Induction of apoptosis by an ethanol extract of *Poria cocos* Wolf. in human leukemia U937 cells

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**Abstract.** *Poria cocos* Wolf., which belongs to the Polyporaceae family, has been widely used as an Oriental traditional herbal medicine for centuries. Its sclerotium has been reported to possess a wide spectrum of pharmacological activities, including free-radical scavenging, anti-viral, anti-microbial, anti-inflammatory and anticancer activities. However, the cellular and molecular mechanisms of apoptosis induction by *P. cocos* in human cancer cells are poorly understood. In the present study, we investigated the pro-apoptotic potential of an ethanol extract of *P. cocos* sclerotium (EEPC) in human leukemia U937 cells *in vitro*. We found that EEPC induced anti-proliferative effects in U937 cells in a concentration- and time-dependent manner, which was due to apoptotic induction, as evident from morphological changes and flow cytometric assays. EEPC-induced apoptosis of U937 cells was associated with an increase in the Bax:Bcl-2 ratio, the release of cytochrome c to the cytosol, and a decrease in the expression of an inhibitor of the apoptosis family of proteins. The events were accompanied by activation of caspase-8, -9 and -3, and cleaved poly(ADP-ribose) polymerase, suggesting the involvement of both the intrinsic and extrinsic apoptotic cascades. In addition, the overexpression of Bcl-2 caused a significant attenuation of EEPC-induced caspase activation, degradation of PARP, and the collapse of mitochondrial membrane potential, and thereby reversed EEPC-induced cell apoptosis and growth inhibition. Collectively, these data provide insights into the molecular mechanisms underlying EEPC-induced apoptosis in U937 cells, suggesting that EEPC may be a new therapeutic option for the treatment of leukemia.

**Introduction**

Apoptosis represents one of the main types of programmed cell death and is a tightly regulated cell suicide response that facilitates the correct development and homeostasis of multicellular organisms. Therefore, the susceptibility of cancer cells to apoptosis is an important determinant of chemotherapy efficacy (1,2). Moreover, during the past decade, evidence suggests that many cancer chemotherapeutic agents kill cancer cells by inducing apoptosis. In mammalian cells, two major apoptosis pathways, the cell death receptor-mediated (extrinsic) and mitochondrial-mediated apoptotic (intrinsic) pathways, have been well-characterized. Both pathways are involved in an ordered activation of a highly conserved family of cysteine proteases called caspases, which in turn cleave cellular substrates, resulting in the morphological and biochemical changes characteristic of apoptosis (2,3). The Bcl-2 family proteins also play a crucial role in the regulation of apoptotic events in both signaling pathways (4). They contain anti-apoptotic members, such as Bcl-2 and Bcl-xL, and pro-apoptotic members, such as Bax, Bak and Bid. Overexpression of anti-apoptotic proteins results in the prevention of apoptosis; however, overexpression of pro-apoptotic proteins leads to an increase in cell susceptibility to apoptotic signals (4,5).

Recently, the demand for more effective and safer therapeutic agents for the chemoprevention of human cancer has increased (6). In that respect, fungi have been globally used as natural medicines and intensively investigated for their antitumor properties with extremely low toxic potential (7,8). Among them, *Poria cocos* Wolf. is a saprophytic fungus in the Polyporaceae family that grows within the diverse species of Pinus. Originally, its sclerotium has been used as an important medicinal herb in several Asian countries, particularly China, Japan and Korea, for its sedative, diuretic, anti-depressant and tonic activities (9,10). Recent studies have indicated that the extracts and components of this fungus display a variety of biological activities, such as anti-fungal and anti-bacterial (11), anti-oxidant (12,13), neuroprotective (14), anti-hypertonic (15), anti-inflammatory (16-19), anti-angiogenic (20,21), immunomodulatory (22,23) and anticancer effects (24,25). Although several triterpenoids from *P. cocos* have been isolated and were demonstrated to have a cytotoxic effect against a number of human cancer cell types (26-29), the efficacy and mechanism of the whole extract in cancer treatment have not been systemically evaluated. In the present study, as a part of our ongoing screening program to evaluate the anticancer potential of medicinal fungi, we investigated the pro-apoptotic
properties of an ethanol extract of *P. cocos* (EEPC) and the responsible underlying molecular mechanisms involved in the human leukemia U937 cell line in vitro. Our data indicated that EEPC exerts anti-proliferative effects on U937 cells through its ability to induce apoptotic cell death.

**Materials and methods**

**Preparation of EEPC.** The dried sclerotium of *P. cocos* was supplied by Dongeui University Oriental Hospital (Busan, Korea) and authenticated by Professor S.H. Hong, Department of Biochemistry, Dongeui University College of Korean Medicine. A voucher specimen (accession no. DEU-27) was deposited at the Natural Resource Bank of Dongeui University College of Korean Medicine. To prepare the EEPC, the dried sclerotium of *P. cocos* was ground into powder and extracted twice with 10 volumes of 80% ethanol at 85-90°C in a reflux condenser for 3 h. After being filtered through a 0.2-µm filter, the extract was concentrated and lyophilized by vacuum evaporation at 60°C. The solid form of the extract was dissolved in dimethylsulfoxide (DMSO; Sigma-Aldrich, St. Louis, MO, USA) prior to the experiment.

**Cell culture.** The human leukemia U937 cells and Chang liver cells (an immortalized non-tumor cell line derived from normal liver tissue) were purchased from the American Type Culture Collection (ATCC; Manassas, VA, USA), and maintained at 37°C in a humidified environment (95% air and 5% CO₂), in RPMI-1640 supplemented with 10% heat-inactivated fetal bovine serum (FBS), 2 mM glutamine, 100 U/ml penicillin and 100 µg/ml streptomycin (all from Gibco-BRL, Gaithersburg, MD, USA). Ectopic Bcl-2-overexpressing U937 (U937/Bcl-2) cells were generously provided by Professor T.K. Kwon (Department of Immunology, School of Medicine, Keimyung University, Daegu, Korea) and were maintained in a medium containing 0.7 µg/ml geneticin (G418 sulfate; Calbiochem, San Diego, CA, USA).

**Cell viability and growth assay.** For the cell viability assay, cells were seeded at a concentration of 1x10⁵ cells/ml and were treated with the indicated concentrations of EEPC for 24 h or with 90 µg/ml EEPC for the indicated times. After treatments, 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT; Sigma-Aldrich) working solution was added to each culture plate and continuously incubated at 37°C for 3 h. The culture supernatant was removed from the wells, and MTS solution for 30 min at room temperature. The solid form of the extract was dissolved in dimethylsulfoxide (DMSO; Sigma-Aldrich, St. Louis, MO, USA) prior to the experiment.

The fixed cells were washed with PBS and stained with phosphate-buffered saline (PBS) and fixed with 2.5 µg/ml DAPI solution for 10 min at room temperature. The cells were then washed twice with PBS and analyzed by fluorescence microscopy (Carl Zeiss).

**Flow cytometric analysis.** The cells were fixed in 70% ethanol overnight at 4°C, washed in PBS and then resuspended in 1.12% sodium citrate buffer (pH 8.4) together with 12.5 µg of RNase (DNase-free; Sigma-Aldrich). Incubation was continued at 37°C for 30 min. The cellular DNA was then stained by applying a propidium iodide (PI) (10 µg/ml; Sigma-Aldrich) solution for 30 min at room temperature in the dark. The stained cells were analyzed using a FACSCalibur flow cytometer (Becton-Dickinson; San Jose, CA, USA). The level of apoptotic cells containing sub-G1 DNA content was determined as a percentage of the total number of cells (31).

**Protein extraction and western blot analysis.** For the preparation of total cellular protein, the cells were gently lysed with lysis buffer [40 mM Tris (pH 8.0), 120 mM, NaCl, 0.5% NP-40, 0.1 mM sodium orthovanadate, 2 µg/ml aprotinin, 2 µg/ml leupeptin and 100 µg/ml phenylmethylsulfonyl fluoride] for 30 min. Supernatants were collected and protein concentrations were determined using a Bio-Rad protein assay kit (Bio-Rad, Hercules, CA, USA). In a parallel experiment, the mitochondrial and cytosolic fractions were isolated using a mitochondrial and cytosolic fractionation kit (Active Motif, Carlsbad, CA, USA) according to the manufacturer's protocol. Equal amounts of protein were separated on sodium dodecyl sulfate (SDS)-polyacrylamide gels. Separated protein was transferred to nitrocellulose membranes (Schleicher & Schuell, Keene, NH, USA) and subsequently blocked with tris-buffered saline (10 mM of Tris-Cl, pH 7.4) containing 0.5% Tween-20 and 5% non-fat dry milk for 1 h at room temperature. The proteins were probed with primary antibodies overnight at 4°C. After probing them with the primary antibodies, the membranes were incubated with horseradish peroxidase-conjugated anti-rabbit IgG as a secondary antibody purchased from Amersham Corporation (Arlington Heights, IL, USA). Using an enhanced chemiluminescence (ECL) detection system (Amersham Corporation), immunoreactive bands were detected and exposed to an X-ray film. Primary antibodies were purchased from Santa Cruz Biotechnology, Inc. (Santa Cruz, CA, USA), Cell Signaling Technology, Inc. (Danvers, MA, USA) and Abcam (Cambridge, UK).

**In vitro caspase activity assay.** The activities of the caspases were determined by colorimetric assay kits (R&D Systems, Minneapolis, MN, USA), which utilize synthetic tetrapeptides [Asp-Glu-Val-Asp (DEVD) for caspase-3; Ile-Glu-Thr-Asp (IETD) for caspase-8; Leu-Glu-His-Asp (LEHD) for caspase-9] labeled with p-nitroaniline (pNA). Briefly, cells were lysed in the supplied lysis buffer according to the manufacturer's protocol. The supernatants were collected and incubated with the supplied reaction buffer and DEVD-pNA, IETD-pNA or LEHD-pNA as substrates at 37°C. The reactions were measured by changes in absorbance at 405 nm using an ELISA plate reader (32).
Mitochondrial membrane potential (MMP) assay. The MMP of intact cells was measured by a flow cytometer using the lipophilic cationic probe 5,5',6,6'-tetrachloro-1,1',3,3'-tetraethylbenzimidazolylcarbocyanine iodide (JC-1; Calbiochem). U937 cells were collected and resuspended in PBS and then incubated with 10 µM JC-1 for 20 min at 37˚C. The cells were subsequently washed once with cold PBS, suspended and subsequently analyzed using a flow cytometer (33).

Statistical analysis. All data were derived from at least three independent experiments. Statistical analyses were conducted using SigmaPlot software, and values are presented as mean ± SD. Significant differences between the groups were determined using the unpaired Student’s t-test.

Results

Induction of apoptosis in U937 cells. We first evaluated the cytotoxic effect of EEPC on U937 cells using MTT assay and trypan blue exclusion method, and found that EEPC significantly reduced the cell viability and proliferation of U937 cells in a concentration- and time-dependent manner (fig. 1A-C). An additional experiment was conducted using Chang liver cells in order to examine the effect of EEPC on the viability of normal cells (Fig. 1D). EEPC concentrations up to 90 µg/ml did not induce cytotoxicity. Therefore, experiments were performed to determine whether this inhibitory effect of EEPC on U937 cell growth resulted from apoptotic cell death. As shown in Fig. 2A and B, EEPC induced U937 cell death with the characteristic apoptotic features, including cell shrinkage, and chromatin condensation and fragmentation in the nucleus as detected by DAPI staining. We next quantified the apoptotic dead cells using flow cytometric analysis to detect hypodiploid cell populations. As shown in Fig. 2C, treatment of U937 cells with EEPC resulted in a markedly increased accumulation of sub-G1 phase cells, and this response occurred in a concentration-dependent manner. These results suggest an association between the growth inhibition observed in response to EEPC and the induction of apoptosis in U937 cells.

Activation of caspases by EEPC in U937 cells. We next analyzed whether treatment with EEPC results in the activation of caspases, a cardinal hallmark of apoptosis, including two initiation caspases, caspase-8 and -9, and the executioner caspase-3. As shown in Fig. 3A, the western blot analysis revealed that the expression levels of pro-caspase-8, -9 and -3 were all decreased or the active forms of caspase-8 and -3 were increased in a concentration-dependent manner following EEPC treatment. In addition, quantitative determinations of caspase activities by colorimetric assays consistently showed that the activities of the three caspases were significantly increased by EEPC treatment (Fig. 3B). Furthermore, subsequent immunoblot analysis revealed that progressive proteolytic cleavage products of poly(ADP-ribose) polymerase (PARP), a downstream target protein of activated caspase-3 (34), occurred in U937 cells treated with EEPC. Under the same conditions, levels of the anti-apoptotic inhibitor of apoptosis proteins (IAP) family of proteins, such as XIAP, cIAP-1 and cIAP-2 (Fig. 4A), which bind to caspases and lead to their inactivation (35,36), were markedly inhibited by EEPC treatment in a concentration-dependent manner. These results indicated that EEPC may trigger initiator caspase-8 and -9 initially and subsequently activate the executioner caspase-3.
Modulation of Bcl-2 family members and release of cytochrome c from mitochondria to the cytosol by EEPC in U937 cells. Given that members of the Bcl-2 protein family are primarily responsible for initiating mitochondrial-mediated apoptosis, we next examined the levels of Bcl-2 family proteins using western blot analysis. As shown in Fig. 4B, EEPC evoked a concentration-dependent reduction in the level of anti-apoptotic Bcl-2 expression, whereas the expression level of pro-apoptotic Bax was markedly induced. The resultant alteration in Bcl-2 family protein expression apparently
lowered the ratio of the anti-apoptotic to the pro-apoptotic Bcl-2 family proteins, theoretically causing a disruption in mitochondrial membrane integrity and consequent cytosolic release of cytochrome c to initiate caspase-9 activation (37,38). Therefore, we performed an immunoblot analysis using cytosolic and mitochondrial fractions to examine the release of mitochondrial cytochrome c in EEPC-treated cells and found that EEPC treatment markedly induced a dose-dependent release of cytochrome c into the cytoplasm (fig. 4C).

Translocation of Bax from the cytosol to mitochondria and Bid truncation by EEPC in U937 cells. Accumulating evidence has demonstrated that Bax protein translocation to the mitochondria is a necessary step in cell activating mitochondrial-mediated apoptosis (39,40). As shown in fig. 4C, the levels of Bax in the cytosol declined in a concentration-dependent manner after EEPC treatment. In contrast, the Bax levels in the mitochondrial fraction increased, indicating that EEPC treatment markedly led to Bax translocation into the mitochondria from the cytosol. Moreover, under the same experimental conditions, EEPC caused a concentration-dependent cleavage of BH3-only Bid protein and the formation of its truncated form of Bid, tBid (Fig. 4B), which could translocate to the mitochondria to enhance the mitochondrial-mediated apoptosis pathway (41,42). These results suggest that EEPC reduces the Bcl-2/Bax ratio, inserts Bax from the cytosol into mitochondria, and activates Bid, resulting in mitochondrial dysfunction, release of cytochrome c to the cytosol and apoptosis induction.

Effects of Bcl-2 overexpression in EEPC-induced U937 cell apoptosis. Since it has been well established that Bcl-2 plays a critical role in the regulation of the mitochondrial-mediated apoptotic pathway, U937 cells stably overexpressing Bcl-2 were established and we determined the effect of overexpression of Bcl-2 on EEPC-induced apoptosis. As shown in Fig. 5A, western blot analysis revealed that Bcl-2 overexpression inhibited the EEPC-induced cleavage of caspase-3, -9 and PARP. In addition, the role of mitochondria in EEPC-induced apoptosis was further investigated by examining the effect of EEPC on MMP levels. As shown in fig. 5B, Bcl-2 overexpression was found to significantly block cells from EEPC-induced MMP level reduction when compared to the U937/vector cells. Furthermore, Bcl-2 overexpression was found to significantly protect cells from EEPC-induced morphological changes, accumulation of cells in the sub-G1 phase and growth inhibition (Fig. 6) when compared to U937/vector cells. Taken together, these results indicated that the ectopic expression of Bcl-2 inhibits EEPC-induced apoptosis.

Discussion

As the induction of apoptosis is well recognized as the primary cytotoxic mechanism for the anticancer effect of...
most chemotherapeutic agents, the pro-apoptotic effect and its underlying mechanisms of EEPC were herein investigated. Our results demonstrated that EEPC treatment led to the activation of both initiator caspase-8 and -9 and effector caspase-3, -9, and PARP, and loss of MMP in U937 cells. (A) The cellular morphology of cells was examined under a light microscope (original magnification, x200). (B) The cells were fixed and stained with DAPI solution. After a 10-min incubation at room temperature, stained nuclei were then observed using a fluorescence microscope (original magnification, x400). (C) The cells grown under the same conditions were collected, fixed and stained with PI for flow cytometric analysis. The percentages of cells in each cell cycle phase are presented. The data represent the average of two independent experiments. (D) Cell viability was determined by an MTT assay. Data are expressed as the mean ± SD of three independent experiments. The significance was determined by Student's t-test (*p<0.05 vs. U937/vector cells).
caspase-3, and the subsequent cleavage of PARP, in addition to the modulation of Bcl-2 and IAP family proteins. Furthermore, we found that EEPC induced the truncation of BH3-only Bid protein, translocation of Bax to the mitochondria, disruption of mitochondrial function with the loss of MMP and the release of cytochrome c into the cytosol. On the other hand, Bcl-2 overexpression significantly abolished EEPC-induced cell death.

Caspases, which are a family of cysteine acid proteases, exist as pro-enzymes in the cytosol of cells and are the central regulators for the execution of cell death in response to various apoptotic stimuli (43,44). Among two main apoptotic pathways, the death receptor pathway involves the engagement of a set of ligands and their corresponding receptors and then transmission of the apoptotic signal in the cytoplasm by a number of caspases such as caspase-8, forming the death-inducing signaling complex (3). This recruitment leads to the downstream activation of executioner caspase-3 and -7, and induction of apoptosis (45). Caspase-8 is involved in the activation of the mitochondrial pathway via the truncation of Bid, a BH3 domain containing a pro-apoptotic Bcl-2 family member (41,42). The mitochondrial pathway can be activated by various stimuli, such as DNA damage and many types of chemotherapeutic agents (3,46). In this pathway, the mitochondrial integrity is disrupted as a result of the loss of MMP, leading to the release of cytochrome c from the mitochondria to the cytosol where it can associate with apoptotic peptidase activating factor 1 (Apa1) and pro-caspase-9, leading to the activation of caspase-9 (37,38). Activated caspase-9 directly cleaves and activates the executioner caspases, which leads to the cleavage of several target proteins including PARP, chromatin condensation, DNA laddering and the formation of apoptotic bodies (34). Our data showed that EEPC promoted the activation of caspase-8 and -3, and concomitant PARP cleavage in U937 cells (Fig. 3). We also found the activation of caspase-9, an initiator caspase of the intrinsic pathway, and a sharp decline in Bid-complete levels, together with the cleavage of PARP, a caspase-3 substrate (Fig. 4B). Additionally, Bcl-2 overexpression blocked the EEPC-induced loss of MMP in U937 cells, which indicates that Bcl-2 has a regulatory function upstream of the mitochondria (Fig. 5B). Furthermore, Bcl-2 overexpression was found to significantly protect cells from EEPC-induced apoptosis and growth inhibition, compared to U937/vector cells (Fig. 6), indicating that Bcl-2 plays a critical role in the EEPC-induced apoptosis in U937 cells.

In summary, our results demonstrated that EEPC-induced apoptosis in human leukemia U937 cells is associated with the activation of caspases at the initiative and executional stages via mitochondrial-mediated and extrinsic pathways. The results of the present study demonstrated that EEPC treatment requires a mitochondrial amplification step to enable the full activation of the caspase cascade and apoptosis induction in U937 cells to occur. In addition, our results demonstrated that Bcl-2 overexpression inhibits EEPC-induced apoptosis by exerting effects at the mitochondrial level, as well as downstream to the mitochondria. Although additional in vivo studies are needed to establish the role of EEPC as a chemopreventive and/or therapeutic agent for leukemia and other cancers, these findings provide further elucidation of the mechanisms involved in EEPC-induced apoptosis.

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References


