

# Involvement of PBRM1 in VHL disease-associated clear cell renal cell carcinoma and its putative relationship with the HIF pathway

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**Abstract.** Von Hippel-Lindau (VHL) disease is the main cause of inherited clear-cell renal cell carcinoma (ccRCC) and is caused by germline mutations in the *VHL* tumor suppressor gene. Bi-allelic *VHL* alterations lead to inactivation of pVHL,

which plays a major role by downstream activation of the hypoxia inducible factor (HIF) pathway. Somatic *VHL* mutations occur in 80% of sporadic ccRCC cases and the second most frequently mutated gene is polybromo 1 (*PBRM1*). As there is currently no data regarding *PBRM1* involvement in VHL disease-associated ccRCC, the aim of the present study was to assess the *PBRM1* mutational status, and *PBRM1* and HIF expression in VHL disease-associated ccRCC series compared with a sporadic series. *PBRM1* gene was screened by Sanger sequencing for 23 VHL-disease-associated ccRCC and 22 sporadic ccRCC cases. Immunohistochemical studies were performed to detect the expression of *PBRM1*, HIF1 and HIF2 for all cases. In VHL-associated tumors, 13.0% (n=3/23) had *PBRM1* somatic mutations and 17.4% (n=4/23) had a loss of *PBRM1* nuclear expression. In sporadic cases, 27.3% (n=6/22) showed *PBRM1* somatic mutations and 45.5% (n=10/22) had a loss of *PBRM1* nuclear expression. Loss of *PBRM1* was associated with an advanced tumor stage. HIF1-positive tumors were observed more frequently in the VHL-associated ccRCC than in the sporadic series. Furthermore, in the VHL cohort, *PBRM1* expression appeared to be associated more with HIF1 than with HIF2. Given that hereditary tumors tend to be less aggressive, these results would suggest that co-expression

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*Abbreviations:* CGH, comparative genomic hybridization; ccRCC, clear-cell renal cell carcinoma; HIF, hypoxia inducible factor; IHC, immunohistochemistry; ISUP, International Society of Urological Pathology; *PBRM1*, polybromo 1; VHL, Von Hippel-Lindau; wt, wild-type

*Key words:* clear cell renal cell carcinoma, von Hippel-Lindau disease, Von Hippel-Lindau germline mutation, polybromo 1 somatic mutation, BAF180, hypoxia inducible factor 1, hypoxia inducible factor 2

of PBRM1 and HIF1 may have a less oncogenic role in VHL-associated ccRCC.

## Introduction

Kidney cancer accounts for 3% of all adult cancers worldwide and clear cell renal cell carcinoma (ccRCC) represents the main histological subtype (70% of all renal cancers) (1,2). Approximately 3% of RCC are linked to an inherited predisposition. Von Hippel-Lindau (VHL) disease is the most frequent inherited RCC syndrome, with an incidence of 1/36,000 births and a predisposition for the occurrence of ccRCC in up to 50% of VHL patients (3). Bi-allelic inactivation of the *VHL* tumor suppressor gene, located at 3p25-26, is frequently observed in VHL disease-associated ccRCC. Furthermore, loss of 3p and somatic mutations of *VHL* occur in 90% and approximately 80% of sporadic ccRCC, respectively. Loss of *VHL* function in ccRCC leads to stabilization of the hypoxia-inducible transcription factors HIF1alpha (HIF1) and HIF2alpha (HIF2), thereby inducing the expression of genes that regulate metabolism, angiogenesis, cell proliferation, and the epithelial-mesenchymal transition (4). Thus, a HIF-mediated transcription response is a key component of ccRCC pathogenesis. Gordan *et al* reported that ccRCC can be segregated into three groups according to the HIF staining defining: no HIF expression, HIF2<sup>+</sup>, and HIF1<sup>+</sup>/HIF2<sup>+</sup> tumor subtypes (5). Both HIF1 and HIF2 are thought to have promoting roles in ccRCC carcinogenesis, although studies performed in animal and cell lines are contradictory, some suggesting that HIF2 would rather have a tumorigenic activity, whereas HIF1 tends to have a tumor-suppressive role (6-9). However, *VHL* loss alone is not sufficient to cause ccRCC (10,11). In sporadic ccRCC, recent studies using next-generation sequencing (NGS) have identified new frequently mutated genes located in the 3p loss region near the *VHL* locus: *PBRM1*, *BAP1*, and *SETD2* (12-15). With a somatic mutation rate of 29 to 40%, *PBRM1* (*PolyBromo-1*) is the most frequently mutated gene after *VHL* in ccRCC. Interestingly, it has also been reported to be a new predisposing gene for hereditary ccRCC (16). *PBRM1* encodes BAF180 (hereafter referred to as PBRM1), which is a member of the human ATP-dependent chromatin remodeling Switch/Sucrose Non-Fermentable B (SWI/SNF) analog complex PBAF (17). Members of this family have a number of critical roles in many physiological cellular processes including DNA replication, recombination, and repair, as well as cell death, cell fate, and the initiation or progression of cancer (18). Moreover, a number of authors have recently studied the relationship between *PBRM1* and HIFs, although the results have been contradictory (19,20). In one of these studies, inactivation of *PBRM1* increased the HIF response in VHL-deficient cell lines irrespective of HIF1 and HIF2 (19). In the second study, the role of *PBRM1* was dependent on the HIF subtypes of the tumors. PBRM1 expression was pro-oncogenic in HIF2<sup>+</sup> tumors and tumor-suppressive in HIF1<sup>+</sup>/HIF2<sup>+</sup> tumors (20). These initial results in sporadic ccRCC highlight the need to further investigate the link between PBRM1 and HIF expression in VHL ccRCC. To the best of our knowledge, no study has focused on this link in a cohort of renal cell carcinomas from VHL patients. It seems important to study this cohort given that hereditary cancers

represent a model of carcinogenesis for better understanding the sporadic ccRCC. It has been shown that VHL disease-associated tumors have genomic profiles that are similar to that of a subgroup of sporadic ccRCC (21). However, due to the early detection of ccRCC in VHL patients, the frequency of *PBRM1* somatic mutations needs to be evaluated in order to determine whether VHL-associated ccRCC and sporadic ccRCC follow distinct pathways or whether the same model of carcinogenesis applies (22). In order to investigate this, we firstly used sequencing analysis and immunohistochemistry (IHC) to evaluate the *PBRM1* mutational status and PBRM1 expression in a VHL-associated ccRCC series vs. a sporadic ccRCC series. Secondly, we used IHC to compare the HIF pattern of staining with PBRM1 expression in both of the RCC series.

## Materials and methods

*Patient characteristics and tumor tissue samples.* Tumor tissue samples from a series of 45 ccRCC patients were obtained courtesy of the French NCI network on inherited RCC coordinated by Prof. S. Richard (PREDIR, Bicêtre Hospital, France). The primary tumor, and for some cases also adjacent non-tumor samples, were obtained from these patients who had undergone surgical tumor resection. All of the patients had provided written informed consent before surgery for use of their tumors. The tumor samples were either immediately frozen in liquid nitrogen or fixed in formalin after the surgery. A representative block was selected for immunohistochemistry from all haematoxylin and eosin-stained sections. Pathological data (tumor stage and ISUP nucleolar grade) were reevaluated by the same uropathologist (SF) according to the most recent ISUP/World Health Organization/AJCC classification (23). The stage and the nucleolar grade were dichotomized in both categories: low (T1-T2, G1-G2) and high (T3-T4, G3-G4). Part of the series was previously described (24,25).

*DNA extraction and PBRM1 sequencing.* Tumor DNA was extracted using a Qiagen DNeasy® Blood and Tissue kit. The DNA concentration and purity were determined by using a Qubit Fluorometer (Invitrogen). The mutational status of *PBRM1* was determined by direct sequencing of this gene as previously described (16). Briefly, all 30 exons and exon-intron junctions were screened by the Sanger method for the 45 tumors. The mutations were annotated according to gene accession number ENST00000296302 (Table SI).

*CGH array hybridization and data analysis.* The DNA was labeled according to the Agilent Technologies protocol. For each tumor sample and gender-matched pooled normal control DNA from Promega (Human Genomic DNA), 500 ng of DNA was fragmented by heating. The tumor DNA and the control DNA were enzymatically labeled using an Agilent Genomic DNA Labeling Kit PLUS (Agilent, 5188-5309). The tumor samples were labeled with ULS-Cy5 and the control DNA with ULS-Cy3, and then hybridized to 244 K Human Whole-Genome arrays (G441B) from Agilent at 65°C for 48 h in a rotating chamber at 20 rpm.

After washing, the slides were scanned with an Agilent G2505B DNA Microarray Scanner at a resolution of 5 μm,

using default parameters. The acquisition of signals from the resulting scanned images and normalization were performed with Feature Extraction v9.5 software (Agilent Technologies), using default parameters. The normalized data were re-centered using a custom in-house script and then analyzed with CGH Analytics v3.4.40 software, using the ADM-2 segmentation method with a threshold setting of 6. The probes were mapped on the human genome build 36 (UCSC hg18, March 2006).

**Immunohistochemical staining.** Immunohistochemical staining was performed on 4- $\mu$ m thick paraffin whole-tissue sections on a semi-automated Bond Leica instrument. The primary antibodies that were used were: rabbit polyclonal anti-PBRM1 antibody (Bethyl Laboratories); mouse monoclonal anti-HIF1 $\alpha$  antibody (clone MGC3; Abcam); and mouse monoclonal anti-HIF2 $\alpha$  antibody (clone ep190b; Abcam). The sections were treated with a solution of peroxidase-labeled streptavidin and the color reaction was developed by incubation with 3,3'-diaminobenzidine (DAB) according to the Bond Polymer Refine detection kit instructions. Nuclear counterstaining with hematoxylin was then performed.

The positive and negative controls yielded appropriate results for each procedure. Lymphocytes, stromal fibroblasts, and endothelial cells served as internal positive controls for the nuclear staining of PBRM1. Placenta tissue and colon adenocarcinoma samples were used as positive controls for nuclear staining of HIF1 $\alpha$  and HIF2 $\alpha$ , respectively. A negative staining control was performed without a primary antibody for each staining procedure and these sections exhibited no specific immunoreactivity. The staining was scored by a single uropathologist (SF) blinded to the *VHL* and the *PBRM1* gene status.

PBRM1 expression was evaluated based on the percentage of PBRM1-positive cells. As described in a previous study evaluating PBRM1 expression as a continuous variable, we applied a cut-off value of more than 50% positive tumor cells as being indicative of a PBRM1-positive tumor (26).

For the HIF staining, a conventional cut-off of more than 5% positive cells was used to prevent false positivity. The tumors were stratified into four subtypes: HIF1<sup>+</sup>, HIF2<sup>+</sup>, HIF1<sup>+</sup>/HIF2<sup>+</sup>, and double negative. Thus, the results of the IHC staining were considered as a binary variable (negative or positive) for the statistical analyses.

**Statistical analysis.** The clinico-pathological characteristics of the *VHL* and the sporadic ccRCC were compared using the Chi<sup>2</sup> or Fisher's exact tests for the qualitative variables and the Kruskal-Wallis test for the quantitative variables. The Cochran-Mantel-Haenszel test was used to study the association between two categorical variables controlling for a variable. The statistical analyses were performed using SAS software version 9.4.

## Results

**Patient and tumor characteristics.** We initially selected a series of 23 patients affected with von Hippel-Lindau disease who had been diagnosed with ccRCC (16 men and 7 women). In order to compare clinico-pathological and

genetic characteristics, a prospective series of 22 patients with sporadic ccRCC (12 men and 10 women) was used. The clinico-pathological and genetic characteristics are detailed in Table I. No significant differences were found in terms of the sex-ratio between both series (*VHL* vs. sporadic cases) ( $P=0.30$ ) although significant differences were observed for the age at the time of the diagnosis, with earlier diagnoses in *VHL* (median age of 40 years) compared to the sporadic group (median age of 69 years) (Chi-Square  $P<0.0001$ ). Regarding the stage and the ISUP grade, there were no significant differences between both ccRCC series ( $P=0.18$  and  $0.88$  respectively). As expected, the *VHL*-ccRCC all had mutated *VHL* (100%), while the sporadic ccRCC had a lower rate of *VHL* mutation (72.7%) ( $P=0.01$ ).

***PBRM1* mutational status and protein staining.** Direct sequencing of *PBRM1* identified 3 somatic mutations in the *VHL* series (13.0%), whereas 6 cases (27.3%) were observed in the sporadic series ( $P=0.28$ ). Thus, a total of 9 *PBRM1* somatic mutations were identified in both series (20%) (Fig. 1A, Tables I and SI). To further define the mutational status of *PBRM1*, we used data from CGH-array hybridization available for some of the tumors. As expected, we observed loss of 3p21 (where *PBRM1* is located) in the majority of the tumors ( $N=20/24$ , 80%) and, in particular, in those harboring a *PBRM1* somatic mutation leading to bi-allelic inactivation of the gene (Fig. 1B and Table SI).

We then used immunohistochemistry (IHC) to determine the level of expression of the PBRM1 protein. The results revealed positive staining that ranged from 0 to 100%. PBRM1-positive staining corresponded to expression of the protein, and a lack of staining indicated an absence of the protein due to a mutation. Overall, we observed that 14 tumors were PBRM1-negative, and 31 tumors were PBRM1-positive. We observed that PBRM1-negative tumors were statistically less frequent in the *VHL* series (17.4%,  $n=4$ ) compared to the sporadic series (45.5%,  $n=10$ ) ( $P=0.04$ ) (Table I). Most of the tumors exhibited diffuse and high expression of PBRM1 (in two-thirds of the cases more than 70% of the cells were positive). However, a number of the tumors in both series exhibited a substantial degree of intra-tumor PBRM1 staining heterogeneity. The *VHL*-associated RCC samples were less heterogeneous than the sporadic samples (Fig. 2A-D).

A significant association between the results from the *PBRM1* sequencing and the PBRM1 IHC was observed. The median percentage of positive cells by IHC in the wild-type *PBRM1* tumors (100.0 [85.0-100.0]) was higher than in the mutated *PBRM1* tumors (50.0 [30.0-50.0]) ( $P=0.0001$ ) (Table SII and Fig. SI). The percentage of PBRM1-positive cells in the wild-type *PBRM1* tumors (83.3%,  $n=30$ ) was significantly higher than that in mutated *PBRM1* tumors (11.1%,  $n=1$ ) ( $P=0.0001$ ). However, discordance was observed for 6 wild-type *PBRM1* cases that lacked PBRM1 expression (13.3%, 6/45) (Table SII) and one mutated *PBRM1* case with persistence of nuclear staining. Given the significant association between *PBRM1* sequencing and PBRM1 IHC, for a cost-effective strategy, we used the results from the PBRM1 immunostaining to categorize PBRM1-positive vs. PBRM1-negative tumors for the remainder of the analyses.

Table I. Clinico-pathological features in VHL-associated and sporadic ccRCC.

Characteristics	VHL-ccRCC (n=23)	Sporadic ccRCC (n=22)	P-value
Age at diagnosis, years, median (interquartile range)	40 (31-45)	69 (59-76)	<0.01
Sex, % (n)			0.30
Female	30.4 (7)	45.4 (10)	
Male	69.6 (16)	54.6 (12)	
ISUP grade, % (n)			0.88
Low, 1-2	47.8 (11)	50.0 (11)	
High, 3-4	52.2 (12)	50.0 (11)	
Tumor Stage, % (n)			0.18
Low, 1-2	65.2 (15)	45.4 (10)	
High, 3-4	34.8 (8)	54.6 (12)	
VHL, % (n)			0.01
Wild-type	0.0 (0)	27.3 (6)	
Mutated	100.0 (23)	72.7 (16)	
HIF subtypes, % (n)			0.06
Double negative	0.0 (0)	4.6 (1)	
HIF1 <sup>+</sup>	52.2 (12)	40.9 (9)	
HIF1 <sup>+</sup> /HIF2 <sup>+</sup>	34.8 (8)	13.6 (3)	
HIF2 <sup>+</sup>	13.0 (3)	40.9 (9)	
PBRM1, % (n)			0.28
Wild-type	87.0 (20)	72.7 (16)	
Mutated	13.0 (3)	27.3 (6)	
PBRM1, % (n)			0.04
Negative	17.4 (4)	45.5 (10)	
Positive	82.6 (19)	54.5 (12)	
PBRM1 staining, median (interquartile range)	100.0 (80.0-100.0)	80.0 (30.0-100.0)	0.12

PBRM1, polybromo 1; VHL, Von Hippel-Lindau; ccRCC, clear-cell renal cell carcinoma; ISUP, International Society of Urological Pathology; HIF, hypoxia inducible factor.

*Relationship between pathological features and PBRM1 expression.* In 45 tumors, we observed an association between a loss of PBRM1 expression and the tumor stage: the PBRM1-negative tumors had a significantly higher tumor stage (71.4%, n=10/14) compared to the PBRM1-positive tumors (32.3%, n=10/31) (P=0.01). This association remained significant after controlling for the series (P=0.03). This association was significant in the sporadic ccRCC (P=0.04) but not in the VHL tumors (P=0.59) (Tables II and SIII). There was no association between the PBRM1 expression and the ISUP grade: the PBRM1-negative tumors did not have a significantly higher nucleolar grade (57.1%, n=8/14) compared to the PBRM1-positive tumors (48.4%, n=15/31) (P=0.59), which remained non-significant when controlling for the series (VHL or sporadic tumors) (P=0.55).

*Association between PBRM1 and HIF staining.* Irrespective of the PBRM1 expression, most of the VHL-ccRCC tumors were either HIF1<sup>+</sup> (52.2%, n=12) or HIF1<sup>+</sup>/HIF2<sup>+</sup> (34.8%, n=8), unlike the sporadic ccRCC tumors, which more often only exhibited HIF2<sup>+</sup> staining (40.9%, n=9) (P=0.06) (Fig. 3A-D, Tables III and SIV). One sporadic tumor was classified as an HIF1<sup>-</sup>/HIF2<sup>-</sup> double negative.

We then evaluated the putative relationship between PBRM1 and HIF. Overall, the percentage of HIF1<sup>+</sup>

or HIF1<sup>+</sup>/HIF2<sup>+</sup> tumors was 80.6% in the PBRM1-positive tumors compared to 50.0% in PBRM1-negative tumors (Table III). However, the association was not significant (P=0.13). After regrouping the HIF1<sup>+</sup> and the HIF1<sup>+</sup>/HIF2<sup>+</sup> tumors, the association is marginally significant (P=0.06), whereas no significant association exists when regrouping HIF2<sup>+</sup> and HIF1<sup>+</sup>/HIF2<sup>+</sup> (P=0.29).

In the VHL series, the test comparing the distribution of HIFs subtypes between PBRM1-negative and PBRM1-positive tumors was marginally significant (P=0.06), with a high percentage of HIF1<sup>+</sup>/HIF2<sup>+</sup> and a low percentage of HIF2<sup>+</sup> in the PBRM1-positive compared to the PBRM1-negative tumors. In the sporadic ccRCC, no predominant subtype was observed in the distribution of the four subtypes of HIFs (P=0.71). Similar results were observed in both series after regrouping the HIF1<sup>+</sup> and the HIF1<sup>+</sup>/HIF2<sup>+</sup> tumors. In the VHL-ccRCC, 94.7% HIF1<sup>+</sup> or HIF1<sup>+</sup>/HIF2<sup>+</sup> in the PBRM1-positive tumors were observed compared to 50.0% in the PBRM1-negative tumors (P=0.07) and in the sporadic-ccRCC, 58.3% HIF1<sup>+</sup> or HIF1<sup>+</sup>/HIF2<sup>+</sup> were observed in the PBRM1-positive tumors vs. 50.0% in the PBRM1-negative tumors (P=0.82). However, there was no significant association after regrouping HIF2<sup>+</sup> with HIF1<sup>+</sup>/HIF2<sup>+</sup> in the VHL cohort (P=1.00).

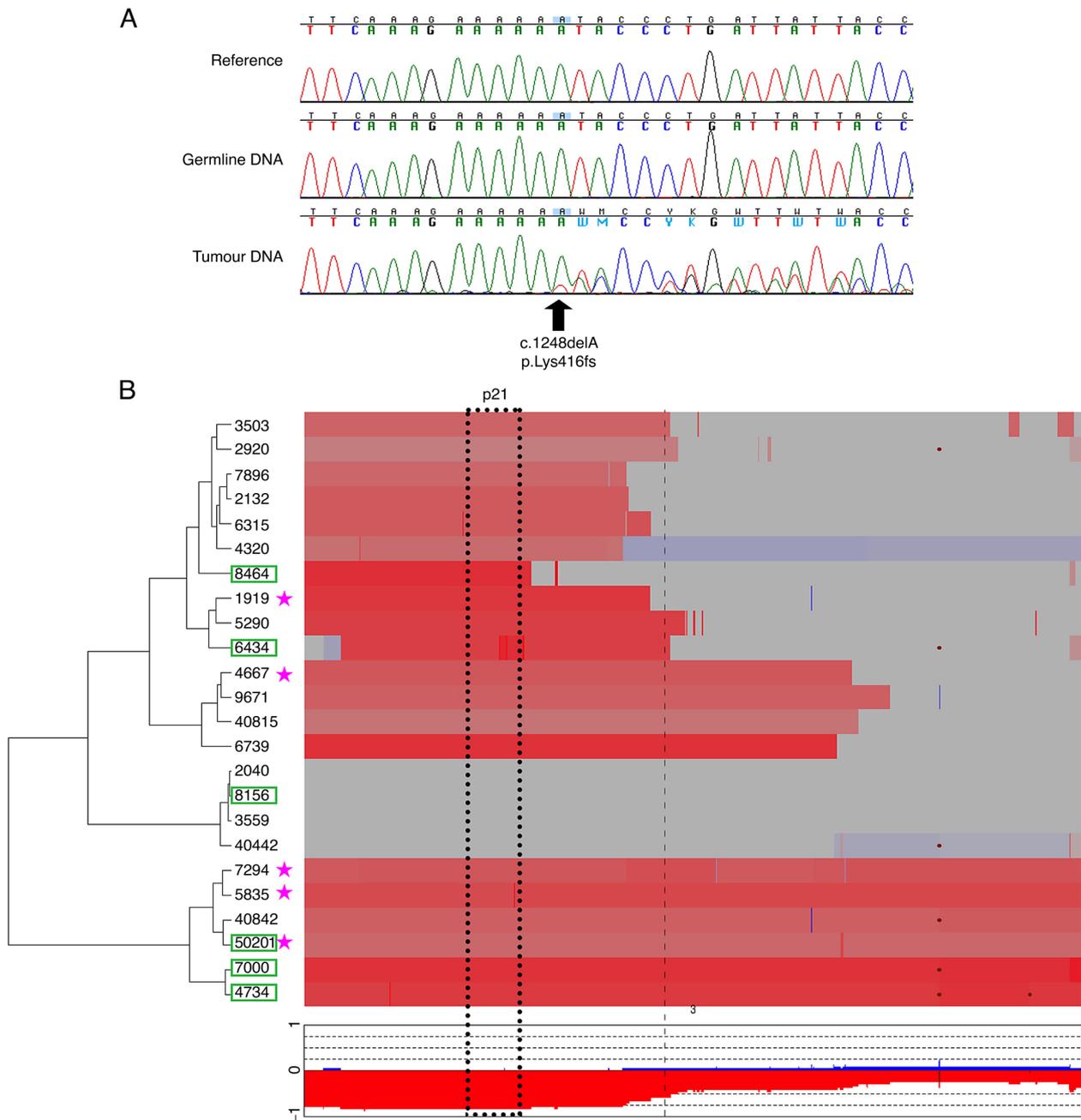


Figure 1. Determination of the mutational status of the *PBRM1* gene by sequencing and comparative genomic hybridization-array. (A) Chromatograms showing sequencing of exon 11 for a Von Hippel-Lindau-associated tumor (no. 50201): The frameshift mutation is present only at the somatic level. (B) Clustered heatmap focusing on chromosome 3 showing loss of genomic content for some tumors. Red represents losses, blue represents gains and deletions are shown as brown dots. Bold dashed line represents centromere position, dashed rectangle represents the boundaries of 3p21.x cytobands. Lower panel displays frequency of losses and gains along the chromosome among the tumor series. *PBRM1*, polybromo 1.

**Discussion**

Von Hippel-Lindau disease is the main cause of hereditary renal cell carcinomas, due to germline mutation of the *VHL* gene, which is the most commonly mutated gene in sporadic ccRCC. The mutation of *VHL* acts as an initial driver event in tumor development. However, *VHL* inactivation is not sufficient to initiate tumor formation, thus indicating that additional genetic and/or epigenetic events are involved (10,11). Recent advances in DNA-sequencing techniques have shown that genes coding for proteins involved in the regulation of chromatin remodeling and histone methylation are frequently

mutated and closely linked with tumor progression (14,27). Of these genes, *PBRM1* is the second most common gene implicated in this process, with somatic mutations occurring in 20 to 40% of sporadic ccRCC (12,28).

To our knowledge, there have been no reports to date in the literature regarding the involvement of *PBRM1* somatic mutations and its putative relationship with HIFs specifically in *VHL*-associated ccRCC. The primary objective of our study was to evaluate the mutational status of *PBRM1* in these hereditary tumors compared to sporadic ccRCC tumors. As expected, the clinico-pathological data for both series were concordant with the literature. First,

Table II. Association between PBRM1 expression by immunohistochemistry and pathological data (tumor stage and ISUP grade) according to VHL-associated and sporadic ccRCC series.

A, Tumor stage

PBRM1 expression	VHL-ccRCC, % (n)			Sporadic ccRCC, % (n)			Overall, % (n)		
	Low	High	P-value	Low	High	P-value	Low	High	P-value
PBRM1 negative	50.0 (2)	50.0 (2)	P=0.59	20.0 (2)	80.0 (8)	P=0.04	28.6 (4)	71.4 (10)	P=0.01
PBRM1 positive	68.4 (13)	31.6 (6)		66.7 (8)	33.3 (4)		67.7 (21)	32.3 (10)	

B, ISUP grade

PBRM1 expression	VHL-ccRCC, % (n)			Sporadic ccRCC, % (n)			Overall, % (n)		
	Low	High	P-value	Low	High	P-value	Low	High	P-value
PBRM1 negative	25.0 (1)	75.0 (3)	P=0.59	50.0 (5)	50.0 (5)	P=1.00	42.9 (6)	57.1 (8)	P=0.59
PBRM1 positive	52.6 (10)	47.4 (9)		50.0 (6)	50.0 (6)		51.6 (16)	48.4 (15)	

P-values were obtained by comparing PBRM1 negative results with PBRM1 positive results. P=0.03 was obtained after controlling for series (VHL and sporadic ccRCC; Cochran-Mantel-Haenszel) in the tumor grade assessment. P=0.55 was obtained after controlling for series (VHL and sporadic ccRCC; Cochran-Mantel-Haenszel) in the ISUP grade assessment. PBRM1, polybromo 1; VHL, Von Hippel-Lindau; ccRCC, clear-cell renal cell carcinoma; ISUP, International Society of Urological Pathology.

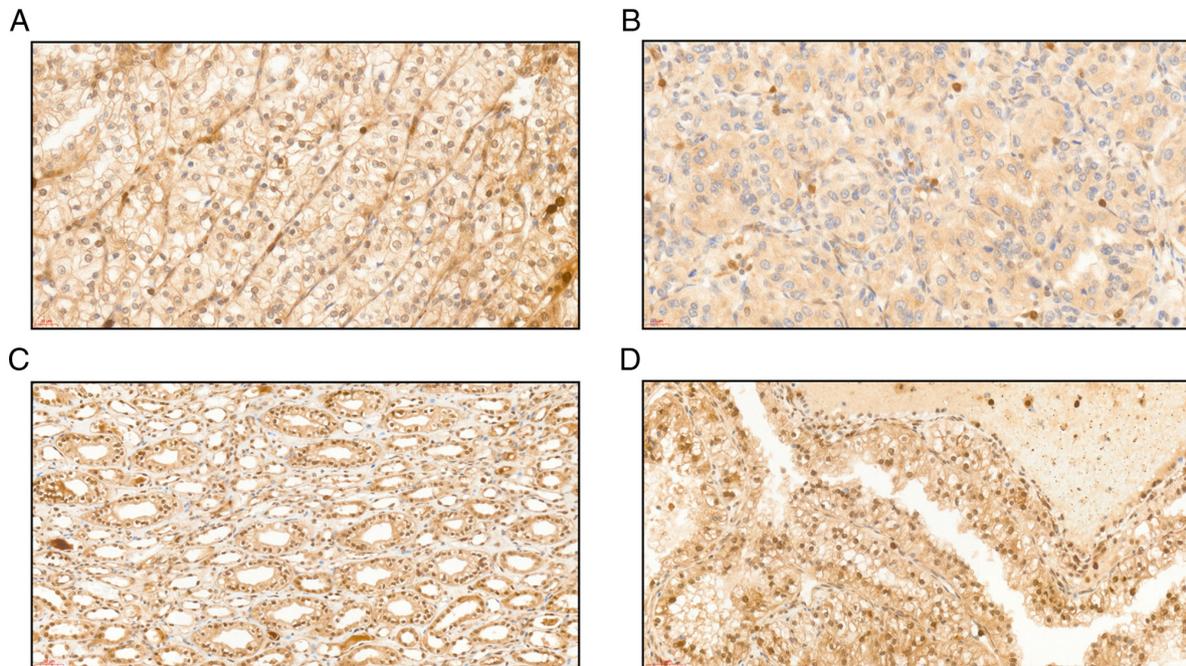


Figure 2. Representative photomicrographs of PBRM1 staining by immunohistochemistry. (A) Heterogeneous nuclear staining of tumor cells in a sporadic ccRCC case (Gx26.8). Scale bar, 20  $\mu$ m. (B) PBRM1-negative tumor. All tumor nuclei were uniformly unstained for PBRM1, whereas lymphocytes and stromal cells served as positive controls (Gx26.8). Scale bar, 20  $\mu$ m. (C) Non-tumor kidney showing a diffuse nuclear staining of tubular epithelial cells (Gx26.8). Scale bar, 50  $\mu$ m. (D) PBRM1-positive tumor. All tumor nuclei were uniformly and strongly stained for PBRM1 in a Von Hippel-Lindau ccRCC with a cystic architecture (Gx26.8). Scale bar, 50  $\mu$ m. PBRM1, polybromo 1; ccRCC, clear-cell renal cell carcinoma.

we observed a younger age at diagnosis for patients with VHL-associated tumors compared to those with sporadic ccRCC tumors. Secondly, as expected, there was a high level of *VHL* somatic mutations (72.7%) in the sporadic tumors. We showed that *PBRM1* somatic mutations can also occur in

VHL-associated ccRCC, at a lower frequency (13.0%) than in sporadic tumors (27.3%) even though this difference is not significant (P=0.28). Secondly, we analyzed PBRM1 expression by IHC in all cases. We observed significantly higher PBRM1-positive staining in the VHL-ccRCC tumors (82.6%)

Table III. Distribution of HIF subtypes according to PBRM1 expression in VHL-associated and sporadic ccRCC series.

A, VHL-ccRCC							
PBRM1 expression	HIF subtypes				P-values		
	Double Negative, % (n)	HIF1+, % (n)	HIF1+/HIF2+, % (n)	HIF2+, % (n)	PBRM1 vs. PBRM1 vs. all HIF staining	PBRM1 vs. HIF1+ and HIF1+/HIF2+	HIF2+ and HIF1+/HIF2+
Negative (n=4)	0.0 (0)	50.0 (2)	0.0 (0)	50.0 (2)	P=0.06	P=0.07	P=1.00
Positive (n=19)	0.0 (0)	52.6 (10)	42.1 (8)	5.3 (1)			
All (n=23) <sup>a</sup>	0.0 (0)	52.2 (12)	34.8 (8)	13.0 (3)			

B, Sporadic ccRCC							
PBRM1 expression	HIF subtypes				P-values		
	Double Negative, % (n)	HIF1+, % (n)	HIF1+/HIF2+, % (n)	HIF2+, % (n)	PBRM1 vs. PBRM1 vs. all HIF staining	PBRM1 vs. HIF1+ and HIF1+/HIF2+	HIF2+ and HIF1+/HIF2+
Negative (n=10)	10.0 (1)	30.0 (3)	20.0 (2)	40.0 (4)	P=0.71	P=0.82	P=0.51
Positive (n=12)	0.0 (0)	50.0 (6)	8.3 (1)	41.7 (5)			
All (n=22) <sup>a</sup>	4.6 (1)	40.9 (9)	13.6 (3)	40.9 (9)			

C, Overall							
PBRM1 expression	HIF subtypes				P-values		
	Double Negative, % (n)	HIF1+, % (n)	HIF1+/HIF2+, % (n)	HIF2+, % (n)	PBRM1 vs. PBRM1 vs. all HIF staining	PBRM1 vs. HIF1+ and HIF1+/HIF2+	HIF2+ and HIF1+/HIF2+
Negative (n=14)	7.1 (1)	35.7 (5)	14.3 (2)	42.9 (6)	P=0.13	P=0.06	P=0.29
Positive (n=31)	0.0 (0)	51.6 (16)	29.0 (9)	19.4 (6)			
All (n=45)	2.0 (1)	47.0 (21)	24.0 (11)	27.0 (12)			

P-values were obtained by comparing HIF subtypes with PBRM1 expression. P=0.43 was obtained after controlling for series (VHL and sporadic ccRCC; Cochran-Mantel-Haenszel) in the overall group. When regrouping HIF1+ with HIF1+/HIF2+, P=0.26 was obtained, which was not significant. When regrouping HIF2+ with HIF1+/HIF2+, P=0.44 was obtained, which was not significant. <sup>a</sup>P-value comparing HIF distribution between VHL-ccRCC and sporadic ccRCC was 0.06. PBRM1, polybromo 1; VHL, Von Hippel-Lindau; ccRCC, clear-cell renal cell carcinoma; HIF, hypoxia inducible factor.

compared to the sporadic tumors (54.5%) (P=0.04). However, there was a degree of discordance between sequencing and IHC results in 15.5% of our cases concerning 6 wild-type *PBRM1* cases that lacked PBRM1 expression. This can be explained by the fact that mutations can be missed (in particular insertions and deletions of entire exons) when Sanger sequencing is used. Moreover, the hypermethylation status was not analyzed. However, we observed by CGH array a loss of chromosome 3p as expected in the majority of tumors. Thus, *PBRM1* can be inactivated on both alleles in some tumors. For immunohistochemistry, preanalytical steps may explain some of the discrepancies. The discordance between sequencing and IHC results for 7 patients leads to similar association between *PBRM1* mutational

status and tumor stage for overall series. However, this association was significant in the VHL tumors (P=0.03) but not in the sporadic ccRCC (P=0.16).

Interestingly, there was a significant overall association between PBRM1 and the tumor stage (P=0.03) (a higher stage in the PBRM1-negative compared to the PBRM1-positive tumors) but not for the ISUP grade (P=0.55) after controlling for the series (VHL and sporadic ccRCC). The significant association between PBRM1 and the tumor stage was also observed in the sporadic ccRCC (P=0.04) but not in the VHL-ccRCC (P=0.59), which is probably due to a lack of power and/or a recruitment bias. The former has recently been reported in sporadic ccRCC (29). This difference between the VHL and the sporadic series can be explained

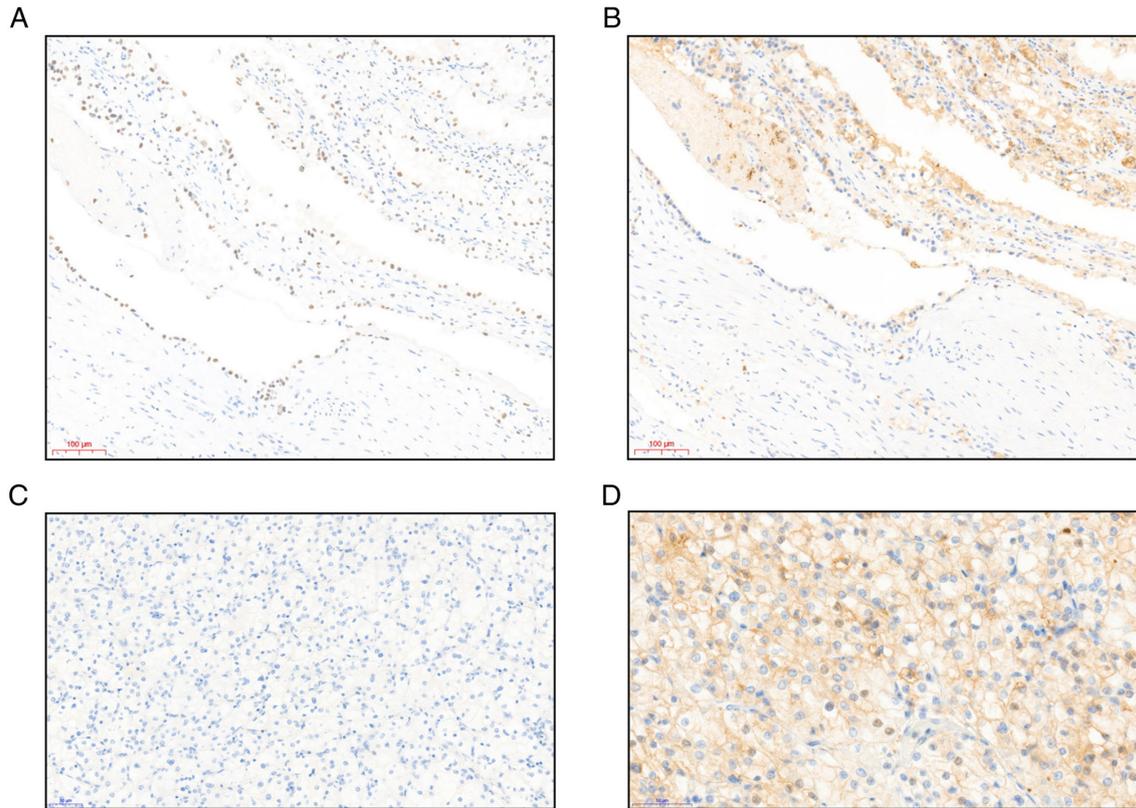


Figure 3. Representative photomicrographs of HIF staining by immunohistochemistry. (A) VHL tumor, diffuse expression of HIF1 in tumor nuclei (Gx20.2). Scale bar, 100  $\mu$ m. (B) VHL tumor, negative expression of HIF2 (Gx20.2). Scale bar, 100  $\mu$ m. (C) Sporadic tumor, negative HIF1 expression (Gx29). Scale bar, 50  $\mu$ m. (D) Sporadic tumor, positive nuclear HIF2 expression in dispersed tumor cells (Gx29). Scale bar, 50  $\mu$ m. HIF, hypoxia inducible factor; VHL, Von Hippel-Lindau.

by the fact that VHL-ccRCC are generally diagnosed earlier than sporadic tumors and they most often have a low stage and grade (3,30). The result regarding association between PBRM1 and the tumor stage would suggest that loss of PBRM1 could be a later event in VHL-associated renal carcinogenesis.

As contradictory results regarding the relationship between PBRM1 and HIFs have been reported, the secondary objective of our study was to investigate this putative link in our own series (19,20). We stratified the HIF immunostaining for our series into four categories: HIF1<sup>+</sup>, HIF2<sup>+</sup>, HIF1<sup>+</sup>/HIF2<sup>+</sup>, and double-negative tumors. We observed a marginal association between both series and the distribution of the HIF subtypes ( $P=0.06$ ): more HIF1<sup>+</sup> tumors were observed in VHL-associated ccRCC (52.2%) than in sporadic ccRCC (40.9%). As these hereditary tumors are generally less aggressive and they consequently have a better prognosis (3,30), this result would suggest that HIF1 expression could be less oncogenic than HIF2 expression, as already reported (5-9). When investigating the association between *PBRM1* mutation status and HIF, no significant association was observed but these results are based on only 3 mutated patients in VHL cohort.

Furthermore, in our VHL-associated series, the PBRM1-positive tumors (wild-type *PBRM1*) were more frequently classified as HIF1<sup>+</sup> or HIF1<sup>+</sup>/HIF2<sup>+</sup>. This is in accordance with reports that HIF1 is probably acting as a tumor suppressor, and the loss or mutation of *PBRM1* being a later event (6,15,19). Two major studies have focused on

the relationship between PBRM1 and HIFs in human cell lines and in tissue samples. The first study, conducted by Gao *et al*, has shown that inactivation of *PBRM1* amplifies the HIF response in cell lines (19). In our study, HIF expression was observed in both the PBRM1-positive and the PBRM1-negative tumors, without an increase in the number of cases with HIF-positive staining when tumors were PBRM1 negative. In the second study, Murakami *et al* showed in cell lines and in tumor samples that PBRM1 interacts differently according to the expression of HIF1<sup>+</sup>/HIF2<sup>+</sup> or HIF2<sup>+</sup> (20). The authors described, on the one hand, that loss of PBRM1 was observed only in the HIF1<sup>+</sup>/HIF2<sup>+</sup> cell lines, thus leading to reduced HIF1 tumor-suppressive activity. On the other hand, they observed that the HIF2<sup>+</sup> subtype was associated with PBRM1 expression. In our series, most of the cases expressing only HIF1<sup>+</sup> were PBRM1-positive, but we did not observe a significant association between the PBRM1 status and HIF after regrouping HIF1<sup>+</sup> with HIF1<sup>+</sup>/HIF2<sup>+</sup> ( $P=0.26$ ). However, in these two studies the methodologies were different from ours: use of tumor cell lines or sporadic ccRCC, but not VHL-associated ccRCC, immunohistochemistry performed on tissue microarray and not on whole slide, analysis of few tumor specimens, use of different commercial antibodies, and analysis of only HIF1 and not HIF2 expression. This could explain the discrepancy with our results. Finally, Nargund *et al* reported a mouse model for which, after the loss of *Vhl*, the loss of *Pbrm1* further activated the HIF1 pathway (31). Increasing the number of VHL-associated and sporadic ccRCC, with

additional experiments, could lead to a better understanding of the relationship between HIF factors and PBRM1 in renal carcinogenesis with adequate statistical power to detect any statistical significant associations through the analyses of stratified data. It is also possible that other components interact with PBRM1 and/or HIFs.

It has been widely observed that sporadic ccRCC are morphologically heterogeneous (32). Mutation profiles obtained by next-generation sequencing have recently confirmed intratumor heterogeneity in ccRCC at the molecular level, in particular in sporadic cases (33,34). In our study, we observed intratumor heterogeneity in terms of PBRM1 staining, with the VHL-associated cases being less heterogeneous than the sporadic ccRCC. It would be interesting to further study several synchronous tumors arising in VHL patients, in particular for PBRM1 staining, and sub-classification of the HIF factors (24).

In conclusion, we have shown that in VHL-associated ccRCC, somatic mutations in the *PBRM1* gene can occur and that PBRM1-positive staining is significantly higher compared to the sporadic tumors. Secondly, PBRM1 expression is more likely to be associated with HIF1 than with HIF2 expression in VHL cohort. Our results would suggest that co-expression of PBRM1 and HIF1 would have a less oncogenic role in the VHL-associated ccRCC.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

SG, SF and SR developed the study design, collected samples and data, interpreted data and drafted the manuscript. GLT performed statistical analysis, interpreted data and drafted the manuscript. BN, BGr, MDC and ND conceived and carried out genetic experiments, and participated in drafting the manuscript. KP carried out immunohistochemical experiments. VV, VD VM and AM collected samples and data, and participated in drafting the manuscript. BJ and GM analyzed bioinformatics data and participated in drafting the manuscript. SC and FR helped to design the study, to prepare the figures, and participated in drafting the manuscript. BGa and BTT helped to design the study and interpret data,

and critically revised the manuscript. All authors read and approved the final manuscript. SG and SF confirm the authenticity of all the raw data.

### Ethics approval and consent to participate

The present study was approved by the CCPRB (French Ethical Committee) Paris-Sud at Bicêtre Hospital (Le Kremlin-Bicêtre, France): Genetic study of von Hippel-Lindau disease (approval no. 01-2001). All patients provided written informed consent prior to surgery for the use of their tumors.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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