

# SMAD3 promotes ELK3 expression following transforming growth factor $\beta$ -mediated stimulation of MDA-MB231 cells

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**Abstract.** Transforming growth factor- $\beta$  (TGF $\beta$ ) is a secreted cytokine whose aberrant spatiotemporal expression is related to cancer progression and metastasis. While TGF $\beta$  acts as a tumor suppressor in normal and premalignant stages, TGF $\beta$  functions as a tumor promoter during the malignant phases of tumor progression by prompting cancer cells to undergo epithelial-mesenchymal transition (EMT), which enhances tumor cell invasion and ultimately promotes metastasis to other organs. Extensive studies have been performed to uncover the molecular and cellular mechanisms underlying TGF $\beta$  inducing EMT in cancer cells. Here, we suggested that *ELK3*, which encodes a protein that orchestrates invasion and metastasis of triple negative breast cancer cells, is a downstream target of TGF $\beta$ -SMAD3 in MDA-MB231 cells. *ELK3* expression was increased in a time-dependent manner upon TGF $\beta$  treatment. Chemical and molecular inhibition of the TGF $\beta$  receptor blocked the ability of TGF $\beta$  to induce *ELK3* expression. Small interfering RNA-mediated suppression analysis revealed that SMAD3 induces TGF $\beta$  signaling to express *ELK3*. Moreover, the results of the luciferase reporter assay and chromatin immunoprecipitation analysis showed that SMAD3 directly binds to the SMAD-binding element on the promoter of *ELK3* to activate gene expression following TGF $\beta$  stimulation. We concluded that *ELK3* is a novel downstream target of TGF $\beta$ -SMAD3 signaling in aggressive breast cancer cells.

## Introduction

Cancer metastasis is the process of cancer cells disseminating from the primary tumor to a distal site through lymphatic tissue and blood vessels. Cancer metastasis is responsible for approximately 90% of cancer deaths, indicating that it is the primary cause of morbidity and mortality (1). Even though most solid tumors are now manageable or curable by advances in early cancer detection and treatment, cancers spreading beyond the initial primary site are usually highly incurable (2). Lack of understanding of the mechanism underlying the metastatic process has meant that the predominant cancer treatments focus on inhibition of cancer growth with little emphasis on metastasis, meaning that the overall survival of metastatic cancer patients has not been improved significantly.

Transforming growth factor- $\beta$  (TGF $\beta$ ) is one of the master factors of metastasis in that it induces the epithelial-mesenchymal transition (EMT), which is associated with cancer. EMT is the reversible orchestrated transcriptional program in which well-organized, tightly connected epithelial cells trans-differentiate into disorganized and motile mesenchymal cells. TGF- $\beta$  signaling mediated by SMAD or non-SMAD pathways plays a fundamental role in activating the transcriptional network to induce the expression of mesenchymal components and to suppress the expression of epithelial genes (3,4). As a result, epithelial cancer cells undergo dramatic remodeling of the cytoskeleton along with dissolution of tight junctions to acquire mesenchymal features that exhibit a significantly enhanced metastatic dissemination potential into distal organs. This event is induced by the activity of master regulators of EMT, which include SNAIL, SLUG, ZEB1/delta EF1 and ZEB2/SIP1 (5-8).

ELK3 is an ETS domain-containing protein capable of forming a ternary complex with DNA and serum response factor (9). ELK3 is reported to be involved in the migration and invasion of various cancer cells including aggressive basal-like breast cancer cells and liver cancer stem cells (10,11). Previously, we reported that ELK3 suppression impairs the ability of TGF $\beta$  signaling to activate the expression of mesenchymal markers such as Vimentin, Slug and SNAIL in the triple negative breast cancer cells, which suggests that ELK3 is implicated in the TGF $\beta$  signaling pathway to regulate the metastatic process of aggressive cancer cells (12,13).

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**Abbreviations:** TGF $\beta$ , transforming growth factor- $\beta$ ; SBE, SMAD binding element; TNBCs, triple negative breast cancer cells; EMT, epithelial-mesenchymal transition; siTGFR1, small interfering RNA targeting to TGF $\beta$  receptor R1; CA-ALK5, constitutively active form of TGF $\beta$  receptor-I

**Key words:** TGF $\beta$ , SMAD3, ELK3, MDA-MB-231, MCF7, luciferase assay, chromatin immunoprecipitation

In the present study, to extend our understanding of the molecular implication of ELK3 to the TGF $\beta$  signaling pathway in cancer cells, we analyzed the regulatory mechanism of TGF $\beta$  signaling on ELK3 expression. We found that TGF $\beta$  stimulates the transcriptional expression of ELK3 in the representative triple negative breast cancer cell line, MDA-MB231. Furthermore, based on the biochemical and molecular biology study, we demonstrated that TGF $\beta$ -mediated phosphorylation of SMAD3 functions as a transcriptional activator of ELK3. Taken together, our data reveal that ELK3 is a direct downstream target of TGF $\beta$ -SMAD3 signaling pathway in MDA-MB231 cells.

## Materials and methods

**Plasmids, siRNA and primers.** Information on the plasmids and siRNAs is summarized in the supplementary Tables SI and SII.

**Cell culture and transfection.** The triple negative breast cancer cell line MDA-MB231 and the human breast adenocarcinoma cell line MCF7 and the human embryonic kidney 293T cells were purchased from American Type Culture Collection (Manassas, VA, USA). These cells were maintained in DMEM (Gibco BRL Life Technologies, Rockville, MD, USA) containing 10% (v/v) heat-inactivated fetal bovine serum (Gibco BRL). 293T cells were used for the luciferase assay with the pGL3-ELK3 plasmid. Transient transfection of plasmid DNA or siRNA was performed with Lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's protocols.

**RNA extraction and reverse transcription-quantitative (RT-q) PCR.** Total RNA was extracted by manual methods using TRIzol (Invitrogen), and 1  $\mu$ g of cDNA was synthesized using the LeGene Express 1st Strand cDNA Synthesis System (LeGene Biosciences Inc., San Diego, CA, USA) according to the manufacturer's instructions. RT-qPCR was performed using synthetic cDNAs and TOPreal<sup>TM</sup> qPCR 2X PreMIX (Enzynomics, Daejeon, Korea). The expression of the target genes was normalized to that of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The PCR primers are listed in Table SIII.

**Immunoblot analysis.** Cells were lysed with RIPA buffer (Cell Signaling Technology, Beverly, MA, USA) and total cell lysates were separated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and then transferred to polyvinylidene difluoride membranes (Bio-Rad, Hercules, CA, USA). The membranes were blotted with the indicated primary antibodies at 4°C overnight. After washing with TBST, the membranes were incubated for 1 h at room temperature with secondary antibodies. Immunoreactivity was detected with an ECL kit (Thermo Scientific, Rochester, NY, USA). The antibodies used in this study are summarized in Table SIV.

**Luciferase assay.** The 293T cells were transfected with the indicated plasmids using Lipofectamine 2000 (Invitrogen) according to the manufacturer's protocols. Cells were harvested 48 h after transfection, and luciferase activity was measured

using the Dual-Luciferase Reporter Assay System (Promega) according to the manufacturer's protocols. The values of firefly luciferase were normalized to the respective values of *Renilla* luciferase.

**Chromatin immunoprecipitation.** In brief, 37% formaldehyde was added to the cell culture medium to a final concentration of 1% and incubated for 15 min at RT. Glycine was added to a final concentration of 125 mM for 5 min at RT, and the cells were washed three times with cold PBS. The cells were lysed in 400  $\mu$ l of 1X cell lysis buffer (Cell Signaling) containing protease/phosphatase inhibitor cocktail (Pierce Biotechnology). After eight rounds of sonication, the lysates were cleared by centrifugation at 13,000 rpm for 15 min at 4°C. The supernatants were mixed with 40  $\mu$ l of Dynabead protein G and 2  $\mu$ g of primary antibodies for 2 h at RT or overnight at 4°C. The complexes were washed sequentially with 1X RIPA buffer, 1X RIPA buffer (500 mM NaCl), LiCl buffer and TE buffer twice for 10 min each. Then, 3  $\mu$ l of 10% SDS and 5  $\mu$ l of 20 mg/ml proteinase K were added to separate the DNA-protein complex. The DNA was purified by the phenol/chloroform extraction method, and then it was used in PCR with primers targeting the ELK3 promoter.

**Statistical analysis.** Samples were analyzed with Student's t-test or ANOVA with Duncan's multiple range procedure for multiple comparisons. All statistical analyses were performed using GraphPad Prism 5 (GraphPad Prism, USA) or the SigmaPlot 11.2 program (Systat Software, USA). All statistical analyses were performed using GraphPad Prism 5 (GraphPad Prism, USA). The error bars represent the standard errors from three independent experiments, which were each performed using triplicate samples. P-values less than 0.05 were considered statistically significant.

## Results

**TGF $\beta$  induces accumulation of ELK3 in the nucleus of MDA-MB231 cells, but not in MCF7 cells.** Cancer cells treated with TGF $\beta$  undergo the EMT process by developing a fibroblast-like morphological appearance and changing epithelial and mesenchymal phenotype marker expression. Unlike MDA-MB231 cells, TGF $\beta$ -treated MCF7 cells that display morphological changes of EMT do not show suppression of E-cadherin, a typical epithelial phenotype marker (14). Recently, we reported that *ELK3* is highly expressed in TNBC-like MDA-MB231 cells, where it functions as a transcriptional repressor of *E-cadherin* by collaborating with ZEB1 (15). Therefore, we hypothesized that ELK3 is the missing link that explains the different molecular responses of MDA-MB231 and MCF7 cells when they are treated with TGF $\beta$ . We first compared the expression of *ELK3* between MDA-MB231 and MCF7 cells following TGF $\beta$  treatment. As expected, TGF $\beta$  stimulated *ELK3* expression in MDA-MB231 cells but not in MCF7 cells (Fig. 1A). Consistently, ELK3 protein was also accumulate in the TGF $\beta$ -treated MDA-MB231 cells (Fig. 1B). Immunocytochemical analysis and subcellular fractionation assays of the cytosol and nucleus confirmed that ELK3 accumulates in the TGF $\beta$ -treated MDA-MB231 cells (Fig. 1C and D). Overall, these data indicate that TGF $\beta$

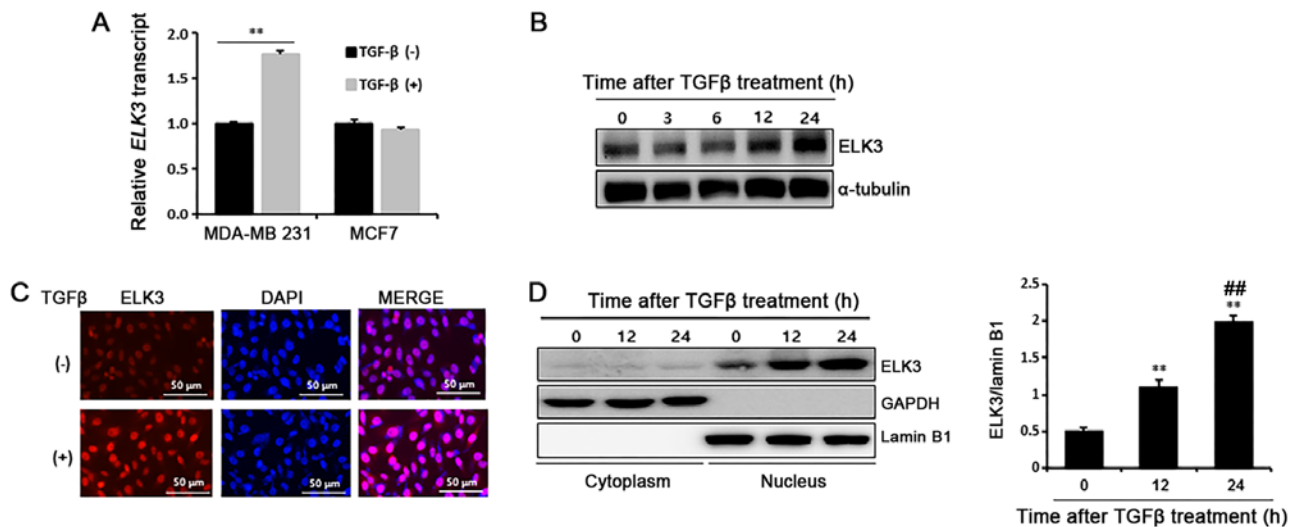


Figure 1. TGFβ induces accumulation of ELK3 in the nuclei of MDA-MB231 cells. (A) Effect of TGFβ on the expression of *ELK3* in MDA-MB231 and MCF7 cells was compared by RT-qPCR of cancer cells treated with TGFβ (5 ng/ml) for 24 h. \*\**P*<0.01. (B) The increase of ELK3 protein (right panel) upon TGFβ treatment (5 ng/ml) for the indicated time was analyzed by immunoblot assay. (C) Nuclear accumulation of ELK3 upon TGFβ treatment was examined in MDA-MB231 cells by immunocytochemical staining. Cells were treated with 5 ng/ml TGFβ for 12 h. (D) Nuclear accumulation of ELK3 was examined by immunoblot of nuclear and cytoplasmic fractions from MDA-MB231 cells treated with TGFβ for the indicated times. A loading control of the cytoplasmic fraction was estimated by GAPDH and a loading control of the nuclear fraction was estimated by Lamin B1 (left panel). For quantitative analysis, the mean density of each band was measured with Multi Gauge V3.0 software, and the band density of EL3 was divided by Lamin B1 to obtain the normalized band intensity (right panel). The error bars represent the standard errors from three independent experiments, which were each performed using triplicate samples. TGFβ, transforming growth factor-β; RT-qPCR, reverse transcription-quantitative PCR. \*\**P*<0.01 vs. 0 h; ##*P*<0.01 vs. 12 h.

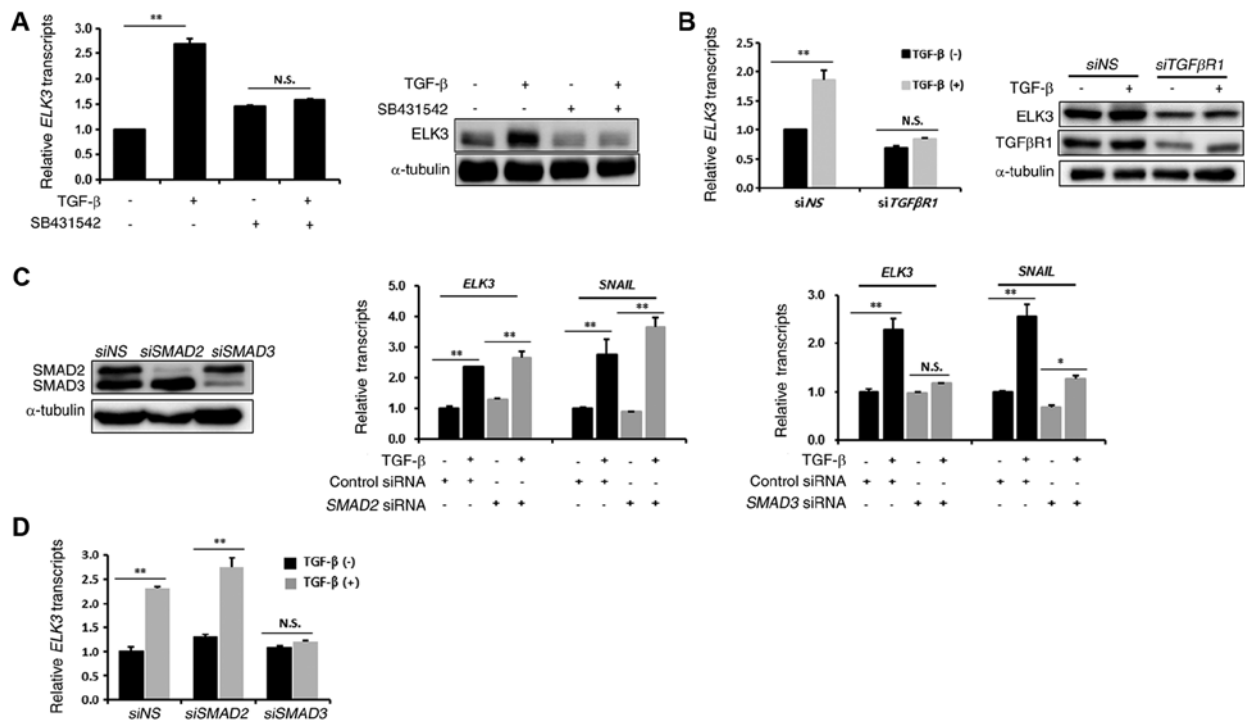


Figure 2. Effect of TGFβ on *ELK3* expression is mediated by SMAD3 but not by SMAD2. (A) Effect of chemical inhibition of the TGFβ receptor on the TGFβ-mediated activation of *ELK3*. Cells were pre-incubated with 10 μM SB431542 for 1 h and then treated with 10 ng/ml TGFβ for 24 h. Relative transcripts and protein levels of *ELK3* and TGFβ receptor-I were analyzed by RT-qPCR (left panel) and immunoblot analysis (right panel), respectively. (B) Effect of molecular inhibition of TGFβ receptor-I on the TGFβ-mediated activation of *ELK3* expression. Non-specific or TGFβ receptor-I targeting siRNAs were transfected into MDA-MB231 cells for 24 h followed by treatment with 5 ng/ml of TGFβ for 24 h. Relative transcripts and protein levels of *ELK3* and TGFβ receptor-I were analyzed by RT-qPCR (left panel) and immunoblot analysis (right panel), respectively. (C) Effect of molecular inhibition of *SMAD2* or *SMAD3* on the TGFβ-mediated activation of *ELK3* expression. Non-specific, *SMAD2* or *SMAD3* targeting siRNAs were transfected into MDA-MB231 cells for 24 h, which was followed by treatment with 5 ng/ml of TGFβ for 24 h. Knockdown effect of *SMAD2* and *SMAD3* was analyzed by immunoblot analysis (left panel). Relative transcripts and protein levels of *ELK3* and *SNAIL*, which is a target of *SMAD3*, were analyzed by RT-qPCR (middle and right panel). (D) Effect of molecular inhibition of *SMAD2* or *SMAD3* on the TGFβ-treated, time-dependent activation of *ELK3* expression. Nonspecific, *SMAD2* or *SMAD3* targeting siRNAs were transfected into MDA-MB231 cells for 24 h, which was followed by treatment with 5 ng/ml of TGFβ for additional 24 h. The expression of *ELK3* was quantified by RT-qPCR. The error bars represent the standard errors from three independent experiments, which were each performed using triplicate samples. \**P*<0.05, \*\**P*<0.01. N.S., not significant; TGFβ, transforming growth factor-β; RT-qPCR, reverse transcription-quantitative PCR; si, small interfering; NS, non-specific.

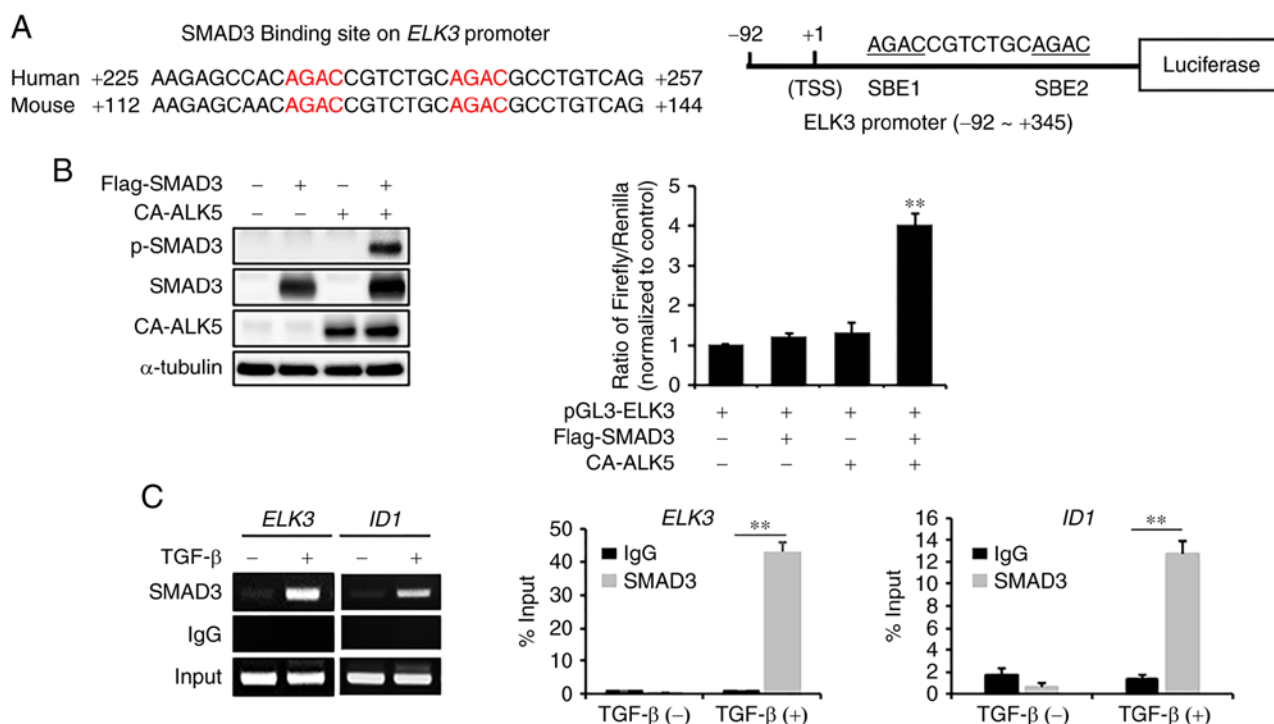


Figure 3. SMAD3 binds to the *ELK3* promoter to activate transcription upon TGF $\beta$  treatment. (A) Schematics of luciferase assay promoter construct of *ELK3* (-92 bp - +345 bp) (left panel). The right panel shows the DNA binding motif of SMAD3 in the *ELK3* promoter region of human (+225 bp - +257 bp) and mouse (+112 bp - +144 bp) genomes. (B) Effect of cotransfection of SMAD3 and constitutively active form of the TGF $\beta$  receptor-I (CA-ALK5) on the activity of the *ELK3* promoter. Reporter plasmid containing the *ELK3* promoter (pGL3-*ELK3*) was cotransfected into 293T cells with CA-ALK5 and SMAD3 expressing plasmids for 24 hrs. The expression of p-SMAD3, SMAD3 and CA-ALK5 was analyzed by immunoblot (left panel), and the activity of the *ELK3* promoter was analyzed by luciferase assay (right panel). (C) Chromatin from TGF $\beta$ -treated or nontreated MDA-MB231 cells were immunoprecipitated (ChIP) with antibodies against SMAD3 and IgG. The PCR results for the *ELK3* promoter region (+225 bp - +257 bp) are presented. SMAD3 binding to the *ID1* promoter was used as a positive control of the ChIP experiment. The error bars represent the standard errors from three independent experiments, which were each performed using triplicate samples. \*\* $P < 0.01$ . TGF $\beta$ , transforming growth factor- $\beta$ ; CA-ALK5, constitutively active form of TGF $\beta$  receptor-I; p, phosphorylated; ChIP, chromatin immunoprecipitation.

induces transcriptional activation of *ELK3* in MDA-MB231 cells but not in MCF7 cells.

**TGF $\beta$  activates *ELK3* expression via SMAD3.** To understand the underlying mechanism of *ELK3* activation by TGF $\beta$  treatment, we examined the effect of SB431542, an inhibitor of TGF $\beta$  type I receptor, on the TGF $\beta$ -mediated *ELK3* expression. As shown in Fig. 2A, pretreatment with SB431542 inhibited mRNA and protein accumulation of *ELK3* in the TGF $\beta$ -treated MDA-MB231 cells. Consistent with the result of chemical inhibition, transfection of siRNA targeting TGF $\beta$  type I receptor abolished the effect of TGF $\beta$  on the transcriptional activation of *ELK3* (Fig. 2B). We next questioned whether *ELK3* expression is regulated by SMAD2 or SMAD3. Like *SNAIL*, which is a downstream target of SMAD3, transfection of an siRNA targeting *SMAD3* (*siSMAD3*) hindered the TGF $\beta$ -mediated expression of *ELK3*, whereas an siRNA targeting *SMAD2* (*siSMAD2*) did not interfere with the TGF $\beta$  effect on *ELK3* or *SNAIL* expression (Fig. 2C). The increase in expression of *ELK3* over time in TGF $\beta$ -treated MDA-MB231 cells was similar between the control and *siSMAD2* transfected MDA-MB231 cells, whereas *siSMAD3* transfection abolished the effect of TGF $\beta$  on *ELK3* expression (Fig. 2D). Taken together, these results suggest that TGF $\beta$ -mediated transcriptional activation of *ELK3* is mediated by SMAD3.

**SMAD3 binds to the *ELK3* promoter to activate the transcription of *ELK3* upon TGF $\beta$  treatment.** To analyze whether SMAD3 functions as a direct transcriptional activator of *ELK3*, we examined the sequences of mouse and human *ELK3* promoters from -2,000 bp to the first exon region. We found that two SMAD3 binding sites (SBE) are conserved at the first exon of the *ELK3* promoter in the human and mouse genomes. Therefore, we constructed a luciferase reporter construct containing the promoter region of *ELK3* from -92 bp to +345 bp (Fig. 3A). To assess whether SMAD3 functions as a direct transcriptional activator of the *ELK3* promoter, the reporter plasmid containing the *ELK3* promoter was cotransfected into 293T cells with plasmids encoding a constitutively active form of the TGF $\beta$  type I receptor (CA-ALK5) or SMAD3. As shown in Fig. 3B, the *ELK3* reporter plasmid is activated only when ectopically expressed SMAD3 is phosphorylated by cotransfection of the CA-ALK5-expressing plasmid in 293T cells. To confirm that SMAD3 directly binds to the SBE of the *ELK3* promoter, we performed chromatin immunoprecipitation (ChIP) analysis with anti-SMAD3 antibody against genomic DNA of MDA-MB231 with or without TGF $\beta$  treatment. Since *ID1*, an inhibitor of differentiation, is a direct downstream target of SMAD3 (16), it was used as a positive control in the ChIP analysis. Like *ID1*, the SBE region of the *ELK3* promoter was significantly enriched by immunoprecipitation with the anti-SMAD3 antibody upon TGF $\beta$  stimulation



(Fig. 3C). Taken together, we concluded that SMAD3 activates transcription of *ELK3* by directly binding to the SBE region of the *ELK3* promoter following TGF $\beta$  treatment.

## Discussion

During cancer development and progression in malignancy, the TGF $\beta$  signaling pathway acts as a tumor promotor by driving EMT, which induces tumor cell migration, invasion and ultimately metastasis to distant organs. ELK3 is constitutively activated in basal triple negative breast cancer cells (TNBCs) and functions as a master regulator of cancer metastasis (10,12). Previously, we suggested that the TGF $\beta$  signaling pathway is interconnected with ELK3 activity, based on the fact that ELK3 knockdown in TNBCs induces collapse of TGF $\beta$  signaling (12). In this study, we demonstrated that ELK3 is transcriptionally activated by TGF $\beta$  treatment in TNBCs. Pharmacological and molecular analysis revealed that ELK3 is a direct downstream target of SMAD3. In addition, TGF $\beta$  induced migration was decreased in ELK3 knockdown MDA-MB231 cells (data not shown).

There are numerous reports that the TGF $\beta$  signaling pathway is strictly regulated by a finely tuned system of negative and positive feedback loops. The expression of SMAD7, a representative inhibitory SMAD, is stimulated by TGF $\beta$  treatment and forms a complex with E3 ubiquitin ligase to degrade the TGF $\beta$  receptor, which results in the SMAD pathway inhibiting hyper activation of TGF $\beta$  signaling (17). During late stages of colorectal cancer, TGF $\beta$  activates miR-1269a expression targeting SMAD7, hence forming a positive feedback loop to promote metastasis (18). Since TGF $\beta$  signaling is impaired by ELK3 suppression and ELK3 expression is increased by TGF $\beta$  treatment, we suggest that TGF $\beta$  and ELK3 might form a positive autofeedback loop to promote the EMT process.

Numerous studies have shown that inhibition of EMT is considered an appropriate approach towards the prevention of metastasis of cancer. Since TGF $\beta$  functions as an inducer of EMT, blocking the TGF $\beta$  pathway is considered a promising strategy to inhibit EMT in cancer cells; cytotoxic drugs such as paclitaxel, which targets TGF $\beta$  receptor kinase, have been used to target the metastatic potential of breast cancer cells to colonize the lung (19). In line with this concept, ELK3 can be a prominent therapeutic target to prevent TGF $\beta$ -mediated metastasis of cancer cells. The potential value of ELK3 as a target of anticancer drug development is supported by the fact that TNBCs with reduced ELK3 activity completely lost their metastatic characteristics (12). It was shown that small molecule based inhibition of Ras/ERK-mediated ELK3 activity results in the inhibition of prostate cancer progression and metastasis in mice (20). It would be interesting to investigate whether simultaneous inhibition of the TGF $\beta$  pathway and ELK3 activity produces clinically effective therapeutic outcomes.

In summary, we suggest that ELK3 is a novel downstream target of the TGF $\beta$ -SMAD3 signaling pathway and that it performs a major role in directing the metastasis of cancer. TGF- $\beta$ 1 is preferentially expressed at the advancing tumor edges, where it promotes malignant progression and metastasis (21-23). To strengthen our findings, follow-up

immunohistochemical studies are needed to demonstrate the accumulation of ELK3 at the site of excessive TGF $\beta$  expression on invasive tumors.

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## Availability of data and materials

The datasets used and/or analyzed during the present study are available from the corresponding author on reasonable request.

## Authors' contributions

JHP designed the experiment and performed all experiments. KSP made substantial contributions to the analysis and interpretation of data. KSP has also been involved in drafting the manuscript and revising it critically for important intellectual content. JHP agreed to the final version of the manuscript.

## Ethics approval and consent to participate

Not applicable.

## Patient consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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