

Spectrum of *MAP3K1* mutations in breast cancer is luminal subtype-predominant and related to prognosis

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Abstract. MAP3K1 is a MAPK family serine-threonine kinase that is frequently mutated in human cancer. The association between mutations in the *MAP3K1* gene and the clinicopathological characteristics and prognosis of patients with breast cancer remain unclear in the Chinese population. Thus, the aim of the present retrospective study was to investigate the possible role and function of *MAP3K1* in breast cancer. Data obtained from 412 consecutive patients with breast cancer were selected from Guangdong Provincial People's Hospital (GDPH) for analysis in the present study. Mutations were assessed using next-generation sequencing. The association between *MAP3K1* mutations and clinicopathological features were analyzed and further compared with the Molecular Taxonomy of Breast Cancer International Consortium (METABRIC) cohort and data from The Cancer Genome Atlas (TCGA). In the GDPH cohort, a total of 45 mutations *MAP3K1* were identified in 8.5% (n=35) of the 412 patients, compared with 9.7% (n=244) in METABRIC and 7.9% (n=88) in TCGA. The majority of the mutations identified in the in three cohorts were truncating mutations, followed by mis-sense mutations. Mutations in *MAP3K1* were predominant in patients with the luminal A and B breast cancer subtypes in METABRIC datasets (P<0.001), although no significant differences were observed in the GDPH cohort (P=0.227). In the METABRIC cohort, patients with *MAP3K1* mutations experienced a improved overall survival (OS) rate than patients without *MAP3K1* mutations (P=0.006). In patient with hormone receptor (HR)⁺ breast cancer, a more

significantly higher OS rate was observed in patients with *MAP3K1* mutations (P<0.001). *MAP3K1* expression was associated with OS in the HR⁺ subgroup. Moreover, the *MAP3K1* methylation levels were reduced in primary breast cancer tissue, compared with normal tissue. Thus, the present findings identified *MAP3K1* mutations in Chinese patients with breast cancer, and compared *MAP3K1* mutations between the cohorts from Western and Eastern countries.

Introduction

Breast cancer is the cancer with the highest incidence worldwide and affects an increasing number of Chinese women, thus representing an important health problem in China (1). In 2017, >25,000 women were newly diagnosed with breast cancer in the United States (2). Systemic therapy has improved the overall survival (OS) and disease-free survival of patients with breast cancer in a manner dependent on the molecular subtype and stage.

Recently, large-scale next-generation sequencing (NGS) has been introduced to characterize multiple cancer types at the genomic level, including breast cancer. These efforts have led to a broad description of the genomic alterations involved in tumorigenesis or tumor progression in breast cancer. Breast cancer is characterized by a large number of genomic alterations, such as *ERBB2* amplification (3), *PIK3CA* mutation (4), *FGFR1* amplification (5), *CCND1* amplification (6), *AKT1* mutation and *GATA3* mutation (7,8).

MAPKs are key mediators of evolutionarily conserved signaling networks that play an essential role in multiple aspects of cell physiology. The MAPK pathway is frequently activated in cancerous cells. *MAP3K1* or *MEKK1* is a 196-kDa serine-threonine kinase in the MAP3K family with functions in cell viability, apoptosis and cell motility/migration in multiple normal and tumor cell types (9). However, the role of *MAP3K1* mutations in patient survival in different types of cancer remains poorly understood. In particular, the relationship between *MAP3K1* mutations and the clinicopathological characteristics of patients with breast cancer remains an unresolved question.

Thus, the aim of the present study was to extensively describe the relationship between *MAP3K1* genomic alterations and breast cancer. A total of 412 Chinese patients with primary

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breast tumors were enrolled. The prevalence of *MAP3K1* mutations and their association with clinicopathological characteristics were assessed. *MAP3K1* mutations were also analyzed in data from The Cancer Genome Atlas (TCGA) and from the Molecular Taxonomy of Breast Cancer International Consortium (METABRIC) cohort (10).

Materials and methods

Patients. The study population consisted of three cohorts of patients. The Guangdong Provincial People's Hospital (GDPH) cohort included 412 consecutive patients diagnosed with treatment-naïve breast cancer at Guangdong Provincial People's Hospital from October 2016 to December 2017. The following inclusion criteria were used: i) Patients were diagnosed with invasive breast cancer; ii) complete clinical characterization was available (including age, pathological type, axillary lymph node status, TMN staging, pathological grade, the status of estrogen receptor (ER), the status of progesterone receptor (PR), the status of hormone receptor, human epidermal growth factor receptor 2 (HER2), molecular subtype (11,12); and iii) tumor biopsies were obtained using an Institutional Review Board-approved protocol and analyzed using NGS.

The present study was also based on an analysis of data obtained from TCGA-BRCA and from the METABRIC cohort. Data for the *MAP3K1* mutation profiles and clinical characteristics of breast cancer in TCGA and METABRIC cohorts were downloaded from cBioPortal (<http://www.cbioportal.org>) (11). A total of 1,108 patients with breast cancer were included in TCGA Pan-Cancer analysis project dataset (7). In the METABRIC cohort (10), 2,509 eligible patients with breast cancer for whom *MAP3K1* mutation profiles and clinical information were included. Patients with breast cancer with complete *MAP3K1* somatic mutation profiles and clinicopathological characteristics were included in this study. For the statistical analysis, individuals with missing variables were excluded. Somatic mutations in the GDPH, TCGA and METABRIC cohorts were analyzed to compare *MAP3K1* mutation frequencies. In patient survival analysis, patients with missing *MAP3K1* mutation data and missing follow-up were excluded. OncoPrint data was generated from cBioPortal. The expression heatmap of genes in the METABRIC cohort are shown as mRNA expression Z-scores, which were defined as mRNA expression compared to the expression distribution of each gene tumors, that are diploid for this gene.

All experiments described in this study were approved by The Ethics Committee of The Guangdong Provincial People's Hospital (approval no. GDREC2014122H). All patients provided written informed consent to participate in this study.

DNA extraction from the tissues. DNA was extracted from 412 tumor samples using a QIAamp DNA FFPE tissue kit (cat. no. 56404; Qiagen, Inc.) according to the manufacturer's standard protocol. The concentration and purity of DNA were measured using a Qubit dsDNA assay kit (Life Technologies; Thermo Fisher Scientific, Inc.) prior to mutation analysis. The loading concentration of the final library was 4 nmol.

NGS library preparation and sequencing. In the current study, sequencing data were obtained from primary tissue samples. DNA fragmentation was performed using a M220 (Covaris, Inc.), followed by end repair, phosphorylation and adaptor ligation. Fragments 200-400 bp in size were selected using AMPure beads (Agencourt AMPure XP kit; Beckman Coulter, Inc.), followed by hybridization with capture probe baits, hybrid selection with magnetic beads and PCR amplification. A total of 1 to 5 µg PCR products was used for hybridization with NimbleGen SeqCap EZ Hybridization and Wash kits (cat. no. 06953247001; Roche Diagnostics). The captured DNA fragments from the previous step were amplified, and the PCR products were purified with the AxyPrep Mag PCR clean up kit (cat. no. MAG-PCR-CL-250; Corning, Inc.). The quality of the library was then evaluated using the bioanalyzer (Agilent Technologies, Inc.) and Real-Time PCR System (ABI StepOnePlus; 4376600; Thermo Fisher Scientific, Inc.). Indexed samples were sequenced on a NextSeq500 sequencer (Illumina, Inc.) with a NextSeq 500 high output kit V2 (cat. no. FC-404-2002; Illumina, Inc.) with paired-end reads (150 cycles) in a Clinical Laboratory Improvement Amendments/College of American Pathologists-certified laboratory using a panel consisting of 520 cancer-related genes, spanning 1.64 megabases of the human genome (OncoScreen Plus panel; Guangzhou Burning Rock Medical Laboratory Co., Ltd). The panel was designed to capture whole exons of 312 genes and critical exons, introns and promoter regions of the remaining 208 genes.

Sequencing data analysis. Sequence data were mapped to the human genome (hg19) using Burrows-Wheeler Aligner v.0.7.10 (13). Local alignment optimization, variant calling and annotation were performed using GATK v.3.2 (<https://gatk.broadinstitute.org>) and VarScan v.2.4.3 (<http://varscan.sourceforge.net>) (14). Variants were filtered using the VarScan ffilter pipeline, whereby loci with depth <100 were filtered out. Moreover, ≥5 supporting reads were needed for insertion-deletions (indels); while 8 supporting reads were needed for single nucleotide variations to be called. According to the ExAC (<http://exac.broadinstitute.org>), 1,000 Genomes (<https://www.internationalgenome.org>), dbSNP (<http://www.ncbi.nlm.nih.gov/SNP>) and ESP6500SI-V2 (http://www.openbioinformatics.org/annovar/download/hg19_1000g2015aug.zip) databases, variants with population frequency >0.1% were grouped as single nucleotide polymorphism and excluded from further analysis. Remaining variants were annotated with ANNOVAR (15) and SnpEff v.3.6 (16). DNA translocation analysis was performed using Facter v.1.4.3 (17). Data processing methods are also described in previous reports (18-20). All sequencing data are available in the NODE repository under the accession code OEP001295 (<http://www.biosino.org/node/project/detail/OEP001295>).

Mutation classification. Capture-based targeted sequencing of 412 tumour tissue samples and paired peripheral blood samples was performed using a panel consisting of 520 cancer-related genes. The mutations were classified according to the predicted effect using ANNOVAR (15) and SnpEff v.3.6 (16) on the protein function as follows: i) Mis-sense mutations in the DNA-binding motif (DBM); ii) mis-sense mutations outside

of the DBM; and iii) and non-mis-sense mutations (including splice, in-frame, frameshift and nonsense mutations). Samples with no somatic gene alteration were classified as wild-type (WT).

Prognostic analysis of intrinsic molecular subtypes and DNA promoter methylation. The prognostic analysis of *MAP3K1* expression in different molecular subtypes of breast cancer was performed using Breast Cancer Gene-Expression Miner v4.4 (<http://bcgenex.ico.unicancer.fr>) as previously described (21,22). This prognostic analysis tool based on intrinsic molecular subtypes is used for the evaluation of the prognostic factors for a given gene within groups of patients defined by different subtype clustering models. Molecular subtypes were classified into four groups based on three subtype clustering models (SCMOD1, SCMOD2 and SCMGENE). For example, SCMOD models were produced by analyzing the public database cohorts enrolling >2,100 patients based on ER and HER2 module scores (23-28). These groups represented the following intrinsic molecular subtypes: i) ER-/HER2-; ii) ER-/HER2+; iii) ER+/HER2-; and iv) ER+/HER2+, corresponding roughly to the intrinsic basal-like, HER2, combined luminal A/B (HER2-), and luminal B (HER2+) subtypes, respectively (25).

The prognostic effect of *MAP3K1* expression was evaluated using univariate Cox proportional hazards model and Kaplan-Meier curves. A univariate Cox analysis table is also provided for robust classification.

The DNA promoter methylation profile from 890 patients in TCGA-BRCA cohort was obtained from UALCAN (<http://ualcan.path.uab.edu>) (29) to investigate the epigenetic regulation of *MAP3K1* expression in breast cancer. In short, BRCA DNA methylation array, mRNA expression datasets and corresponding clinical information from TCGA firehose browser (<http://firebrowse.org>) was obtained from UALCAN. The DNA methylation array data from tumor tissues from 793 patients with BRCA and 97 adjacent tumor tissues were analyzed.

Statistical analysis. Patient characteristics and sequencing results are summarized using descriptive statistics, including the mean and standard deviations for continuous data. Continuous variables were compared using Student's t-test or Mann-Whitney's U-test. Categorical data were compared using the χ^2 test. P-values were two-tailed and $P < 0.05$ was considered to indicate a statistically significant difference. Survival analysis was conducted using the Kaplan-Meier method, and groups were compared using the log-rank test. All statistical analyses were conducted using Statistical Product and Service Solutions 20.0 (IBM Corp.).

Results

Characterization of *MAP3K1* mutations. According to the NGS results obtained from the GDPH cohort, 45 *MAP3K1* mutations were observed in 35 patients (8.5%). Moreover, 8 of the 35 patients (21.6%) concurrently carried >1 *MAP3K1* mutation. Among these eight patients, seven patients had dual *MAP3K1* mutations and one patient had a triplet *MAP3K1* mutation. The majority of the mutations were truncating

mutations (n=33; 74.4%), followed by missense mutations (n=8; 17.8%) (Fig. 1A).

The *MAP3K1* mutation profiles were compared between GDPH, METABRIC and TCGA cohorts. *MAP3K1* mutation frequencies in the METABRIC and TCGA cohorts were similar to the mutation frequencies in the GDPH cohort, namely, 9.7% in METABRIC (number of patients, 244; number of mutations, 337) and 7.9% in TCGA (number of patients, 88; number of mutations, 133). Similarly, truncating mutations were the major mutation type, accounting for 72.1% in METABRIC and 74.4% in TCGA (Fig. 1B and C).

The mutated domains in the three cohorts are shown in Fig. 1. Mutation hotspots were not uniformly distributed across the three cohorts. No major hotspot was identified in the mutated domains. For example, the most highly recurrent hotspot was Arg364Trp in the METABRIC cohort (n=6), which only occurred in two patients in the GDPH cohort.

Spectrum of *MAP3K1* mutations in patients with different molecular subtypes of breast cancer. The relationship between *MAP3K1* mutations and clinicopathological characteristics of the three cohorts are shown in Table I. Mutations in *MAP3K1* were predominant in the luminal A breast cancer subtype, followed by the luminal B breast cancer subtype, both in TCGA and the METABRIC datasets ($P < 0.001$; Table I). However, there was no significant association in the GDPH cohort ($P = 0.179$). Only four of 35 patients (11.4%) had a hormone receptor (HR-) disease and *MAP3K1* mutations were also more dominant in luminal A subtype, compared with luminal B, HER2+ and triple-negative breast cancer (TNBC) (23/35; 65.7%). The non-predominant association with molecular subtype may be due to the small sample size. In addition, a lower pathological grade was observed in the *MAP3K1* mutant group in the GDPH and the METABRIC datasets.

Prognostic implications of *MAP3K1* mutations in breast cancer. Kaplan-Meier survival analysis was performed on the METABRIC and TCGA datasets to assess the prognostic value of *MAP3K1* mutations in the follow-up of patients with breast cancer.

In the METABRIC cohort, patients with *MAP3K1* mutations experienced a prolonged OS compared with patients without *MAP3K1* mutations ($P = 0.006$; Fig. 2A). In patients with HR+ breast cancer, a significantly longer OS was also observed in the *MAP3K1* mutation group ($P < 0.001$; Fig. 2B). Unexpectedly, TCGA cohort and TCGA HR+ mutation subgroup did not display a significant difference in OS ($P = 0.873$ and $P = 0.377$, respectively; Fig. 2C and D).

Interactions between *MAP3K1*, *MAP2K1*, *MAP2K2*, *MAP2K4* and *PIK3CA* mutations. OncoPrint data for *MAP3K1*, *MAP2K4* and PI3K catalytic subunit α (*PIK3CA*) mutations in the METABRIC cohort are shown in Fig. 3. *MAP3K1* mutations in breast cancer were mutually exclusive with respect to mutations in *MAP2K4*, *MAP2K1* and *MAP2K2*. Indeed, out of 217 patients who had a *MAP3K1* mutation, only 3 also had *MAP2K4* mutations, none had a *MAP2K1* mutation and only one had a *MAP2K2* mutation.

Table I. Association between *MAP3K1* mutations and the clinicopathological characteristics of the three cohorts.

A, GDPH cohort (n=412)			
Clinicopathological characteristic	Wild-type	Mutant	P-value
Mean age \pm SD, years	48.9 \pm 10.8	51.3 \pm 9.7	0.207
Type, n (%)			0.863
Ductal	331 (87.8)	30 (85.7)	
Lobular	-	-	-
Other	44 (11.7)	5 (14.3)	-
Missing	2 (0.5)	0 (0)	
Node status, n (%)			0.979
pN0	184 (48.8)	17 (48.6)	
pN1-pN3	193 (51.2)	18 (51.4)	
Missing	0 (0)	0 (0)	
TNM stage, n (%)			0.304
1	91 (24.1)	11 (31.4)	
2	175 (46.4)	16 (45.7)	
3	85 (22.5)	6 (17.1)	
4	25 (6.6)	2 (5.7)	
Missing	1 (0.3)	0 (0)	
Pathological grade, n (%)			0.032
1	13 (3.4)	2 (5.7)	
2	169 (44.8)	22 (62.9)	
3	189 (50.1)	9 (25.7)	
Missing	6 (1.6)	2 (5.7)	
Estrogen receptor, n (%)			0.116
Positive	265 (70.3)	29 (82.9)	
Negative	112 (29.7)	6 (17.1)	
Missing	0 (0)	0 (0)	
Progesterone receptor, n (%)			0.155
Positive	246 (65.3)	27 (77.1)	
Negative	131 (34.7)	8 (22.9)	
Missing	0 (0)	0 (0)	
Hormone receptor, n (%)			0.056
Positive	279 (74.0)	31 (88.6)	
Negative	98 (26.0)	4 (11.4)	
Missing	0 (0)	0 (0)	
HER2, n (%)			0.889
Positive	108 (28.7)	9 (25.7)	
Negative	268 (71.1)	26 (74.3)	
Missing	1 (0.3)	0 (0)	
Subtype, n (%)			0.179
LumA	76 (20.2)	11 (31.4)	
LumB	203 (53.8)	20 (57.1)	
HER2 ⁺	47 (12.5)	2 (5.7)	
TNBC	51 (13.5)	2 (5.7)	
Claudin ^{low}	-	-	
Basal	-	-	
Missing	0 (0)	0 (0)	
HR/HER2 status, n (%)			0.227
HR ⁺ /HER2 ⁻	214 (56.8)	23 (65.7)	
HR ⁺ /HER2 ⁺	65 (17.2)	8 (22.9)	
HR ⁻ /HER2 ⁺	47 (12.5)	2 (5.7)	

Table I. Continued.

A, GDPH cohort (n=412)			
Clinicopathological characteristic	Wild-type	Mutant	P-value
HR ⁺ /HER2 ⁻	51 (13.5)	2 (5.7)	
Missing	0 (0)	0 (0)	
B, TCGA (n=1,108)			
Clinicopathological characteristic	Wild-type	Mutant	P-value
Mean age \pm SD, years	58.1 \pm 13.3	60.9 \pm 12.6	0.064
Type, n (%)			0.054
Ductal	747 (73.2)	74 (84.1)	
Lobular	195 (19.1)	12 (13.6)	-
Other	78 (7.6)	2 (2.3)	-
Missing	0 (0)	0 (0)	
Node status, n (%)			0.917
pN0	476 (46.7)	40 (45.5)	
pN1-pN3	523 (51.3)	45 (51.1)	
Missing	21 (2.0)	3 (3.4)	
TNM stage, n (%)			0.647
1	165 (16.2)	18 (20.5)	
2	581 (57.0)	45 (51.1)	
3	233 (22.8)	18 (20.5)	
4	18 (17.6)	2 (2.3)	
Missing	23 (2.3)	5 (5.7)	
Pathological grade, n (%)			-
1	-	-	-
2	-	-	-
3	-	-	-
ER, n (%)			0.043
Positive	740 (72.5)	74 (84.1)	
Negative	242 (23.7)	12 (13.6)	
Missing	38 (3.7)	2 (2.3)	
PR, n (%)			0.017
Positive	638 (62.5)	67 (76.1)	
Negative	342 (33.5)	18 (20.4)	
Missing	40 (3.9)	3 (3.4)	
HR, n (%)			0.01
Positive	765 (75.0)	76 (86.4)	
Negative	255 (25.0)	12 (13.6)	
Missing	0 (0)	0 (0)	
HER2, n (%)			0.53
Positive	170 (16.7)	15 (17.0)	
Negative	656 (64.3)	57 (64.8)	
Missing	194 (19.0)	16 (18.2)	
Subtype, n (%)			-
LumA	-	-	
LumB	-	-	
HER2 ⁺	-	-	
TNBC	-	-	
Claudin ^{low}	-	-	

Table I. Continued.

B, TCGA (n=1,108)			
Clinicopathological characteristic	Wild-type	Mutant	P-value
Basal	-	-	0.106
Missing	-	-	
HR/HER2 status, n (%)			
HR ⁺ /HER2 ⁻	514 (50.4)	51 (58.0)	
HR ⁺ /HER2 ⁺	131 (12.8)	14 (16.0)	
HR ⁻ /HER2 ⁺	39 (3.8)	1 (1.1)	
HR ⁻ /HER2 ⁻	142 (13.9)	6 (6.8)	
Missing	194 (19.0)	16 (18.2)	
C, METABRIC (n=2,509)			
Clinicopathological characteristic	Wild-type	Mutant	P-value
Mean age ± SD, years	60.2±13.0	62.3±12.8	0.018
Type, n (%)			0.887
Ductal	1,690 (74.6)	175 (71.7)	-
Lobular	172 (7.6)	20 (8.2)	
Other	403 (17.8)	49 (20.1)	
Missing	0 (0)	0 (0)	-
Node status, n (%)			0.106
pN0	1,087 (48.0)	109 (44.7)	
pN1-pN3	930 (41.0)	117 (48.0)	
Missing	248 (10.9)	18 (7.4)	
TNM stage, n (%)			0.933
1	566 (25.0)	64 (26.2)	
2	887 (39.2)	92 (37.7)	
3	131 (5.8)	13 (5.3)	
4	10 (0.4)	1 (0.4)	
Missing	671 (29.6)	74 (30.3)	
Pathological grade, n (%)			<0.001
1	173 (7.6)	41 (16.8)	
2	854 (37.7)	122 (50.0)	
3	1,128 (49.8)	70 (28.7)	
Missing	110 (4.9)	11 (4.5)	
ER, n (%)			<0.001
Positive	1,610 (71.1)	215 (88.1)	
Negative	617 (27.2)	27 (11.1)	
Missing	38 (1.7)	2 (0.8)	
PR, n (%)			<0.001
Positive	887 (39.2)	152 (62.3)	
Negative	887 (39.2)	54 (22.1)	
Missing	491 (21.7)	38 (15.6)	
HR, n (%)	-	-	-
Positive	-	-	-
Negative	-	-	-
Missing	-	-	-
HER2, n (%)			<0.001
Positive	1,022 (45.1)	153 (62.7)	
Negative	752 (33.2)	53 (21.7)	
Missing	491 (21.7)	38 (15.6)	

Table I. Continued.

C, METABRIC (n=2,509)

Clinicopathological characteristic	Wild-type	Mutant	P-value
Subtype, n (%)			<0.001
LumA	589 (26.0)	111 (45.5)	
LumB	433 (19.1)	42 (17.2)	
HER2 ⁺	208 (9.2)	16 (6.6)	
TNBC	-	-	
Claudin ^{low}	209 (9.2)	9 (3.7)	
Basal	198 (8.7)	11 (4.5)	
Missing	628 (27.7)	55 (22.5)	
HR/HER2 status, n (%)			
HR ⁺ /HER2 ⁻	-	-	-
HR ⁺ /HER2 ⁺	-	-	-
HR ⁻ /HER2 ⁺	-	-	-
HR ⁻ /HER2 ⁻	-	-	-
Missing			

Continuous variables were compared using Student's t-test or Mann-Whitney's U-test. Categorical data were compared using Pearson's χ^2 test. ER, estrogen receptor; GDPH, Guangdong Provincial People's Hospital; HER2, human epidermal growth factor receptor 2; Lum, luminal; METABRIC, Molecular Taxonomy of Breast Cancer International Consortium; PR, progesterone receptor; HR, hormone receptor; Pos., possible; TCGA, The Cancer Genome Atlas; TNBC, triple-negative breast cancer; TNM, tumour-node-metastasis.

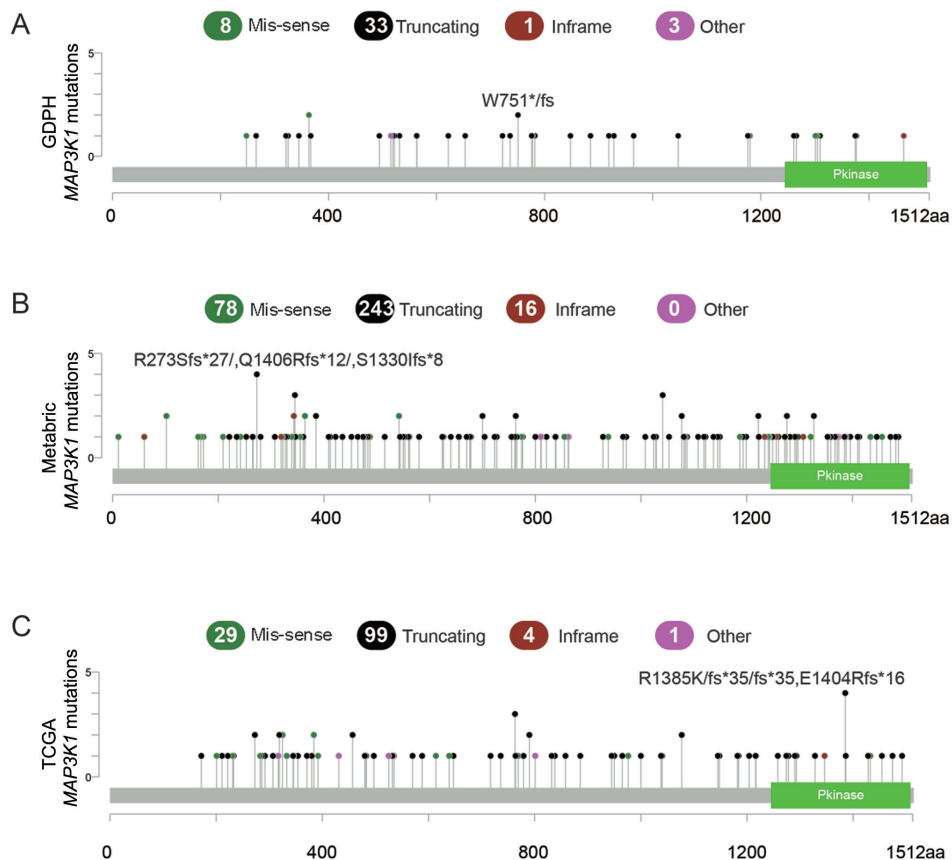


Figure 1. Somatic mutations in the *MAP3K1* gene in patients with breast cancer. (A) *MAP3K1* mutations in the GDPH cohort. (B) *MAP3K1* mutations in the METABRIC cohort. (C) *MAP3K1* mutations in TCGA cohort. Green dots represent missense mutations, while black dots represent truncating mutations. The data of TCGA-BRCA and METABRIC cohorts were obtained from and analyzed using cBioPortal. GDPH, Guangdong Provincial People's Hospital; METABRIC, Molecular Taxonomy of Breast Cancer International Consortium; TCGA, The Cancer Genome Atlas; aa, amino acid; Pkinase, protein kinase domain.

Table II. Univariate Cox analysis of *MAP3K1* expression level of overall survival in patients with different breast cancer molecular subtypes using three SCMs.

A, SCMOD1		
Molecular subtype, high vs. low	HR (95% CI)	*P-value
ER-/HER2 ⁻	0.87 (0.68-1.11)	0.2733
ER-/HER2 ⁺	0.74 (0.56-0.98)	0.0328
ER+/HER2 ⁻	0.81 (0.67-0.96)	0.0189
ER+/HER2 ⁺	0.88 (0.75-1.03)	0.1027
B, SCMOD2		
Molecular subtype, high vs. low	HR (95% CI)	P-value
ER-/HER2 ⁻	0.91 (0.72-1.14)	0.3931
ER-/HER2 ⁺	0.78 (0.60-1.00)	0.0504
ER+/HER2 ⁻	0.80 (0.66-0.97)	0.0200
ER+/HER2 ⁺	0.87 (0.74-1.03)	0.0991
C, SCMGENE		
Molecular subtype, high vs. low	HR (95% CI)	P-value
ER-/HER2 ⁻	0.85 (0.69-1.04)	0.1192
ER-/HER2 ⁺	0.87 (0.68-1.12)	0.2929
ER+/HER2 ⁻	0.91 (0.76-1.09)	0.3063
ER+/HER2 ⁺	0.87 (0.74-1.03)	0.1000

The association between *MAP3K1* expression and overall survival was analyzed using Univariate Cox analysis. The SCMOD1, SCMOD2 and SCMGENE SCMs were used. All HR and 95% CI values are rounded up or rounded down to 2 decimal places. CI, confidence interval; ER, estrogen receptor, HER2, human epidermal growth factor receptor 2; HR, hazard ratio; SCM, subtype clustering model.

Nevertheless, 144 *PIK3CA* mutations were observed in the 217 patients with *MAP3K1* mutations, indicating that *MAP3K1* alterations were concurrently accompanied by *PIK3CA* mutations (as indicated by the color overlap in the second and sixth rows; Fig. 3).

Effect of *MAP3K1* expression on the prognosis of patients with different intrinsic molecular subtypes of breast cancer. The effect of *MAP3K1* expression on patients with different intrinsic molecular subtypes was further examined. Patients were grouped into two groups: High (>median) and low expression (<median) groups. High *MAP3K1* expression was associated with longer survival time in the ER+/HER2⁻ and ER-/HER2⁺ subgroups (Table II). Representative survival curves are shown in Fig. 4. Patients with higher *MAP3K1* expression had longer survival time compared with that in patients with lower *MAP3K1* expression in the ER+/HER2⁻ subgroup of SCMOD1 (P=0.189; Fig. 4A) and SCMOD2 (P=0.020; Fig. 4B), and ER-/HER2⁺ subgroup of SCMOD1 (P=0.0328; Fig. 4C).

***MAP3K1* promoter methylation in breast cancer.** The DNA methylation profile was analysed using UALCAN to further explore the epigenetic regulation of *MAP3K1* methylation in breast cancer. Significant hypermethylation of *MAP3K1* was observed (Fig. 5A; P<0.0001). However, no difference in methylation was observed between the normal, luminal A and B, HER2⁺ and TNBC subgroups (Fig. 5B).

Discussion

The present findings identified *MAP3K1* mutations in Chinese patients with breast cancer, as well as in public datasets. Given the differences in cancer biology in patients from different ethnic groups, the *MAP3K1* mutation spectrum in Chinese patients was compared with data available in public databases. The current retrospective study is the first comprehensive report to analyse the clinicopathological characteristics of Chinese patients with *MAP3K1* mutations in a Chinese cohort from a single centre. In the GDPH cohort, 412 breast cancer samples were analysed using NGS and further compared with data from TCGA and METABRIC cohorts. A similar prevalence in *MAP3K1* mutations was observed in these three cohorts, whereas the mutated domains and mutation hotspots did not overlap. A recent study including 122 Chinese patients with breast cancer or ovarian cancer reported a higher frequency of *MAP3K1* mutation (14.3%) (30). Although *MAP3K1* is among the most frequently mutated genes in cancer, to the best of our knowledge, no study has reported frequent hotspot- or domain-specific alterations associated with *MAP3K1* mutations, consistent with the results of the current study. Notably, 21.6% tumors concurrently carried >1 mutation in *MAP3K1*. Among those tumors, seven tumors contained dual *MAP3K1* mutations and one tumor contained triplet mutations. Although *MAP3K1* mutations potentially represent a protective marker in breast cancer, it was not possible to determine whether the presence of concurrent dual *MAP3K1* mutations had different clinicopathological characteristics from single mutations due to the small cohort size.

Breast cancer is a heterogeneous disease. Distributions of *MAP3K1* mutations were analysed. In TCGA and METABRIC cohorts, *MAP3K1* mutations were more frequently enriched in patients with HR⁺ breast cancer than in patients with other subtypes including (HER2⁺ and TNBC), consistent with previous studies (9,31). Only 4 of the 35 patients who carried the *MAP3K1* mutation in the GDPH cohort had HR⁻ disease. The insignificance of the difference in the GDPH cohort may be due to the small cohort size.

Ellis *et al* (32) conducted whole-genome sequencing and pathway analyses to compare patients who were sensitive or resistant to neoadjuvant treatment with an aromatase inhibitor. Based on the study, mutations in the *MAP3K1* gene were found to be related to indolent biological features and low proliferation rates. Therefore, *MAP3K1* mutations have been proposed to correlate with favorable outcomes in patients with breast cancer (7). Similarly, a two-gene genomic signature combining with *MAP3K1* mutation and FGFR1 amplification was associated with decreased risk of distant metastasis in patients with HR+/HER2⁻ breast cancer (33). *MAP3K1*-targeting drugs have been developed, including binimetinib (34), fostamatinib (35) and AZD-8330 (36).

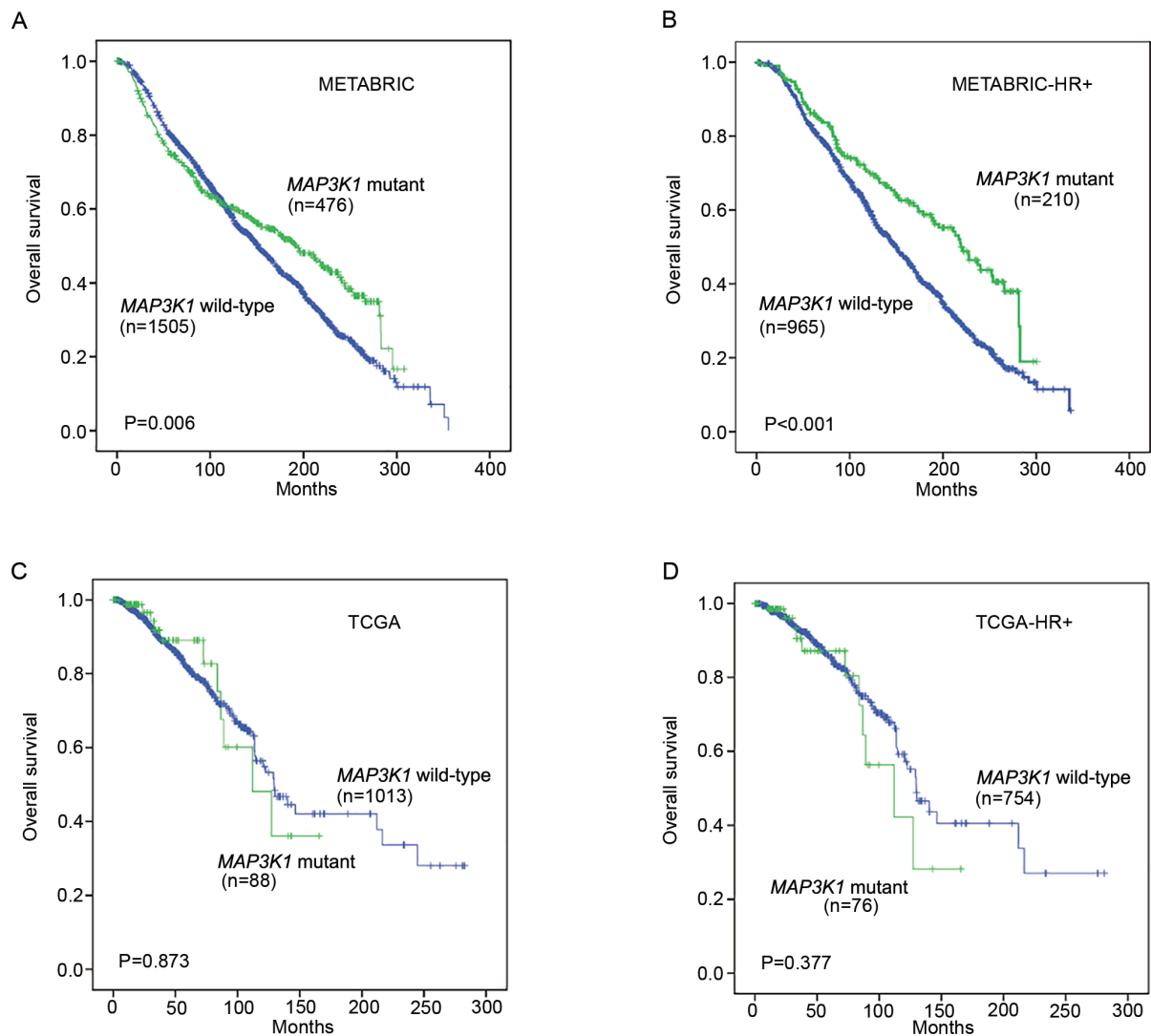


Figure 2. Kaplan-Meier analysis of the overall survival of patients stratified according to *MAP3K1* mutation status. Kaplan-Meier overall survival curves are shown for (A) 1,981 patients in the METABRIC cohort stratified according to *MAP3K1* mutation status, (B) the HR⁺ subgroup of the METABRIC cohort after stratification according to *MAP3K1* mutation status, (C) 1,101 patients in TCGA stratified according to the *MAP3K1* mutation status and (D) the HR⁺ subgroup of TCGA patients stratified according to *MAP3K1* mutation status. Cumulative survival rates were analyzed using the Kaplan-Meier method and the log rank test. METABRIC, Molecular Taxonomy of Breast Cancer International Consortium; HR, hormone receptor; TCGA, The Cancer Genome Atlas.

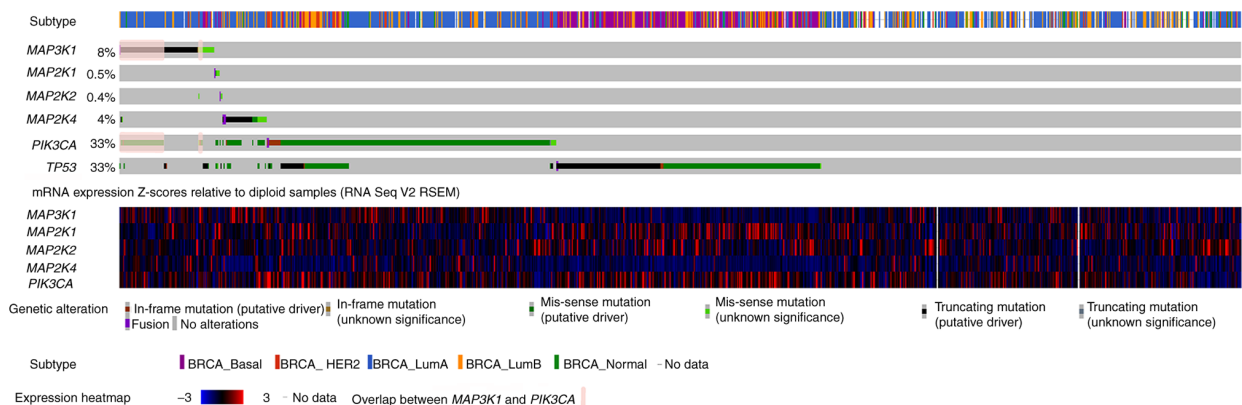


Figure 3. Characterization of *MAP3K1*, *MAP2K1*, *MAP2K2*, *MAP4K2* and *PIK3CA* mutations in patients with breast cancer from the METABRIC cohort. The OncoPrint of *MAP3K1*, *MAP2K1*, *MAP2K2*, *MAP4K2* and *PIK3CA* genes is shown, in which individual samples and genes in the Molecular Taxonomy of Breast Cancer International Consortium cohort are presented in columns and rows, respectively. Subtype assignments of the patients are shown in the first row. mRNA expression is shown in the next 6 rows. Overlap between *MAP3K1* and *PIK3CA* mutation are shown in pink. The data were obtained from and visualized using the cBioPortal database (accession number, EGAS00001001753) with modifications. RSEM was used for accurate transcript quantification from RNA Seq data. BRCA, breast cancer; HER2, human epidermal growth factor receptor 2; *PIK3CA*, PI3K catalytic subunit α ; TP53, tumor protein 53; RNA Seq, RNA sequencing; RSEM, RNA Seq by Expectation Maximization.

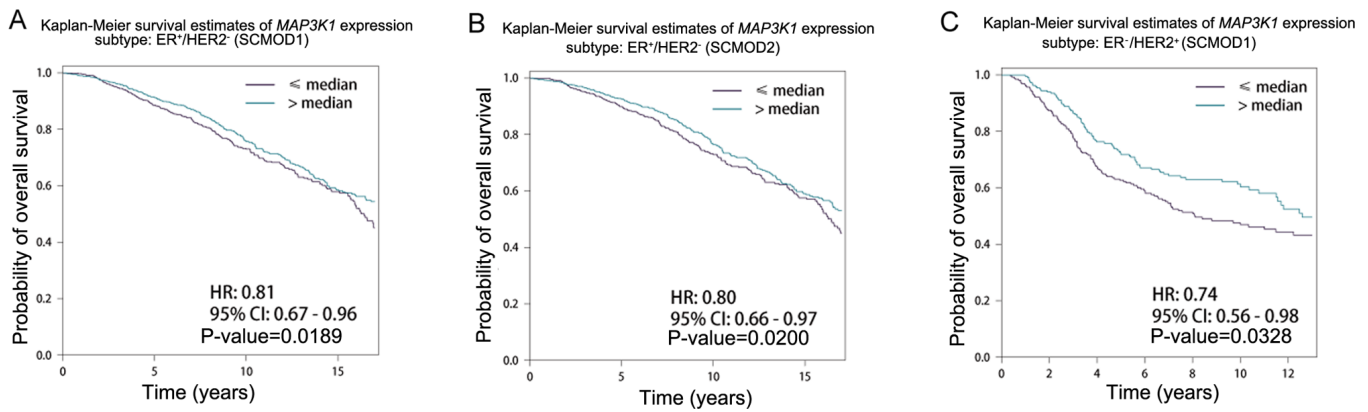


Figure 4. Kaplan-Meier analysis of the overall survival of patients stratified according to *MAP3K1* expression. Kaplan-Meier overall survival curves are shown for the (A) ER⁺/HER2⁻ subgroup in the SCMOD1 subtype clustering model after stratification according to *MAP3K1* expression, (B) the ER⁺/HER2⁻ subgroup in the SCMOD2 subtype clustering model after stratification according to *MAP3K1* expression and (C) the ER⁺/HER2⁺ subgroup in the SCMOD1 subtype clustering model after stratification according to *MAP3K1* expression. Cumulative survival rates were analyzed using the Kaplan-Meier method and the log rank test. ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; SCMOD, subtype clustering model.

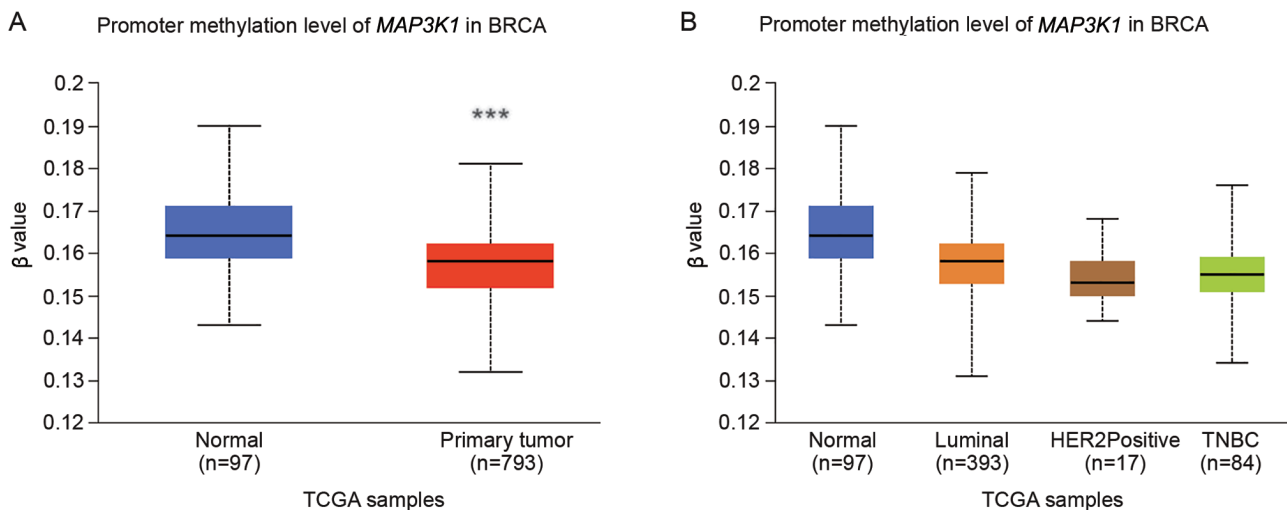


Figure 5. *MAP3K1* promoter methylation profile in TCGA-BRCA samples. (A) Levels of *MAP3K1* promoter methylation in normal tissue and BRCA tissue. Significance of difference was estimated using Mann-Whitney's U-test. (B) Levels of *MAP3K1* promoter methylation in normal tissue and tumour tissue from patients with different BRCA molecular subtypes. The β value indicates the levels of DNA methylation and ranges from 0 (unmethylated) to 1 (fully methylated). A β value within the 0.5-0.7 range indicates hypermethylation, whereas a value in the 0.25-0.3 range indicates hypomethylation. *** $P < 0.001$. BRCA, breast cancer; HER2, human epidermal growth factor receptor 2; TCGA, The Cancer Genome Atlas; TNBC, triple-negative breast cancer.

Binimetinib is used to treat metastatic melanoma and unresectable melanoma, while fostamatinib is used to treat chronic immune thrombocytopenia. To date, Food and Drug Administration-approved *MAP3K1*-targeting drugs are not available for the treatment of breast cancer.

MAP3K1 activates the JNK pathway by selectively phosphorylating and activating *MAP2K4* (37,38). Deficiency in the JNK signaling pathway results in defective apoptosis, leading to unresponsiveness to environmental and genotoxic stresses (39). Ellis *et al* (7) demonstrated that *MAP2K4* mutations were associated with *MAP3K1* dysfunction, but mutations in two genes associated with one pathway were rarely observed in a single patient. Tissues with *MAP3K1* mutation in the METABRIC cohort did not coincide with mutations in *MAP2K4*. Considering the differences in samples with *MAP3K1* and *MAP2K4* mutations, loss of function of either gene in the JNK pathway may result in

the deregulation of the other gene and the pathway as a whole.

In addition, *MAP3K1* and *PIK3CA* mutations co-associated in both the METABRIC and TCGA cohorts. In the METABRIC cohort, *PIK3CA* mutations were accompanied with *MAP3K1* mutations in 66.4% of patients. A previous study has identified *MAP3K1* and *MAP2K2* as powerful predictors of responsiveness to MEK inhibition in patient-derived xenograft models (40). Loss-of-function mutations in *MAP3K1* resulted in higher sensitivity to MEK inhibition, demonstrating that MEK inhibitors could represent potential therapeutic targets for tumors with *MAP3K1* mutations (40).

In the present study, *MAP3K1* expression was confirmed to be associated with OS in different subtype clustering models of breast cancer, supporting the prognostic value of *MAP3K1*. However, high *MAP3K1* was only a protective

biomarker in patients with ER+/HER2- and ER-/HER2+ disease.

To the best of our knowledge, *MAP3K1* methylation has not yet been reported in breast tumors. In the current study, the methylation of the *MAP3K1* gene was decreased in breast cancer tissue samples from TCGA, compared with normal tissue. This result may partially explain the epigenetic regulation of *MAP3K1* in breast cancer, although further studies are needed to confirm this finding.

The current study has a number of limitations. Since the present findings were obtained from a retrospective, single-center study, the limited cohort size prevented robust comparison between the Chinese patient cohort and TCGA and METABRIC cohorts. As indicated in our previous report (19), the baseline characteristics of the patients enrolled in the three cohorts, including age, menopausal status, histological grade, ER status, PR status and HER2 status, were significantly different. Moreover, 5.5% patients in TCGA cohort were Asian (60/1084). Ethnicity was not reported for the METABRIC cohort. All patients in the GDPH cohort were Chinese and 98.8% were Han Chinese (407/412). In addition, due to the absence of complete follow-up and prognostic data in the GDPH cohort, the effect of *MAP3K1* mutations on the survival of the Chinese patients could not be established. The methylation data for *MAP3K1* also lacked from the GDPH cohort. Further multicenter prospective studies with complete follow-up data are needed to verify the present findings.

Altogether, the present findings identified *MAP3K1* mutations in Chinese patients with breast cancer, as well as public datasets. *MAP3K1* mutations were mainly detected in HR+/HER2- breast cancer and could represent possible prognostic factors. *MAP3K1* expression was associated with OS in the HR+ subgroup. Furthermore, a lower level of *MAP3K1* methylation was observed in patients with breast cancer. A larger sample size is needed to evaluate the clinical applicability of *MAP3K1* mutations in patients with HR+ breast cancer. The results may provide insight into the pathophysiological mechanism of breast cancer and the development of novel therapeutic treatments.

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Availability of data and materials

The datasets generated and/or analyzed in the GDPH cohort are available in the NODE repository (<http://www.biosino.org/node>) under accession no. OEP001295. Data for the *MAP3K1* mutation profiles and clinical characteristics of breast cancer in TCGA and METABRIC cohorts were extracted from cBioPortal (<http://www.cbioportal.org>).

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Authors' contributions

CL and NL conceived the study. YW, GZ, KL, LC, CR, XL collected the data. GZ, YW, BC and NL acquired funding. CL, LW, MJ, HM, JL, WX and XL carried out the experiments. CL, GZ, BC, JL, XL and NL designed the methodology. YW, BC, KL, LC, CR, LW and WX oversaw project administration; YW, KL and LC provided software. CL wrote the original draft; CL, GZ, KL, LC, CR, XL and NL reviewed and edited the manuscript. CL and NL confirm the authenticity of all the raw data. All authors read and approved the final manuscript.

Ethics approval and consent to participate

This study was approved by The Ethics Committee of The Guangdong Provincial People's Hospital (approval no. GDREC2014122H). All patients provided written informed consent to participate in this translational study.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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