Cancer (bioinformatics.mdanderson.org/main/TANRIC:Overview).

Authors' contributions

YL conceived and designed the experiments. JL, YHL, XW performed the experiments and analyzed the data. YL wrote the paper. All authors read and approved the final manuscript.

Ethics approval and consent to participate

Not applicable.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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