

# Therapeutic efficacy of atezolizumab plus bevacizumab for hepatocellular carcinoma with WNT/ $\beta$ -catenin signal activation

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**Abstract.** Atezolizumab plus bevacizumab therapy has high response rates in patients with advanced hepatocellular carcinoma (HCC). It has been reported that HCC with immune exclusion associated with the signal activation of WNT/ $\beta$ -catenin is resistant to immune checkpoint inhibitors; however, to the best of our knowledge, the effectiveness of atezolizumab plus bevacizumab for HCC with WNT/ $\beta$ -catenin signal activation has not been reported. The present study aimed to analyze the efficacy of atezolizumab plus bevacizumab for HCC with WNT/ $\beta$ -catenin signal activation. A total of 24 patients who underwent liver tumor biopsy for HCC were classified into WNT/ $\beta$ -catenin signal activation and inactivation groups according to the expression levels of  $\beta$ -catenin and glutamine synthetase, which are indicative of WNT/ $\beta$ -catenin signal activation. The differences in the clinical responses to treatment between the groups were analyzed. A total of 15 patients had HCC with WNT/ $\beta$ -catenin signal activation, whereas 9 patients had HCC with WNT/ $\beta$ -catenin signal inactivation. There were no significant differences between

both groups regarding objective responses (P=0.519) and disease control (P=0.586). In the WNT/ $\beta$ -catenin signal activation group, the median progression-free survival rate was 6.9 months compared with 6.2 months in the WNT/ $\beta$ -catenin signal inactivation group (P=0.674). Although a small number of patients was included in the present study, the present findings suggested that the efficacy of atezolizumab plus bevacizumab might be unaffected by WNT/ $\beta$ -catenin signal activation.

## Introduction

A previous study reported that 85-90% of cases of primary liver cancer are hepatocellular carcinoma (HCC); globally, HCC is the sixth most frequent neoplasm and the third most frequent cause of deaths related to cancer, with approximately 900,000 new cases and 830,000 deaths in 2020 (1,2). Recent advances in systemic chemotherapy for advanced HCC, including immune checkpoint inhibitors (ICIs) and molecular targeted agents, have improved patient prognosis, and the appropriate choice of chemotherapy may further improve the prognosis (3-7). Therefore, it is important to select agents suitable for the personalized treatment of HCC.

Recently, the IMbrave150 trial showed that atezolizumab plus bevacizumab prolongs progression-free survival (PFS) and overall survival (OS) compared with sorafenib in patients with advanced HCC (3). Hence, atezolizumab plus bevacizumab is becoming the preferred first-line systemic chemotherapy.

Modern HCC treatment has focused on disease subclass classification according to WNT/ $\beta$ -catenin mutations. Approximately 40% of HCC cases harbor mutations of WNT/ $\beta$ -catenin that result in the immune microenvironment lacking immune cell infiltration, so-called 'immune exclusion' or 'non-inflamed cold' in HCC (8,9). Furthermore, HCC with immune exclusion associated with WNT/ $\beta$ -catenin signal activation is resistant to ICIs (10-12). Therefore, it is important to identify the subclass of immune condition in HCC induced by WNT/ $\beta$ -catenin signal activation prior to chemotherapy. We previously reported that the efficacy of lenvatinib did not differ between patients with iso-high- or low-intensity HCC, among whom some may have had WNT/ $\beta$ -catenin signal activation, in gadolinium ethoxybenzyl diethylenetriaminepentaacetic

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**Abbreviations:** HCC, hepatocellular carcinoma; ICI, immune checkpoint inhibitor; PFS, progression-free survival; OS, overall survival; EOB-MRI, gadolinium ethoxybenzyl diethylenetriaminepentaacetic acid-enhanced magnetic response imaging; ALBI score, albumin-bilirubin score; CT, computed tomography; MRI, magnetic resonance imaging; CR, complete response; PR, partial response; SD, stable disease; DCR, disease control rate; ORR, objective response rate; PD, progression disease; IHC, immunohistochemical; GS, glutamine synthetase; BCLC, Barcelona Clinic liver cancer; VEGF, vascular endothelial growth factor

**Key words:** hepatocellular carcinoma, atezolizumab plus bevacizumab, WNT/ $\beta$ -catenin signal activation,  $\beta$ -catenin, glutamine synthetase

acid-enhanced magnetic resonance imaging (EOB-MRI) during the hepatobiliary phase (13). However, the effectiveness of atezolizumab plus bevacizumab for HCC with WNT/ $\beta$ -catenin signal activation has not been reported. Here, we aimed to analyze the efficacy of atezolizumab plus bevacizumab for HCC with WNT/ $\beta$ -catenin signal activation in this study.

## Materials and methods

**Patients.** This prospective single-center study analyzed atezolizumab plus bevacizumab efficacy for HCC with WNT/ $\beta$ -catenin mutations. Fifty-five patients received atezolizumab plus bevacizumab for non-resectable HCC at Iizuka Hospital from December 2020 to December 2021. We excluded 22 patients who did not undergo liver tumor biopsy prior to atezolizumab plus bevacizumab administration and 9 patients who were observed for <6 weeks. In total, we evaluated 24 patients (Fig. 1). The present study was conducted in accordance with the guidelines of the Declaration of Helsinki and was approved by the ethics committee of Iizuka Hospital (approval no. 22008). We applied the opt-out method to obtain consent for this study.

**Albumin-bilirubin (ALBI) score.** We evaluated liver function using the ALBI score, which was calculated as follows:  $ALBI\ score = \log_{10}[T-Bil(mg/dl) \times 17.1] \times 0.66 + [ALB(g/dl) \times 10] \times 0.085$ , where T-Bil is total bilirubin and ALB is serum albumin level (14).

**Treatment protocol.** Patients received atezolizumab at a dose of 1,200 mg and bevacizumab at a dose of 7.5 mg/kg intravenously every 3 weeks. The protocols in the IMbrave150 trial were defined by Chugai Co., Ltd. (3). Treatment was continued until disease progression or the development of intolerable adverse events.

**Evaluation of effectiveness.** Computed tomography (CT) and magnetic resonance imaging (MRI) were used to determine the therapeutic effectiveness every 6-12 weeks after the start of treatment. Antitumor responses were evaluated by the attending physicians according to modified RECIST version 1.1 (15). Complete response (CR), partial response (PR), or stable disease (SD) persisting for  $\geq 4$  months were defined as the disease control rate (DCR). The objective response rate (ORR) was defined as PR+CR. Patients were followed up every 3 weeks and treatment was continued until disease progression (PD) or intolerable adverse events.

**Immunohistochemical (IHC) analyses.** Immunostaining was performed as per the procedure in our previous report (13). Liver tumor biopsy samples fixed with 10% formalin were paraffin-embedded at room temperature for 10-48 h. Serial sections (5- $\mu$ m) were cut from paraffin blocks and stained with hematoxylin-eosin. The presence of glutamine synthetase (GS) and  $\beta$ -catenin was determined by IHC with the following primary antibodies: monoclonal mouse anti-human  $\beta$ -catenin (#610153; 1:300; BD Biosciences) or monoclonal mouse anti-human GS (#GS-6; 1:500; Millipore). A Bond Polymer System was used to develop reactions (Leica

Biosystems) related to secondary antibodies.  $\beta$ -catenin staining in the nucleus is indicative of an activating mutation in the catenin  $\beta$ -1 (CTNNB1) gene (16) and strong GS diffuse staining is indicative of the constitutive activation of WNT/ $\beta$ -catenin signaling, which is associated with  $\beta$ -catenin mutations (17). Therefore, the presence of  $\beta$ -catenin nuclear staining in  $\geq 5\%$  of tumor cells (18) or strong diffuse GS staining in  $\geq 50\%$  of tumor cells were considered to determine the activation of WNT/ $\beta$ -catenin signaling, as previously reported (19,20).

**Statistical analysis.** JMP Pro Version 11 statistical software was used for all analyses (SAS Institute Inc.). Results were shown as the median (interquartile range). Significant differences between groups were examined by Fisher's exact and Mann-Whitney U test. Kaplan-Meier analysis was performed for the statistical analyses of OS and PFS; significant differences in OS and PFS were determined by log-rank analysis. Statistical significance was determined when  $P < 0.05$ .

## Results

**Patient characteristics.** The characteristics of 24 patients enrolled in this study are shown in Table I. There were 15 patients with WNT/ $\beta$ -catenin signal activation and 9 patients with no WNT/ $\beta$ -catenin signal inactivation. One patient was Barcelona Clinic Liver Cancer (BCLC) stage A, 8 were stage B, and 12 were stage C in the WNT/ $\beta$ -catenin signal activation group. In addition, there were three patients with stage B and six with stage C in the WNT/ $\beta$ -catenin signal inactivation group ( $P=0.328$ ). Age, sex, etiology, Child-Pugh grade, ALBI score, tumor size, number of intrahepatic lesions, microvascular invasion, extrahepatic spread, serum  $\alpha$ -fetoprotein levels, and vitamin K absence or antagonist-II were similar between groups.

**Immunohistochemistry of  $\beta$ -catenin and glutamine synthetase in HCC tissues.** The expression of  $\beta$ -catenin and GS were assessed by IHC before atezolizumab plus bevacizumab therapy. Typical cases are presented in Figs. 2 and 3. Case 1 was a 76-year-old man with hepatitis C virus-related unresectable multiple HCC (Fig. 2). The immunostaining of this moderately differentiated HCC demonstrated WNT/ $\beta$ -catenin signal activation. After administration of atezolizumab plus bevacizumab, CT imaging of liver demonstrated decreased size and enhancement in the arterial phase of tumor, indicating PR. Case 2 was a 76-year-old woman with hepatitis B virus-related advanced HCC (Fig. 3). The specimen was diagnosed as moderately differentiated HCC and immunostaining revealed WNT/ $\beta$ -catenin signal inactivation. MRI imaging demonstrated decreased size and enhancement in the arterial phase, indicating PR.

**Effect of atezolizumab plus bevacizumab in the WNT/ $\beta$ -catenin signal activation group vs. the inactivation group.** The ORR (CR+PR) was 7/15 (45.7%) in the WNT/ $\beta$ -catenin signal activation group and 3/9 (33.3%) in the inactivation group ( $P=0.519$ ). The DCR (CR+PR+SD) was 10/15 (66.7%) and 5/9 (55.6%) in the WNT/ $\beta$ -catenin signal activation and inactivation groups, respectively ( $P=0.586$ ) (Table II). Therefore, WNT/ $\beta$ -catenin

Table I. Baseline characteristics of patients.

Characteristics	All	WNT/ $\beta$ -catenin signal activation	WNT/ $\beta$ -catenin signal inactivation	P-value
Number	24	15	9	
Age, years	72.0 (63.8-80.8)	72.0 (63.0-84.0)	71.0 (64.0-79.0)	0.8818
Sex, n (male/female)	20/4	13/2	7/2	0.5700
Max tumor size, cm	5.0 (3.8-8.1)	5.0 (3.5-8.3)	4.9 (1.9-7.4)	0.3508
Number of intrahepatic lesion >5, n (%)	11 (45.8)	9 (60.0)	2 (22.2)	0.0721
MVI positive, n (%)	6 (25.0)	4 (26.7)	2 (22.2)	0.8077
EHS positive, n (%)	5 (20.8)	2 (13.3)	3 (33.3)	0.2495
Child-Pugh score, n (%)				0.3941
Child-Pugh score 5A	15 (62.5)	10 (66.7)	5 (55.6)	
Child-Pugh score 6A	3 (12.5)	2 (13.3)	1 (11.1)	
Child-Pugh score >7	6 (25.0)	3 (20.0)	3 (33.3)	
Alb, g/dl	3.7 (3.1-4.0)	3.6 (3.1-4.0)	3.7 (3.2-4.0)	0.9563
T.Bil, g/dl	1.0 (0.5-1.4)	1.0 (0.6-1.2)	0.8 (0.5-1.7)	0.8127
ALBI score	-2.36 (-2.60 to -1.93)	-2.33 (-2.61 to -1.91)	-2.39 (-2.63 to -1.79)	0.9734
BCLC stage, n (%)				0.3282
A	1 (4.1)	1 (6.7)	0 (0.0)	
B	11 (45.8)	8 (53.3)	3 (33.3)	
C	12 (50.0)	6 (40.0)	6 (66.7)	
Treatment lines, n (%)				0.0767
1	19 (79.2)	12 (80.0)	7 (77.8)	
2	3 (12.5)	3(20.0)	0 (0.0)	
>3	2 (8.3)	0 (0.0)	2 (22.2)	
Tumor marker				
AFP, ng/ml	55.2 (5.1-832.7)	9.1 (4.1-922.4)	232.0 (5.2-891.2)	0.4203
PIVKA-II, mAU/ml	865.0 (67.0-7,480.0)	557.0 (88.0-6,676.0)	1,102.4 (55.5-7,903.5)	0.4766
Follow-up period, months	8.4 (6.8-11.7)	8.9 (6.8-10.7)	8.4 (6.0-11.9)	0.9870

Data are presented as the median and interquartile range, or number (%). Significant differences between groups were examined by Fisher's exact and Mann-Whitney U-test. MVI, microvascular invasion; EHS, extrahepatic spread; Alb, albumin; T.Bil, total bilirubin; ALBI score, albumin-bilirubin score; BCLC stage, Barcelona Clinic liver cancer stage; AFP,  $\alpha$ -fetoprotein; PIVKA-II, vitamin K absence or antagonist-II.

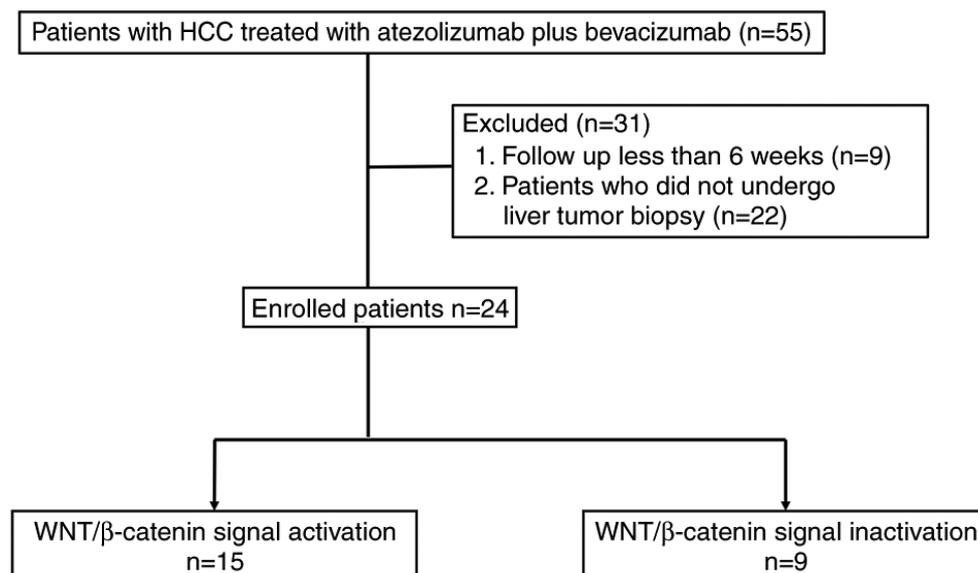


Figure 1. Recruitment flow chart. HCC, hepatocellular carcinoma.

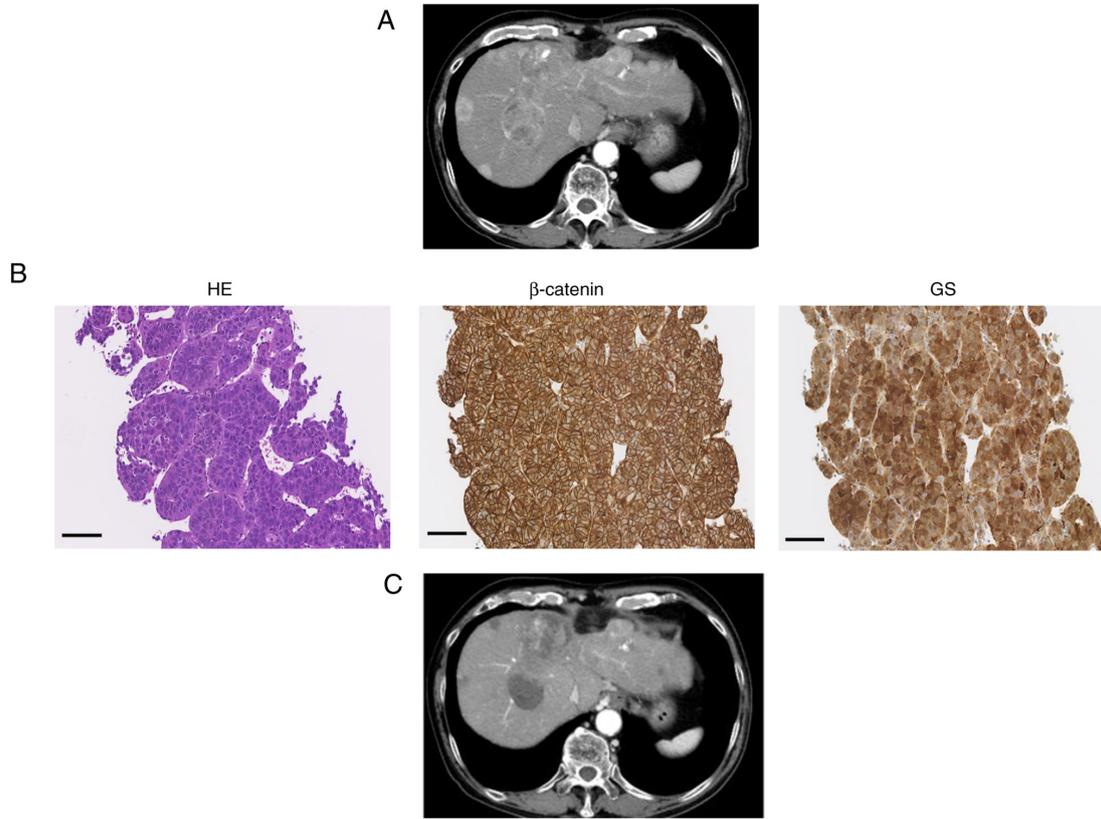


Figure 2. Typical case 1 (WNT/ $\beta$ -catenin signal activation). (A) CT image of early arterial phase before treatment. (B) HE staining of liver sections (magnification, x200; black scale bar, 100  $\mu$ m).  $\beta$ -catenin and GS staining of liver tissue was performed to evaluate WNT/ $\beta$ -catenin signal activation (magnification, x200; black scale bar, 100  $\mu$ m). (C) CT image of the early arterial phase for assessment of treatment efficacy. CT, computed tomography; GS, glutamine synthetase.

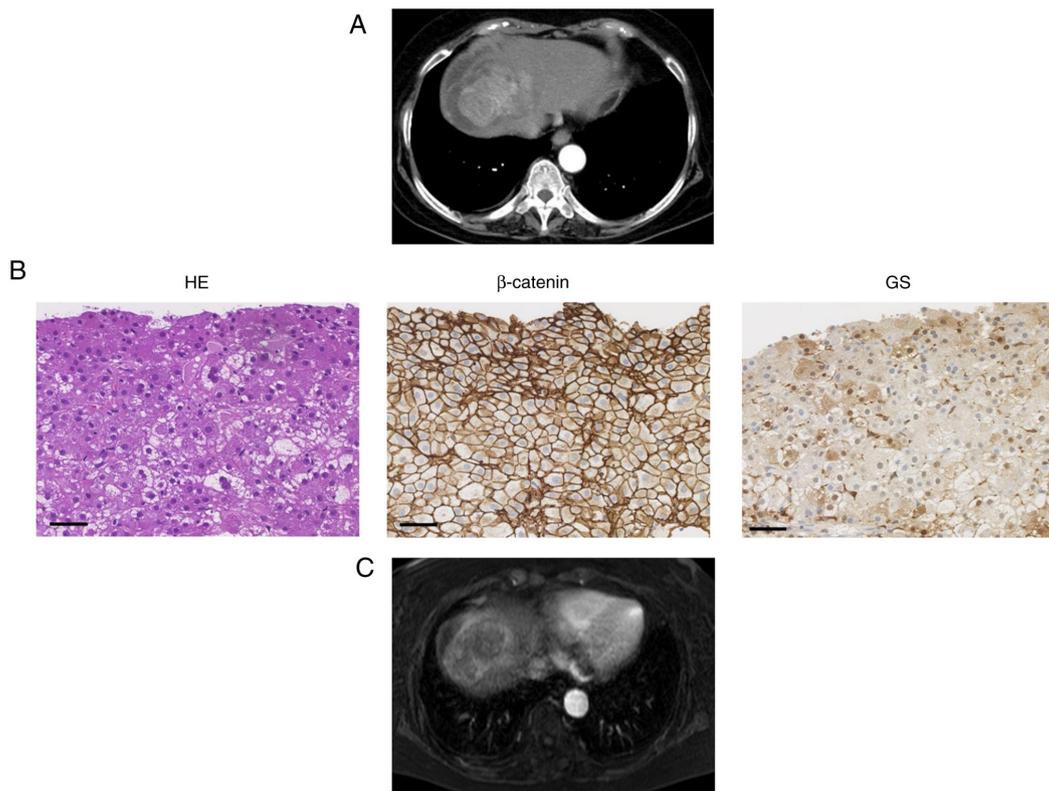


Figure 3. Typical case 2 (WNT/ $\beta$ -catenin signal inactivation). (A) CT image of early arterial phase before treatment. (B) HE staining of liver sections (magnification, x200; black scale bar, 100  $\mu$ m).  $\beta$ -catenin and GS staining of liver tissue was performed to evaluate WNT/ $\beta$ -catenin signal activation (magnification, x200; black scale bar, 100  $\mu$ m). (C) MRI in the arterial phase for assessment of treatment efficacy. CT, computed tomography; MRI, magnetic resonance imaging; GS, glutamine synthetase.

Table II. Comparison of the response to atezolizumab plus bevacizumab between the WNT/ $\beta$ -catenin signal activation and inactivation groups.

Response	All, n (%) (n=24)	WNT/ $\beta$ -catenin signal activation, n (%) (n=15)	WNT/ $\beta$ -catenin signal inactivation, n (%) (n=9)	P-value
Overall response				0.4299
CR	1 (4.2)	0 (0.0)	1 (11.1)	
PR	9 (37.5)	7 (46.7)	2 (22.2)	
SD	5 (20.8)	3 (20.0)	2 (22.2)	
PD	9 (37.5)	5 (33.3)	4 (44.5)	
ORR (CR+PR)				0.5188
CR+PR	10 (41.7)	7 (45.7)	3 (33.3)	
SD+PD	14 (58.3)	8 (54.3)	6 (66.7)	
DCR (CR+PR+SD)				0.5862
CR+PR+SD	15 (62.5)	10 (66.7)	5 (55.6)	
PD	9 (37.5)	5 (33.3)	4 (44.4)	

Significant differences between groups were examined using Fisher's exact test. The ratio of ORR and DCR was compared between the WNT/ $\beta$ -catenin signal activation and inactivation groups using Fisher's exact test. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; ORR, objective response rate; DCR, disease control rate.

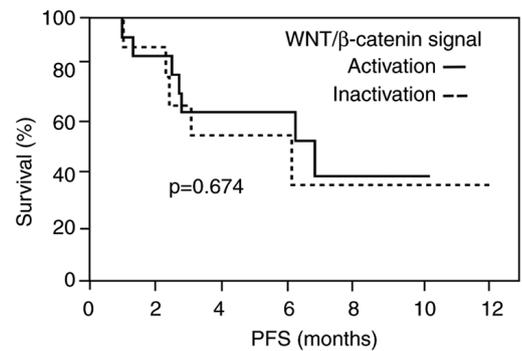
signal activation had no effect on the efficacy of atezolizumab plus bevacizumab.

**Progression-free survival (PFS).** The median OS was not reached because the follow-up duration was not long enough. The median PFS in all patients was 6.3 months. There was no significant difference in median PFS between the WNT/ $\beta$ -catenin signal activation group (6.9 months) and inactivation group (6.2 months) by Kaplan-Meier analysis (P=0.674) (Fig. 4).

**Discussion**

The WNT/ $\beta$ -catenin cascade is a major signaling pathway regulating liver carcinogenesis (21). Approximately 40% of HCC cases were reported to show constitutive activation of WNT/ $\beta$ -catenin signaling induced by relevant gene mutations (8,9). Constitutive WNT/ $\beta$ -catenin activation had negative effects on the DCR and PFS of patients receiving anti-programmed cell death 1 antibody therapy (10-12). Briefly, these studies indicated that WNT/ $\beta$ -catenin may be a biomarker for the response of patients with HCC to ICIs. ICIs are being used in a variety of cancer types and the combination of atezolizumab, an anti-programmed cell death 1 ligand 1 antibody, with bevacizumab has high response rates in patients with advanced HCC (3). However, clinical evidence demonstrating how the responses of HCC patients to atezolizumab plus bevacizumab therapy are affected by WNT/ $\beta$ -catenin activation is still lacking.

WNT/ $\beta$ -catenin activation promotes  $\beta$ -catenin accumulation in the cytoplasm as well as its nuclear translocation, and also diffuse accumulation of GS, a transcriptional target of  $\beta$ -catenin (22-24). The presence of WNT/ $\beta$ -catenin signal activation is determined by immunostaining showing the nuclear expression of  $\beta$ -catenin or cytoplasmic overexpression of GS



WNT/ $\beta$ -catenin signal

Activation	15	12	6	6	1	1
Inactivation	9	8	3	3	2	2

Figure 4. Kaplan-Meier estimates of PFS in patients with hepatocellular carcinoma in the WNT/ $\beta$ -catenin signal activation and inactivation groups. Significant differences in PFS were determined by log-rank analysis. The time zero was defined as the date of atezolizumab plus bevacizumab administration. PFS, progression-free survival.

in HCC tissues, indicating they might be useful biomarkers of WNT/ $\beta$ -catenin signal activation (22-24). The results of the present study demonstrated that the efficacy of atezolizumab plus bevacizumab in terms of treatment response and PFS was unaffected by WNT/ $\beta$ -catenin signal activation.

One of the reasons for these results is that some HCCs with WNT/ $\beta$ -catenin signal activation may consist of immune active tumors (25). Furthermore, bevacizumab may be responsible for these results. Bevacizumab, a monoclonal antibody targeting vascular endothelial growth factor (VEGF), inhibits angiogenesis and tumor growth and had some effect in patients with HCC (26-30). In addition, anti-VEGF therapies reduce VEGF-mediated immunosuppression within the tumor and its

microenvironment and may enhance atezolizumab efficacy by reversing VEGF-mediated immunosuppression and promoting T-cell infiltration in tumors (31-33).

Furthermore, Sasaki *et al* (34) reported that patients with high-intensity HCC in the EOB-MRI hepatobiliary phase that may have WNT/ $\beta$ -catenin signal activation had shorter PFS than patients with low-intensity HCC in the atezolizumab plus bevacizumab group, contrary to our findings. The intensity of the EOB-MRI hepatobiliary phase may be associated with other factors in addition to WNT/ $\beta$ -catenin signal activation.

The limitations of this study included its single-center nature, which restricted the number of HCC patients who underwent liver tumor biopsy. This study included unresectable HCC with different stages. It would have been better if the groups could have been matched by liver function and the stage of HCC, but this was difficult in a small number of cases. In addition, it was unclear whether WNT/ $\beta$ -catenin signal activation of one tumor reflected the signal activation in other tumor masses when considering the heterogeneity of HCC in multiple masses. Furthermore, the presence of WNT/ $\beta$ -catenin signal activation could only be assessed by immunostaining and not gene sequencing.

In conclusion, the efficacy of atezolizumab plus bevacizumab might be unaffected by WNT/ $\beta$ -catenin mutations, although a small number of patients was included in this study. We suggest that more cases need to determine the exact efficacy of atezolizumab plus bevacizumab for HCC with WNT/ $\beta$ -catenin signal activation. Further study for the selection of chemotherapy is desired for the improvement of advanced HCC prognosis.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

AK, MY, AM and KM designed the study. AK, KK, SN, KT and KM assisted with the data analyses. FN and YO performed pathological examination including immunostaining. AK wrote the initial draft of the manuscript. MY contributed to the analysis and interpretation of the data. MY and KM assisted in the preparation and critical review of the manuscript. AK and MY confirm the authenticity of all the raw data. All authors agreed to be accountable for all aspects of the work. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

The research and protocol were in accord with the Declaration of Helsinki principles and the ethical guidelines of the 1975 Declaration of Helsinki, respectively. This study received approval from the Iizuka Hospital Ethics Committee (no. 22008; Iizuka, Japan). The opt-out method was applied to obtain consent for the present study.

### Patient consent for publication

Written informed consents were obtained from two patients for publication of this article and accompanying images.

### Competing interests

The authors declare that they have no competing interests.

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