# Analysis of the amount of tissue sample necessary for mitotic count and Ki-67 index in gastrointestinal stromal tumor sampling

HIDEKI KOBARA<sup>1</sup>, HIROHITO MORI<sup>1</sup>, KAZI RAFIQ<sup>2</sup>, SHINTARO FUJIHARA<sup>1</sup>, NORIKO NISHIYAMA<sup>1</sup>, TAIGA CHIYO<sup>1</sup>, TAE MATSUNAGA<sup>1</sup>, MAKI AYAKI<sup>1</sup>, TATSUO YACHIDA<sup>1</sup>, KIYOHITO KATO<sup>1</sup>, HIDEKI KAMADA<sup>1</sup>, KOJI FUJITA<sup>1</sup>, ASAHIRO MORISHITA<sup>1</sup>, MAKOTO ORYU<sup>1</sup>, KUNIHIKO TSUTSUI<sup>1</sup>, HISAKAZU IWAMA<sup>4</sup>, YOSHIO KUSHIDA<sup>3</sup>, REIJI HABA<sup>3</sup> and TSUTOMU MASAKI<sup>1</sup>

Departments of <sup>1</sup>Gastroenterology and Neurology, <sup>2</sup>Pharmacology, <sup>3</sup>Diagnostic Pathology, and <sup>4</sup>Life Science Research Center, Faculty of Medicine, Kagawa University, Miki, Kita, Kagawa 761-0793, Japan

Received September 23, 2014; Accepted November 3, 2014

DOI: 10.3892/or.2014.3608

Abstract. There are no established opinions concerning whether the amount of tissue affects the accuracy of histological analyses in gastrointestinal stromal tumors (GISTs). The aim of the present study was to investigate the appropriate amount of tissue sample needed for mitotic count based on the risk classification of GISTs and the Ki-67 index using the following three methods: endoscopic ultrasound-guided fine-needle aspiration (FNA), a novel sampling method called tunneling bloc biopsy (TBB), and biopsy forceps followed by TBB (Bf). Forty-three samples (12 FNA, 17 TBB and 14 Bf) diagnosed as GISTs by immunohistological analysis were utilized. The major and minor axes and overlay area of one piece of specimen (OPS) from the three sampling methods were measured using digital imaging software and were analyzed comparatively regarding the acquisition of histological data. The mean major and minor axes (mm) and overlay areas  $(mm^2)$  were in the order of TBB > Bf > FNA. The evaluable rates by mitotic count and Ki-67 were, respectively, 75% (9/12) and 83.3% (10/12) for FNA samples, 100% (17/17) and 100% (17/17) for TBB samples, and 100% (14/14) and 100% (14/14) for Bf samples (P>0.05). Three FNA samples were judged unevaluable due to too small specimens in overall diagnosis including mitotic count and Ki-67, calculating the cut-off value for the overlay area of OPS as 0.17 mm<sup>2</sup>. Comparing the concordance rates between the pre- and post-operative samples, TBB samples was significantly better than FNA (P<0.05). Conclusively, while the amounts of tissues obtained by TBB and Bf are unnecessary for the histological assessment of mitotic count and Ki-67 index, developments of the FNA

method are needed to minimize sample error. Considering the technical aspects, as well as the size of the specimens, could help to guide therapeutic planning and improve diagnostic yield for GI subepithelial tumors.

### Introduction

Gastrointestinal subepithelial tumors (SETs) include mesenchymal tumors, such as gastrointestinal stromal tumors (GISTs), myogenic and neurogenic tumors, which collectively account for 54% of all SETs, followed in frequency by heterotopic pancreases, cysts, lipomas, carcinoid tumors, lymphangiomas and hemangiomas (1). GISTs are the most common type of SET, and they exhibit malignant potential, thus requiring a multidisciplinary approach to optimize their management (2). Minimally invasive local resection techniques, such as endoscopic full-thickness resection (EFTR), have been developed for the treatment of intramural GISTs (3).

However, it is important to diagnose SETs preoperatively, since doing so can help to avoid unnecessary resection and enable optimal surgical resection, thereby reducing the number of unresectable or metastatic GIST cases. According to major guidelines such as the National Comprehensive Cancer Network (NCCN), the European Society for Medical Oncology (ESMO), the definitive final diagnosis of SETs should be based on immunohistochemistry (4-8). Immunohistochemical staining of various cellular proteins can be performed on tissue samples to provide diagnostic information. The most important markers used to evaluate hypoechoic intramural masses are CD-117 (c-kit), CD-34, smooth muscle actin and S-100 (9,10). c-kit is a transmembrane receptor with tyrosine kinase activity that is highly sensitive and specific for GISTs. CD-34 is also expressed in ~80% of GISTs. Positive staining for smooth muscle actin suggests the presence of a leiomyoma, and the presence of S-100 suggests a neural origin or a schwannoma. A preliminary study suggested that Ki-67 index (a marker of cell proliferation) immunohistochemical staining improves the ability to diagnose malignant GISTs (11). Moreover, the clinical behavior of GISTs is quite variable and can be difficult to predict on the basis of available clinical and histologic features. Nonetheless, a consensus conference proposed a

*Correspondence to:* Dr Hideki Kobara, Department of Gastroenterology and Neurology, Faculty of Medicine, Kagawa University, 1750-1 Ikenobe, Miki, Kita, Kagawa 761-0793, Japan E-mail: kobara@med.kagawa-u.ac.jp

Key words: subepithelial tumor, histological analysis, tissue sampling method

strategy for predicting the malignant behavior of GISTs based on the size (<2, 2-5, >5-10, or >10 cm) and mitotic count on histology [<5, 6-10, or >10/50 high-power fields (HPFs)], with the understanding that no GIST can be defined as benign on the basis of the currently available diagnostic testing (9).

Recently, several tissue sampling methods have been proposed for the diagnosis of SETs, with endoscopic ultrasound (EUS)-guided fine-needle aspiration (FNA) emerging as a standard method. However, the diagnostic yield of EUS-FNA, including spindle cell neoplasms ('suspicious'), has generally been suboptimal (66-83.9%) and has partially depended on the location, size and characteristics of the target tissues, as well as certain technical and procedural factors (12-14). In particular, the immunohistological (IH) analysis needed for a definitive final diagnosis has revealed the low diagnostic rate of EUS-FNA (34-61.6%) (13,14). We previously developed a bloc biopsy method involving submucosal endoscopy with a mucosal flap (SEMF) (15), called tunneling bloc biopsy (TBB), to obtain core biopsy specimens under direct vision from growing endoluminal SETs (16). To date, no studies have investigated whether the amount of tissue sample can affect the accuracy of histological diagnostic methods, including IH analysis, mitotic count and histological Ki-67 staining.

The aim of the present study was to investigate the amount of GIST tissue needed for histological data: mitotic count and Ki-67 index by analyzing samples acquired using FNA and applying our TBB method.

### Materials and methods

*Materials*. Between November 2008 and May 2014, 43 samples acquired by the following three tissue sampling methods: FNA, TBB and the use of biopsy forceps followed by TBB (Bf), which were diagnosed definitely as GISTs by IH staining were utilized. The 43 samples consisted of 12 FNA, 17 TBB and 14 Bf samples (Fig. 1). The present study was designed as a retrospective study and was conducted at a single academic medical center, Kagawa University Hospital, Japan. The present study was approved by the Clinical Ethics Committee of Kagawa University Hospital. The clinical application of TBB and Bf sampling methods was previously approved by the above ethics committee on November, 2011. All of the patients provided written informed consent to undergo the tissue sampling methods.

Analysis methods. The length of the major axis, the length of the minor axis, and the overlay area of each one piece of specimen (OPS) from the three tissue sampling methods were measured using digital imaging software (cellSens Standard; Olympus, Tokyo, Japan) on hematoxylin and eosin (H&E)-stained or IH tissue sections. The cellSens Standard software introduces interactive measurement capabilities for distances and polygons. The overlay area of each OPS was calculated by polygon measurement. Additionally, associations between sampling methods and histological data were analyzed comparatively.

*Evaluation items*. First, we calculated the mean of each parameter (major and minor axes, overlay area) of each OPS acquired using three sampling methods (FNA, TBB and Bf).

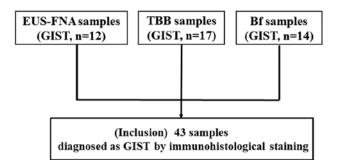


Figure 1. Flow chart of the 43 GIST samples acquired by tissue sampling methods. FNA, EUS-guided fine-needle aspiration; TBB, tunneling bloc biopsy; Bf, use of biopsy forceps followed by TBB; GIST; gastrointestinal stromal tumor.

The evaluable rates of histological analysis involving mitotic count/50 HPF and Ki-67 (M1B labeling) among the three sampling methods were investigated.

We defined OPS as a 'Successful Sample' when the sample was suitable for histological analysis of mitotic count and Ki-67 index. Comparing 'Successful Samples' with 'Unsuccessful Samples', we calculated the mean differences between their major and minor axis lengths and overlay areas, and thereby calculated the cut-off values of overlay areas that distinguished the two classes of samples.

Second, in the resected GIST cases (n=16), we calculated the concordance rate regarding mitotic count/50 HPF, Ki-67 index between the diagnosis of each sampling method and post-surgical examination of the resected tumors.

Evaluation of histological findings. The degree of mitotic index, indicating the average number of mitotic cells in 50 HPFs (x40 objective and x10 ocular lens), was estimated by the visual impressions of two expert pathologists (Y.K. and R.H.) without counting the actual numbers of tumor cells. The cell blocks documented an equivalent morphology, which was characterized by monotonous sheets and groups of spindle-shaped cells with oval nuclei and well-defined cellular borders. Immunohistochemical procedures were performed on the 3- $\mu$ m serial sections, utilizing the following commercially obtained antisera: CD117 [w.d. (working dilution), 1:50], smooth muscle actin (SMA; w.d., 1:50), vimentin (w.d., 1:200), S-100 (w.d., 1:400), Ki-67 (MIB-1; w.d., 1:50), desmin (w.d., ready to use) (all obtained from DakoCytomation, Copenhagen, Denmark) and CD34 (w.d., ready to use) (Leica Biosystems, Newcastle, UK). The growth fraction, determined by Ki-67 abundance as the MIB-1 labeling-index, was low, showing <10% positively labeled nuclei.

#### Techniques of tissue sampling methods

Endoscopic ultrasound-guided fine-needle aspiration. With patients in the left lateral decubitus position under conscious sedation, EUS-FNA was performed using a conventional convex scanner echo endoscope (UCT-240-AL5; Olympus) connected to an ultrasound scanner (ProSound SSD- $\alpha$ 10; Aloka, Tokyo, Japan). Tissue samples were obtained with disposable 19-, 22- or 25-gauge aspiration needles (Expect<sup>TM</sup> standard type; Boston Scientific, Tokyo, Japan) (FNA

TBB

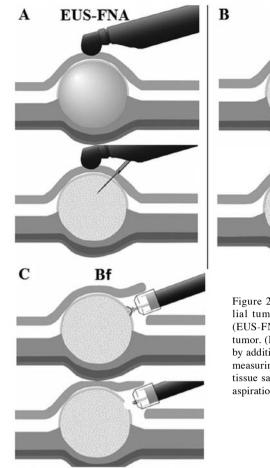


Figure 2. The schema of the tissue sampling methods for GI subepithelial tumors. (A) Endoscopic ultrasound-guided fine-needle aspiration (EUS-FNA) method: a specimen is obtained by needle puncture into the tumor. (B) Tunneling bloc biopsy (TBB) method: a short tunnel is created by additional submucosal dissection to approach the tumor. Bloc specimen, measuring  $\sim 5 x 5 x 2$  mm, is obtained using a needle knife. (C) Bf method: tissue sampling using biopsy forceps followed by TBB. FNA, fine-needle aspiration.

sample) (Fig. 2A). Color flow and Doppler sonography was performed to exclude intervening vascular structures and to select a vessel-free needle track to avoid vessel puncture. EUS-FNA was performed as previously described (17). Briefly, after advancing the needle into the lesion under EUS visualization, the central stylet was removed, a 10-ml syringe with extension tubing was attached to the hub of the needle, and suction was applied as the needle was moved backward and forward within the lesion. During each puncture session, the needle was moved in various directions >10 times within the lesion, before being retracted into the catheter and the entire catheter being removed. Saline containing the aspirated material was transferred to a Petri dish and was examined macroscopically by an on-site cytopathologist to determine whether the tissue sample was cytologically adequate; if deemed inadequate after two punctures, an additional puncture was performed with a larger needle. All of the EUS-FNA procedures were performed by an endosonographer and an experienced endoscopist (H. Kamada), who has successfully performed more than 200 EUS-FNA procedures.

*Tunneling bloc biopsy.* Patients were administered intravenous midazolam (0.05 mg/kg) and pethidine (50 mg) prior to TBB, which consisted of five major procedures (16). Briefly, in the first step, after placing several dots around the tumor at a margin of  $\sim$ 5 mm, with one dot at the top of the tumor, a small incision was made to create a 10-mm opening flap, followed by submucosal injection of 0.4% hyaluronate sodium solution

(MucoUp; Johnson & Johnson K.K., Tokyo, Japan) with a needle knife (KD-441Q; Olympus). In the second step, SEMF (15), a short 10-mm tunnel through the opening flap was created by additional submucosal dissection to approach the tumor. In the third step, bloc biopsy, the tumor was visually identified and exposed, and a bloc specimen measuring  $\sim 5 \times 5 \times 2$  (major axis x minor axis x depth, mm) (TBB sample) (Fig. 2B) was obtained using the needle knife on the electrosurgical unit (VIO300D; ERBE Elektromedizin, Tübingen, Germany) in EndoCut mode (effect 2, duration 3) while minimizing tissue crushing. Separation of the bloc specimen from the tumor required a 2-mm-deep spindle-shaped incision. In this step, a bloc specimen was simultaneously acquired using biopsy forceps (Radial Jaw<sup>™</sup> 4 Standard Capacity; Boston Scientific) (Bf sample) (Fig. 2C). In the fourth step, tissue collection, the specimen was detached from the tumor with grasping forceps (FG-6U-1) or hemostatic forceps (FD-410 LR) (both from Olympus) and was collected into the transparent cap that was longer at the tip (Elastic Touch F-030; TOP Corporation, Tokyo, Japan). All of the procedures were performed by an experienced endoscopist (H. Kobara), who has successfully performed more than 200 gastric endoscopic submucosal dissection (ESD) cases. Bleeding was controlled in all of the procedures using hemostatic forceps (FD-410 LR).

*Statistical analysis.* Summary statistics (mean, range) were calculated for each tissue sampling method. The results were compared using Fisher's exact test, as appropriate. The cut-off

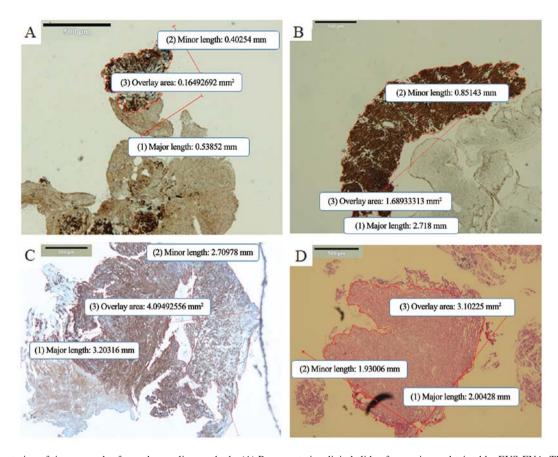


Figure 3. Presentation of tissue samples for each sampling methods. (A) Representative digital slide of a specimen obtained by EUS-FNA. The mean major length, the mean minor length (mm), and overlay area (mm<sup>2</sup>) of one piece of specimen, measured using digital imaging software (cellSens Standard) were 0.54, 0.4 and 0.165, respectively (c-kit staining; magnification, x4). This sample was judged as inadequate for histological analysis of mitotic count and Ki-67. (B) Representative digital slide of a sufficient specimen obtained by EUS-FNA. The mean major length, the mean minor length (mm) and overlay area (mm<sup>2</sup>) of one bloc specimen were 2.72, 0.85 and 1.689, respectively (c-kit staining; magnification, x4). (C) Representative digital slide of a sufficient specimen obtained by tunneling bloc biopsy. The mean major length, the mean minor length (mm) and overlay area (mm<sup>2</sup>) of one bloc specimen were 3.20, 2.71 and 4.094, respectively (c-kit staining; magnification, x4). (D) Representative digital slide of a sufficient specimen obtained by tunneling the mean minor length (mm) and overlay area (mm<sup>2</sup>) of one bloc specimen were 2.00, 1.93 and 3.102, respectively (hematoxylin and eosin staining; magnification, x4). FNA, fine-needle aspiration.

values between 'Successful Samples' and 'Unsuccessful Samples' were chosen using the likelihood ratio test by logistic regression analysis, calculating the balanced error rate (BER), odds ratio (OR), receiver operating characteristic (ROC) curve, and area under the ROC curve (AUC). All of the data analyses were performed using STATA software, version 7.0 (StataCorp, College Station, TX, USA), and a P-value <0.05 was considered to indicate a statistically significant result.

# Results

Assessment of mean parameters. The parameters of the 43 specimens adequate for IH staining, obtained with the FNA needles, TBB and Bf, were calculated as follows. The mean major axis lengths were 1.598 mm (range, 0.16-3.8), 3.765 mm (range, 1.7-6.4) and 1.829 mm (range, 1.1-2.6), respectively. The mean minor axis lengths were 0.486 mm (range, 0.1-0.8), 2.382 mm (range, 1.4-4.2) and 1.214 mm (range, 0.6-2.1), respectively. The mean overlay areas by polygon measurement were 0.907 mm<sup>2</sup> (range, 0.098-3.11), 4.864 mm<sup>2</sup> (range, 1.4-12.1) and 1.478 mm<sup>2</sup> (range, 0.35-3.1), respectively (Table I). Representative digital slides obtained using the three sampling methods are presented in Fig. 3A-D.

Table I. Comparison of adequate specimens for the immunohistological analysis according to the sampling method.

Parameters	Mean major lengths, n (mm)	Mean minor lengths, n (mm)	Mean overlay areas by polygon measurement (mm <sup>2</sup> )
FNA (n=12)	1.598	0.486	0.907
TBB (n=17)	3.765	2.382	4.864
Bf (n=14)	1.829	1.214	1.478
Total (n=43)	2.397	1.361	2.416

The major and minor axes and overlay area of one piece of specimen from the three sampling methods were measured using digital imaging software. The mean major and minor axes (mm) and overlay areas (mm<sup>2</sup>) were in the order of TBB > Bf > FNA. FNA, EUS-guided fine-needle aspiration; TBB, tunneling bloc biopsy; Bf, use of biopsy forceps followed by TBB.

Associations between sampling methods and histological parameters. We analyzed the evaluable rates by mitotic

Table II. The evaluable rates of tissue samples according to the sampling method and histological parameters in the gastrointestinal stromal tumors.

Parameters	Mitotic counts/ 50 HPF, % (n)	Ki-67 (M1B) index, % (n)
FNA (n=12)	75 (9)	83.3 (10)
TBB (n=17)	100 (17)	100 (17)
Bf (n=14)	100 (14)	100 (14)
Total (n=43)	93 (40)	93 (40)

The evaluable rates by mitotic counts and Ki-67 index were respectively, 75% (9/12) and 83.3% (10/12) for FNA samples, 100% (17/17) and 100% (17/17) for TBB samples, and 100% (14/14) and 100% (14/14) for Bf samples. There were no significant differences between the three sampling methods in regards to the evaluable rates (FNA vs. TBB vs. Bf; P>0.05, Fisher's exact test). HPF, high-power field; FNA, EUS-guided fine-needle aspiration; TBB, tunneling bloc biopsy; Bf, use of biopsy forceps followed by TBB.

Table III. Comparison of sufficient specimens needed for overall histological data (immunohistological staining, mitotic count and Ki-67) for each sampling method.

	Mean major x minor axis lengths (mm), overlay areas (mm <sup>2</sup> ), (n)		
	Successful Samples (n=40)	Unsuccessful Samples (n=3)	
FNA (n=12)	1.99 x 0.55, 1.17 (n=9)	0.42 x 0.29, 0.123 (n=3)	
TBB (n=17)	3.77 x 2.38, 4.86 (n=17)	-, (n=0)	
Bf (n=14)	1.83 x 1.21, 1.48 (n=14)	-, (n=0)	
Total (n=43)	2.69 x 1.56, 2.85 (n=40)	0.42 x 0.29, 0.123 (n=3)	

We defined one piece of specimen as a 'Successful Sample' when the sample was suitable for histological analysis of the mitotic count and Ki-67 index. The mean major and minor axes (mm) and overlay areas (mm<sup>2</sup>) of the 'Successful Samples' were 2.688, 1.562 and 2.847 (n=40), while those of the 'Unsuccessful Samples' were 0.423, 0.29 and 0.127 (n=3), respectively. Three FNA samples were judged unevaluable due to too small specimens in overall diagnosis including mitotic count and Ki-67. FNA, EUS-guided fine-needle aspiration; TBB, tunneling bloc biopsy; Bf, use of biopsy forceps followed by TBB.

count/50 HPF and Ki-67 index of the 43 tissue samples. The evaluable rates by mitotic count and Ki-67 index were, respectively, 75% (9/12) and 83.3% (10/12) for FNA samples, 100% (17/17) and 100% (17/17) for TBB samples, and 100% (14/14) and 100% (14/14) for Bf samples (Table II). There were no significant differences between three sampling methods in

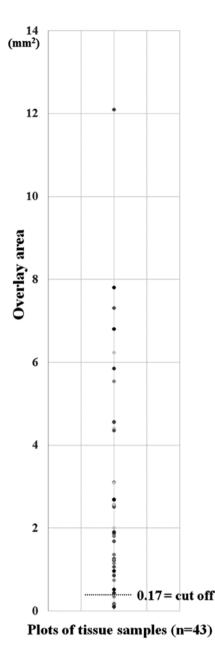


Figure 4. Identification of appropriate tissue amounts for histological analysis. Comparing 'Successful Samples' with 'Unsuccessful Samples' yielded cut-off values (BER, OR and AUC) of 0.17 mm<sup>2</sup> ( $0, \infty, 1$ ) for the overlay area (logistic regression analysis by likelihood ratio test). BER, balanced error rate; OR, odds ratio; AUC, area under the ROC curve.

regards to the evaluable rates (FNA vs. TBB vs. Bf; P>0.05, Fisher's exact test).

Identification of appropriate tissue amounts for histological analysis. The mean major and minor axes (mm) and overlay areas (mm<sup>2</sup>) of the 'Successful Samples' were 2.688, 1.562 and 2.847 (n=40), while those of the 'Unsuccessful Samples' were 0.423, 0.29 and 0.127 (n=3), respectively (Table III). Representative digital slide of a small specimen obtained by EUS-FNA is shown in Fig. 3A.

Comparing 'Successful Samples' with 'Unsuccessful Samples' yielded cut-off values (BER, OR and AUC) of 0.17 mm<sup>2</sup> (0,  $\infty$ , 1) for the overlay area (logistic regression analysis by likelihood ratio test) (Fig. 4).

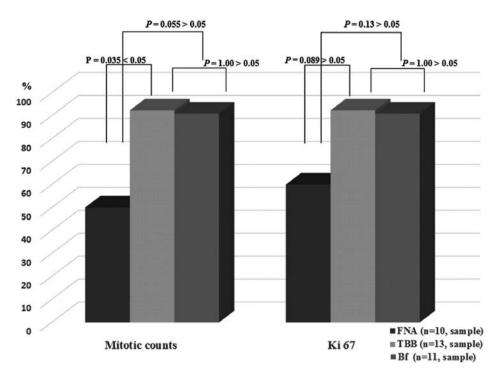


Figure 5. The concordance rate between pre- and post-operative samples in surgically resected GIST cases (n=16). The concordance rates in regards to the mitotic count were 50% (5/10) in FNA, 92.3% (12/13) in TBB and 90.9% (10/11) in Bf, respectively. Comparing the three sampling methods, TBB had a significant difference over FNA (TBB vs. FNA; P=0.035 <0.05, Fisher's exact test). The concordance rates in regards to Ki-67 were 60 (6/10) in FNA, 92.3% (12/13) in TBB and 90.9% (10/11) in Bf, respectively. There were no significant differences between the three sampling methods (FNA vs. TBB vs. Bf; P>0.05, Fisher's exact test). GIST, gastrointestinal stromal tumor; FNA, fine-needle aspiration; TBB, tunneling bloc biopsy; Bf, use of biopsy forceps followed by TBB.

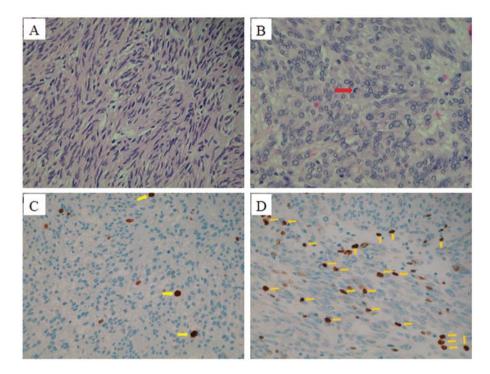


Figure 6. Representative digital slides showing discordant histological data between pre- and post-operative materials diagnosed as GISTs. (A) Tissue sample obtained by TBB showing mitotic count = 0/high-power field (HPF), resulting in a mitotic count/50 HPF <5. (H&E staining; magnification, x40). (B) Post-operative material showing mitotic count = 1 (red arrow)/HPF, resulting in a mitotic count/50 HPF >5. (H&E staining, x40). (C) Tissue sample obtained by EUS-FNA showing Ki-67-positive cells (yellow arrows) and Ki-67 index <5%. (Ki-67 staining; magnification, x40). (D) Post-operative material showing Ki-67-positive cells (orange arrows) and Ki-67 index >10%. (Ki-67 staining; magnification, x40). GIST, gastrointestinal stromal tumor; TBB, tunneling bloc biopsy; H&E, hematoxylin and eosin.

*Concordance rate between pre- and post-operative samples in the GISTs.* In the 16 resected GIST cases, the concordance

rates regarding mitotic count were 50% (5/10) in FNA, 92.3% (12/13) in TBB and 90.9% (10/11) in Bf. Comparing the three

sampling methods, TBB was significantly better than FNA (TBB vs. FNA; P=0.035, Fisher's exact test). The concordance rates regarding the Ki-67 index were 60% (6/10) in FNA, 92.3% (12/13) in TBB and 90.9% (10/11) in Bf. There were no significant differences among the three sampling methods in regards to Ki-67 concordance (FNA vs. TBB vs. Bf; P>0.05, Fisher's exact test) (Fig. 5).

The discordances between pre- and post-operative samples were refined as follows. Three FNA samples were too small for evaluation by mitotic count/50 HPF (sample number, major x minor axes, overlay area: sample 1, 0.54 x 0.4 mm, 0.16 mm<sup>2</sup>; sample 2, 0.16 x 0.1 mm, 0.11 mm<sup>2</sup>; sample 3, 0.57 x 0.37 mm, 0.098 mm<sup>2</sup>). Two samples obtained by FNA, one sample obtained by TBB, and one sample obtained by Bf had discrepancies with the post-operative sample. In all of these four samples, mitotic count/50 HPF <1 was converted to >5, Ki-67 index <5% was converted to >10%. The representative digital slides of TBB and FNA samples are shown in Fig. 6A-D.

# Discussion

An optimal tissue sampling strategy for the diagnosis of SETs is needed to determine the most appropriate management plan (e.g., surgical resection or observation). Since each type of SET lesion can have a different prognosis, different therapeutic options are required. The acquired tissue samples should be appropriate materials for immunohistochemical staining for proteins such as CD-117 (c-kit), CD-34, smooth muscle actin and S-100, to differentiate between various SETs. Additionally, in GIST cases, the material should enable pathologists to evaluate mitotic count by histology, based on major consensus conferences (NCCN, ESMO) (4,6), and the risk classification of GIST (9,18).

The present study, by analyzing the acquired samples with EUS-FNA, TBB and Bf, has presented findings regarding the appropriate amounts of tissue samples required for IH diagnosis, cell count and Ki-67.

Differences in tissue amounts between sampling methods. The mean major and minor axis lengths (mm) and overlay area (mm<sup>2)</sup> by polygon analysis were in the order of TBB > Bf > FNA (major axis, 3.765 vs. 1.829 vs. 1.598; minor axis, 2.382 vs. 1.214 vs. 0.486; area, 4.864 vs. 1.478 vs. 0.907). Sakamoto *et al* previously reported that the mean maximum size of fragments obtained with 25- and 22-gauge FNA needles and with a 19-gauge Trucut needle were 0.4 mm (range, 0.2-1.1 mm); 0.7 mm (range, 0.3-1.4 mm); and 2.7 mm (range, 0.8-3.6 mm), respectively (19).

The outer diameters of 25-, 22- and 19-gauge FNA needles (Expect<sup>TM</sup> standard type) are generally 0.52, 0.72 and 1.10 mm, respectively. The outer diameter of the needle will be equivalent to the minor axis length in samples such as ours. In the present study, the mean minor lengths obtained with the 25-, 22- and 19-gauge FNA needles were 0.38 (n=4), 0.56 (n=6) and 0.49 mm (n=2), which did not show a tendency toward similarity with the outer diameter of each size of needle. Additionally, since the major axis lengths obtained with FNA needles depend on the depth of the puncture needle, based on the size of the targeted tumor, the caliber of the FNA needle would not affect the length of the acquired specimens.

Therefore, there were no significant differences in the major axis lengths among the 25-, 22- and 19-gauge needles (2.19 vs. 1.30 vs. 1.16 mm). In contrast, the mean lengths of the specimens obtained by TBB were 3.77 mm on the major axis and 2.38 mm on the minor axis, and these were the largest specimens among the 3 tissue sampling methods. The mean lengths of specimens obtained with the use of the Bf method were 1.83 mm (major) and 1.21 mm (minor). Since the cup size of the biopsy forceps (Radial Jaw<sup>TM</sup> 4 Standard Capacity) used in the present study was ~2.36 x 1.83 mm, the size of the Bf specimens was slightly smaller than expected from the caliber of the devices.

Histological analysis of the acquired tissue samples. Regarding the assessment of the mitotic count/50 HPF and Ki-67 index, the evaluable rate of the FNA samples was 75% (9/12), which was lower than the 100% observed with both TBB (17/17) and Bf (14/14). Three samples in the FNA group were judged unevaluable since they were too small. Accordingly, although these samples were diagnosed definitively as GISTs by IH staining, they could not be given a risk classification of GIST. This finding suggested that the FNA samples had some limitations in diagnosing the risk classification of GIST.

Notably, two samples obtained by FNA, one sample obtained by TBB, and one sample obtained by Bf had discrepancies between pre- and post-operative histological findings regarding the mitotic count/50 HPF and the Ki-67 index. This finding demonstrated that cell proliferation of GISTs could be expressed differently at each site within the tumor. Therefore, we must recognize that pre-operative diagnosis by tissue sampling methods with regard to GIST risk classification may be rarely discordant with the final definitive diagnosis.

Appropriate tissue amounts for histological analysis. Comparing 'Successful Samples' with 'Unsuccessful Samples', we calculated the cut-off value for the overlay area of OPS as 0.17 mm<sup>2</sup>, suggesting the appropriate amount of OPS needed for IH diagnosis, cell count and Ki-67. The mean overlay areas of OPS acquired by TBB and Bf were 4.86 and 1.48 mm<sup>2</sup>. Consequently, the cut-off value revealed that the amounts of tissue acquired by Bf can be sufficient for the assessment of mitotic count and Ki-67 without the need for the amounts of tissue obtained by TBB.

Strengths and limitations of each sampling method. Although EUS-FNA, which has the advantages of being rapid and convenient, has emerged as a standard method, appropriate tissue samples can occasionally not be acquired due to too little material and technical issues. The first prospective study of the diagnostic yield of EUS-FNA with a commercially available needle in patients with gastric SETs was reported in 2009 (20). However, the diagnostic yield of EUS-FNA was not satisfactory (63%; 31/49) since the tissue samples obtained were too small to determine their mitotic indices reliably. Diagnostic accuracy <60% has been reported by others (21,22). Therefore, further developments in needle devices and technical skills are required to minimize sampling errors.

In contrast, a key advantage of TBB and Bf is its use of a lateral approach with submucosal endoscopy with a mucosal flap safety valve (SEMF), making it easy to create a platform that provides an operative field and to manage hemostasis while obtaining a core specimen of sufficient size (~5 mm) for IH analysis under direct vision (23). Owing to this technical advantage, TBB and Bf demonstrated higher rates of overall diagnosis including cell counts and Ki-67 than FNA. However, these methods have a limitation of indicating for primarily intraluminal growing GISTs excluding extraluminal growing GISTs. According to growth pattern of SETs, appropriate sampling methods have to be introduced.

In conclusion, while the amounts of tissues obtained by TBB and Bf are excessively unnecessary for the histological assessment of the mitotic count and Ki-67 index, developments of the FNA method are needed to minimize sample error. Considering the technical aspects, as well as the size of specimens, could help to guide therapeutic planning and improve the diagnostic yield for GI subepithelial tumors.

## Acknowledgements

The authors wish to thank the Departments of Gastroenterology and Diagnostic Pathology of Kagawa University Hospital for their contributions to the present study.

# References

- 1. Hwang JH and Kimmey MB: The incidental upper gastrointestinal subepithelial mass. Gastroenterology 126: 301-307, 2004.
- Blay JY, Bonvalot S, Casali P, *et al*: Consensus meeting for the management of gastrointestinal stromal tumors. Report of the GIST Consensus Conference of 20-21 March 2004, under the auspices of ESMO. Ann Oncol 16: 566-578, 2005.
- Mori H, Kobara H, Kobayashi M, *et al*: Establishment of pure NOTES procedure using a conventional flexible endoscope: review of six cases of gastric gastrointestinal stromal tumors. Endoscopy 43: 631-634, 2011.
- Demetri GD, Benjamin RS, Blanke CD, et al: NCCN Task Force report: management of patients with gastrointestinal stromal tumor (GIST) - update of the NCCN clinical practice guidelines. J Natl Compr Canc Netw 5 (Suppl 2): S1-S29, 2007.
- National Comprehensive Cancer Network: Clinical Practice Guidelines in Oncology for Soft Tissue Sarcoma. Version 2. National Comprehensive Cancer Network, Fort Washington, PA, 2009.
- Casali PG, Jost L, Reichardt P, Schlemmer M, Blay JY; ESMO Guidelines Working Group: Gastrointestinal stromal tumours: ESMO clinical recommendations for diagnosis, treatment and follow-up. Ann Oncol 20 (Suppl 4): S64-S67, 2009.

- Blackstein ME, Blay JY, Corless C, *et al*: Gastrointestinal stromal tumours: consensus statement on diagnosis and treatment. Can J Gastroenterol 20: 157-163, 2006.
- Nishida T, Hirota S, Yanagisawa A, *et al*: Clinical practice guidelines for gastrointestinal stromal tumor (GIST) in Japan: English version. Int J Clin Oncol 13: 416-430, 2008.
- 9. Fletcher CD, Berman JJ, Corless C, *et al*: Diagnosis of gastrointestinal stromal tumors: a consensus approach. Hum Pathol 33: 459-465, 2002.
- Stelow EB, Stanley MW, Mallery S, Lai R, Linzie BM and Bardales RH: Endoscopic ultrasound-guided fine-needle aspiration findings of gastrointestinal leiomyomas and gastrointestinal stromal tumors. Am J Clin Pathol 119: 703-708, 2003.
- 11. Ando N, Goto H, Niwa Y, *et al*: The diagnosis of GI stromal tumors with EUS-guided fine needle aspiration with immunohistochemical analysis. Gastrointest Endosc 55: 37-43, 2002.
- 12. Sepe PS, Moparty B, Pitman MB, *et al*: EUS-guided FNA for the diagnosis of GI stromal cell tumors: sensitivity and cytologic yield. Gastrointest Endosc 70: 254-261, 2009.
- Hoda KM, Rodriguez SA and Faigel DO: EUS-guided sampling of suspected GI stromal tumors. Gastrointest Endosc 69: 1218-1223, 2009.
- Mekky MA, Yamao K, Sawaki A, *et al*: Diagnostic utility of EUS-guided FNA in patients with gastric submucosal tumors. Gastrointest Endosc 71: 913-919, 2010.
- Sumiyama K, Gostout CJ, Rajan E, et al: Submucosal endoscopy with mucosal flap safety valve. Gastrointest Endosc 65: 688-694, 2007.
- 16. Kobara H, Mori H, Fujihara S, *et al*: Bloc biopsy by using submucosal endoscopy with a mucosal flap method for gastric subepithelial tumor tissue sampling (with video). Gastrointest Endosc 77: 141-145, 2013.
- Lai R, Stanley MW, Bardales R, Linzie B and Mallery S: Endoscopic ultrasound-guided pancreatic duct aspiration: diagnostic yield and safety. Endoscopy 34: 715-720, 2002.
- Miettinen M and Lasota J: Gastrointestinal stromal tumors: pathology and prognosis at different sites. Semin Diagn Pathol 23: 70-83, 2006.
- Sakamoto H, Kitano M, Komaki T, *et al*: Prospective comparative study of the EUS guided 25-gauge FNA needle with the 19-gauge Trucut needle and 22-gauge FNA needle in patients with solid pancreatic masses. J Gastroenterol Hepatol 24: 384-390, 2009.
- Polkowski M, Gerke W, Jarosz D, et al: Diagnostic yield and safety of endoscopic ultrasound-guided trucut biopsy in patients with gastric submucosal tumors: a prospective study. Endoscopy 41: 329-334, 2009.
- Fu K, Eloubeidi MA, Jhala NC, Jhala D, Chhieng DC and Eltoum IE: Diagnosis of gastrointestinal stromal tumor by endoscopic ultrasound-guided fine needle aspiration biopsy - a potential pitfall. Ann Diagn Pathol 6: 294-301, 2002.
- 22. Fernández-Esparrach G, Sendino O, Solé M, et al: Endoscopic ultrasound-guided fine-needle aspiration and trucut biopsy in the diagnosis of gastric stromal tumors: a randomized crossover study. Endoscopy 42: 292-299, 2010.
- Kobara H, Mori H, Rafiq K, et al: Submucosal tunneling techniques: current perspectives. Clin Exp Gastroenterol 7: 67-74, 2014.