

Molecular analysis of Fanconi anemia and mismatch repair genes in patients with colorectal carcinoma

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Received July 27, 2010; Accepted October 5, 2010

DOI: 10.3892/or.2011.1169

Abstract. A cohort of 206 consecutively-collected patients with colorectal carcinoma (CRC) were screened for germline mutations in the principal DNA mismatch repair (MMR) genes, *MLH1* and *MSH2*, and in the Fanconi anemia (FA) genes involved in homologous recombination DNA repair. Mutation analysis was performed by denaturing high-performance liquid chromatography (DHPLC) and automated sequencing. Available paraffin-embedded tumor tissues were evaluated for gene expression by immunohistochemistry. Genes of the FA pathway were found to participate in CRC pathogenesis, being silenced during disease progression and metastasis formation. Conversely, *MLH1* and *MSH2* genes seem to be inactivated at earlier stages of the disease. Finally, very few (about 5%) cases presented a simultaneous inactivation of the MMR and FA genes. Overall, our findings indicated that: i) mismatch DNA repair remains the main mechanism to be altered at both germline and somatic levels among CRC patients; ii) functional impairments of mismatch DNA repair and FA-related repair may represent two different pathogenetic alterations which are concurring in colorectal cancer progression.

Introduction

Colorectal carcinoma (CRC) represents the second most frequently occurring cancer in both sexes among Western

countries (1). In Italy, approximately 30,000 new cases of colorectal cancer are diagnosed every year (corresponding to about 70 new cases per year per 100,000 inhabitants) (1).

Malignant transformation of the colorectal epithelium is thought to occur through sequential accumulation of genetic alterations (2). Genomic instability, which is mostly due to a defective replication fidelity, has been widely recognized as one of the main pathogenetic factors involved in colorectal tumorigenesis (3); it has been demonstrated that not only epithelial but also stromal genetic instability possibly contributes to development of colorectal carcinoma (4). Tumors with non-functional DNA mismatch repair (MMR) display a genomic instability as inferred by detection of ubiquitous somatic variation in length of microsatellite sequences (5). Genetic instability has been associated with the presence of mutations or inactivation of the two principal MMR genes, *MLH1* and *MSH2* (though the most prevalently altered is *MLH1*) (5,6). Patients with defective MMR mechanisms have more probabilities to present a familial recurrence of colorectal carcinoma, frequently underlying the occurrence of a hereditary non-polyposis colorectal carcinoma (HNPCC) syndrome (7,8). Mutations of the *MLH1/MSH2* genes have been documented at various rates in different populations, ranging from approximately 25% to more than 90% of CRC families (9,10). Conversely, inactivation of the MMR system has been documented at lower frequencies (nearly 20%) of the sporadic colorectal cancers (11); majority of them is associated with *MLH1* promoter hypermethylation (12), with 10-20% cases carrying an *MLH1/MSH2* mutation (11,13). Therefore, additional genes and pathways may contribute to induce genomic instability during colorectal tumorigenesis.

Recently, proteins encoded by genes involved into the pathogenesis of the Fanconi anemia (FA), a rare pediatric disorder of chromosome instability characterized by a high incidence of leukemias and solid tumors (14), have been demonstrated to cooperate in the recognition and repair of damaged DNA (15). To date, 13 genes have been demonstrated to participate to pathogenesis of Fanconi's anemia, and 1 of them is identical to the well-known breast cancer-susceptibility gene, *BRCA2* (*Fanc-D1*) (15). Studies dissecting the molecular interactions of FA gene products have indicated

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Abbreviations: CRC, colorectal carcinoma; DHPLC, denaturing high-performance liquid chromatography; FA, Fanconi anemia; MMR, mismatch DNA repair; PCR, polymerase chain reaction

Key words: colorectal tumorigenesis, DNA repair, immunohistochemistry, mutation analysis

that five of them (*Fanc-A*, *Fanc-C*, *Fanc-E*, *Fanc-F*, and *Fanc-G*) form a nuclear multimeric complex which is required for the activation of the downstream events in DNA repair (15,16). Nevertheless, the functional classification of *BRCA2* as a FA gene as well as the discovery that *Fanc-D2* and *BRCA1* proteins indeed interact (17-19) strongly support the hypothesis that the FA pathway is involved in cancer susceptibility through regulation of the homologous recombination DNA repair mechanisms. Moreover, these findings suggest that impairment of the FA pathway may have an impact on sensitivity of tumors to conventional chemotherapy with DNA cross-linking agents (alkylating anti-cancer drugs, such as cisplatin or platinum analogues) (20) or to inhibitors of DNA repair (21,22).

The purpose of this study was to evaluate whether the FA genes forming the above-mentioned nuclear multimeric complex (*Fanc-A*, *Fanc-C*, *Fanc-E*, *Fanc-F*, and *Fanc-G*) might be altered in advanced colorectal cancer, which is now routinely treated with oxaliplatin-based combination chemotherapy (oxaliplatin is a relatively new platinum analogue) (23). Furthermore, we here assessed the occurrence of any relationship between alterations in FA and *MLH1/MSH2* genes during colorectal tumorigenesis and progression.

Materials and methods

Patients and controls. Patients with histologically-proven diagnosis of colorectal carcinoma (CRC) were recruited from clinics at the University of Sassari and National Cancer Institute of Naples. To avoid any bias, CRC patients were consecutively collected from March 2006 to June 2008; they were included regardless of age at diagnosis and disease features. Family history for cancer was evaluated through specific questionnaires during the follow-up visits at the different participating institutions. Patients were classified as familial cases when at least three family members (including the proband) among first- and second-degree relatives were affected by colorectal carcinoma; remaining patients who did not match these criteria were classified as sporadic cases. All information were verified through careful analysis of the hospital records; cancer diagnosis of affected family members was confirmed on pathology reports.

A written informed consent was obtained for blood and tissue sampling before molecular analyses. The study was reviewed and approved by the ethics review board at the University of Sassari.

Mutation screening. For mutation analysis, genomic DNA was isolated from peripheral blood specimens, using standard methods; genes were then screened for mutations using different approaches. All five FA genes (*Fanc-A*, *Fanc-C*, *Fanc-E*, *Fanc-F*, and *Fanc-G*) and the two MMR genes (*MLH1* and *MSH2*) were analyzed by denaturing high-performance liquid chromatography (DHPLC) and automated sequencing analysis, according to protocols previously described by our group (13). For *Fanc-A* gene (including 43 exons), a Multiplex Ligation-dependent Probe Amplification (MLPA) analysis, a highly innovative method that allows a simultaneous identification of large or small deletions and

insertions in the coding regions through a multiplex PCR reaction with ligation of the different amplified exons, was also performed. In particular, an MLPA kit specific for *Fanc-A* gene was used (MRC-Holland, Amsterdam, The Netherlands); the amplification products corresponding to the ligated exons were separated by capillary electrophoresis and peak profiles were visualized using the Genescan software (Applied Biosystems, Foster City, CA). Deletions or insertions in exons are evidenced by a reduction or increase (respectively) of the area under the peak of the sample in comparison to that of the control DNA. Primers sequences corresponding to the 43 exons of *Fanc-A*, 14 exons of *Fanc-C*, 10 exons of *Fanc-E*, one exon of *Fanc-F*, and 14 exons of *Fanc-G* were as reported into the Human Genome DataBase (at: <http://www.ncbi.nlm.nih.gov/genome/guide/human>). Primer sequences corresponding to the 19 exons of *MLH1* and the 16 exons of *MSH2* were as previously reported (24,25). All primers and PCR protocols will be also available upon request.

To evaluate the prevalence of each gene variant in a control population, 203 unrelated healthy individuals (corresponding to 406 control chromosomes), originating from the same geographical areas and with no family history for cancer, were used as controls and screened for each sequence variant identified.

Immunohistochemical analysis. Immunohistochemistry (IHC) was performed on 2- μ m sections of formalin-fixed paraffin-embedded tissues, using standard procedures. Monoclonal antibodies against the candidate proteins were from Santa Cruz Biotechnology Inc. (Santa Cruz, CA, USA). Staining was evaluated semiquantitatively, using normal epithelial cells as internal controls. Intensity and distribution of immunostaining was used to classify tumor samples as positive [strong (+++) to moderate (++) staining, homogeneously distributed or presented by large majority of tumor cells] or negative [absent (-) or weak staining (+)]. The IHC scoring was performed by at least two investigators (in very few borderline cases, classification of immunostaining required additional investigators and was based on the consistency of the majority of them).

Results

Mutation analysis. A consecutive cohort of 206 patients with diagnosis of colorectal carcinoma was enrolled from March 2006 to June 2008. The series of CRC patients approximately presented the same proportion of males and females [107 (52%) and 99 (48%), respectively], with a median age of 52 years (range 33-89). According to the Dukes' stage classification, almost half of the patients had localized disease [Dukes' stages A (25; 12%) and B (81; 39%); overall, 106 (51%)], with the remaining ones presenting an advanced disease at the time of diagnosis [Dukes' stages C (70; 34%) and D (30; 15%); overall, 100 (49%)]. Most patients (172/206; 83%) presented a localization of the primary tumour in the distal portions of the large bowels (including sigma and/or rectum). Nineteen (9.2%) patients had a family history of CRC (at least three affected members among first- and second-degree relatives).

Table I. Germline mutations in candidate genes.

Positive sporadic cases (%)	Positive familial cases (%)	Gene	Exon	Nucleotide	Codon	Base change	Amino acid change	Mutation effect	Mutation designation
1 (0.5)	0	Fanc-C	7	800	267	A→G	Asn to Ser	Missense	N267S
1 (0.5)	0	Fanc-C	13	1348	450	G→A	Val to Ser	Missense	V450S
1 (0.5)	0	Fanc-E	1	216	11	G→A	Ala to Thr	Missense	A11T
2 (1.1)	0	Fanc-G	12	1538	513	A→G	Gln to Arg	Missense	Q513R
0	1 (5.3)	MLH1	8	655	219	A→G	Ile to Val	Missense	I219V
0	1 (5.3)	MLH1	16	1851	618	AA→CG	Lys to Arg	Missense	K618R
0	3 (15.8)	MLH1	19	2245	749	T→C	Leu to Pro	Missense	L749P

Description and frequency of identified mutations among 19 familial and 187 sporadic CRC cases are reported.

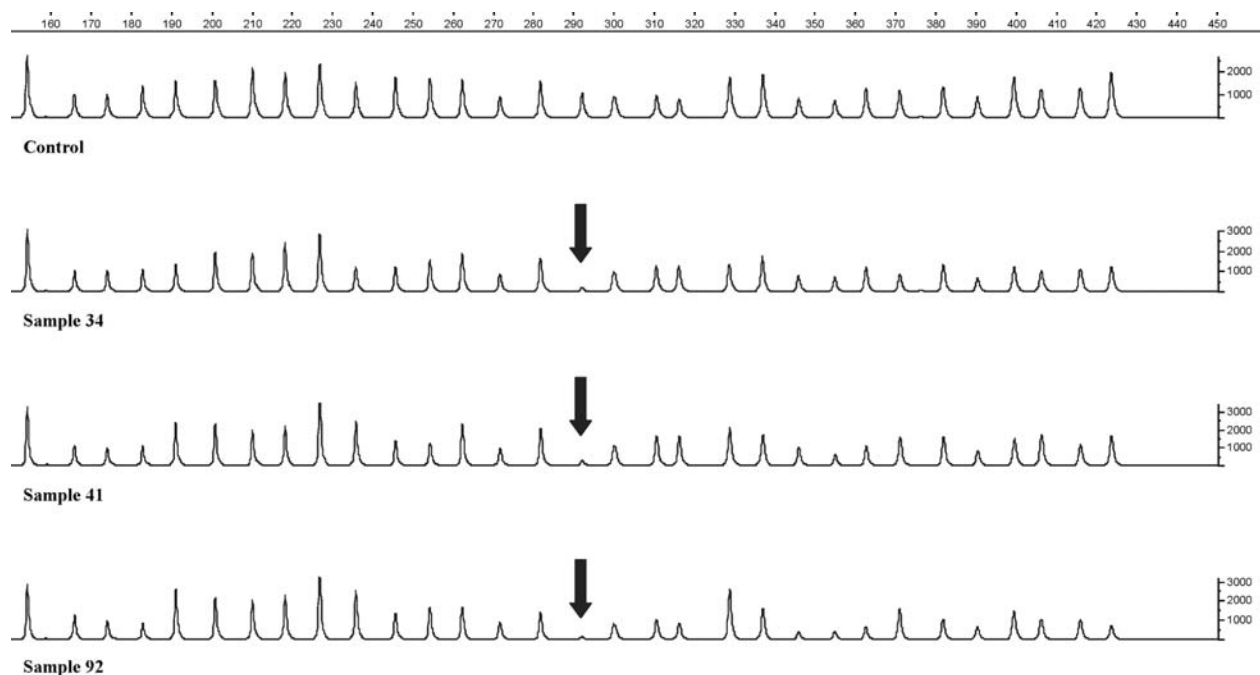


Figure 1. MLPA analysis of *Fanc-A* gene. Electropherograms are reported for three positive genomic samples from CRC patients of our series, as compared to the normal control from healthy individual. Arrows indicate the reduction of the area under the peak corresponding to the *Fanc-A* exon 26.

All CRC patients were firstly evaluated for the occurrence of germline mutations in *MLH1/MSH2* genes by DHPLC analysis and automated sequencing. Overall, 5 (2.4%) cases presented three different germline variations within the coding sequence of the *MLH1* gene: Leu749Pro (3 cases), Lys618Arg, Ile219Val (Table I). All five patients carrying an *MLH1* mutation presented a familial recurrence of the disease [in other words, 5/19 (26%) familial cases presented a germline mutation in *MLH1* gene; no *MLH1/MSH2* germline variant was observed in sporadic CRC cases].

All sequence variants were missense; they were absent in normal genomic DNA from 203 unrelated healthy individuals as controls. While MLH1-I219V and MLH1-K618R should be classified as variants with an unknown functional significance due to the lack of consistent data about the effects on gene products (though MLH1 I219V seems not to directly contribute to the impairment of mismatch repair) (26), the

MLH1-L749P has been indicated as a pathogenic variant by *in silico* assays (27). In our series, all three CRC cases carrying the MLH1-L749P variant presented a negative staining for the MLH1 protein in primary tumors; a normal MLH1 expression was instead detected for cases carrying the MLH1-I219V and MLH1-K618R variants (see below). Since the patients with the MLH1-L749P variant were not related and such a sequence variation has not been described to be due to the existence of a mutational hot-spot at this genomic level, the recurrence of MLH1-L749P in our population seems to be remarkably coincidental.

Germline mutations in FA genes have been searched using different approaches as described in Materials and methods. An MLPA analysis was carried out for screening gross rearrangements in *Fanc-A* gene. As shown in Fig. 1, the only alteration of the MLPA profile observed in our series was a reduction of the electrophoretic peak corresponding

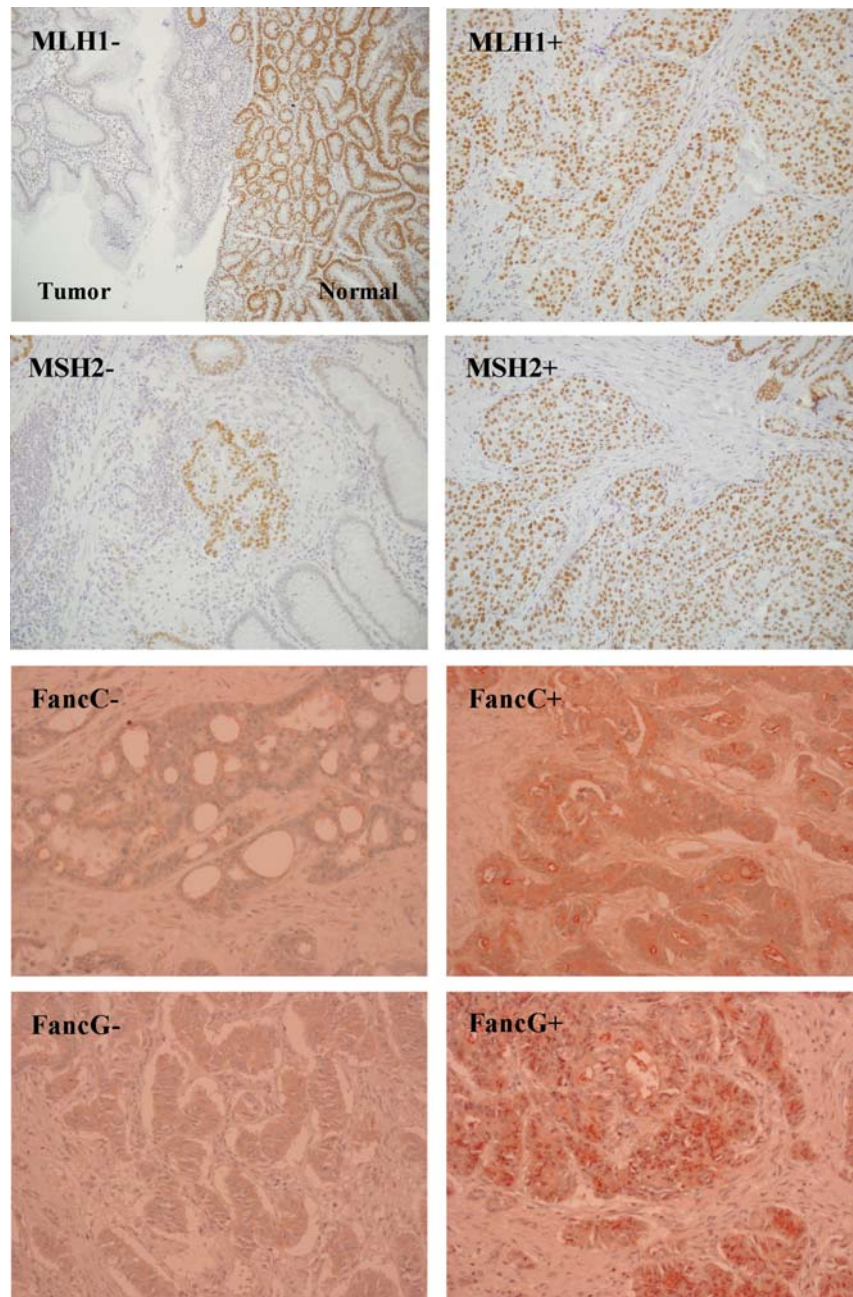


Figure 2. Immunohistochemical staining of candidate proteins. Top, MLH1 and MSH2 expression in primary tumors. Bottom, Fanc-C and Fanc-G expression in hepatic CRC metastases. Images are shown at a magnification of $\times 20$.

to the exon 26 of *Fanc-A* gene. Such a rearrangement could be classified as a heterozygous deletion since the reduction of the area under the peak was not complete in all mutated samples (such an alteration has not been previously reported). This *Fanc-A* alteration was observed in 5 (2.4%) out of 206 sporadic CRC patients. This latter gene as well as the remaining four FA genes (*Fanc-C*, *Fanc-E*, *Fanc-F*, and *Fanc-G*) were screened for germline mutations in all coding regions and splice boundaries of each gene by DHPLC analysis. PCR products with abnormal denaturing profiles in comparison to the normal controls were sequenced using an automated approach. Two *Fanc-C* (Asn267Ser and Val450Ser), two *Fanc-G* (Gln513Arg, 2 cases), and one *Fanc-E* (Ala11Thr) germline variants were detected in 5/206 (2.4%) analysed cases from our series (Table I). All sequence

variants were missense mutations and should be classified as genetic alterations with an unknown functional significance due to the lack of any information about the effect on gene proteins. Again, no sequence variation was observed in any of the five FA genes among 203 unrelated healthy individuals (corresponding to 406 control chromosomes).

Expression analysis. We have evaluated the somatic expression of all candidate genes by immunohistochemistry (IHC) on available subsets of tissue samples from primary tumors and liver metastases of CRC patients from our series. Representative examples of IHC staining for MLH1, MSH2 and FA proteins are shown in Fig. 2. Among the 108 primary colorectal carcinomas analyzed in our series, 17 were from familial CRC cases and 91 from sporadic patients. A negative

Table II. Tissue expression of candidate genes.

Protein	Analyzed primary tumors	Familial cases (%) (n=17)	Sporadic cases (%) (n=91)	Negative staining cases	Analyzed metastatic lesions	Familial cases (%) (n=4)	Sporadic cases (%) (n=35)	Negative staining cases
Fanc-C	108	0	0	0	39	1 (25)	9 (26)	10 (26)
Fanc-G	106	0	0	0	39	1 (25)	8 (23)	9 (23)
MLH1	107	9 (53)	16 (18)	25 (23)	39	2 (50)	7 (20)	9 (23)
MSH2	108	2 (12)	12 (13)	14 (13)	39	1 (25)	5 (14)	6 (15)

Negative staining results from immunohistochemical analysis on primary and metastatic CRC tissues.

immunostaining for the MLH1 and MSH2 proteins was observed in 25/107 (23%) and 14/108 (13%) analyzed patients, respectively (Table II). Among the familial CRC patients, 9/17 (53%) cases presented loss of the MLH1 protein signal (including the three cases with the MLH1-L749P variant) and 2/17 (12%) cases presented a negative MSH2 protein staining [overall, about two thirds (11/17; 65%) of familial CRC patients presented a somatic MMR gene silencing]. On the other hand, lack of MLH1 and MSH2 expression was observed in 16/90 (18%) and 12/91 (13%) sporadic CRC cases, respectively [overall, 24/91 (26%) of these patients showed somatic inactivation of at least one of these two MMR genes, in four cases, a negative staining for both MLH1 and MSH2 proteins was found]. No alteration of the FA gene protein expression was observed in all analyzed primary tumors (Table II).

In a series of 39 available liver CRC metastases, absence of expression was observed in 10 (26%) samples, for Fanc-C protein, and in 9 (23%) samples, for Fanc-G protein (Table II); no additional FA gene expression was found altered in CRC metastases from the same series. Conversely, a negative MMR staining was observed in metastatic lesions at quite similar rates to those observed in primary tumors: 9/39 (23%), for MLH1, and 6/39 (15%), for MSH2 (Table II). A simultaneous lack of expression for MLH1/MSH2 and Fanc-C/-G proteins was observed in 2/39 (5%) cases only.

Discussion

In this study, we performed a mutation analysis of the five main genes (*Fanc-A*, *Fanc-C*, *Fanc-E*, *Fanc-F*, and *Fanc-G*) belonging to the Fanconi anemia pathway, which is involved in DNA repair through homologous recombination as well as of the two main genes (*MLH1* and *MSH2*) involved in DNA mismatch repair among CRC patients, in order to evaluate the role and relationship of such two pathways during colorectal tumorigenesis.

Absence of any disease-causing mutation in FA genes was observed in our series (with the exception of few sequence variations of unknown functional significance in *Fanc-C*, *Fanc-E*, and *Fanc-G*; Table I). Mutation analysis in *MLH1* and *MSH2* genes revealed an *MLH1* mutation in patients with familial recurrence of colorectal cancer (presence of at

least three affected family members) only. Considering the *MLH1*-L749P as the only pathogenic variant in our series, the prevalence of *MLH1* mutation in CRC families from our series was very low (3/19; 16%); frequency of mutations in *MLH1* and *MSH2* genes has been documented at higher rates in different populations, ranging from approximately 25% (9,28) to more than 80% (29,30).

When a subset of primary and secondary tumor tissues from different CRC patients were investigated for gene expression by immunohistochemical analysis, a reduced level of Fanc-C and Fanc-G proteins was found in about one fourth of the analyzed liver metastases whereas a normal expression of all FA proteins was observed in the analyzed primary tumors. On the other hand, low staining signals for MLH1 and MSH2 proteins were found at a quite identical prevalence between primary and secondary CRC lesions; altogether, about one third (35/108; 32%) of analyzed cases presented low expression of such gene products (Table II). Based on these results, one could speculate that: i) *Fanc-C* and *Fanc-G* genes may be silenced during disease progression and metastasis formation; and ii) inactivation of *MLH1* and *MSH2* genes may occur before tumor dissemination and maintained at the same level during tumor progression.

Although the number of cases should be increased, our findings seem to indicate that functional alterations in mismatch repair and FA pathways may represent two different pathogenetic mechanisms which are concurring to colorectal cancer progression. While deficit in mismatch DNA repair may induce replication errors and genetic instability with accumulation of further mutations in target cancer genes (3), alterations of the FA pathway may affect the molecular machinery that monitors the proper progression of the cell cycle (31,32). In this regard, the FA pathway may have a specific role in repairing DNA crosslinking damage through coordination of at least three DNA repair pathways: homologous recombination, non-homologous endjoining, and translesion DNA synthesis (32). Consequently, inactivation of any of the FA genes may lead to chromosome instability and karyotypic anomalies (31). Indeed, in colorectal cancer, an inverse relationship between genetic instability and karyotypic alterations has been described (cancers showing genome-wide microsatellite instability are, in general, diploid and exhibit normal rates of gross chromosomal changes, whereas stable tumors are usually

aneuploid and exhibit increased rates of chromosomal changes) (6,7).

Further experiments on larger collections of different types of primary and secondary tumor tissues are needed to further assess whether functional alterations of the FA genes may cooperate with known molecular events in colorectal tumorigenesis.

Acknowledgements

Authors are grateful to patients for their important contribution to this study. The study was supported by Italian Ministry of Health 'Progetto Ricerca Finalizzata', Amgen Inc., and Sardinia Regional Government (Regione Autonoma della Sardegna).

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