

# Innate immune cells and their interaction with T cells in hepatocellular carcinoma (Review)

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**Abstract.** Hepatocellular carcinoma (HCC) is a malignant tumor and is associated with necroinflammation driven by various immune cells, such as dendritic cells, macrophages and natural killer cells. Innate immune cells can directly affect HCC or regulate the T-cell responses that mediate HCC. In addition, innate immune cells and T cells are not isolated, which means the interaction between them is important in the HCC microenvironment. Considering the current unsatisfactory efficacy of immunotherapy in patients with HCC, understanding the relationship between innate immune cells and T cells is necessary. In the present review the roles and clinical value of innate immune cells that have been widely reported to be involved in HCC, including dendritic cells, macrophages (including kupffer cells), neutrophils, eosinophils, basophils and innate lymphoid cells and the crosstalk between the innate and adaptive immune responses in the antitumor process have been discussed. The present review will facilitate researchers in understanding the importance of innate immune cells in HCC and lead to innovative immunotherapy approaches for the treatment of HCC.

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## 1. Introduction

Hepatocellular carcinoma (HCC) was the sixth most common cancer and fourth most common cause of cancer-related death globally in 2018 (1). The World Health Organization estimates that >1 million patients will die from liver cancers by 2030 (2). HCC occurs in patients with underlying liver diseases, mostly as a result of hepatitis B (HBV) or C virus infection or alcohol abuse (3). Recently, nonalcoholic fatty liver disease (NAFLD) and metabolic syndrome becoming hot topics of research as both are important risk factors for HCC (4). At present, HCC is treated mainly by resection and occasionally in combination with other therapies, including ablation, transarterial embolization and radiotherapy, transplantation and targeting therapies, however, the efficacy of treatments for patients with advanced HCC are limited (4). Immunotherapies aimed at reactivating the activity of antitumoral T cells have attracted wide attention in recent years (5). However, the efficacy of nivolumab (a programmed death-1 inhibitor) was unsatisfactory in patients with advanced HCC (6). This maybe in part due to the immunosuppressive microenvironment (7).

The liver is a pivotal immunological organ which serves a crucial role in host defense via numerous innate, such as dendritic cells, kupffer cells and natural killer T (NKT) cells and adaptive immune cells, such as CD4<sup>+</sup> and CD8<sup>+</sup> T cells (8). Dysregulation of the liver's immune system leads to the occurrence of necroinflammation, which is characterized by the dysregulation of the immune regulatory network with upregulation of pro-inflammatory signals and the breakdown of immune tolerance in chronic liver disease (9). The necroinflammatory response promotes the development of HCC via the infiltration of various innate and adaptive immune cells, such as dendritic cells, natural killer (NK) cells, monocytes, neutrophils, T cells and B cells (9). It is widely accepted that the T cells serve important roles in the development of HCC (10). There is always a large number of exhaustive CD8<sup>+</sup> T cells in the tumor microenvironment, which makes the treatment of HCC more difficult (11). In fact, innate immune cells also contribute to tumor immunosurveillance and immune escape by assisting T cells or by secreting cytokines (9). For example, macrophages assist CD4<sup>+</sup> helper T cells to remove senescent hepatocytes (12). Regulatory dendritic cells (DCs) produce indoleamine-2,3-dioxygenase (IDO) to promote

tumor immune escape in HCC (13). Hence, it is important to understand the underlying interplay between immune cells and HCC in the tumor microenvironment. Interestingly, innate immune cells can themselves affect tumor progression, but also regulate T-cell responses to affect tumor progression (7). Considering the regulatory properties of innate immune cells, understanding the roles of innate immune cells in HCC and interaction with T cells in the tumor microenvironment are necessary.

In the present study several kinds of innate immune cells were reviewed that have been widely reported in HCC, including DCs, macrophages, neutrophils and innate lymphoid cells (ILCs), and the underlying mechanisms by which they regulate T-cell responses in the occurrence and development of HCC was discussed. The present review will improve the understanding of innate immune cells in HCC and pave the way for developing new immunotherapies for patients with HCC.

## 2. Role of innate immune cells in HCC

**DCs.** DCs were first discovered in 1972 (14) and are generated through bone marrow precursors and are classified into four general groups: i) Conventional DCs; ii) plasmacytoid DCs (pDC); monocyte derived DCs and Langerhans cells (15). DCs are a type of professional antigen presenting cells (APCs), which are able to activate natural killer or NKT cells and play an important role in initiating immune responses (natural killer cells and T cells) (16,17).

Previous studies indicated that the absence of CD83<sup>+</sup> activated DCs in the liver contributed to the occurrence of HCC and the infiltration of DCs was closely associated with the prognosis of HCC (18,19). In terms of mechanisms, DCs mainly activated the T-cell response to fight tumors (20). Meanwhile, IL-10 and IL-12 inhibited and enhanced DC-mediated antitumor function, respectively (21,22). At present, it is widely accepted that DCs are used for improving antitumor immunity (23). However, some studies have also demonstrated that certain types of DCs have the opposite effect on HCC. For example, intratumoral pDCs were associated with poor prognosis of patients with HCC following curative resection (24). Regulatory DCs inhibited antitumor immunity by producing IL-10 and IDO and contributed to tumor immune escape via IDO in HCC (13,25). In addition, HCC cells *in vitro* led to a deterioration in biophysical properties, including osmotic fragility, cell membrane fluidity, membrane viscoelastic properties, expression of cytoskeleton protein F-actin and transendothelium migration of DCs (26). Recently, Santos *et al* (24) found that  $\alpha$ -fetoprotein (AFP) derived from HCC cells led to a deterioration in fatty acid synthesis and mitochondrial metabolism in DCs. The aforementioned findings elucidate the effect of HCC cells on DCs at the metabolic level.

**Macrophages.** Macrophages, which are differentiated cells of the mononuclear phagocytic lineage and are activated in response to microbe-associated molecular patterns, such as bacterial lipopolysaccharide or cytokines, such as interleukin (IL)-4, IL-5 and IL-10, have long been recognized as M1 and M2 macrophages (27,28). M1 macrophages possess

proinflammatory and antitumor properties, whereas M2 macrophages possess regulatory properties for tumor growth and metastasis (29,30). In addition, co-inhibitory molecules, such as B7-H3; signaling pathways, such as the Wnt/ $\beta$ -catenin and STAT3 pathway and long non-coding RNAs (lncRNAs), such as cyclooxygenase 2 serve important roles in regulating the polarization of macrophages in the HCC microenvironment (31-34). The antitumoral role of M1 macrophages has been documented in HCC (28). However, a recent study found that M1 macrophages promoted the expression of programmed death ligand 1 (PD-L1) on HCC cells via IL-1 $\beta$ , which supports the protumor role of M1 macrophages (35).

Tumor-associated macrophages (TAMs), mainly M2 type, can be recruited by various cytokines, such as colony stimulating factor (CSF)-1, vascular endothelial growth factor (VEGF) and chemokines (CCL2) and serve a protumor role in HCC (29). For example, TAMs can secrete IL-6 and IL-8 to promote the proliferation of HCC stem cells and epithelial-mesenchymal transition (EMT) in HCC cells (36,37). In addition, NF- $\kappa$ B, STAT-3 and hypoxia inducible factor-1 (HIF-1) signaling pathways serve key roles in the interaction between TAMs and HCC cells (38). Recently, Zhang *et al* (36) demonstrated that TAMs promoted the metastasis and EMT of HCC cells through HIF-1 $\alpha$ /IL-1 $\beta$  signaling under a hypoxic microenvironment. Oxaliplatin has been widely used to treat patients with HCC, and a recent study indicated that TAMs contributed to oxaliplatin resistance through autophagy in HCC (39).

On the other hand, Kupffer cells (KCs), as the sessile resident live macrophages in the liver can sense injury of the liver and activate inflammation and promote tumor growth by releasing proinflammatory or proangiogenic factors, such as IL-6, IL-1 $\beta$ , VEGF and platelet-derived growth factor and recruit large numbers of inflammatory monocytes (40,41). A previous study indicated that KCs can promote the occurrence and development of HCC by increasing the production of IL-6 in a manner dependent on the Toll-like receptor adaptor protein myeloid differentiation primary response 88 (42). Recent studies also demonstrated that KCs can promote the occurrence and development of HCC in the context of inflammation and fibrosis (43,44). Similarly, the M1/M2 polarization of KCs regulated by lncRNA FTX can influence the progression from NAFLD to HCC (45).

In conclusion, M2 macrophages and kupffer cells promote invasion and metastasis of HCC cells and the HCC microenvironment further promotes the protumor effect of M2 macrophages and kupffer cells.

**Neutrophils.** Neutrophils are the first line of defense against microbial pathogens, are the predominant leukocyte subset (50-70%) in human peripheral blood and have protumoral functions in HCC (46). Previous studies focused more on the association between neutrophil-to-lymphocyte ratio and the prognosis of HCC (47). Subsequently, Kuang *et al* (46) found that the accumulation of neutrophils was associated with poor prognosis in patients with HCC and promoted angiogenesis at the invading tumor edge via MMP-9. These findings were consistent with those of Li *et al* (48). Meanwhile, chemokines, such as chemokine (C-X-C) ligand (CXCL)1 and CXCL5 in HCC promote the infiltration of neutrophils and predict poor prognosis of patients with HCC (49,50). As understanding

about neutrophils has increased, the close relationship between neutrophils and HCC cells has been discovered (51). HCC cells promote the production of hepatocyte growth factor (HGF) in neutrophils via granulocyte-macrophage colony stimulating factor and in turn neutrophils promote the metastasis of hepatoma cells via the HGF/c-mesenchymal-epithelial transition factor (c-Met) axis (52). In addition, neutrophil-mediated reactive oxygen species production and telomere DNA damage contributed to the development of HCC and NF- $\kappa$ B1 weakened the protumoral effect of neutrophils in diethylnitrosamine induced murine models (53). On the other hand, neutrophils can release extensive extracellular web-like structure called neutrophil extracellular traps (NETs) that are composed of cytosolic protein, then NETs can entrap and kill bacteria and serve important roles in cancers, such as small bowel cancer, lung cancer and HCC (54). Recent studies indicated that NETs promoted the progression from nonalcoholic steatohepatitis to HCC and metastasis potential of HCC by promoting inflammation (55,56).

**Eosinophils and basophils.** Eosinophils arise from multipotent CD34<sup>+</sup> progenitor cells in the bone marrow, then develop into IL-5R $\alpha$ <sup>+</sup> and (C-C) chemokine-receptor (CCR)3<sup>+</sup> mature eosinophils in a tightly regulated process directed by differential expression of several transcription factors, such as zinc finger protein, FOG family member 1, GATA binding proteins and X-box binding protein 1 (57). The number of eosinophils is increased in peripheral blood in patients with decompensated cirrhosis (58). Although eosinophils have been demonstrated to serve opposite roles in multiple tumors, such as lymphomas, breast, ovarian, uterine, bladder, lung, pancreatic, gastric, liver and colorectal tumors through secretion of growth factors, such as epidermal growth factor and transforming growth factor- $\beta$ 1, promotion of angiogenesis or secretion of cytokines, such as IL-10, IL-12 (59), the exact role and underlying mechanisms of eosinophils in HCC progression remain unclear. A study indicated that eosinophils activated by IL-5 can inhibit the growth of HCC cells *in vitro* through direct eosinophil-mediated cytotoxicity (60). Notably, it has been demonstrated that eosinophils may serve antitumor roles in hepatobiliary cancer (61). However, the role of basophils in HCC has barely been studied.

**Myeloid-derived suppressor cells.** Myeloid-derived suppressor cells (MDSCs) are divided into polymorphonuclear MDSCs and monocytic MDSCs, characterized by their immunosuppressive properties, maturity status and pathology (62). The association between frequency of MDSCs and clinical prognosis in patients with HCC has been observed (63). Several studies have demonstrated that MDSCs have a protumoral effect by suppressing the functions of DCs and NK cells as well as by regulating T-cell responses in HCC (64-66). Meanwhile, HCC cells themselves can promote the accumulation or activation of MDSCs by hypoxia, receptor-interacting protein kinase 3 deficiency or IL-6 produced by HCC cells (67-69). In short, MDSCs serve an important role in shaping the immunosuppressive tumor microenvironment in HCC.

**Innate lymphoid cells.** Innate lymphoid cells (ILCs), including group 1 ILCs (ILC1s), group 2 ILCs (ILC2s) and group 3

ILCs (ILC3s) mainly reside in tissues and have similar cytokine-secreting profiles as helper T cell subsets in response to infections or tissue damage (70). ILCs play protumoral or antitumoral roles in immune regulation in different tumor microenvironments (71). However, the exact roles of ILCs in HCC are still unknown. A recent study found that ILCs secreted IFN- $\gamma$  to promote hepatocellular tumorigenesis in HBV transgenic mice (72). The aforementioned study suggested that the ILCs play protumoral role in HCC, however, further molecular mechanisms remain to be explored.

NK cells, which account for about a third of the lymphocytes in the liver belong to the ILC1s and are one of the main antitumor cells found in the liver (70). Functional impairment, such as decreased production of IFN- $\gamma$  from NK cells in patients with HCC has been observed (73). In addition, the infiltration of functional NK cells in HCC tissues can suppress disease progression and have a favorable effect on rates of overall survival and disease free survival in patients with HCC (74). It is widely accepted that the exhaustion of NK cells may contribute to the development of HCC and natural killer group 2D (NKG2D) an activatory receptor on NK cells serves a crucial role in regulating the functions of NK cells during the development of HCC (75). For instance, suppressing enhancer of zeste homolog 2 (EZH2), a transcriptional repressor of NKG2D ligands, promoted HCC cell eradication by NK cells in a NKG2D ligand-dependent manner (76). Notably, NK cell-derived IFN- $\gamma$  promotes HCC through the epithelial cell adhesion molecule-epithelial-to-mesenchymal transition (EMT) axis in hepatitis B virus transgenic (HBs-Tg) mice (77), which suggests that the roles of NK cells in the development of HCC are complicated. In addition, tumor-derived soluble MHC class I-chain related protein A (MICA), which is a ligand of NKG2D or AFP inhibit the functions of NK cells *in vitro* (78,79). Hence, NK cells can fight against tumors, however, the tumor itself inhibits the activity of NK cells to create conditions for HCC cell growth.

In addition, NKT cells, which are closely related to NK cells, have also been implicated in HCC (80). NKT cells are characterized by the expression of surface markers of NK cells together with a single invariant T cell receptor in humans (81). NKT cells can directly kill tumor cells by recognizing CD1d antigen or indirectly by activating NK cells (82). Studies have demonstrated that the number of NKT cells in HCC is positively associated with rates of overall survival and recurrence-free survival, which may be related to the involvement of NKT cells in angiogenesis in the tumor microenvironment (82,83). Transforming growth factor beta (TGF- $\beta$ ) derived from HCC cells is a crucial factor in the development of HCC and can inhibit the antitumoral functions of NKT cells, NK cells and T cells (84). The relationship between all of the aforementioned innate immune cells (DCs, macrophages and neutrophils) and HCC has been summarized in Fig. 1.

### 3. Innate immune cell regulation of the T-cell response in HCC

**Regulating the CD8<sup>+</sup> T-cell response in HCC.** CD8<sup>+</sup>T cells are the main subset of tumor-infiltrating lymphocytes that perform antitumor effector functions and serve opposing roles in promoting a chronic proinflammatory microenvironment and



Table I. Clinical trials of innate immune cells based immunotherapy that have been registered in patients with HCC.

Type of innate immune cells	Phase of clinical trial	Type of vaccine	Recruitment status	Clinicaltrials.gov identifier
DCs	Phase I	ADCC and TACE	Recruiting	NCT03086564
DCs	Phase I	DCs vaccine and microwave ablation	Recruiting	NCT03674073
DCs	Phase II	DCs vaccine and cyclophosphamide, and radical surgery/TACE/targeted agents	Not yet recruiting	NCT04317248
NK cells	Phase I/II	Allogeneic NK cells and targeted drug	Recruiting	NCT04162158
NK cells	Phase II	Allogeneic NK cells after TACE	Completed	NCT02854839
NK cells	Phase I	Allogeneic NK cell or/and immune checkpoint inhibitors	Recruiting	NCT03841110

ADCC, activated dendritic cells combined with cyclophosphamide; TACE, transcatheter arterial chemoembolization; DCs, dendritic cells; NK cells, natural killer cells.

and *in vivo* (101). Similarly, tumor-associated neutrophils can recruit macrophages and Tregs by the expression of CCL2 and CCL17 to promote the growth of HCC and resistance to sorafenib (102) (Fig. 2).

In addition, helper T cells can mediate the immune response by secreting various cytokines, such as interferon- $\gamma$ , IL-4, IL-10 (103). Th1 (CXCR3<sup>+</sup>) mainly secrete interferon- $\gamma$  phenotypic, while Th2 (CRTH2<sup>+</sup>) mainly secrete IL-4, IL-5 and IL-13, which can resist the harm of intracellular pathogens and extracellular parasites respectively (104). Furthermore, ILC2s promoted Th2 differentiation while inhibiting Th1 differentiation in a contact-dependent manner in a co-culture system (105). Membrane-coated microvesicles derived from neutrophils suppressed a subset of Th cells by downregulating IL-2 and IL-2R expression and signaling (106). The aforementioned studies demonstrated the relationship between innate immune cells and helper T cells in a non-hepatocellular environment. However, the exact relationship and underlying molecular mechanisms between innate immune cells and helper T cells in HCC need further exploration. The roles of CD4<sup>+</sup> and CD8<sup>+</sup> T cells in HCC and their relationship with various innate immune cells have been summarized in Fig. 2.

#### 4. Clinical value of innate immune cells in HCC

Immunotherapy has been a hotspot of research since cytotoxic T lymphocyte-associated protein 4 and PD-1 inhibitors were approved to treat melanoma (51). Similarly, immunotherapy based on T cells has become an important therapy for patients with HCC, especially for advanced HCC, but the efficacy of treatment is still not satisfactory (107-109). Hence, a novel treatment strategy is necessary to complement immunotherapy in patients with HCC. In addition to T cells, innate immune cells which are important parts of the tumor microenvironment can also serve crucial roles and regulate T-cell responses in HCC (9). Hence, innate immune cells will be promising candidates for the treatment of patients with HCC. So far, the clinical value of DCs, macrophages and NK cells have been noticed. For example, several animal experiments have confirmed the efficacy of innate immune cells in HCC. For example, the dendritic cell-DEXs, being a cell-free vaccine can elicit

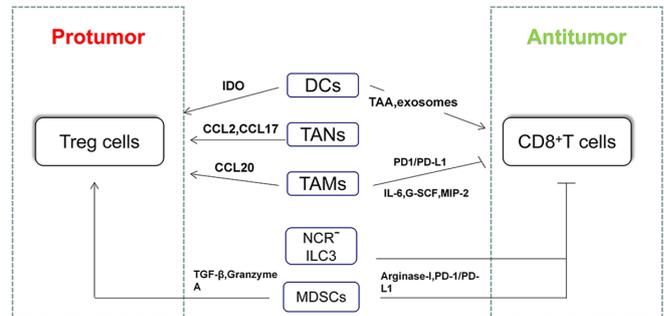


Figure 2. Main mechanisms by which innate immune cells regulate T-cell responses in HCC. CD8<sup>+</sup> T cells and CD4<sup>+</sup> Th1 cells play antitumoral roles in HCC, whereas Treg cells and Th2 cells play protumoral roles in HCC. Innate immune cells can regulate T-cell responses to further mediate the development of HCC. IDO, indoleamine-2,3-dioxygenase; TAA, tumor-associated antigen; MIP-2, macrophage inflammatory protein 2; G-CSF, granulocyte colony-stimulating factor; DCs, dendritic cells; TAMS, tumor-associated macrophages; TANS, tumor-associated neutrophils; NCR, natural cytotoxicity-triggering receptor; ILC, innate lymphoid cells; MDSCs, myeloid-derived suppressor cells; TGF- $\beta$ , Transforming growth factor beta; HCC, hepatocellular carcinoma; PD-1, programmed death 1; PD-L1, programmed death ligand-1; Treg, T regulatory cells; CCL, CC chemokine ligand.

tumor regression in autochthonous hepatocellular carcinoma mouse models (89). Injection of M1 hydrogels (a poly ethylene glycol diacrylate and thiolated gelatin poly ethylene glycol cross-linked hydrogels capsulated with M1 macrophages) can reduce metastasis and induce tumor regression in ectopic nude mice liver cancer models and subcutaneous HCC models (110). Human IL-15 gene-modified NK cells exhibited strong growth inhibition of transplanted human HCC tumors in xenograft nude mouse models (111). In terms of clinical trials, an early phase II study has confirmed the safety of autologous DC vaccination in patients with HCC (112). A randomized phase II study suggested that adjuvant immunotherapy with DC vaccine reduces the risk of tumor recurrence in patients with HCC who underwent standard treatment modalities other than radiofrequency ablation (113). Some ongoing clinical trials based on DCs or NK cells that have been registered are shown in Table I (<https://clinicaltrials.gov/>).

So far, immunotherapy based on T cells, such as PD-1/PD-L1 and chimeric antigen receptor-T cell therapy have demonstrated efficacy in partial malignant tumors, such as malignant tumors of the blood system (114-116). However, the efficacy of T-cell based immunotherapy is not satisfactory in solid tumor (liver cancer), which is partly due to the suppression of T-cell responses induced by innate immune cells in the tumor microenvironment (7). With the development of precision medicine, more attention will be paid to improving immunotherapy (117). Considering the regulation of T-cell responses by innate immune cells and complex protumoral mechanisms in HCC, therapies combining innate immune cells with cytotoxic T cells may be a promising choice.

## 5. Conclusion

HCC remains a threat for human health due to its high degree of malignancy, poor clinical outcome and therapeutic effect (2). Increasing HCC induced by non-infectious diseases, such as NAFLD and alcoholic hepatitis occurs with the improvement of living standards and sanitary conditions (4). The occurrence and development of these HCC are associated with a chronic inflammatory microenvironment and infiltration of immune cells (109). T cells as important tumor-associated immune cells have become a hotspot of research in HCC (5). In fact, innate immune cells, as the other 'weapon' of defense in humans also serve important roles in the development of HCC (117). In fact, in addition to immune cells, hypoxia and glycolysis further promote the formation of the immunosuppressive tumor microenvironment and ultimately promote the metastasis and immune escape of HCC (118,119). However, how these metabolic factors exactly regulate various types of immune cells and whether they are regulated by immune cells in turn remains to be explored.

With the emergence of single-cell RNA sequencing technology, people have realized the importance of the heterogeneity of innate immune cell populations in the HCC microenvironment (120,121). A number of studies have indicated that the innate immune cells play opposite roles in different stages of HCC (122,123). In future, studies of innate immune cells in the HCC microenvironment will become more precise and this will help in improved understanding the functions and mechanisms of immune cell subsets. In addition, the revelation of heterogeneity in the tumor microenvironment in HCC is helpful for overcoming the drug resistance and for designing targets for immunotherapy for HCC in the future (124).

In conclusion, both innate immune cells and T cells can directly mediate the development of HCC. In addition, innate immune cells can also regulate specific T-cell responses to mediate the development of HCC. This may be the key to the immune surveillance and escape in HCC. The present review will help understand the importance of innate immune cells in HCC and facilitate improved immunotherapy for patients with HCC.

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## Authors' contributions

GQH conceived this review, searched, analyzed and drafted the manuscript. DC and JPG participated in discussing, collecting literature and revising the manuscript for important intellectual details. XL conceived and revised the manuscript for important intellectual details. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

Not applicable.

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## Competing interests

The authors declare that they have no competing interests.

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