

Transcriptome analysis and prognostic model construction based on splicing profiling in glioblastoma

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Abstract. Glioblastoma (GBM) is the most aggressive malignant brain tumour, with high morbidity and mortality rates. Currently, there is a lack of systematic and comprehensive analysis on the prognostic significance of alternative splicing (AS) profiling for GBM. The GBM data, including RNA-sequencing, corresponding clinical information and the expression levels of splicing factor genes, were downloaded from The Cancer Genome Atlas and the SpliceAid2 database. The prognostic models were assessed by the least absolute shrinkage and selection operator Cox regression analysis. The correlation network between survival-associated AS events and splicing factors was plotted. Prognostic models were built for every AS event type and performed well for risk stratification in patients with GBM. The final prognostic signature served as an independent prognostic factor [hazard ratio (HR), 4.61; 95% confidence interval (CI), 2.97-7.16; $P=9.66 \times 10^{-12}$] for several clinical parameters, including age, sex, isocitrate dehydrogenase mutation, O⁶-methylguanine-DNA methyltransferase promoter methylation and risk score. The HR for risk score with GBM was 1.0063 (95% CI, 1.0024-1.0103). The splicing regulatory network indicated that heat shock protein b-1, protein arginine N-methyltransferase 5, protein FAM50B and endoplasmic reticulum chaperone BiP genes were independent prognostic factors for GBM. The results of the present study support the ongoing effort in developing novel genomic models and providing potentially more effective treatment options for patients with GBM.

Introduction

As the most aggressive primary central nervous system malignant tumour, glioblastoma (GBM) is a grade IV astrocytoma

comprising 54% of all gliomas, with an incidence of 3.19 per 100,000 individuals in the United States in 2006-2010 (1). Although there are several therapeutic strategies for GBM treatment, including neurosurgical therapy, chemotherapy and radiotherapy, the median survival time for patients with GBM remains at 12-15 months, with a 5-year survival rate of <5% (1,2).

At present, several molecular biomarkers, including epidermal growth factor receptor (EGFR), isocitrate dehydrogenase (IDH), O⁶-methylguanine DNA methyltransferase (MGMT) and PTEN have been tested in the clinical setting for patients with GBM (3). Given that the overall survival (OS) of patients with GBM remains low, novel molecular biomarkers and new treatment options are urgently required in order to determine the developmental mechanisms of GBM.

With the rapid development of high-throughput sequencing and bioinformatics approaches, the study of oncogene expression has entered a new stage. To date, an increasing number of studies have proven that the results of genome-wide tumour bioinformatic analyses may be used as new biomarkers for diagnosis and treatment (4-7), which is important for investigating GBM-associated signaling pathways, such as the MAPK, PI3K and p53 signaling pathways. Multigene signatures have also been confirmed to predict the prognosis of patients with glioma based on mRNA expression profiling (8,9).

Alternative splicing (AS) regulates the translation of mRNA isoforms and gives rise to protein diversity, thus serving as an important post-transcriptional regulatory mechanism (10). More than 95% of human genes undergo AS and encode splice variants in transcriptional processes (11). Increasing evidence indicates the essential role of AS in the course of oncogenesis, including tumour cell proliferation, immune escape, angiogenesis and tumour metastasis (12,13). In addition, specific splicing factor (SF) genes regulate AS events via binding to pre-mRNAs and yielding RNA splicing in the tumour microenvironment (14). Abnormal expression of SFs may result in the activation of oncogenes or the inactivation of cancer suppressors (15). Therefore, the role of specific SFs in pathogenesis provides theoretical support for tumour biological processes, especially at the gene transcription level (16). Constructing a prognostic model is essential to elucidate potential cancer biomarkers (17,18).

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Younger patients (median age, 36 years) with GBM generally have an improved prognosis and commonly carry IDH1 mutations, the cytosine-phosphate-guanine island methylator phenotype and a gene expression profile of a proneural subgroup. However, these biomarkers are valid for only a few primary patients with GBM (19). The present study constructed a prognostic model with good performance based on AS events for patients with GBM and plotted SF-AS networks that may serve as new molecular targets for the prognosis of patients with GBM.

Materials and methods

Data acquisition. Datasets used in the present study, including RNA sequencing (RNA-seq) data and corresponding clinical information of patients with GBM, were downloaded from The Cancer Genome Atlas (TCGA-GBM; <https://tcga-data.nci.nih.gov/tcga/>). All subtypes in this TCGA-GBM dataset, including classical, proneural, mesenchymal and neural, were analyzed without classification. The expression of SF genes in the mRNA splicing pathway was obtained from the SpliceAid2 database (<http://www.introni.it/splicing.html>). $P < 0.05$ was considered to indicate a statistically significant difference.

Different splicing types were classified using TCGA SpliceSeq (20), a Java application, to investigate the mRNA splicing patterns of RNA-seq and to identify significant changes in AS events. The percent-splice-in (PSI) value was calculated using the following formula with normalized read counts: $\frac{\text{splice_in}}{(\text{splice_in} + \text{splice_out})}$ for seven common patterns of AS events, including alternative acceptor (AA), alternate donor (AD), alternate promoter (AP), alternate terminator (AT), exon skipping (ES), mutually exclusive exon (ME) and retained intron (RI) (21). In the current cohort, AS events with a PSI value $> 75\%$ were obtained from the TCGA SpliceSeq database. The PSI value of AS events with standard deviation < 1 were excluded from analysis.

Data analysis, dimension reduction and model construction. UpSet plot, a novel visualization technique for the quantitative results of multiple interactive sets (22), was used to visualize various combinations of the seven aforementioned AS types. To display the functional interactions of splicing-associated genes, a network was constructed using the Reactome FI plugin of Cytoscape (version 3.6.1) (23). This application predicts associations composed of specific genes and integrates them in a network plot.

Univariate Cox regression was applied to analyze the association between AS events and OS to disclose the molecular characteristics of survival-associated AS events. Subsequently, the top 20 significant AS events of each type were used to develop prognostic predictor models.

Least absolute shrinkage and selection operator (LASSO) Cox analysis, which is ideal for high-dimensional data (24), was performed to compute the optimal coefficient and the deviance likelihood for each prognostic feature using the 'glmnet' package in R (version 4.0-2; <https://cran.r-project.org/web/packages/glmnet/index.html>). According to each coefficient, the AS events were divided into high- and low-risk subgroups based on the median risk scores (All, 7.59; AA, 1.89; AD, 1.74; AP, 4.05; AT, 2.78; ES, 3.41; ME, 1.2; RI, 3.03).

Kaplan-Meier survival analysis and log-rank test were used to further validate whether they resulted in diametrically distinct outcomes. Prognostic models were calculated by multiplying the PSI values of each significant splicing gene and the coefficient performed by LASSO Cox analysis.

Clinical prognostic analyses. Clinical parameters were obtained to assess the changes in AS events concerning the prognosis of patients with GBM. A total of 169 GBM samples and 5 normal tissues with available RNA-seq data were identified. Only cases with primary tumours, with no adjuvant pre-operative therapy and with ≥ 30 days of OS were included. There were 145 patients (51 females and 94 males; mean age, 59.83; age range, 21-85 years) with applicable clinical parameters and RNA-seq data who were included. To assess the efficiency of each prognostic candidate, the survivalROC package in R (version 1.0.3; <https://cran.r-project.org/web/packages/survivalROC/index.html>) was used to generate the area under the curve (AUC) of receiver operating characteristic (ROC) curves with censored data for each model (25).

Evaluation of splicing-based prognostic signature as an independent predictor was performed by integrating the following clinical parameters into the univariate and multivariable Cox regression analysis: Age, sex, IDH status, MGMT promoter status and the risk score of AS events. The ability of the models to predict the survival outcome of patients with GBM was evaluated. All analyses were performed using R/Bioconductor (version 3.5.1; <https://www.r-project.org/>).

Correlation between splicing events and splicing factors. The correlation network between the gene expression levels of SFs and the PSI values of AS events were performed by Pearson's correlation analysis and plotted using Cytoscape (version 3.6.1; <https://cytoscape.org/>).

Results

Identification of survival-associated AS events. mRNA-seq datasets and clinical information of patients with GBM were obtained from TCGA (TCGA-GBM). A total of 169 GBM samples and 5 normal tissues with available RNA-seq data were identified. Only cases with primary tumours, with no adjuvant pre-operative therapy and with ≥ 30 days of OS were included. A total of 145 patients with applicable clinical parameters and RNA-seq data were finally included.

For evaluation of prognostic values (Table I and Fig. 1A), there were a total of 3,827 alternate acceptor (AA) events in 2,684 genes, 3,269 AD events in 2,270 genes, 8,686 AP events in 3,476 genes, 8,456 AT events in 3,695 genes, 18,360 ES events in 6,935 genes, 184 ME events in 180 genes and 2,828 RI events in 1,897 genes. Hence, there may be ≥ 2 AS events in one gene associated with survival in patients with GBM.

Based on the univariate Cox regression analysis, a total of 115 AA events in 109 genes, 110 AD events in 106 genes, 346 AP events in 235 genes, 264 AT events in 179 genes, 631 ES events in 537 genes, 7 ME events in 7 genes and 96 RI events in 93 genes were identified as significant prognosis-associated AS events ($P < 0.05$; Table I). Small nuclear ribonucleoprotein-associated protein N, heterogeneous nuclear

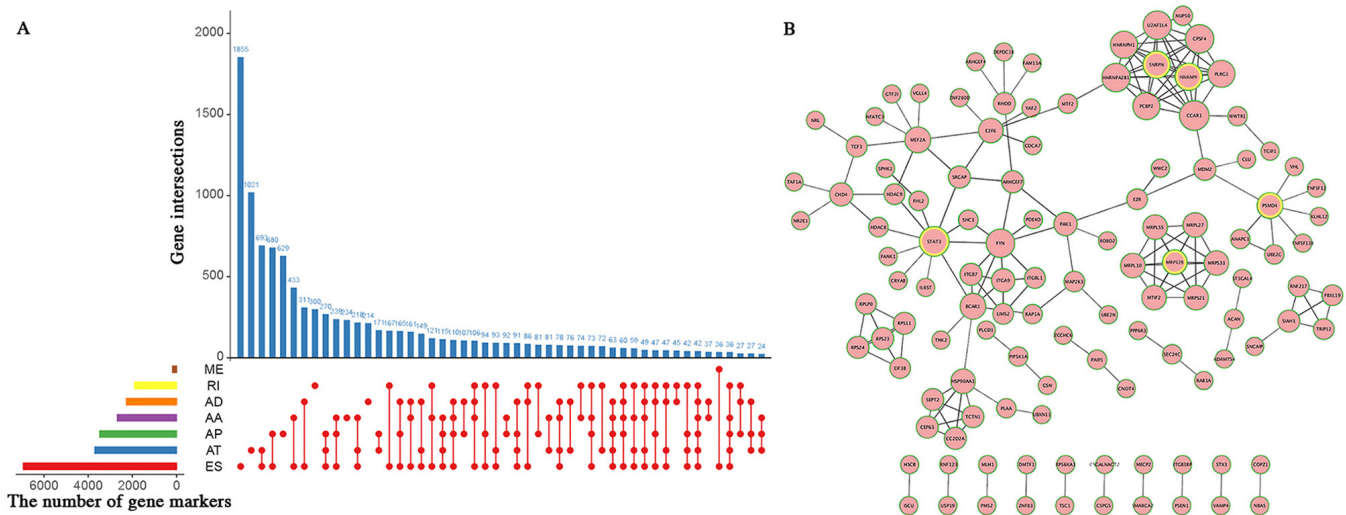


Figure 1. (A) UpSet plots in glioblastoma, showing the RNA-seq distributions among the seven types of alternative splicing events. (B) Protein-protein interaction network with survival-associated splicing genes in glioblastoma. AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skipping; ME, mutually exclusive exon; RI, retained intron.

Table I. Summary of the glioblastoma sample cohort from The Cancer Genome Atlas.

Splicing type	Number of RNA-seq events		Number of survival-associated RNA-seq events	
	AS events	Genes	AS events	Genes
AA	3,827	2,684	115	109
AD	3,269	2,270	110	106
AP	8,686	3,476	346	235
AT	8,456	3,695	264	179
ES	18,360	6,935	631	537
ME	184	180	7	7
RI	2,828	1,897	96	93
ALL	45,610	10,434	1,569	1,180

AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skip; ME, mutually exclusive exons; RI, retained intron; RNA-Seq, RNA sequencing; AS, alternative splicing.

ribonucleoprotein F, MRPS28, STAT3 and 26S proteasome non-ATPase regulatory subunit 4 were considered as hub genes in the network (Fig. 1B). Fig. 2A-G shows the top 20 significant survival-associated AS events based on PSI values. The two-sided red curves were obtained by the significant AS events in the volcano plot (Fig. 2H and Table SI).

Efficiency of prognostic models. Using LASSO Cox analysis, seven types of prognostic models were developed based on AA, AD, AP, AT, ES, ME and RI (Fig. 3). The risk score of each AS type was calculated with their PSI values, while high- and low-risk groups were divided using the median

risk score as the cut-off point. The present study revealed that the survival time of the high-risk group was significantly shorter than that of the low-risk group in the current cohort (Fig. 4). Therefore, the prognostic models of each AS type were considered to predict the clinical outcome of patients with GBM (Fig. 4). In addition, the 16 most significant survival-associated AS events in the seven types were selected to construct the final prognostic model (Table II). The scatter plots and heat maps suggested that patients with high-risk scores had a low survival time, while patients with low-risk scores had a high survival time (Fig. S1). The final prognostic model was deemed to be an ideal predictor of what could significantly distinguish patients with GBM with distinct survival times (Fig. S1). The AUC of the ROC curve validated the performance of prognostic models with good performance in prognosis prediction (Fig. 5). Additionally, univariate Cox regression analysis was performed to assess the prognostic value of clinical parameters, including age, sex, IDH mutation, MGMT promoter methylation and risk score of AS events. Multivariate Cox regression was applied after the sex parameter was eliminated since there was no significant association between sex and survival in the univariate analysis. The hazard ratios for risk score of AS events were 1.0071 (95% CI, 1.0032-1.0111) and 1.0063 (95% CI, 1.0024-1.0103) by univariate and multivariate analyses, respectively (Table III). In the present cohort, the parameters of age, MGMT promoter methylation status and risk score of AS events were considered as independent factors of prognosis prediction.

SF-AS regulatory association. The regulatory network of the significant survival-associated AS events ($n=241$) and SFs was plotted using Cytoscape ($n=25$) (Table SII and Fig. 6A). Among all SFs, four representative prognostic factors were selected, including heat shock protein b-1 (HSPB1), protein arginine N-methyltransferase 5 (PRMT5), protein FAM50B (FAM50B) and endoplasmic reticulum chaperone BiP (HSPA5). The expression levels of each

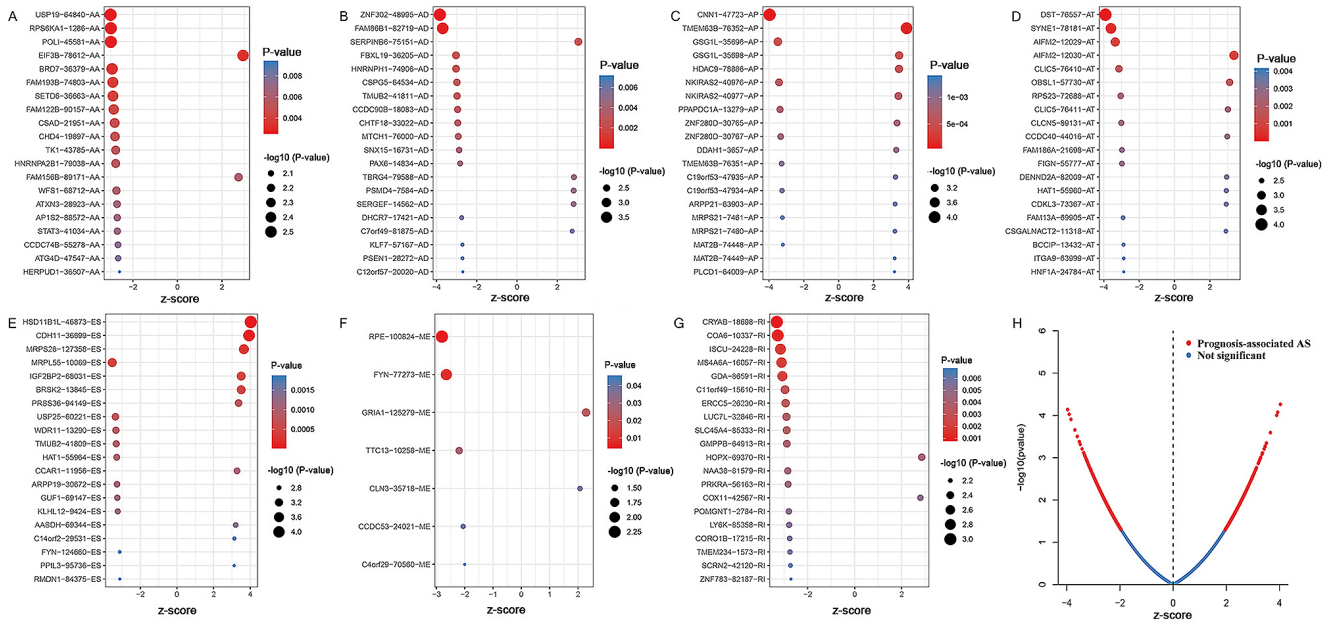


Figure 2. Top AS events associated with overall survival based on (A) AA, (B) AD, (C) AP, (D) AT, (E) ES, (F) ME and (G) RI. The larger and more red dots indicate the alternative splicing events with more significance. (H) Red dots represent splicing events that are significantly prognosis-associated ($|z\text{-score}| > 1$). Blue dots represent splicing events without prognosis association ($|z\text{-score}| < 1$). The x-axis of the z-score refers to either a positive or negative association. AS, alternative splicing; AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skipping; ME, mutually exclusive exon; RI, retained intron.

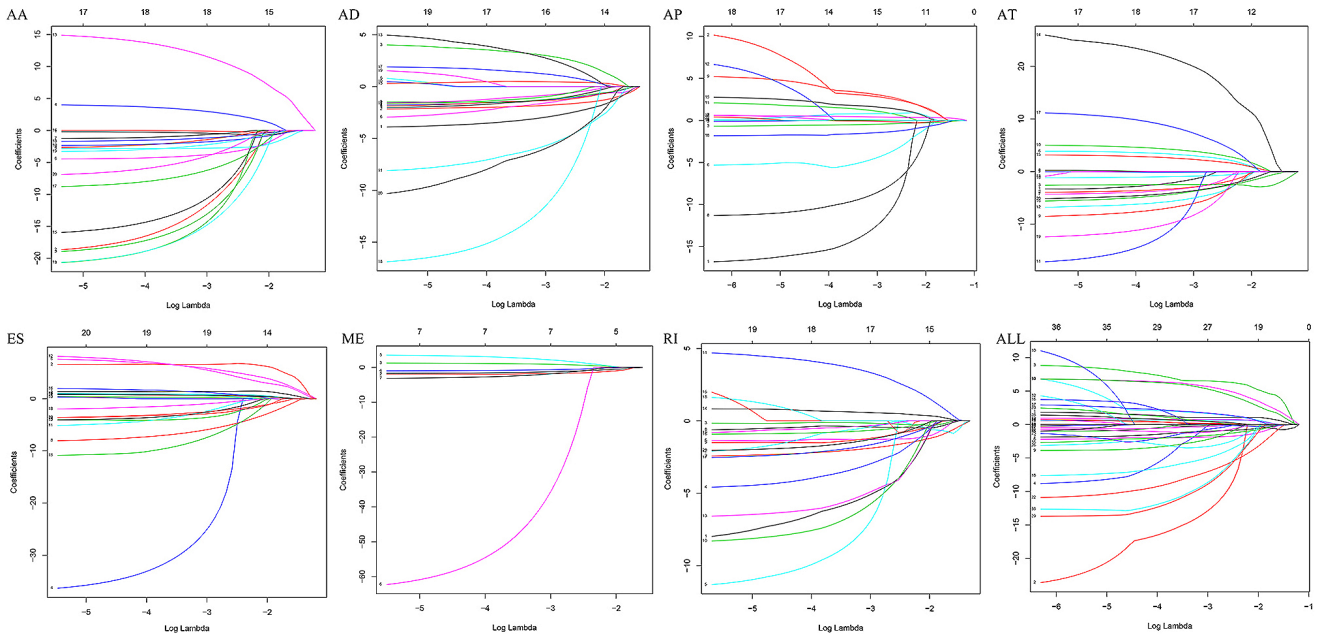


Figure 3. Construction of prognostic signatures based on least absolute shrinkage and selection operator Cox analysis. Each colored curve refers to a significant splicing event. While the $\log(\lambda)$ values of the lower horizontal coordinate increase, the coefficients of each splicing event tend toward stationarity. The values of the upper horizontal coordinate represent non-zero coefficients. AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skipping; ME, mutually exclusive exon; RI, retained intron.

SF gene were calculated, while high- and low-risk groups were divided using the median values as cut-off. The results revealed that the survival time of the high-risk group was significantly shorter than that of the low-risk group ($P < 0.05$; Fig. 6B-E). Therefore, HSPB1, PRMT5, FAM50B and HSPA5 were identified as significantly representative prognostic factors. Among the splicing correlation network,

a total of 129 favorable prognosis AS events were correlated with survival-associated SFs, while a total of 112 adverse prognosis AS events were correlated with survival-associated SFs ($P < 1 \times 10^{-10}$; Table SIII). Notably, the most favorable splicing events were negatively regulated by SFs, while the most adverse splicing events were positively regulated by SFs (Table SIII).

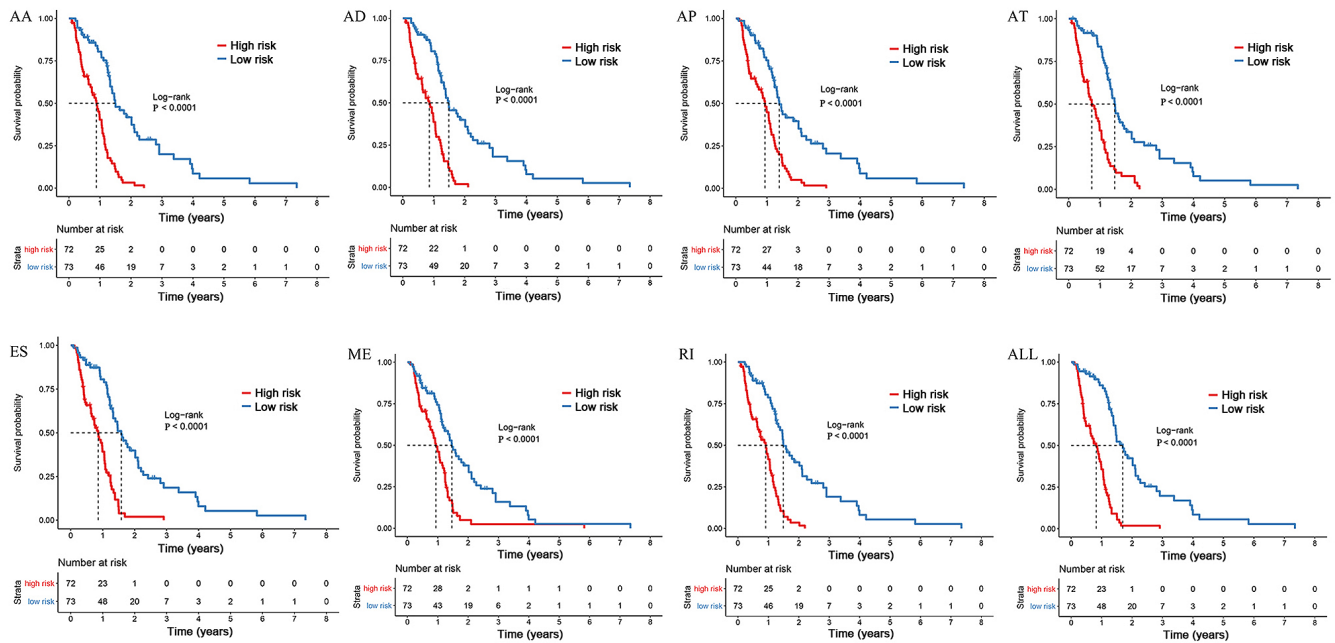


Figure 4. Kaplan-Meier curves of prognostic models for high- and low-risk subgroups of patients with glioblastoma. AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skipping; ME, mutually exclusive exon; RI, retained intron.

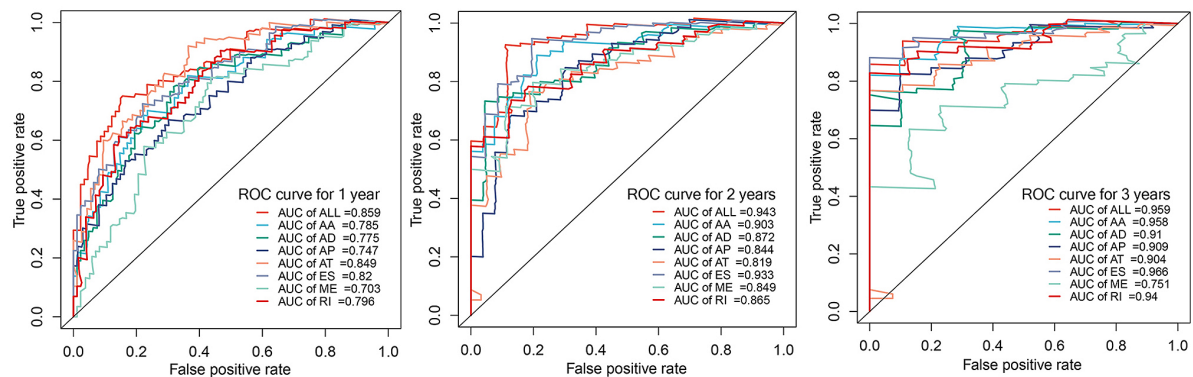


Figure 5. AUC of ROC curves of eight types of prognostic models for glioblastoma within 1, 2 and 3 years. AUC, area under the curve; ROC, receiver operating characteristic; AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skipping; ME, mutually exclusive exon; RI, retained intron.

Discussion

GBM is a primary neuroepithelial tumour of the central nervous system and accounts for 12-15% of all intracranial tumours (1,2). The present study analyzed GBM datasets composed of classical, proneural, mesenchymal and neural subtypes. Patients with GBM have a poor median survival time of 12-15 months following standard therapy, with only 3-5% of patients surviving up to 5 years after the first diagnosis (1,2). Currently, several molecular markers have been tested as part of the routine clinical investigation of patients with GBM, including MGMT, IDH, EGFR, PTEN, VEGF, TP53, p16INK4a gene and 1p19q gene, as well as imaging biomarkers (26). However, there is still a limited number of molecular signatures for the contribution to anti-GBM therapies, such as temozolomide, bevacizumab and lomustine (27). Developments in next-generation sequencing methods have led

to the identification of specific molecular signatures of GBM that allow for further investigation of the molecular pathogenesis of this disease (28). In recent years, high-throughput RNA-seq approaches have extensively promoted genome-wide analyses, including genome splicing investigation. The present study used bioinformatics techniques to identify survival-associated AS events in order to construct splicing signatures for the prediction of prognosis, orchestrate SF-AS networks and assess their potential underlying molecular mechanism.

Previously, SpliceSeq analyses have been adopted to establish AS profiling and construct prognostic models in glioma; several potential AS events were identified in pan-glioma and GBM cohorts, including adenine DNA glycosylase, metalloreductase STEAP3, SUMO-conjugating enzyme UBC9, von Hippel-Lindau disease tumor suppressor, BTB/POZ domain-containing protein KCTD7, protein S100-A4, endothelin-converting enzyme 2 and lymphocyte antigen

Table II. Prognostic signatures based on each type of alternative splicing event.

Splicing type	Algorithm	Hazard ratio (95% CI)	AUC, 3 years
AA	RPS6KA1 1286 AA*(-18.676)-POLI 45581 AA*21.795+EIF3B 78612 AA*4.721-BRD7 36379 AA*4.239-FAM193B 74803 AA*5.174-FAM122B 90157 AA*2.925-CSAD 21951 AA*18.454-CHD4 19897 AA*2.244-TK1 43785 AA*24.736+FAM156B 89171 AA*16.546-ATXN3 28923 AA*14.630-STAT3 41034 AA*10.635-CCDC74B 55278 AA*1.472-ATG4D 47547 AA*3.458	3.755 (2.471-5.708)	0.958
AD	ZNF302 48995 AD*(-3.8)+FAM86B1 82719 AD*2.205-FBXL19 36205 AD*2.773-CSPG5 64534 AD*3.081-TMUB2 41811 AD*2.059-SNX15 16731 AD*9.014+TBRG4 79588 AD*5.12+C7orf49 81875 AD*1.91-KLF7 57167 AD*17.889-C12orf57 20020 AD*10.122-CCDC90B 18083 AD*2.252	3.824 (2.495-5.861)	0.910
AP	CNN1 47723 AP*(-18.15)+TMEM63B 76352 AP*3.919-GSG1L 35696 AP*1.009-NKIRAS2 40976 AP*9.203-PPAPDC1A 13279 AP*11.616+ZNF280D 30765 AP*5.021+ARPP21 63903 AP*2.157	2.891 (1.933-4.323)	0.909
AT	SYNE1 78181 AT*(-3.939)+OBSL1 57730 AT*5.011-RPS23 72688 AT*4.493-CLCN5 89131 AT*7.954+CCDC40 44016 AT*5.905-FAM186A 21698 AT*18.776-FIGN1 55777 AT*7.607+HAT1 55960 AT*30.067+CDKL3 73367 AT*3.414-FAM13A 69905 AT*6.427+CSGALNACT2 11318 AT*13.387-ITGA9 63999 AT*12.956-HNF1A 24784 AT*5.337	3.533 (2.353-5.306)	0.904
ES	MRPL55 10069 ES*(-41.027)+BRSK2 13845 ES*8.338-USP25 60221 ES*7.809-HAT1 55964 ES*10.427+CCAR1 11956 ES*11.785-ARPP19 30672 ES*6.302-GUF1 69147 ES*3.882-KLHL12 9424 ES*15.904+AASDH1 69344 ES*2.443+C14orf2 29531 ES*1.304-FYNI 24660 ES*3.062+PPIL3 95736 ES*0.960	3.699 (2.433-5.623)	0.966
ME	RPE1 00824 ME*(-1.878)+GRIA1 125279 ME*1.332-TTC13 10258 ME*1.255+CLN3 35718 ME*3.709-CCDC53 24021 ME*71.454-C4orf29 70560 ME*3.131	2.390 (1.612-3.544)	0.751
RI	CRYAB 18698 RI*(-8.072)-COA6 10337 RI*2.972-MS4A6A 16057 RI*5.522-GDA 86591 RI*10.733-SLC45A4 85333 RI*1.739-GMPPB 64913 RI*9.038+HOPX 69370 RI*4.469-PRKRA 56163 RI*7.561-LY6K 85358 RI*1.156-CORO1B 17215 RI*2.786-ZNF783 82187 RI*2.937	3.850 (2.519-5.885)	0.940
All	HSD11B1L 46873 ES*1.533-CNN1 47723 AP*19.215+TMEM63B 76352 AP*2.915-ZNF302 48995 AD*2.545-FAM86B1 82719 AD*1.709-SYNE1 78181 AT*3.756+BRSK2 13845 ES*8.183-PPAPDC1A 13279 AP*6.446+PRSS36 94149 ES*1.304-USP25 60221 ES*11.622-CRYAB 18698 RI*5.015-HAT1 55964 ES*16.158+CCAR1 11956 ES*7.809+GUF1 69147 ES*2.621+ARPP21 63903 AP*2.198-KLHL12 9424 ES*-13.522	4.6097 (2.97-7.155)	0.959

AA, alternate acceptor; AD, alternate donor; AP, alternate promoter; AT, alternate terminator; ES, exon skip; ME, mutually exclusive exons; RI, retained intron; AUC, area under the curve.

6K (29-31). Additionally, several prognostic models based on AS events have been constructed for prognosis prediction, which may complement the molecular classification, further

identify potential glioma subgroups and highlight SFs as an important mechanism of splicing regulation in the carcinogenesis and aggressiveness of GBM (9,31-33).

Table III. Cox regression analysis of clinical parameters and risk score for assessing prognostic model value in patients with glioblastoma.

Clinical variable	Univariate Cox regression		Multivariate Cox regression	
	HR (95% CI)	P-value	HR (95% CI)	P-value
Age, >60 vs. ≤60 years	1.0397 (1.0210-1.0587)	2.57×10 ⁻⁰⁵	1.0344 (1.0125-1.0567)	0.00189
Sex, male vs. female	1.0198 (0.6541-1.5899)	0.93117	N/A	N/A
IDH mutation, yes vs. no	0.1836 (0.0573-0.5881)	0.00432	0.4370 (0.1272-1.5019)	0.18876
MGMT promoter methylation, yes vs. no	0.4987 (0.3129-0.7950)	0.00345	0.5253 (0.3276-0.8425)	0.00755
Risk score of AS events, high vs. low	1.0071 (1.0032-1.0111)	0.00036	1.0063 (1.0024-1.0103)	0.00153

HR, hazard ratio; IDH, isocitrate dehydrogenase; MGMT, O⁶-methylguanine DNA methyltransferase; N/A, not applicable; AS, alternative splicing.

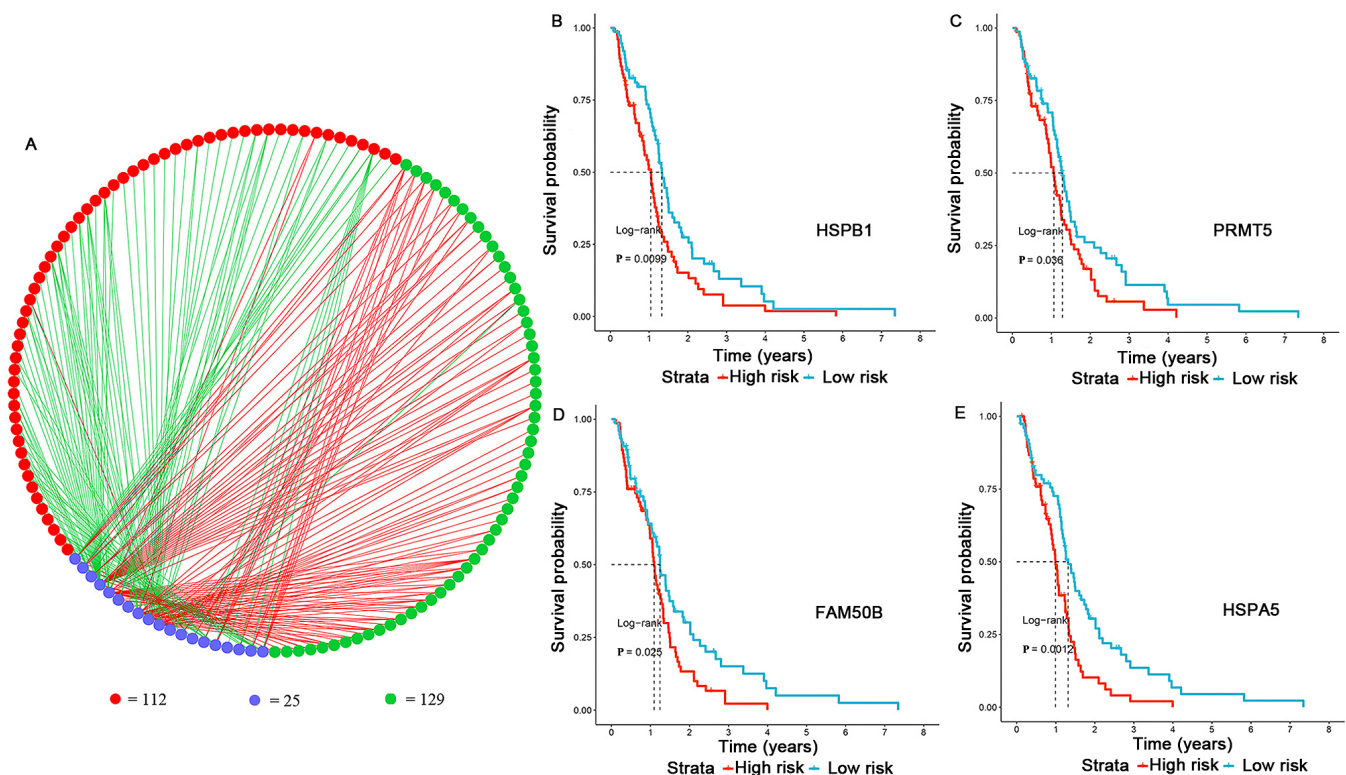


Figure 6. (A) Alternative splicing events whose percent-splice-in values are positively or negatively associated with overall survival are represented with green or red dots, respectively. Survival-associated splicing factor genes are represented with purple dots. The positive or negative correlation between splicing factor genes and splicing events are represented with red or green lines, respectively. Kaplan-Meier survival analysis was performed for (B) HSPB1, (C) PRMT5, (D) FAM50B and (E) HSPA5 as significantly representative prognostic factors. HSPB1, heat shock protein b-1; PRMT5, protein arginine N-methyltransferase 5; FAM50B, protein FAM50B; HSPA5, endoplasmic reticulum chaperone BiP.

The present study comprehensively analyzed the prognostic value of AS events and SFs in a GBM cohort using several computational approaches. The in-depth study further investigated alterations of mRNA-seq for prognostic monitoring. The ideal prognostic model built by combining all significant AS events exhibited potential for predicting the survival outcome of patients with GBM. Splicing correlation network analysis further revealed regulated nodes, revealing the potential mechanisms in the regulatory network at the genome-wide level.

In the interaction network analysis, HSPB1, PRMT5, FAM50B and HSPA5 were identified as independent prognostic factors. It has been reported that HSPB1 (also known as Hsp27) phosphorylation leads to the activation of orphan nuclear receptor TAK1 and TAK1-p38/ERK pro-survival signaling, thus acting against TNF- α -induced apoptosis (34). PRMT5 is one of the candidate genes required for apoptosis or loss of self-renewal for differentiated and undifferentiated GBM cells, respectively (35). The specificity and efficacy of four novel PRMT5 inhibitors have been identified for the

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