Expression of DNA ligase IV is linked to poor prognosis and characterizes a subset of prostate cancers harboring TMPRSS2:ERG fusion and PTEN deletion

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Abstract. DNA ligases are essential for the maintenance of genome integrity as they are indispensable for DNA replication, recombination and repair. The present study was undertaken to gain insights into the prevalence and clinical significance of ligase IV (LIG4) expression in prostate cancer. A total of 11,152 prostate cancer specimens were analyzed by immunohistochemistry for LIG4 expression. Results were compared to follow-up data, ERG status and deletions at PTEN, 3p13, 5q21 and 6q15. LIG4 expression was predominantly localized in the nucleus of the cells with increased intensities in malignant as compared to benign prostate epithelium. In prostate cancer, LIG4 expression was found in 91% of interpretable tumors, including 12% cancers with weak, 23% with moderate and 56% with strong LIG4 positivity. Strong LIG4 expression was tightly linked to advanced Gleason score (P<0.0001) and positive nodal involvement (P=0.03). There was a remarkable accumulation of strong LIG4 expression in tumors harboring TMPRSS2:ERG fusion and PTEN deletions (P<0.0001 each). High LIG4 expression was also tightly related to early biochemical recurrence when all tumors (P<0.0001) or the subsets of ERG-negative (P=0.0004) or ERG-positive pros-

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Abbreviations: DSB, double-strand breaks; HR, homologous recombinations; NHEJ, non-homologous DNA end-joining

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tate cancers (P=0.006) were analyzed. Multivariate analysis including parameters that are available before surgery demonstrated independent association with biochemical recurrence for advanced Gleason grade on biopsy, high preoperative PSA level, high clinical stage (P<0.0001 each) and for LIG4 immunostaining (P=0.03). Our study identifies LIG4 as a predictor of an increased risk for early PSA recurrence in prostate cancer. Moreover, the strong association with *TMPRSS2:ERG* fusion and *PTEN* deletions suggest important interactions between these pathways in prostate cancers.

Introduction

Prostate cancer represents a major cause of cancer-related mortality and morbidity (1). The majority of prostate cancers behave in an indolent manner, but a subset is highly aggressive. Despite recent advances in research, the only established pretreatment prognostic parameters currently include Gleason grade and tumor extent on biopsies, preoperative PSA and clinical stage. As these data are statistically powerful but not sufficient for optimal individual treatment decisions, it can be hoped, that a better understanding of the biology of the disease will eventually lead to better prognostic biomarkers.

DNA double-strand breaks (DSBs) are highly cytotoxic lesions that typically occur during cell division but can also be induced by exogenous noxes including ionizing radiation and various DNA-damaging chemicals (2). Cells typically repair DSBs by homologous recombination (HR) or non-homologous DNA end-joining (NHEJ) pathways (3). NHEJ deficiencies and unrepaired DSBs can result in severe cellular consequences ranging from death to neoplastic transformation (3-9).

DNA ligase IV (LIG4) plays an essential role in the NHEJ machinery, the major DSB repair mechanism (10,11). Hypomorphic LIG4 mutations lead to LIG4 syndrome characterized by immunodeficiency, microcephaly, growth retardation, unusual facial features, developmental delay and acute radiosensitivity, genomic instability and malignancy (12).

Moreover, polymorphic variants (SNP) in LIG4 has been identified in several malignancies (13-17) including prostate cancers (18,19).

In a recent study we identified LIG4 as a potentially relevant gene in prostate cancer, which can be inactivated by mutation and genomic deletion (20,21). The present study was undertaken to further investigate LIG4 protein expression in prostate cancer and its association with tumor phenotype, outcome and key genomic alterations. For this purpose a tissue microarray containing 11,152 prostate cancer specimens with follow-up information and attached molecular information was utilized. Our data identify a tight link of increased LIG4 expression to tumor phenotype, early PSA recurrence, *ERG* fusion and *PTEN* deletions in prostate cancer.

Materials and methods

Patients. Radical prostatectomy specimens were available from 11,152 patients, undergoing surgery between 1992 and 2011 at the Department of Urology, and the Martini Clinics at the University Medical Center Hamburg-Eppendorf. Follow-up data were available for a total of 9,695 patients with a median follow-up of 36.8 months (range, 1-228 months, Table I). Prostate-specific antigen values were measured following surgery and recurrence was defined as a postoperative PSA of 0.2 ng/ml and increasing at first of appearance. All prostate specimens were analyzed according to a standard procedure, including a complete embedding of the entire prostate for histological analysis (22). The TMA manufacturing process was described previously in detail (23). In short, one 0.6-mm core was taken from a representative tumor area from each patient. The tissues were distributed among 24 TMA blocks, each containing 144-522 tumor samples. Presence or absence of cancer tissue was validated by immunohistochemical AMACR and 34BE12 analysis on adjacent TMA sections. For internal controls, each TMA block also contained various control tissues, including normal prostate tissue. The molecular database attached to this TMA contained results on ERG expression in 9,628, ERG break apart by fluorescence in situ hybridization (FISH) analysis in 6,106 [expanded from (24)], and deletion status of 5q21 in 3,037 [expanded from (25)], 6q15 in 3,528 [expanded from (26)], PTEN in 6,130 [expanded from (27)], and 3p13 in 1,290 [expanded from (28)] tumors. Analysis of patient and corresponding histopathological data for research purposes, as well as construction of tissue microarrays from archived diagnostic left-over tissues, was approved by the local laws (HmbKHG, §12,1) and by the local ethics committee (Ethics Commission of Hamburg, WF-049/09 and PV3652). All study was carried out in compliance with the Helsinki Declaration.

Immunohistochemistry. Freshly cut TMA sections were analyzed in one day and in one experiment. Primary antibody specific for LIG4 (rabbit, at 1:150 dilution; Sigma) was applied, slides were deparaffinized and exposed to heatinduced antigen retrieval for 5 min in an autoclave at 121°C in 7.8 Tris-EDTA-citrate buffer. Bound antibody was then visualized using the EnVision kit (Dako). LIG4 expression was homogeneous within individual cancer tissue samples. Assessment of immunostaining was thus limited to recording Table I. Composition of the prognosis tissue microarray containing 11,152 prostate cancer specimens.

	No. of patients			
	Study cohort on tissue microarray (n=11,152)	Biochemical relapse among categories (n=1,824)		
Follow-up				
(month)				
Mean	53.4	-		
Median	36.8	-		
Age (years)				
<50	318	49		
50-60	2,768	460		
60-70	6,548	1,081		
>70	1,439	232		
Pretreatment PSA (ng/ml)				
<4	1,407	142		
4-10	6,735	827		
10-20	2,159	521		
>20	720	309		
pT stage (AJCC 2002)				
pT2	7,370	570		
pT3a	2,409	587		
pT3b	1,262	618		
pT4	63	49		
Gleason grade				
≤3+3	2,859	193		
3+4	6,183	849		
4+3	1,565	573		
≥4+4	482	208		
pN stage				
pN0	6,117	1,126		
pN+	561	291		
Surgical margin				
Negative	8,984	1,146		
Positive	1,970	642		

Numbers do not always add up to 11,152 in the different categories because of cases with missing data; AJCC, American Joint Committee on Cancer.

the staining intensity in 4 categories: Negative, weak, moderate and strong immunostaining. Staining levels in cancer cells were defined by estimating each spot by one person experienced in TMA analyses.

Statistics. Statistical calculations were performed with JPM 9 software (SAS Institute Inc., Cary, NC, USA). Contingency



Figure 1. Representative pictures of (A) negative and (B) positive LIG4 immunostaining in prostate cancer. LIG4, ligase IV.



Figure 2. Relationship of LIG4 expression with ERG status probed by FISH and IHC. LIG4, ligase IV; FISH, fluorescence *in situ* hybridization.

tables and the chi-square (x^2) test were performed to search for associations between molecular parameters and tumor phenotype. Survival curves were calculated according to Kaplan-Meier. The log-rank test was applied to detect significant survival differences between groups. COX proportional hazards regression analysis was performed to test the statistical independence and significance between pathological, molecular and clinical variables.

Results

Technical aspects. A total of 2,493 of 11,152 arrayed tissue samples (22%) were non-informative for LIG4 analysis due to the complete lack of tissue or absence of unequivocal cancer cells.

LIG4 immunohistochemistry. LIG4 expression was localized in the nucleus of the cells with increased intensities in malignant as compared to benign prostate epithelium. A total of 7,905 of our 8,663 interpretable prostate cancers (91%) showed positive LIG4 expression, which was considered weak in 12%, moderate in 23% and strong in 56% of cases. Representative images are given in Fig. 1. Strong LIG4 expression was positive significantly linked to high Gleason score (P<0.0001) and positive nodal involvement (P=0.03), but not to high preoperative PSA levels, advanced tumor stage and positive surgical margin when all cancers were analyzed.

LIG4 versus ERG status. To evaluate whether LIG4 expression is linked to ERG status, we used our pre-existing database including data on TMPRSS2:ERG fusion status obtained by FISH in 4,980 patients and by IHC in 7,769 tumors for which LIG4 immunostaining was also available. Strong LIG4 expression was significantly more frequent in fusion-type (1,677/2,308, 73%) than in non-fusion-type prostate cancers (1,121/2,672, 42%, P<0.0001, Fig. 2) as analyzed by FISH. Accordingly, strong LIG4 staining occurred more often in ERG expression positive (2,569/3,457, 74%) than in ERG expression negative prostate cancers (1,849/4,312, 43%, P<0.0001, Fig. 2). Since increased LIG4 expression was more frequent in fusion-type prostate cancers, the associations of LIG4 expression with tumor phenotype and clinical cancer features were separately analyzed in both non-fusion and fusion-type prostate cancers (Tables II and III). In both non-fusion and fusion-type prostate cancers, strong LIG4 expression was significantly linked to advanced Gleason grade (P<0.001). There was only a marginal relationship between LIG4 expression and pT stage which reached significance in ERG-negative cancers (P=0.0005, Table II).

Associations with genomic deletions in non-fusion and fusion-type prostate cancers. Previous studies provided evidence for distinct molecular subgroups of prostate cancers defined by *TMPRSS2:ERG* fusions and several genomic deletions. We and others have described a strong link of deletions at 5q21 and 6q15 to non-fusion type and of deletions at *PTEN* and 3p13 to fusion-type prostate cancers (25-27,29-31). To study, whether LIG4 expression may be particularly linked to one of these genomic deletions, LIG4 data were compared with pre-existing findings on *PTEN*, 3p13, 6q15 and 5q21 deletions. In the analysis of all tumors, LIG4 staining was significantly associated with deletions of *PTEN* and 3p13 (P<0.0001 and P=0.02, Fig. 3). A subsequent subgroup analysis of non-fusion and fusion-type prostate cancers revealed that the significant

	Evaluable (N)					
		Negative	Weak	Moderate	Strong	P-value
All cancers	4,312	14	17	26	43	
Tumor stage						
pT2	2,872	15	18	25	41	0.0005
pT3a	887	12	15	28	45	
pT3b	513	9	16	26	49	
pT4	28	21	11	29	39	
Gleason grade						
≤3+3	987	20	25	23	32	<0.0001
3+4	2,036	13	16	27	44	
4+3	711	10	12	28	50	
≥4+4	238	8	12	25	55	
Lymph node metastasis						
N0	2,469	13	16	26	45	0.006
N+	226	7	13	26	54	
Surgical margin						
Negative	3,443	14	17	26	42	0.36
Positive	791	12	17	27	44	
LIG4, ligase IV.						

Table II. Associations between LIG4 expression and clinicopathological parameters in the subgroup of ERG-negative prostate cancer.

Table III. Associations between LIG4 expression and clinicopathological parameters in the subgroup of ERG-positive prostate cancer.

		LIG4 IHC result (%)				
	Evaluable (N)	Negative	Weak	Moderate	Strong	P-value
All cancers	3,457	2	5	19	74	
Tumor stage						
pT2	2,039	2	5	18	74	0.19
рТЗа	951	1	5	18	76	
pT3b	430	2	3	23	72	
pT4	20	0	10	15	75	
Gleason grade						
≤3+3	792	3	8	19	70	0.0005
3+4	2,038	2	4	18	76	
4+3	490	1	4	18	76	
≥4+4	114	2	3	24	72	
Lymph node metastasis						
N0	1,932	2	4	18	76	0.01
N+	204	3	3	25	68	
Surgical margin						
Negative	2,707	2	5	19	74	0.69
Positive	691	1	5	19	74	
LIG4, ligase IV.						



Figure 3. LIG4 expression vs. PTEN, 3p13, 6q15 and 5q21 deletions probed by FISH analysis in all cancers. LIG4, ligase IV; FISH, fluorescence in situ hybridization.



Figure 4. LIG4 expression vs. *PTEN*, 3p13, 6q15 and 5q21 deletions probed by FISH analysis in the ERG-negative subset by IHC. LIG4, ligase IV; FISH, fluorescence *in situ* hybridization.

association of increased LIG4 expression with *PTEN* deletions retained significance in both non-fusion and fusion-type prostate cancers (P<0.0001 and P=0.003, Figs. 4 and 5) while all other deletions were unrelated or only marginally related to LIG4 expression.

Clinical impact of LIG4. Follow-up data were available for 7,539 patients with informative LIG4 data. Strong prognostic impact of the Gleason grade provides indirect evidence for the overall validity of our follow-up data (P<0.0001, Fig. 6A). Increased LIG4 staining was tightly linked to early biochemical recurrence when all cancers (P<0.0001, Fig. 6B) or the subset of ERG-negative (P=0.0004, Fig. 6C) and ERG-positive (P=0.006, Fig. 6D) prostate cancers were analyzed. Given the strong link of LIG4 expression to *PTEN* deletions which are known to be prognostically

relevant (27), further analysis was performed according to the *PTEN* deletion status. These results demonstrated that LIG4 expression lost its prognostic impact in both subgroups of *PTEN*-non-deleted (P=0.23, Fig. 6E) and *PTEN*-deleted prostate cancers (P=0.24, Fig. 6F).

Multivariate analysis. Four independent multivariate analyses were performed evaluating the clinical relevance of LIG4 expression in different scenarios (Table IV). Scenario 1 was utilizing all post-operatively available parameters including pT, pN, margin status, preoperative PSA value and Gleason grade obtained on the resected prostate. Scenario 2 was utilizing all postoperatively available parameters with the exception of nodal status. The rational for this approach was that lymphad-enectomy is not a routine procedure in the surgical therapy of prostate cancer and that excluding pN in multivariate analysis



Figure 5. LIG4 expression vs. *PTEN*, 3p13, 6q15 and 5q21 deletions probed by FISH analysis in the ERG-positive subset by IHC. LIG4, ligase IV; FISH, fluorescence *in situ* hybridization.



Figure 6. Kaplan-Meier plots for PSA recurrence-free survival. (A) Relationship with Gleason grade. (B) Association of LIG4 expression with biochemical recurrence in all prostate cancers (n=7,539), (C) ERG-negative subset (n=3,713), (D) ERG-positive subset (n=3,018), (E) *PTEN*-non-deleted subset (n=3,454) and (F) *PTEN*-deleted subset (n=844). LIG4, ligase IV.

Group	Scenario	P-value								
		Evaluable (N)	Preoperative PSA level	pT stage	cT stage	Gleason grade prostatectomy	Gleason grade biopsy	pN stage	R status	LIG4 expression
All										
	1	4,446	< 0.0001	<0.0001	-	< 0.0001	-	<0.0001	<0.0001	0.25
	2	7,364	< 0.0001	< 0.0001	-	< 0.0001	-	-	<0.0001	0.03
	3	7,236	< 0.0001	-	<0.0001	< 0.0001	-	-	-	0.04
	4	7,131	< 0.0001	-	< 0.0001	-	<0.0001	-	-	0.03
ERG-ne	egative subs	set								
	1	2,248	< 0.0001	<0.0001	-	< 0.0001	-	< 0.0001	0.01	0.8
	2	3,626	< 0.0001	<0.0001	-	< 0.0001	-	-	<0.0001	0.65
	3	3,592	< 0.0001	-	0.0002	< 0.0001	-	-	-	0.42
	4	3,543	<0.0001	-	<0.0001	-	<0.0001	-	-	0.12
ERG-po	ositive subs	et								
	1	1,802	0.0301	<0.0001	-	< 0.0001	-	0.07	0.006	0.21
	2	2,949	0.0009	< 0.0001	-	< 0.0001	-	-	< 0.0001	0.01
	3	2,868	< 0.0001	-	<0.0001	< 0.0001	-	-	-	0.02
	4	2,821	< 0.0001	-	< 0.0001	-	< 0.0001	-		0.007
LIG4, lig	gase IV.									

Table IV. Multivariate analysis including LIG4 expression status in all prostate cancers, the ERG-negative and ERG-positive subset.

increases case numbers. The next 2 scenarios tried to better model the preoperative situation. Scenario 3 included the LIG4 expression, preoperative PSA, clinical stage (cT) and the Gleason grade obtained on the prostatectomy specimen. However, the preoperative determination of the Gleason grade in tumors is subject to sampling errors and therefore results in under grading in more than one third of cases. Because the postoperative Gleason grade thus varies from the preoperative Gleason grade, another multivariate analysis was added as scenario 4. In this scenario, the preoperative Gleason grade obtained on the original biopsy was combined with preoperative PSA, clinical stage and LIG4 expression. The analyses demonstrate a tendency towards independent prognostic relevance of LIG4 expression in 'preoperative' scenarios, especially in ERG-fusion-positive prostate cancers (Table IV).

Discussion

Our study identified increased LIG4 as a predictor of an increased risk for early PSA recurrence in prostate cancer.

The successful immunohistochemical analysis of >10,000 prostate cancers revealed that LIG4 is abundantly present in prostate cancer as it was detectable in >90% of all cancers using the IHC protocol. Our observation of abundant LIG4 expression is consistent with its essential role for survival of dividing cells. Impaired NHEJ, for example by loss of LIG4, is embryonic lethal (32-34). Since DSB is an inherent side effect of DNA replication, it occurs most frequently in rapidly dividing cells such as developing tissues or cancer cells (35), but can also be induced replication-independently by oxidative stress or exogenous noxes like ionizing radia-

tion (36). Increased LIG4 staining intensities in cancerous compared to benign prostate epithelium is consistent with the generally increased proliferation rate of prostate cancer cells as compared to normal prostatic glands.

It is a distinct advantage of the tissue microarray technique, that hundreds or thousands of tissue samples can be analyzed in one day in one experiment by using one set of reagents at absolutely identical concentrations, temperatures and exposure times. Maximal experimental standardization is a prerequisite for the distinction of subtle expression differences of proteins that are abundantly expressed in prostate cancer such as LIG4. The parallel analysis of thousands of tumors typically enables the identification of differences between subgroups although the immunohistochemical analysis of a number of individual samples may be impaired by either too extensive or inefficient formalin fixation. The comparison between different LIG4 staining levels and tumor phenotype or PSA recurrence revealed significant associations in our study. Particularly, there was a significant association of strong LIG4 expression with early biochemical recurrence.

Another major aim of our study was to analyze the relationship with key genomic alterations in prostate cancer, including gene fusions and chromosomal deletions, all of which are potentially due to or facilitated by impaired DNA repair mechanisms. About half of prostate cancers carry gene fusions linking the androgen-regulated gene *TMPRSS2* with transcription factors of the ETS family (37). In consequence of the most frequent of these rearrangements, the *TMPRSS2:ERG* fusion, the expression of ERG becomes androgen-regulated and ERG massively overexpressed. Our data demonstrate that strong LIG4 immunostaining is significantly associated with fusion-type prostate cancer. High LIG4 expression was almost twice as frequent in 'fusion-type' than in 'non-fusion-type' prostate cancers. Finding this association by two independent approaches for ERG fusion detection (IHC/ FISH) largely excludes a false positive association due to inefficient expression for both LIG4 and ERG in a subset of damaged non-reactive tissues.

TMPRSS2:ERG fusion is caused by either translocation or deletion of a large (3.7 Mb) chromosome fragment separating the TMPRSS2 and ERG gene loci. Androgen signaling, which promotes recruitment of androgen receptor (AR) and topoisomerase II β (TOP2B) to sites of TMPRSS2:ERG breakpoints, has been identified as the underlying trigger driving fusion (38). TOP2B resolves DNA topologic constraints eventually occurring during DNA movement by a transient DSB allowing for passing one DNA strand through another (39). As a consequence, components of the DSB breakage repair machinery are required for repair of these transient DSB (40), with LIG4 catalyzing the final step of ligation of the open DNA ends (41). The strong association between ERG fusion and LIG4 overexpression in our study thus reflects the constitutive activation of the DSB repair machinery in cancers with elevated androgen signaling activity.

Of note, structural rearrangements are not limited to ERG fusion-positive cancers but do also occur in ERG-negative tumors. A number of large interstitial deletions have been identified, some of which can be linked to ERG-positive (including for example deletions at 3p13 and the PTEN locus at 10q23), or ERG-negative cancers (for example deletions at 5q21, 6q15 or 2q23) (21,25-27,31,42,43). Likewise, balanced as well as imbalanced translocations have been detected in both ERG-positive and ERG-negative tumors (29,20). Since interstitial deletions, like translocations, can be caused by DSB (44), it was not surprising that also 40% of ERG-negative cancers showed LIG4 expression indicating activated DSB repair. The overall lack of a clear-cut association between presence of deletions and LIG4 expression, however, indicates that many deletions might also occur for other reasons than topoisomerase-driven DSB. For example, deletions may also develop by DNA breakage during chromosome segregation in dividing cells. Berger et al (29) reported multiple fusions connecting segments from different chromosomes to 'closed chains'. Such fused chromosomes will inevitably break randomly if the kinetochores are pulled into the daughter cells by the microtubules of the spindle cell apparatus. Also, complex rearrangements have been reported from dicentromeric chromosomes, which undergo breakage during cell division, leaving one daughter cell with a deletion and the other with a duplication and inversion (45).

Deletion of *PTEN* was the only aberration linked to strong LIG4 expression independently from the *ERG* status. This suggests that *PTEN* deletion may frequently develop through topoisomerase-mediated DSB and subsequent LIG4 recruitment. *PTEN* deletion is typically small, often involving only the gene locus and small (<2 Mb) adjacent segments (unpublished data). This is in contrast to almost all other deletions found in prostate cancer, which are typically large and encompass >10 Mb (31). It is possible, that *PTEN* deletion is driven by a similar, topoisomerase and LIG4-dependent DSB mechanism than *ERG* fusion. The strong association

between *PTEN* deletion and LIG4 may, thus be attributable, to a specific mechanism requiring increased levels of LIG4.

Interestingly, LIG4 overexpression was equally linked to early PSA recurrence in ERG-positive and ERG-negative cancers. This association was not surprising given that LIG4 expression indicates active DSB repair. It can be assumed that tumors with increased repair activity have a high number of DSB, and thus a high likelihood of repair errors eventually resulting in alterations of cancer relevant genes and overall genetic instability. It has been shown before that increased genetic instability is linked to poor outcome in many solid cancer types (46,47).

Our TMA containing >10,000 prostate cancer specimens represents a suitable system for assessing potential prognostic markers. In earlier studies we successfully validated all established prognostic biomarkers in prostate cancer such as nuclear p53 accumulation (48) and Ki67 labeling index (49) on smaller prostate cancer TMAs, and identified several other prognostic biomarkers such as deletions at 8p (50), 6q15 and PTEN (27) or CRISP3 expression (51). It is noteworthy, that our approach of analyzing molecular features on one-minute tissue specimen per patient on a TMA measuring 0.6 mm in diameter represents a close model of molecularly analyzing core needle biopsies. Core needle biopsies enable the molecular analysis of comparable amounts of tissue as on a TMA. The optimal biomarker evaluation strategy would include the molecular analysis of the original needle biopsy of a patient and compare its prognostic value with preoperative Gleason grade obtained on the same biopsy as well as the preoperative PSA value. For practical purposes, this approach is not feasible because preoperative biopsies are typically distributed over many different centers and not available for studies. Moreover, even if available, these precious core needle biopsies would be exhausted after only few studies. A convoluted approach evaluating multiple different scenarios was thus utilized in this study. Overall, these data suggest a prognostic relevance of LIG4 expression in prostate cancer that may be independent of clinical and histopathological features available in a preoperative situation.

In summary, the significant link of increased LIG4 levels with aggressiveness in a series of >11,000 prostate cancers suggests that LIG4 analysis may prove instrumental as a prognostic biomarker either alone or in combination with other factors. Morover, our data demonstrate, that balanced translocations and gene fusions like *TMPRSS2:ERG* are strongly linked to LIG4-dependend DSB repair, whereas 'simple' deletions may often arise through DSB/LIG4 independent mechanisms.

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