

# ***KRAS* and *NRAS* mutation detection in circulating DNA from patients with metastatic colorectal cancer using BEAMing assay: Concordance with standard biopsy and clinical evaluation**

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**Abstract.** Patients with metastatic colorectal cancer (mCRC) are routinely screened for either *K*- and *N*-*RAS* to select the appropriate treatment. The present study aimed to evaluate the concordance between *K*- and *NRAS* status in the tissue (either primary tumor or metastasis) and the plasma of patients with mCRC and to identify the associations between *K*- and *NRAS* mutations in ctDNA and the clinicopathological parameters. Samples from a total of 31 patients with mCRC with measurable disease according to the Response Evaluation Criteria in Solid Tumors were analyzed. For all patients, *K*- and *NRAS* status was determined in the tissue by matrix-assisted laser desorption/ionization time of flight mass spectrometry. For the detection of *RAS* mutations in cell-free tumor DNA also defined as circulating tumor DNA (ctDNA), the OncoBEAM<sup>®</sup> *RAS* CRC kit (Sysmex Inostics) was used. A total of 6/31 tissue samples expressed wild-type *KRAS*, whereas 25/31 presented mutations. In addition, 7/31 plasma samples expressed wild-type *KRAS*, mutations were detected in 22/31 patients, and for 2/31 patients, the test did not provide a conclusive result. A total of 24/31 patients expressed wild-type *NRAS*, 6/31 had mutations and 1/21 was not informative. For the *KRAS* mutational status, a moderate concordance (agreement, 85.18%; Cohen's  $\kappa$ , 0.513) between the tissue and plasma analysis was observed; for *NRAS*, a fair agreement (agreement, 83.33%; Cohen's  $\kappa$ , 0.242) was obtained. In conclusion, both tissue and plasma analyses should be performed for the management of patients with mCRC. To better exploit the beads, emulsions,

amplification, magnetics (BEAMing) technique in the clinical setting, studies aimed at determining the *RAS* status to monitor therapy and during follow-up are warranted.

## **Introduction**

Colorectal cancer (CRC) represents the third most frequent tumor worldwide and the second cause of cancer-related death, with 1.8 million cases and 862,000 deaths in 2018 according to the World Health Organization estimates (1). Despite advances in early diagnosis and treatment, the mortality rate is still high due to the development of distant metastases as synchronous (in ~20.00% of patients) (2) or metachronous (~40.00% of patients) disease (3). Patients with metastatic (m)CRC are generally treated with surgery and/or systemic therapy carried out using either standard chemotherapy, biological agents or a combination of the two methods (4). Considering targeted therapy with biological agents, certain patients will respond to therapy, whereas others will not due to their genetic features (5).

A previous study has demonstrated that mCRC is characterized by a high frequency of mutations in the *RAS* gene that are the main determinants of the failure of anti-EGFR-based therapy such as cetuximab and panitumumab (6). Therefore, patients with mCRC are routinely screened for *BRAF*, *KRAS* and *NRAS* mutations to select the appropriate patients who are more likely to have a positive response when treated with anti-EGFR (7-9). The most frequent mutations associated with a poor response to EGFR therapy are located in *KRAS* exon 2 (codons 12 and 13, 40.00% of patients), although other codons (59 and 61 in exon 3, 117 and 146 in exon 4) may be affected, as well as mutations in *NRAS* (codons 12, 13, 59, 61, 117 and 146) (10-14). *RAS* status is currently determined in tissue samples, either from the primary tumor or from metastasis obtained during biopsy or surgery.

Since patients with mCRC are characterized by poor health conditions, multiple biopsies should be avoided; however, it has been demonstrated that a single tissue biopsy may not be representative of the whole tumor due to

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intratumoral heterogeneity (15). In addition, tissue biopsy cannot be used in these patients for disease monitoring for the same reason. Based on this, the concept of liquid biopsy has been proposed as a surrogate for a tissue sample (16-22). In previous years technological improvements have enabled the study of circulating tumor cells (CTCs) and circulating tumor DNA (ctDNA) in the peripheral blood samples of patients with advanced cancers (23,24). Previous studies have demonstrated that ctDNA levels are associated with clinicopathological and biological features such as tumor histological type, stage, burden, blood vessel proximity, apoptotic rate and metastatic potential (17,25-28). Among patients with mCRC, it has been demonstrated that a high proportion of patients (86.00-100.00%) is characterized by detectable ctDNA in plasma, and that 1.90-27.00% of total ctDNA harbor different mutations (26).

The aims of the present study were as follows: i) To analyze the concordance between *KRAS* and *NRAS* mutational status evaluated in the tissue and the plasma from a cohort of patients with mCRC (synchronous or metachronous); ii) to evaluate the association between *KRAS* and *NRAS* mutations in the ctDNA and patient clinicopathological features; and iii) to analyze the mutant allele fraction (MAF) distribution in the plasma samples and identify potential clinical associations.

## Materials and methods

**Patients.** The study cohort included 31 patients with mCRC enrolled at Medical Oncology Unit, Azienda Ospedaliero-Universitaria Careggi (Florence, Italy) between January 2017 and August 2018 following written informed consent and approval from the Ethical Committee of Azienda Ospedaliero-Universitaria Careggi (approval no. BIO.16.028, 25/10/2016). All patients were previously diagnosed with clinical Tumor-Node-Metastasis stage IV (29) and had measurable disease according to the Response Evaluation Criteria in Solid Tumors version 1.1 (30).

**Tissue samples.** For all patients, *KRAS* and *NRAS* status had been previously determined in tissue biopsies of either primary tumors or metastases by using the Myriapod<sup>®</sup> Colon Status kit (Diatech Pharmacogenetics Srl), which allows the detection of 216 mutations in oncogenes responsible for colorectal cancerogenesis (*KRAS*, *BRAF*, *PIK3CA*, *NRAS*) using the genotyping platform MassARRAY<sup>®</sup> system (Sequenom, Inc.) based on matrix-assisted laser desorption ionization-time of flight (MALDI-TOF) mass spectrometry.

**Selection of biological samples and DNA extraction.** Hematoxylin-eosin sections from biopsies of neoplastic colorectal tissues were obtained from the archive of the Pathological Anatomy department of Azienda Ospedaliero-Universitaria Careggi and then revised by two experienced pathologists. Only sections containing  $\geq 100$  neoplastic cells were selected. Corresponding formalin-fixed paraffin-embedded blocks were obtained and 10- $\mu$ m tissue sections were cut, deparaffinized with solvent, rinsed in alcohol and dried before processing. DNA was extracted from tissue sections using MagCore Genomic DNAFFPE One-Step kit (RBC Bioscience Corp.) and then analyzed for quality and

concentration using a spectrophotometer. If the quality was optimal and the concentration was between 2.5-25.0 ng/ml, the samples were amplified.

**DNA Amplification.** DNA was amplified by multiplex-PCR using the Master Amp-Mix amplification mixture (Diatech Pharmacogenetics Srl) in order to obtain fragments comprising all polymorphic sites of interest. The reaction mixture contained 1.3  $\mu$ l water, 0.5  $\mu$ l PCR buffer, 0.4  $\mu$ l  $MgCl_2$ , 0.1  $\mu$ l dNTP mix and 0.2  $\mu$ l PCR enzyme. All PCR mixes were placed on a plate and added to a negative control (2  $\mu$ l water), a sample (2  $\mu$ l extracted DNA) and a positive control (2  $\mu$ l human wild-type control DNA provided with the kit). Following spinning the reaction mixture by brief centrifugation at maximum speed, the plate was put in a thermocycler with the following amplification profile: 95°C for 2 min; followed by 45 cycles of 95°C for 30 sec, 56°C for 30 sec and 72°C for 60 sec; 72°C for 5 min; 4°C for 5 min; and hold at 10°C.

**Treatment with shrimp alkaline phosphatase (SAP) and iPLEX extension.** Following the amplification, the amplification products were treated with SAP (provided with the kit) to remove nucleotide residues. A SAP cocktail (SAP-Mix) containing 1.53 ml water, 0.17 ml SAP Buffer and 0.30 ml SAP Enzyme was prepared for each sample. The samples were added to the SAP-Mix and placed in a thermocycler with the following conditions: 37°C for 40 min; 85°C for 5 min; 4°C for 5 min; and hold at 10°C. Subsequently, each sample was extended using a Master Ext-Mix extension mixture. Each mixture comprised 0.56 ml water, 0.20  $\mu$ l Buffer Plus, 0.20 ml Termination mix and 0.04 ml Thermosequenase for each sample reaction. The samples were placed in a thermocycler with the Master Ext-Mix and processed under the following thermocycling conditions: 94°C for 30 sec; followed by 40 cycles of 94°C for 5 sec, 5 cycles of 52°C for 5 sec and 5 cycles of 80°C for 5 sec; 72°C for 3 min; 4°C for 5 min; and hold at 10°C.

**Spectra acquisition and analysis.** Once the extension reaction was completed, the reaction products were dispensed on a SpectroCHIP<sup>®</sup> II G96 using the Nanodispenser RS-1000<sup>®</sup> Instrument. The SpectroCHIP was then placed in the MassARRAY<sup>®</sup> Analyzer 4 Instrument for spectra acquisition. The generated spectra were analyzed using the MassARRAY<sup>®</sup> database with iGenetics<sup>®</sup> Myriapod<sup>®</sup> software version 5.1 (Diatech Pharmacogenetics Srl).

**Blood sample collection.** Peripheral blood (8 ml) was collected from each patient enrolled in the study in K<sub>2</sub> EDTA BD Vacutainer<sup>®</sup> collection tubes (BD Biosciences) immediately before starting therapy. The plasma was isolated within 4 h according to the Sysmex-Inostics protocol for the determination of *KRAS* and *NRAS* status with the OncoBEAM<sup>®</sup> RAS CRC assay (Sysmex Inostics). The plasma samples were stored at -80°C.

**ctDNA extraction and purification.** ctDNA was extracted from the plasma and purified using a QIAamp<sup>®</sup> Circulating Nucleic Acid kit and QIAvac24 plus (both from Qiagen GmbH) according to the manufacturer's protocol with slight modifications as indicated by Sysmex Inostics.

**BEAMing assay.** For the detection of *RAS* mutations in the ctDNA, OncoBEAM® *RAS* CRC kit (Sysmex Inostics) was used according to the manufacturer's instructions. The ctDNA samples were amplified with a multiplex PCR performed as follows: 98°C for 30 sec; followed by 21 cycles of 98°C for 15 sec, 60°C for 25 sec and 72°C for 25 sec; 72°C for 35 sec; and hold at 4°C. The multiplex PCR was followed by pooling and dilution with 1X pH 8.0 TE buffer to get the optimal amount of PCR product for each codon. The diluted samples together with specific positive and negative controls [part of the OncoBEAM® *RAS* CRC kit, (Sysmex Inostics GmbH) and carrying specific mutations and no template, respectively] were used for emulsion PCR. Following cycling, magnetic beads bound to the amplicons were retrieved through a breaking phase followed by the hybridization step carried out with specific fluorescent probes for further detection by Cube6i flow cytometer (Sysmex Inostics). The breaking phase was carried out according to the manufacturer's protocol provided with the OncoBEAM® *RAS* CRC kit using a magnet and two buffers, Breaking Buffer 1 and 2, provided with the kit. The hybridization step performed as follows: 70°C for 30 sec; gradual decrease from 70°C to 24°C with a 3% ramp rate; 24°C for 1 min; and hold at 21°C. After the completion of the run, the data were exported and analyzed by FCS Express version 5.0 software (Denovo software; Sysmex Inostics).

**Statistical analysis.** The demographic, clinical and biological characteristics of the patients are presented as frequencies and percentages. Continuous variables are presented as the median (range of variation). To evaluate the associations between *RAS* mutational status and clinicopathological features, parametric (Fisher's exact) and non-parametric (Kruskal-Wallis) tests were used as appropriate. Data were analyzed using the statistical software Stata 9.1 (StataCorp LP). A two-sided *P* value  $\leq 0.05$  was considered to indicate a statistically significant difference. For categorical variables, the samples were classified as follows: Sex, male vs. female; localization of the primary tumor, right colon vs. left colon vs. rectum; pathological stage at the time of ctDNA analysis, relapse vs. newly diagnosed stage IV tumor; histological type, adenocarcinoma vs. mucinous adenocarcinoma; grading, G2 vs. G3; number of metastatic sites at the time of ctDNA analysis, 1 vs. 2 vs. 3 vs.  $>3$ ; surgery for primary tumor, yes vs. no. The percentage of agreement was evaluated according to the Cohen's  $\kappa$  of concordance and its 95.00% confidence interval.

## Results

**Clinical characteristics.** In the present study, a total of 31 patients were enrolled (16 female, 15 male) with a mean age 67 years (range, 46-85 years) and a histologically diagnosed mCRC not treated for the metastatic disease. The primary tumor was mainly localized in the colon (80.64%) with a similar distribution between the left and right colon. Among the patients, 64.52% received surgery with curative intent for the primary disease and developed metachronous metastases. The main demographic and clinicopathological features of the cohort are presented in Table I.

Table I. Demographic and clinicopathological characteristics of the study cohort.

Characteristic	No. of patients (%)
Sex	
Female	16 (51.61)
Male	15 (48.39)
Localization	
Left colon	12 (38.71)
Right colon	13 (41.94)
Rectum	6 (19.35)
Pathological stage at the time of ctDNA analysis	
Synchronous	18 (58.06)
Metachronous	13 (41.94)
Histological type	
Adenocarcinoma	24 (87.10)
Mucinous adenocarcinoma	7 (22.90)
Grading	
G2	19 (61.29)
G3	3 (9.68)
Undefined	9 (29.03)
Metastatic sites at the time of ctDNA analysis	
Only liver	8 (25.81)
Only lung	1 (3.23)
Peritoneum	4 (12.90)
Multiple	18 (58.06)
Number of metastatic sites at the time of ctDNA analysis	
1	13 (41.93)
2	12 (38.71)
$\geq 3$	6 (19.36)
Surgery for primary tumor	
No	11 (35.48)
Yes	20 (64.52)
Tissue used for molecular analysis of <i>RAS</i> mutational status	
Primary tumor	23 (74.19)
Metastasis	8 (25.81)
ctDNA, circulating tumor DNA.	

***RAS* mutational status in tissue samples.** Molecular determination of *RAS* status in paraffin-embedded samples was performed using primary tumor samples in 74.19% of the patients and in metastatic tissues in the remaining 25.81% of the patients. The analysis was performed by MALDI-TOF mass spectrometry associated to single base extension technology and provided conclusive results for all patients; six samples (19.35%) expressed the wild-type form of *KRAS*, and 25 samples (80.65%) harbored mutations (Table II). The same analysis was performed for *NRAS*, showing the presence of

Table II. *KRAS* and *NRAS* analysis of tissue samples.

<i>RAS</i> status	No. of patients (%)
Wild-type <i>KRAS</i>	6 (19.35)
Mutated <i>KRAS</i>	25 (80.65)
Exon 2 codon 12	19 (76.00)
G12A	4
G12C	3
G12D	10
G12V	2
Exon 2 codon 13	5 (20.00)
G13D	4
G13V	1
Exon 3 codon 59	0 (0.00)
Exon 3 codon 61	0 (0.00)
Exon 4 codon 117	0 (0.00)
Exon 4 codon 146	1 (4.00)
A146P	1
Wild-type <i>NRAS</i>	30 (96.77)
Mutated <i>NRAS</i>	1 (3.23)
Exon 2 codon 12	1 (100.00)
G12D	1
Exon 2 codon 13	0 (0.00)
Exon 3 codon 59	0 (0.00)
Exon 3 codon 61	0 (0.00)
Exon 4 codon 117	0 (0.00)
Exon 4 codon 146	0 (0.00)
Undefined	0 (0.00)

a mutation in only one patient (96.77% wild-type and 3.23% mutated) (Table II).

*RAS mutational status in plasma samples.* *RAS* mutational status was analyzed using BEAMing technology. Of the 31 samples analyzed, wild-type *KRAS* was identified in seven patients, whereas mutations were detected in 22 patients (17 in codon 12, four in codon 13, one in codon 146); for two patients, an informative result could not be obtained. Regarding *NRAS* analysis, the wild-type form of the gene was observed in 24 patients, two patients harbored a mutation in codon 12, four harbored a mutation in codon 61 and the data for one patient was not informative (Table III). Fig. 1 demonstrates representative plots obtained using the BEAMing assay (left plots, 'universal signal' vs. 'mutant signal'; right plots, 'wild-type signal' vs. 'mutant signal'). In Fig. 1A, the results of a no template control are presented, and no signal is present in the right plot. By contrast, in the two plots in Fig. 1B representing the positive control, an intense signal in the gate corresponding to mutant beads (mtP3) can be observed. In Fig. 1C and D, two representative samples classified as wild-type and mutated, respectively, are demonstrated: In the former, almost no signal is present in mtP3 gate, whereas in the latter, the presence of the mutation is marked by the black dots in the mrP3 quadrant (see arrow).

Table III. *KRAS* and *NRAS* analysis of plasma samples.

<i>RAS</i> status	No. of patients (%)
Wild-type <i>KRAS</i>	7 (22.58)
Mutated <i>KRAS</i>	22 (77.42)
Exon 2 codon 12	17 (77.27)
Exon 2 codon 13	4 (18.18)
Exon 3 codon 59	0 (0.00)
Exon 3 codon 61	0 (0.00)
Exon 4 codon 117	0 (0.00)
Exon 4 codon 146	1 (4.55)
Not informative	2
Total	31
Wild-type <i>NRAS</i>	24 (77.42)
Mutated <i>NRAS</i>	6 (22.58)
Exon 2 codon 12	2 (33.33)
Exon 2 codon 13	0 (0.00)
Exon 3 codon 59	0 (0.00)
Exon 3 codon 61	4 (66.67)
Exon 4 codon 117	0 (0.00)
Exon 4 codon 146	0 (0.00)
Not informative	1
Total	31

The technique used to analyze these plasma samples from patients with mCRC did not provide the result of the test as an absolute number of *RAS* mutated alleles, but as the mutant allele fraction (MAF), which is the ratio (expressed as a percentage) of mutated and wild-type *RAS* alleles (Table IV). Analysis of the mutated samples (mutated beads  $\geq 40$ ) revealed large variability among patients, and the mean number of mutant beads was  $6,047.909 \pm 1.594$  ( $n=22$ ) for *KRAS* and  $281 \pm 0.103$  ( $n=5$ ) for *NRAS* (Table IV, last row).

For the majority of the patients, a single mutation either in *KRAS* or *NRAS* was detected (28/31), whereas three patients presented with mutations in both genes. Notably, in two of these three patients (patients COL002 and COL018), a *KRAS* mutation was also identified in the tissue sample, whereas *NRAS* mutations were detected only in the plasma. In both cases, the allele frequency of *KRAS* (MAF, 21.226 and 7.645) was higher compared with that of *NRAS* (MAF, 0.031 and 0.344); this result was not unexpected since the BEAMing technique exhibited higher sensitivity compared with that of MALDI-TOF mass spectrometry, and thus it is possible that a number of the mutations were not detected in the tissue samples if their frequency was low, as observed in these patients.

The concordance between the results obtained in the tissue and plasma samples was subsequently evaluated (Table V). Analysis of the data using Cohen's  $\kappa$  revealed a moderate concordance between tissue and plasma analysis of *KRAS* mutational status (agreement, 85.18%; Cohen's  $\kappa$ , 0.513); for *NRAS* mutational status a fair agreement was found (agreement, 83.33%; Cohen's  $\kappa$ , 0.242).

In order to evaluate the association between *RAS* mutational status and patient clinicopathological features, the

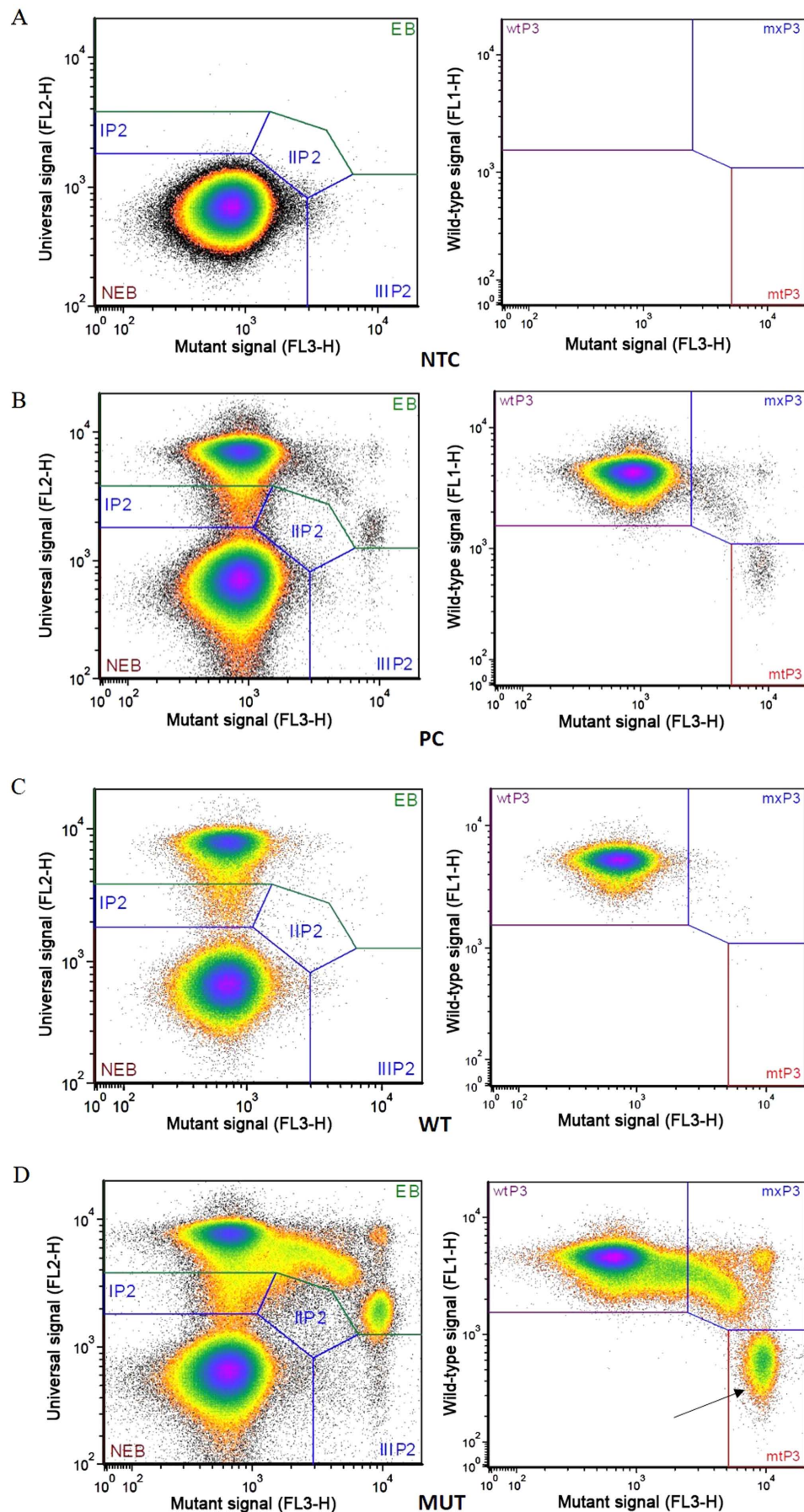


Figure 1. Representative plots obtained by BEAMing assay. In the left plot of each panel 'universal signal' vs. 'mutant signal' is reported, whereas in the right plot, 'wild-type signal' vs. 'mutant signal' is presented. (A) Negative control; (B) PC; mutant beads, 844; mutant fraction, 0.46%; (C) WT; mutant beads, 14; mutant fraction, 0.02%; (D) MUT; mutant beads, 14,746; mutant fraction, 7.645 (see arrow). EB, extended beads; NEB, non-extended beads; wt, wild-type; mx, mutant and wild-type; mt, mutant; NTC, no template control; PC, positive control; WT, wild-type sample; MUT, mutant sample.



Table IV. OncoBEAM® RAS colorectal cancer test results.

Patient ID	KRAS			NRAS		
	Mutant beads (n)	MAF	Test result	Mutant beads (n)	MAF	Test result
COL001	8	0.013	Wild-type	13	0.041	Wild-type
COL002	97,951	21.226	<b>Mutation detected</b>	65	0.031	<b>Mutation detected</b>
COL003	6,862	5.610	<b>Mutation detected</b>	6	0.011	Wild-type
COL004	36,785	13.006	<b>Mutation detected</b>	8	0.009	Wild-type
COL005	12,879	6.759	<b>Mutation detected</b>	22	0.020	Wild-type
COL006	1,516	0.998	<b>Mutation detected</b>	17	0.058	Wild-type
COL007	32,095	5.262	<b>Mutation detected</b>	4	0.002	Wild-type
COL008	6	0.005	Wild-type	49	0.053	<b>Mutation detected</b>
COL009	43,649	9.861	<b>Mutation detected</b>	7	0.002	Wild-type
COL010	7	0.009	Wild-type	2	0.005	Wild-type
COL011	691	0.653	<b>Mutation detected</b>	0	0.000	Wild-type
COL012	51,794	11.216	<b>Mutation detected</b>	4	0.001	Wild-type
COL013	137	0.144	<b>Mutation detected</b>	6	0.015	Wild-type
COL014	70	0.068	<b>Mutation detected</b>	9	0.022	Wild-type
COL015	29	0.012	Wild-type	10	0.007	Wild-type
COL016	292	0.045	<b>Mutation detected</b>	7	0.002	Wild-type
COL017	29,038	28.149	<b>Mutation detected</b>	2	0.002	Wild-type
COL018	14,746	7.645	<b>Mutation detected</b>	164	0.344	<b>Mutation detected</b>
COL019	9,023	5.455	<b>Mutation detected</b>	3	0.017	Wild-type
COL020	45	0.056	<b>Mutation detected</b>	NI	NI	NI
OB3	61	0.053	<b>Mutation detected</b>	22	0.025	Wild-type
OB4	7,861	11.211	<b>Mutation detected</b>	1	0.002	Wild-type
OB5	NI	NI	NI	1	0.003	Wild-type
OB7	2	0.005	Wild-type	0	0.000	Wild-type
OB8	1,178	0.918	<b>Mutation detected</b>	6	0.005	Wild-type
OB9	10,639	4.693	<b>Mutation detected</b>	5	0.004	Wild-type
OB10	42	0.017	<b>Mutation detected</b>	429	0.469	<b>Mutation detected</b>
OB11	24	0.028	Wild-type	246	0.516	<b>Mutation detected</b>
OB12	15	0.013	Wild-type	5	0.009	Wild-type
OB14	NI	NI	NI	9	0.023	Wild-type
OB15	0	0.000	Wild-type	7	0.012	Wild-type
Mean ± SEM	6,047.909±1.594			281±0.103		

MAF, mutant allele fraction; NI, not informative; SEM, standard error of the mean; detected mutations are indicated in bold.

samples were divided into two groups: Wild-type and mutated (either *K-* or *NRAS*). No significant associations were identified between the two groups (Table VI).

## Discussion

Emerging evidence has demonstrated the importance of liquid biopsy as a surrogate of standard tissue biopsies for diagnostic purposes as well as for monitoring patients with mCRC (16-21). In particular, in mCRC patients, multiple biopsies should be avoided due to their poor general health conditions.

In 2016, a meta-analysis reported that ctDNA may represent an indicator for poor prognosis, including both recurrence-free survival and overall survival (OS), in patients with stage I-IV CRC (28). A study performed

by Spindler *et al* (31) demonstrated that an increase in ctDNA reduced the progression-free survival (PFS) and OS (defined as the months elapsed from the first diagnosis and the progression of the disease or death of the patient, respectively) time with a hazard ratio of 1.4 (95% CI, 1.1-1.7;  $P=0.03$ ) and 1.6 (95% CI, 1.3-2.0;  $P<0.0001$ ), respectively. In addition, their study revealed that the evaluation of *KRAS* mutations in the plasma provided additional information on the patient outcome (31). A recent study performed a parallel analysis of ctDNA and circulating tumor cells (CTCs) and demonstrated that the former represented an improved tool for the management of patients with CRC since CTCs were not detected in all samples in contrast to ctDNA, and a low volume of blood was sufficient for the molecular analysis (32).

Table V. Concordance between tissue and plasma analysis of *RAS* mutational status.

Patient ID	<i>KRAS</i>			<i>NRAS</i>		
	Tissue	Plasma	Concordance	Tissue	Plasma	Concordance
COL001	G12D	wt	<b>no</b>	wt	wt	yes
COL002	G12C	Cd12	yes	wt	Cd12	<b>no</b>
COL003	G12A	Cd12	yes	wt	wt	yes
COL004	G12D	Cd12	yes	wt	wt	yes
COL005	G13D	Cd13	yes	wt	wt	yes
COL006	G12C	Cd12	yes	wt	wt	yes
COL007	G12D	Cd12	yes	wt	wt	yes
COL008	wt	wt	yes	G12D	CD12	yes
COL009	G13D	Cd13	yes	wt	wt	yes
COL010	G13D	Cd13	yes	wt	wt	yes
COL011	G12D	Cd12	yes	wt	wt	yes
COL012	G12A	Cd12	yes	wt	wt	yes
COL013	G13D	Cd13	yes	wt	wt	yes
COL014	G12A	Cd12	yes	wt	wt	yes
COL015	G13V	wt	<b>no</b>	wt	wt	yes
COL016	G12D	Cd12	yes	wt	wt	yes
COL017	G146P	Cd146	yes	wt	wt	yes
COL018	G12D	Cd12	yes	wt	CD61	<b>no</b>
COL019	G12C	Cd12	yes	wt	wt	yes
COL020	G12D	Cd12	<b>yes</b>	wt	NI	NA
OB3	G12D	Cd12	yes	wt	wt	yes
OB4	G12D	Cd12	yes	wt	wt	yes
OB5	wt	NI	NA	wt	wt	yes
OB7	G12D	wt	<b>no</b>	wt	wt	yes
OB8	G12V	Cd12	yes	wt	wt	yes
OB9	G12A	Cd12	yes	wt	wt	yes
OB10	wt	Cd12	<b>no</b>	wt	CD61	<b>no</b>
OB11	wt	wt	yes	wt	CD61	<b>no</b>
OB12	wt	wt	yes	wt	wt	yes
OB14	wt	NI	NA	wt	CD61	<b>no</b>
OB15	G12V	wt	<b>no</b>	wt	wt	yes

NI, not informative; NA, not applicable (since one of the evaluations is missing); wt, wild-type. Detected mutations are indicated in bold.

Overall, the frequency of *K*- and *N-RAS* mutations in the present study was higher compared with the one expected to be observed in the general population, although notably, for a part of the cohort, one of the inclusion criteria was the presence of *KRAS* mutations.

In the cohort of patients analyzed in this study, coexistence of *K*- and *N-RAS* mutations was observed in 3/31 patients (9.70%). For two patients, MAF values were higher for *KRAS* compared with those for *NRAS*, whereas an opposite trend was observed in the third patient. Although *K*- and *N-RAS* mutations are generally mutually exclusive, the high sensitivity of BEAMing may allow the identification of subclonal mutations that may have been missed with other techniques. However, since these mutations are present at in a small proportion of patients their biological and clinical relevance requires further investigation. It may have been interesting

to apply the BEAMing technology to the tissue samples to evaluate whether such subclonal mutations were present in the tumor tissues as well as in the plasma, but it was not possible to identify them due to the lower sensitivity of the standard techniques applied in the analyses of tissue samples.

The results of the present study identified a concordance between BEAMing and MALDI-TOF, as previously reported in other studies (33,34). Overall, for the *KRAS* mutational status, five samples were not in accordance. The possible causes of such discordance were subsequently explored. In four patients, a mutation was detected in the tissue, but not in the plasma; this observation may be explained by the elimination of sensitive clones by the treatment if the two analyses were performed at different times (as in patients OB7 and OB15); on the other hand, the discrepancy may also have occurred due to the low tumor burden if the two analyses were performed at

Table VI. Associations between *RAS* mutational status and clinicopathological features.

Clinical feature	n	<i>RAS</i> mutational status, n (%)		P-value
		Wild-type	Mutated	
Sex				0.355 <sup>a</sup>
Male	15	3 (20.00)	12 (80.00)	
Female	16	3 (18.75)	13 (81.25)	
Age, years				0.221 <sup>b</sup>
Mean, 67 (range, 46-85)	31	6 (19.35)	25 (80.65)	
Localization				0.333 <sup>a</sup>
Right colon	13	1 (7.69)	12 (92.31)	
Left colon	12	3 (25.00)	9 (75.00)	
Rectum	6	2 (33.33)	4 (66.67)	
Pathological stage at the time of ctDNA analysis				>0.999 <sup>a</sup>
Synchronous	18	3 (16.67)	15 (83.33)	
Metachronous	13	3 (23.08)	10 (76.92)	
Histological type				0.287 <sup>a</sup>
Adenocarcinoma	24	3 (12.50)	21 (87.50)	
Mucinous adenocarcinoma	7	3 (42.86)	4 (57.14)	
Grading				0.422 <sup>a</sup>
G1	0	0 (0.00)	0 (0.00)	
G2	19	3 (15.79)	16 (84.21)	
G3	3	1 (33.33)	2 (66.67)	
Undefined	9	2 (22.22)	7 (77.78)	
Number of metastatic sites at the time of ctDNA analysis				0.413 <sup>a</sup>
1	13	1 (7.69)	12 (92.31)	
2	12	5 (41.67)	7 (58.33)	
≥3	6	0 (0.00)	6 (100.00)	
Surgery for primary tumor				>0.999 <sup>a</sup>
No	11	3 (27.27)	8 (72.73)	
Yes	20	3 (15.00)	17 (85.00)	

<sup>a</sup>Fisher's exact test; <sup>b</sup>Kruskal-Wallis test. ctDNA, circulating tumor DNA.

similar times (as in patients COL001 and COL015). COL001 and COL015, harboring *KRAS* mutations in the tissue, but not in the plasma, had mucinous tumors and underwent surgical resection with curative intent. In addition, the analysis of radiographic images captured on a date close to the blood collection demonstrated that the two patients exhibited a low tumor burden (localization of the disease limited to the peritoneum in one patient and relatively small metastases in the liver in the other). These results were in accordance with those obtained in a large multicenter prospective cohort, which demonstrated that surgery of the primary tumor, absence of liver metastases and peritoneal localization were significantly associated with inconclusive results in the plasma (35).

In one patient (OB10), a mutation was detected in the plasma, but not in the tissue. Since the BEAMing assay is more sensitive compared with MALDI-TOF mass spectrometry, the mutation may have been missed due to the low MAF (0.017). Another explanation may be that mutations may have developed a long time after diagnosis and evaluation of *RAS*

mutational status in tissue samples, and if tissue analysis was performed using tissues obtained during a biopsy, the mutation may have been missed due to the heterogeneity and the low tumor cell fraction in the specimen. This patient had a long and satisfactory response (2 years) to therapy that has been recently published as a case report (36) and may represent an example of rechallenge failure due to molecular determinants. In particular, since the patient exhibited a complete response to Cetuximab, after two years, the treatment was repeated using the same drug, but the disease rapidly progressed; the analysis of plasma in this case may have helped determine a different schedule of treatment.

In addition, for *NRAS*, five samples were not in accordance; a mutation was detected in the plasma, whereas the tissues was classified as harboring wild-type *NRAS*. This discrepancy may be explained as aforementioned.

A potential limitation of the present study was the absence of validation of the data obtained through BEAMing with a different technique. In the present study, it was not possible



to obtain such confirmation, since the amount DNA available from each patient was not enough to perform other experiments with various methodologies, and no additional blood collection was performed due to the general conditions of the patients to avoid enhancing their discomfort. Such evaluation represents a future perspective and will be performed in a further study. However, in patients with low MAF (such as patient OB10) with a value close to the cut-off, BEAMing analysis was performed twice to verify the result since additional methods could not be performed.

A recent multicenter clinical study performed in Japan provided clinical validation of the OncoBEAM® RAS CRC assay (37), paving the road to the incorporation of BEAMing into clinical practice. The results of the aforementioned study, although it was performed on a larger cohort compared to the one used in the present study, were in concert with the findings of the present study since they obtained an overall concordance between tissue and plasma analyses equal to 86.4%.

Overall, the results of the present study identified a certain degree of agreement between the two techniques. Based on these preliminary data, it is suggested that both analyses should be routinely performed to provide clinicians an additional tool for the management of patients with mCRC. To confirm these results, validation studies on larger cohorts are warranted, as well as studies aimed at determining the RAS status to monitor therapy and during follow-up.

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Authors' contributions

EL performed the experiments, analyzed the data and wrote the manuscript. LA enrolled and followed up the patients, retrieved clinical data and wrote the manuscript. BF enrolled and followed up the patients, contributed to analysis of the data and writing the manuscript. LDC collected the samples and participated in data analysis. ADC retrieved clinical data and participated in data analysis. DL enrolled and followed up the patients and contributed to interpreting and analyzing data. MA participated in performing the experiments. AA participated in the study design and revised the manuscript. FC performed molecular analysis on tissue specimens. LM performed histological diagnoses. FDC designed and

supervised the study and revised the manuscript. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

The present study was approved by the Ethical Committee of Azienda Ospedaliero-Universitaria Careggi (approval no. BIO.16.028, 25/10/2016). All patients were enrolled after providing written informed consent.

## Patient consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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