Stromal expression of cancer-associated fibroblast-related molecules, versican and lumican, is strongly associated with worse relapse-free and overall survival times in patients with esophageal squamous cell carcinoma

NAOTO YAMAUCHI 1 , YASUYUKI KANKE 1 , KATSUHARU SAITO 1 , HIROKAZU OKAYAMA 1 , SHOKI YAMADA 2 , SHOTARO NAKAJIMA 1 , EISEI ENDO 1 , KOJI KASE 1 , LEO YAMADA 1 , HIROSHI NAKANO 1 , TAKURO MATSUMOTO 1 , HIROYUKI HANAYAMA 1 , YOHEI WATANABE 1 , SUGURU HAYASE 1 , MOTONOBU SAITO 1 , ZENICHIRO SAZE 1 , KOSAKU MIMURA 1 , TOMOYUKI MOMMA 1 , SHINJI OKI 1 , YUKO HASHIMOTO 2 and KOJI KONO 1

Departments of ¹Gastrointestinal Tract Surgery and ²Diagnostic Pathology, Fukushima Medical University, Fukushima 960-1295, Japan

Received September 19, 2020; Accepted February 26, 2021

DOI: 10.3892/ol.2021.12706

Abstract. Cancer-associated fibroblasts (CAFs) in the tumor microenvironment play an essential role in the tumor progression of esophageal squamous cell carcinoma (ESCC). The present study aimed to investigate the expression of CAF-related molecules, versican, periostin and lumican, in cancer stroma, to provide prognostic stratification for patients with ESCC after surgery. A total of 106 patients with ESCC who underwent curative esophagectomy without preoperative chemotherapy or radiotherapy were enrolled. The expression of CAF-related stromal proteins, including versican, periostin and lumican, was examined using immunohistochemistry, and the prognostic value was assessed by Kaplan-Meier survival analysis, and univariate and multivariate Cox regression models. The expression of versican, periostin and lumican was found specifically in the stromal component of ESCC. Kaplan-Meier analysis demonstrated that, compared with a low expression level, a high expression level of versican, periostin or lumican in the cancer stroma was significantly associated with worse relapse-free survival (RFS) and overall survival times in patients with ESCC. The prognostic values of stromal versican and lumican remained significant in a stratified analysis of stage I patients. Moreover, univariate and multivariate analysis revealed that high stromal versican or lumican expression was an independent prognostic factor

An estimated 572,034 new esophageal cancer cases and 508,585 associated deaths are expected annually according to the Global Cancer Statistics 2018 (1). Esophageal cancer is one of the least studied and most fatal cancer types worldwide due to its extremely aggressive nature and poor survival rate (2). Esophageal squamous cell carcinoma (ESCC) is the most common histological subtype of esophageal cancer in Asia, while adenocarcinoma is dominant in Western countries. Despite the global incidence of ESCC decreasing slightly in recent years, ESCC is still a major cause of cancer-related morbidity and mortality worldwide (3). The majority of

for RFS in the patients. The present study demonstrated that

CAF-related molecules, including versican, periostin and

lumican, were expressed in the stroma of ESCC, and that

stromal expression of versican and lumican in particular may have clinical utility as a prognostic biomarker for poor RFS in

postoperative patients with ESCC.

Introduction

metastasis, even after curative surgery; however, no prognostic biomarkers are currently used for the treatment decision in the clinical setting (4,5).

patients with ESCC die due to local recurrence and distant

The tumor microenvironment (TME) is known to play an important role in esophageal cancer development and progression (6). The TME is composed not only of cellular components, such as cancer-associated fibroblasts (CAFs), endothelial cells and immune cells, but also of the extracellular matrix (ECM), a network of macromolecules that provide mechanical and biochemical support for surrounding cells (7). CAFs are commonly described as having a myofibroblastic phenotype; i.e., a secretory and contractile cell that expresses α -smooth muscle actin (α SMA)(6). CAFs regulate a number of tumor-promoting functions, including invasion and angiogenesis, and may also affect tumor cell function by

Correspondence to: Dr Yasuyuki Kanke, Department of Gastrointestinal Tract Surgery, Fukushima Medical University, 1 Hikarigaoka, Fukushima 960-1295, Japan E-mail: kanke33@fmu.ac.jp

Key words: esophagus, squamous cell carcinoma, versican, periostin, lumican, cancer-associated fibroblasts

remodeling and generating tissue tension (8). The increased expression of these CAF proteins is induced by growth factors and microRNAs secreted by cancer cells. CAFs can modulate tumor progression in several pathways, such as via the alteration of ECM protein structure and stiffness (6). In the TME, CAFs can produce ECM proteins, growth factors and cytokines to promote tumor progression and metastasis (9). In a previous study, genome-wide expression profiling of ESCC demonstrated that CAF-related molecules, including versican, periostin and lumican, were highly expressed in ESCC (6). Versican, periostin and lumican are all TGF-β-related molecules in CAFs (6,10,11). Although the expression of all three molecules in cancer stroma was found to be associated with poor survival outcomes in several types of cancer and their tumor-promoting functions in the TME have also been reported (10,12-15), to the best of our knowledge, it remains to be determined whether the expression levels of these stromal proteins have a prognostic impact in ESCC. The present study aimed to address the prognostic role of CAF-related molecules, including versican, periostin and lumican, via immunohistochemical analysis of 106 specimens obtained from patients with stage I-IV ESCC treated by curative surgery without preoperative therapy.

Materials and methods

Patients and specimens. From 303 consecutive patients with esophageal cancer who underwent curative esophagectomy between July 2004 and July 2019 at the Department of Gastrointestinal Tract Surgery (Fukushima Medical University, Fukushima, Japan), 106 patients with ESCC who did not receive preoperative chemotherapy or radiotherapy were enrolled in the present study (Fig. S1). The clinical and pathological data were retrospectively collected from medical records, with the date of last follow-up being July 2019. These data included age, sex, tumor location, tumor depth, presence of lymph node metastasis, lymphatic and venous invasion, and Tumor-Node-Metastasis (TNM) classification defined by The TNM Classification of Malignant Tumors, 8th edition (16). This study was retrospective and the tissue samples for the patients were obtained from the Department of Gastrointestinal Tract Surgery and the Department of Diagnostic Pathology, Fukushima Medical University. This study was conducted in accordance with the Declaration of Helsinki and was approved by the Institutional Review Board of Fukushima Medical University.

Immunostaining and scoring. For immunostaining, the EnVision system (Dako; Agilent Technologies, Inc.) was used to observe the expression of versican, periostin and lumican. Primary rabbit polyclonal antibodies, anti-versican (cat. no. HPA004726) and anti-lumican (cat. no. HPA001522), were purchased from Sigma-Aldrich; Merck KGaA, and rabbit polyclonal anti-periostin (RD181045050) was purchased from BioVendor R&D (10,12). Rabbit monoclonal anti-αSMA (cat. no. 19245) was purchased from Cell Signaling Technology, Inc. For immunostaining, the tissue samples were fixed in 10% formalin at room temperature for 48 h. and embedded in paraffin. The specimens were cut into 4-μm sections, which were deparaffinized in xylene and treated

with a series of ethanol (100, 100 and 95% for 5 min each). Endogenous peroxidase activity was blocked with 0.3% H₂O₂ in methanol. For versican stainging, antigens were retrieved by autoclaving with 10 mM citrate buffer solution (pH 6.0) at 100°C for 10 min. For the staining of periostin, lumican and αSMA, antigens were not retrieved. Next, the slides were incubated with the following primary antibodies: Versican (1:500), periostin (1:1,000), lumican (1:500), and α SMA (1:400). All primary antibody incubations were performed at 4°C overnight. The sections were subsequently incubated with anti-rabbit secondary antibodies (DAKO Envisison+ System; cat. no. K4003; Agilent Technologies, Inc.). Peroxidase was visualized with diaminobenzidine (Dojindo), and the nuclei were counterstained with Mayer's Hematoxylin solution. Immunostaining score was composed of two factors: Staining intensity and percentage of positivity in the stromal area. Staining intensity was scored as follows: 0, negative; 1, weak; and 2, strong. The percentage of positivity in the stromal area was scored as follows: 0, 0-5%; 1, 5-25%; and $2, \ge 25\%$. Scores were combined to generate each immunohistochemistry (IHC) score (min, 0; max, 4) (14). The individuals with a total score of 4 were defined as the high expression group, while individuals with a score of 0-3 were defined as the low expression group for each molecule. Evaluation of staining for αSMA was assessed as the percentage of positivity and the staining intensity in the stromal area; staining intensity was scored as follows: 0, negative; 1, weak; and 2, strong. The percentage of positive staining in the stromal area was scored as follows: 1, 0-50%; 2, >51%. The scores were combined to generate each IHC score (min, 0; max, 4). The individuals with a total score of 2-4 were defined as the high expression group, whereas individuals with total score of 0-1 were defined as the low expression group. Microscopic analysis was conducted using NanoZoomer-SQ (Hamamatsu Photonics K.K.) by three independent investigators, including two pathologists, who had been blinded to the clinical data, The scoring was determined through discussion.

Statistical analysis. All statistical analyses were performed with R software (Ver. 3.6.1.) (17) in the present study. The χ^2 test was used to evaluate age, presence of lymph node metastasis, lymphatic invasion and venous invasion, and tumor location. Fisher's exact test was applied to analyze differences in sex, postoperative additional therapy and tumor differentiation, and the Mann-Whitney U test was applied for tumor depth and the TNM classification for comparisons between each high and low group. Relapse-free survival (RFS) time was defined as the time from the date of surgery to the date of tumor relapse at any site, and overall survival (OS) time was defined as the time from the date of surgery to the date of death. RFS and OS were analyzed using the Kaplan-Meier method and log-rank and Wilcoxon tests. The associations between stromal versican, periostin, lumican and αSMA were calculated by the χ^2 test, and the association between stroma periostin and lumican calculated by Fisher's exact test. The Cox hazard regression model was used for univariate and multivariate survival analysis. The results were presented as hazard ratios (HRs) and their 95% confidence intervals (CIs). P<0.05 was considered to indicate a statistically significant difference.

Table I. Patient characteristics.

	Ve	Versican expression		Per	Periostin expression		Lur	Lumican expression	
Characteristic	High (n=50)	Low (n=56)	P-value	High (n=66)	Low (n=40)	P-value	High (n=23)	Low (n=83)	P-value
Age, years Range (mean ± SD)	42-81 (65.82±8.55)	37-79 (64.00±8.53)	0.8011	42-81 (65.94±8.55)	37-78 (63.08±8.53)	0.1350	$42-81$ (66.26 ± 8.55)	37-79 (64.47±8.58)	0.4588
≤60, n ≥61, n	36	18		16	16		5 18	27 56	
Sex, n Male	77	15	0.3755	χ.	35	>0.999	91	74	0.4727
Female	! ∞	5		} ∞	5		7 4	6	
Postoperative additional therapy, n	Č	Ç	0.0436^{a}	ć	7	0.0529	1	(0.0569
No	30 12	90		24 2 -	ري م) \ \	99 7	
Radiotherapy	2 2	0		2 2	0		- 6	0	
Chemoradiotherapy	0	0		0	0		0	0	
Location, n			0.2137			0.0409^{a}			0.2884
Upper	4	11		5	10		1	14	
Middle	30	31		40	21		14	47	
Lower	16	14		21	6		∞	22	
Invasion depth, n			$<0.0001^{a}$			$< 0.0001^{a}$			0.0005^{a}
pT1	25	49		34	40		6	65	
pT2	10	3		13	0		9	7	
pT3	14	4		18	0		∞	10	
pT4	-	0		1	0		0	1	
Lymph node metastasis, n			0.0022^{a}			0.0002^{a}			0.0633
Yes	27	13		34	9		13	27	
No	23	43		32	34		10	56	
Lymphatic invasion, n			0.0002^{a}			0.0049^{a}			0.3773
Yes	33	16		38	11		13	36	
No	17	40		28	29		10	47	
Venous invasion, n			$<0.0001^{a}$			0.0026^{a}			0.0078^{a}
Yes	40	17		46	11		18	39	
No	10	39		20	29		5	44	

Results

Expression of versican, periostin and lumican in ESCC as determined via immunohistochemistry. The clinicopathological characteristics of the 106 patients with ESCC are summarized in Table SI. According to the expression of versican, periostin and lumican, as assessed by immunohistochemistry, the clinicopathological factors are shown in Table I. As demonstrated in Fig. 1A, versican staining was found specifically in the cancer stroma, and 50 out of 106 patients (47%) were determined to have high stromal versican expression. Likewise, the expression of periostin and lumican was also observed in the cancer stroma, and 66 (62%) and 23 (22%) tumors were considered to exhibit high stromal periostin and lumican, respectively (Table I; Fig. 1B and C). The present study investigated whether cancer cells expressed the three CAF-related molecules, and the expression of periostin and lumican was detected, whereas that of versican was very low. The expression of periostin in cancer cells was significantly associated with that in stromal cells, while the expression of lumican in cancer cells did not exhibit any significant association with that in stromal cells (data not shown). By contrast, versican was not expressed in cancer cells, but was expressed in stromal cells. High levels of versican and periostin in the cancer stroma were significantly associated with aggressive clinicopathological features, including a greater depth of invasion, the presence of lymph node metastasis, positive lymphatic and venous invasion, and a more advanced TNM stage (P<0.05; Table I). In addition, high level of versican was associated with postoperative additional therapy, and high level of periostin was associated with location (P<0.05; Table I). High expression of stromal lumican was significantly associated with depth of invasion, lymph node metastasis, venous invasion and stage, but not with lymphatic invasion (Table I). As shown in Tables II-IV, a significant positive association was also found between stromal versican and periostin (P<0.0001), stromal periostin and lumican (P<0.0002), and stromal lumican and versican (P=0.0034). Moreover, the expression of all three molecules was positively associated with the expression of αSMA (Fig. S2 and Table SII).

High expression of stromal versican, periostin and lumican in ESCC tissues and the association with poor prognosis. Kaplan-Meier survival analysis showed that patients with stage I-IV ESCC exhibiting high stromal versican expression experienced significantly shorter RFS and OS times, compared with those patients exhibiting low expression (P<0.0001 and P=0.0003, respectively; Fig. 1A). Similarly, stromal periostin and lumican expression demonstrated a significant impact resulting in poor prognosis for both RFS and OS (periostin, P=0.0001 and P=0.0002, respectively; lumican: P<0.0001 and P=0.0004, respectively; Fig. 1B and C). Moreover, when patients were stratified according to TNM stage, high expression of stromal versican and lumican each showed a significant association with poorer RFS compared with low expression only in stage I patients (Fig. S3). It was also found that the prognostic performance of stromal versican had statistically significant in the subgroup analyses of stage III patients. Similarly, stromal periostin tended to be associated with RFS in stage III patients, although this result was not significant

Table I. Continued.

	Vel	Versican expression		Per	Periostin expression		Lur	Lumican expression	
Characteristic	High (n=50) Low (n=56)	Low (n=56)	P-value	High (n=66)	High (n=66) Low (n=40) P-value	P-value	High (n=23)	High (n=23) Low (n=83) P-value	P-value
Tumor differentiation, n			0.1365			0.8843			0.3300
Well	12	14		15	11		6	17	
Moderate	27	26		34	19		6	4	
Poor	10	8		12	9		3	15	
Unknown	1	8		5	4		2	7	
TNM stage, n			0.0075^{a}			<0.0001 ^a			0.0091^{a}
I	25	42		30	36		8	59	
П	10	9		16	0		8	∞	
Ш	11	7		15	3		7	11	
VI	4	1		4	1		0	5	

P<0.05. TNM, Tumor-Node-Metastasis. Invasion depth (T stage) was determined according to the TNM classification of Malignant Tumors 8th edition (16)

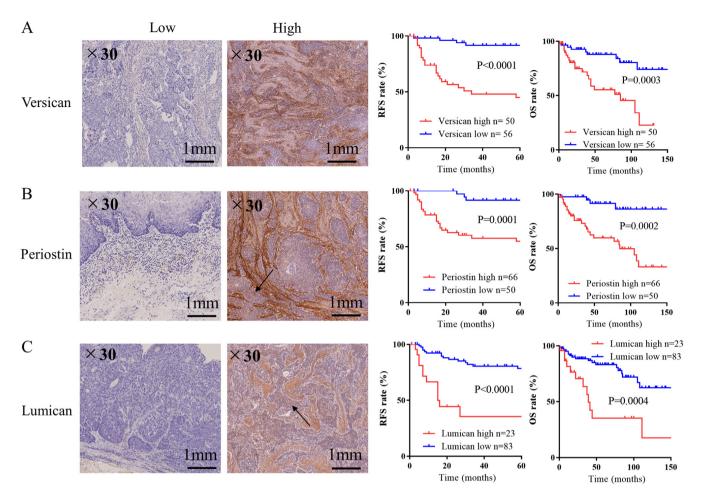


Figure 1. (A) Representative immunostaining for versican (low and high expression). High expression of stromal versican was significantly associated with worse RFS and OS times. (B) Representative immunostaining for periostin (low and high expression). High expression of stromal periostin was significantly associated with a worse prognosis compared with low expression in terms of RFS and OS times. (C) Representative immunostaining for lumican (low and high expression). High expression of lumican was found to be significantly associated with a poor prognosis in terms of RFS and OS times. For stromal staining, the low group represents a score of 0-3, while the high group represents a score of 4. All survival data was assessed using the Kaplan-Meier method and log-rank test. Arrows indicate cellular staining. RFS, relapse-free survival; OS, overall survival.

Table II. Association between stromal versican and periostin expression levels.

 Periostin

 High (n=66)
 Low (n=40)
 P-value

 Versican
 <0.0001</td>

 High (n=50)
 43
 7

 Low (n=56)
 23
 33

Table III. Correlation between stromal periostin and lumican expression levels.

	Lum		
	High (n=23)	Low (n=83)	P-value
Periostin			<0.0001
High (n=66)	22	44	
low (n=40)	1	39	

Table IV. Correlation between stromal lumican and versican expression levels.

	Vers	ican	
	High (n=50)	Low (n=56)	P-value
Lumican			0.0076
High (n=23)	17	6	
Low (n=83)	33	50	

(P=0.0931; Fig. S3), while stromal lumican was associated with RFS in stage I patients (Fig. S3).

Univariate and multivariate survival analysis for patient prognosis. In the univariate survival analysis, RFS rate was associated with invasion depth (HR, 4.47; 95% CI, 2.04-9.82; P<0.001), lymph node metastasis (HR, 3.1; 95% CI, 1.44-6.71; P=0.004), lymphatic invasion (HR, 3.59; 95% CI, 1.57-8.22; P=0.002), venous invasion (HR, 9.26; 95% CI, 2.78-30.82; P<0.001), TNM stage (HR, 1.98; 95% CI, 1.42-2.75; P<0.001), stromal versican

Table V. Univariate and multivariate analysis for relapse-free survival.

		Univariate		Multivariate		
Factor	HR	95% CI	P-value	HR	95% CI	P-value
Age (≤60 vs. ≥61 years)	1.00	0.45-2.22	0.9940			
Sex (male vs. female)	1.58	0.54-4.57	0.4027			
Invasion depth (pT1-2 vs. pT3-4)	4.47	2.04-9.82	0.0002^{a}	0.80	0.30-2.15	0.6545
Lymph node metastasis (yes vs. no)	3.10	1.44-6.71	0.0040^{a}	0.56	0.19-1.64	0.2870
Lymphatic invasion (yes vs. no)	3.59	1.57-8.22	0.0025^{a}	1.01	0.37-2.79	0.9814
Venous invasion (yes vs. no)	9.26	2.78-30.82	0.0003^{a}	3.07	0.78-12.09	0.1095
TNM stage	1.98	1.42-2.75	<0.0001a	1.81	1.03-3.16	0.0390^{a}
Versican (high vs. low)	9.11	3.14-26.44	<0.0001a	3.96	1.16-13.46	0.0278^{a}
Periostin (high vs. low)	7.46	2.24-24.88	0.0011^{a}	1.91	0.48-7.65	0.3582
Lumican (high vs. low)	5.11	2.35-11.10	<0.0001 ^a	2.55	1.06-6.17	0.0371a

^aP<0.05. TNM, Tumor-Node-Metastasis; HR, hazard ratio; CI, confidence interval.

expression (HR, 9.11; 95% CI, 3.14-26.44; P<0.0001), stromal periostin expression (HR, 7.46; 95% CI, 2.24-24.88; P=0.001) and stromal lumican expression (HR, 5.11; 95% CI, 2.35-11.1; P<0.0001). Multivariate survival analysis by the Cox hazard model showed that three factors, TNM stage (HR, 1.81; 95% CI, 1.03-3.16; P=0.039), stromal versican expression (HR, 3.96; 95% CI, 1.16-13.46; P=0.028) and stromal lumican expression (HR, 2.55; 95% CI, 1.06-6.17; P=0.037) were independent indicators for a poor prognosis (Table V).

Discussion

The present study investigated whether the expression of versican, periostin and lumican has utility as a prognostic biomarker for ESCC using 106 surgically resected specimens assessed via immunohistochemistry. The staining of the three CAF-related molecules in the cancer stroma was significantly associated with worse RFS and OS times. Moreover, stromal versican and lumican expression levels were independent prognostic factors for ESCC.

A previous study revealed that CAFs can increase the frequency of cancer stem cells, leading to a high tumor recurrence rate and a poor prognosis, which is enhanced by TGF-β signaling, while poor prognostic signatures share a stromal gene program that is induced by TGF-β (18). Another study showed that ovarian CAFs, which had much higher levels of TGF-β receptors than other cell types, exhibited versican upregulation by TGF-β. By contrast, TGF-β receptors were downregulated in ovarian cancer cells, possibly conferring resistance to inhibitory growth signals exerted by TGF- β (19). These results indicate that CAFs are specifically responsive to elevated TGF-β levels, while cancer cells can be the major source of TGF-β ligands (14). Furthermore, studies have shown that TGF-β signaling plays a role in esophageal cancer progression. For example, upregulation of TGF-β was associated with tumor size in patients with ESCC (20). Additionally, overexpression of TGF-β and decreased TGF-β receptor expression were associated with depth of invasion and pathological stage in patients with ESCC (21). TGF-β/Smad signaling has been shown to promote epithelial-mesenchymal transition in ESCC (22,23). CAF-specific versican was upregulated by TGF-β in several cancer types, such as colorectal and ovarian cancer, resulting in cancer cell motility and invasion (14,19). Versican is implicated in the regulation of cell proliferation, differentiation, apoptosis, migration and adhesion in a variety of cancer types, such as breast and ovarian cancer (24). Versican is a large chondroitin sulfate proteoglycan that is a major component of the ECM (12,13) and plays a role in the formation of the tumor-specific ECM, which can support cancer cell growth and metastasis in certain solid cancer types. Several clinical studies indicated that high versican expression was a poor prognostic factor in a variety of cancer types such as prostate, breast and gastric cancer (24). The present study showed that high versican expression in the stroma was associated with poor RFS and OS times in stage I-IV ESCC after resection, which was consistent with earlier findings in other cancer types (25-28). Furthermore, the expression of stromal versican was significantly associated with poor RFS time in stratified analyses of stage I and III patients. Correspondingly, stromal versican was found to be an independent prognostic factor for RFS via multivariate analysis. These results indicated that stromal versican may be used as a prognostic biomarker for patients with ESCC after curative surgery, and that immunohistochemical analysis for versican expression in resected specimens may influence a decision on whether to complete intensive postoperative treatment, including administration of adjuvant chemotherapy, particularly for patients with stage I ESCC.

Periostin is an extracellular matrix secreted protein that is upregulated in tumor cells in several cancer types, including pancreatic, colorectal, lung, ovarian, breast, head and neck, thyroid, gastric, hepatic and esophageal cancer (5,15,29-36). Periostin overexpression in tumor cells, not in stroma, has also been associated with tumor invasion and metastasis in oral carcinoma and esophageal cancer (37,38). Periostin is regulated by TGF- β signaling, as well as versican expression. An earlier study showed that periostin was expressed by fibroblasts in the normal tissue and in the stroma of the primary tumor (6). Infiltrating tumor cells need to induce stromal periostin

expression in the secondary target organ to initiate colonization, and the induced periostin secreted by CAFs in the stroma of the metastatic loci was required to allow for the maintenance of cancer stem cells (24). Periostin is able to interact with other ECM proteins, specific cell surface receptors and integrins via multiple signal pathways affecting metastasis, invasion and angiogenesis in cancer development (39). Periostin was reported to bind as a ligand to ανβ3 and ανβ5 integrins, thus signaling via the PI3K-Akt pathway within esophageal cancer. The study reported that periostin-positive tumors exhibited higher levels of vascular endothelial growth factor and greater microvessel density compared with periostin-negative tumors (5). These findings indicate that periostin serves a key role in ESCC tumorigenesis through the induction and/or promotion of angiogenesis. Periostin is an important mediator of tumor invasion in ESCC (37). The present study showed that high stromal periostin was significantly associated with worse RFS and OS times.

Lumican is also known to be regulated by TGF-β signaling. Lumican in stromal tissues, adjacent to cancer cells, may modulate the characteristics of collagen fibers and induce the invasion activity of pancreatic cancer cells (40). A previous study showed that in breast cancer, a high expression level of stromal lumican was associated with a high pathological tumor grade (40). By contrast, a high expression level of lumican in breast cancer was reported to be associated with slow progression and an improved prognosis (41). In pancreatic cancer, lumican expression in cancer stroma is associated with a shorter survival time (42). Another study reported that the presence of lumican in the ECM surrounding pancreatic ductal adenocarcinoma (PDAC) cells is associated with an improved patient outcome. Secretion of lumican from activated pancreatic stellate cells within PDAC is negatively regulated by TGF-β (11). In ESCC, to the best of our knowledge, there is still no report on lumican in association with prognosis, and there are few studies describing the molecular mechanisms of stromal lumican in malignant tumors (11). The present study showed that high stromal lumican expression indicated a poor prognosis in patients with ESCC, and a significant difference in RFS was found between high and low stromal lumican expression groups in the analysis of stage I patients. Furthermore, stromal lumican expression, as well as versican expression, was found to be an independent prognostic factor for RFS via multivariate analysis.

Overall, the present study examined the protein levels of versican, periostin and lumican via IHC without exploring the detailed molecular mechanisms. Further studies will be required to clarify the general role, mechanisms and relationships of versican, periostin and lumican in ESCC.

In summary, stromal periostin may have utility as a prognostic biomarker, while stromal versican and, in particular, could be independent prognostic factors for ESCC. The results of the present study indicated that stromal versican and lumican expression scoring may help to make a decision on whether to administer adjuvant chemotherapy for patients with ESCC.

Acknowledgements

Not applicable.

Funding

No funding was received.

Availability of data and materials

The datasets and materials used and analyzed during the current study are available from the corresponding author on reasonable request.

Authors' contributions

YK and KKo conceived and designed the present study. SO and NY collected the data. YK, SO and NY confirm the authenticity of all the raw data. KS performed sample immunostaining. NY, KS, SY, YH, EE, KKa, LY, ZS, KM, TMa and YK performed the majority of the current study and analyzed the data. HO, HN, TMo, HH, YW, SH and MS participated in analyzing the data and drafting the initial manuscript. NY, YK, SN, KS and KKo revised the manuscript. All authors read and approved the manuscript.

Ethics approval and consent to participate

The current study was approved by Fukushima Medical University Certified Review Board (Fukushima, Japan; approval no. 2329). Informed consent was obtained from all patients.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

References

- 1. Bray F, Ferlay J, Soerjomataram I, Siegel RL, Torre LA and Jemal A: Global Cancer Statistics 2018: GLOBOCAN Estimates of incidence and mortality worldwide for 36 cancers in 185 countries. CA Cancer J Clin 68: 394-424, 2018.
- 2. Zhang Y: Epidemiology of esophageal cancer. World J Gastroenterol 19: 5598-5606, 2013.
- 3. Han-Ze Z, Guang-Fu J and Hong-Bing S: Epidemiologic differences in esophageal cancer between Asian and Western populations. Chin J Cancer 31: 281-286, 2012.
- Lam AK: Introduction: Esophageal squamous cell carcinoma-current status and future advances. Methods Mol Biol 2129: 1-6, 2020.
- Wang W, Sun QK, He YF, Ma DC, Xie MR, Ji CS and Hu B: Overexpression of periostin is significantly correlated to the tumor angiogenesis and poor prognosis in patients with esophageal squamous cell carcinoma. Int J Clin Exp Pathol 7: 593-601, 2014.
- Underwood TJ, Hayden AL, Derouet M, Garcia E, Noble F, White MJ, Thirdborough S, Mead A, Clemons N, Mellone M, et al: Cancer-associated fibroblasts predict poor outcome and promote periostin-dependent invasion in oesophageal adenocarcinoma. J Pathol 235: 466-477, 2015.
- 7. Rui W, Si L, Shutai Z, Min L and Zhu S: Cellular and extracellular components in tumor microenvironment and their application in early diagnosis of cancer. Anal Cell Pathol (Amst) 2020: 6283796, 2020.
- 8. R Core Team. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria, 2014 URL http://www.R-project.org/. Accessed February 10, 2015.

- 9. Palumbo A Jr, Meireles Da Costa N, Pontes B, Leite de Oliveira F, Lohan Codeço M, Ribeiro Pinto LF and Nasciutti LE: Esophageal cancer development: Crucial clues arising from the extracellular matrix. Cells 9: 455, 2020.
- 10. Kashyap MK, Marimuthu A, Kishore CJ, Peri S, Keerthikumar S, Prasad TS, Mahmood R, Rao S, Ranganathan P, Sanjeeviah RC, et al: Genomewide mRNA profiling f esophageal squamous cell carcinoma for identification of cancer biomarkers. Cancer Biol Ther 8: 36-46, 2009.
- 11. Sotoodehnejadnematalahi F and Burke B: Structure, function and regulation of versican: The most abundant type of proteoglycan in the extracellular matrix. Acta Med Iran 51: 740-750, 2013.
- 12. Kang Y, Roife D, Lee Y, Lv H, Suzuki R, Ling J, Rios Perez MV, Li X, Dai B, Pratt M, et al: Transforming growth factor-β limits secretion of lumican by activated stellate cells within primary pancreatic adenocarcinoma tumors. Clin Cancer Res 22: 4934-4946 2016
- 13. Ween MP, Oehler MK and Ricciardelli C: Role of versican, hyaluronan and CD44 in ovarian cancer metastasis. Int J Mol Sci 12: 1009-1029, 2011.
- 14. Du WW, Yang W and Yee AJ: Roles of versican in cancer biology-tumorigenesis, progression and metastasis. Histol Histopathol 28: 701-713, 2013.
- 15. Chida S, Okayama H, Noda M, Saito K, Nakajima T, Aoto K, Hayase S, Momma T, Ohki S, Kono K and Takenoshita S: Stromal VČAN expression as a potential prognostic biomarker for disease recurrence in stage II-III colon cancer. Carcinogenesis 37: 878-887, 2016.
- 16. Jiang Q, Chen J, Zhang B, Niu J and He Y: Prognostic significance of periostin and mammalian target of rapamycin (mTOR) in locally advanced esophageal squamous cell carcinoma. Med Sci Monit 23: 3200-3208, 2017.
- 17. Brierley JD, MK Gospodarowicz and Wittekind C (eds.): The TNM Classification of Malignant Tumours. 8th edition. Wiley-Blackwell, Hoboken, NJ, USA, pp 272, 2016.
- Calon A, Lonardo E, Berenguer-Llergo A, Espinet E, Hernando-Momblona X, Iglesias M, Sevillano M, Palomo-Ponce S, Tauriello DV, Byrom D, et al: Stromal gene expression defines poor-prognosis subtypes in colorectal cancer. Nat Genet 47: 320-329, 2015.
- Yeung TL, Leung CS, Wong KK, Samimi G, Thompson MS, Liu J, Zaid TM, Ghosh S, Birrer MJ and Mok SC: TGF-β modulates ovarian cancer invasion by upregulating CAF-derived versican in the tumor microenvironment. Cancer Res 73: 5016-5028, 2013.
- 20. Gholamin M, Moaven O, Memar B, Farshchian M, Naseh H, Malekzadeh R, Sotoudeh M, Rajabi-Mashhadi MT, Forghani MN, Farrokhi F and Abbaszadegan MR: Overexpression and interactions of interleukin-10, transforming growth factor beta, and vascular endothelial growth factor in esophageal squamous cell carcinoma. World J Surg 33: 1439-1445, 2009
- 21. Fukai Y, Fukuchi M, Masuda N, Osawa H, Kato H, Nakajima T and Kuwano H: Reduced expression of transforming growth factor-beta receptors is an unfavorable prognostic factor in human esophageal squamous cell carcinoma. Int J Cancer 104: 161-166, 2003.
- 22. Pang L, Li Q, Wei C, Zou H, Li S, Cao W, He J, Zhou Y, Ju X, Lan J, et al: TGF-β1/Smad signaling pathway regulates epithelial-to-mesenchymal transition in esophageal squamous cell carcinoma: In vitro and clinical analyses of cell lines and nomadic Kazakh patients from northwest Xinjiang, China. PLoS One 9: e112300, 2014.
- 23. Luo J, Chen XQ and Li P: The role of TGF-β and its receptors in gastrointestinal cancers. Transl Oncol 12: 475-484, 2019.
- Asano K, Nelson CM, Nandadasa S, Aramaki-Hattori N, Lindner DJ, Alban T, Inagaki J, Ohtsuki T, Oohashi T, Apte SS and Hirohata S: Stromal versican regulates tumor growth by promoting angiogenesis. Sci Rep 7: 17225, 2017.
- 25. Ricciardelli C, Mayne K, Sykes PJ, Raymond WA, McCaul K, Marshall VR and Horsfall DJ: Elevated levels of versican but not decorin predict disease progression in early-stage prostate cancer. Clin Cancer Res 4: 963-9371, 1998.

- 26. Suwiwat S, Ricciardelli C, Tammi R, Tammi M, Auvinen P, Kosma VM, LeBaron RG, Raymond WA, Tilley WD and Horsfall DJ: Expression of extracellular matrix components versican, chondroitin sulfate, tenascin, and hyaluronan, and their association with disease outcome in node-negative breast cancer. Clin Cancer Res 10: 2491-2498, 2004.
- 27. Kodama J, Hasengaowa, Kusumoto T, Seki N, Matsuo T, Ojima Y, Nakamura K, Hongo A and Hiramatsu Y: Prognostic significance of stromal versican expression in human endometrial cancer. Ann Oncol 18: 269-274, 2007.
- 28. Shen XH, Lin WR, Xu MD, Qi P, Dong L, Zhang QY, Ni SJ, Weng WW, Tan C, Huang D, et al: Prognostic significance of Versican expression in gastric adenocarcinoma. Oncogenesis 4: e178, 2015.
- 29. Liu Y and Du L: Role of pancreatic stellate cells and periostin in pancreatic cancer progression. Tumour Biol 36: 3171-3177, 2015.
- 30. Li Z, Zhang X, Yang Y, Yang S, Dong Z, Du L, Wang L and Wang C: Periostin expression and its prognostic value for colorectal cancer. Int J Mol Sci 16: 12108-12118, 2015.
- 31. Zhu M, Fejzo MS, Anderson L, Dering J, Ginther C, Ramos L, Gasson JC, Karlan BY and Slamon DJ: Periostin promotes ovarian cancer angiogenesis and metastasis. Gynecol Ôncol 119: 337-344, 2010.
- 32. Wu SQ, Lv YE, Lin BH, Luo LM, Lv SL, Bi AH and Jia YS: Silencing of periostin inhibits nicotine-mediated tumor cell growth and epithelial-mesenchymal transition in lung cancer cells. Mol Med Rep 7: 875-880, 2013.
- 33. Lee YJ, Kim IS, Park SA, Kim Y, Lee JE, Noh DY, Kim KT, Ryu SH and Suh PG: Periostin-binding DNA aptamer inhibits breast cancer growth and metastasis. Mol Ther 21: 1004-1013, 2013.
- 34. Kim CJ, Sakamoto K, Tambe Y and Inoue H: Opposite regulation of epithelial-to-mesenchymal transition and cell invasiveness by periostin between prostate and bladder cancer cells. Int J Oncol 38: 1759-1766, 2011.
- 35. Liu AY, Zheng H and Ouyang G: Periostin, a multifunctional matricellular protein in inflammatory and tumor microenvironments. Matrix Biol 37: 150-156, 2014.
- 36. Luo JH, Zhou J and Gao Y: Correlation between periostin and SNCG and esophageal cancer invasion, infiltration and apoptosis. Asian Pac J Trop Med 6: 516-519, 2013.
- 37. Michaylira CZ, Wong GS, Miller CG, Gutierrez CM, Nakagawa H, Hammond R, Klein-Szanto AJ, Lee JS, Kim SB, Herlyn M, et al: Periostin, a cell adhesion molecule, facilitates invasion in the tumor microenvironment and annotates a novel tumor-invasive signature in esophageal cancer. Cancer Res 70: 5281-5292, 2010.
- 38. Qin X, Yan M, Zhang J, Wang X, Shen Z, Lv Z, Li Z, Wei W and Chen W: TGFβ3-mediated induction of Periostin facilitates head and neck cancer growth and is associated with metastasis. Sci Rep 6: 20587, 2016.
- 39. Lv YJ, Wang W, Ji CS, Jia, Xie MR and Hu B: Association between periostin and epithelial-mesenchymal transition in esophageal squamous cell carcinoma and its clinical significance. Oncol Lett 14: 376-382, 2017.
- 40. Troup S, Njue C, Kliewer EV, Parisien M, Roskelley C Chakravarti S, Roughley PJ, Murphy LC and Watson PH: Reduced expression of the small leucine-rich proteoglycans, lumican, and decorin is associated with poor outcome in node-negative invasive breast cancer. Clin Cancer Res 9: 207-214, 2003.
- 41. Leygue E, Snell L, Dotzlaw H, Hole K, Hiller-Hitchcock T, Roughley PJ, Watson PH and Murphy LC: Expression of lumican in human breast carcinoma. Cancer Res 58: 1348-1352, 1998
- 42. Ishiwata T, Cho K, Kawahara K, Yamamoto T, Fujiwara Y, Uchida E, Tajiri T and Naito Z: Role of lumican in cancer cells and adjacent stromal tissues in human pancreatic cancer. Oncol Rep 18: 537-543, 2007.



This work is licensed under a Creative Commons No Attribution-NonCommercial-NoDerivatives 4.0 International (CC BY-NC-ND 4.0) License.