

# Neferine induces apoptosis by modulating the ROS-mediated JNK pathway in esophageal squamous cell carcinoma

KANG AN\*, YUEHAN ZHANG\*, YINGJIAO LIU, SHENGXI YAN, ZHAOWEI HOU, MENG CAO, GUANGKUO LIU, CONGCONG DONG, JUNCHAO GAO and GAIFANG LIU

Department of Gastroenterology, Hebei General Hospital, Shijiazhuang, Hebei 050051, P.R. China

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**Abstract.** Current treatments for esophageal squamous cell carcinoma (ESCC) have limited efficacy. Therefore, the development of novel therapeutic targets to effectively manage the disease and boost survival rates is imperative. Neferine, a natural product extracted from *Nelumbo nucifera* (lotus) leaves, has been revealed to inhibit the growth of hepatocarcinoma, breast cancer and lung cancer cells. However, its effect on ESCC is unknown. In the present study, it was revealed that neferine exerted anti-proliferative effects in ESCC. It was also revealed that it triggered arrest of the G<sub>2</sub>/M phase and enhanced apoptosis of ESCC cell lines. Moreover, its ability to trigger accumulation of reactive oxygen species (ROS) and activate the c-Jun N-terminal kinase (JNK) pathway was demonstrated. Further study revealed how N-acetyl cysteine (NAC), a ROS inhibitor, attenuated these effects, demonstrating that ROS and JNK inhibitors mediated a marked reversal of neferine-triggered cell cycle arrest and apoptosis in ESCC cells. Finally, it was revealed that neferine was involved in the inhibition of Nrf2, an antioxidant factor. Collectively, these findings demonstrated the antitumor effect of neferine in ESCC, through the ROS-mediated JNK pathway and inhibition of Nrf2, indicating its potential as a target for development of novel and effective therapeutic agents against ESCC.

## Introduction

Esophageal carcinoma (EC), that occurs in the squamous or glandular epithelium of the esophagus, represents the predominant type of gastrointestinal tumors (1,2). EC is

further divided into esophageal squamous cell carcinoma (ESCC) and esophageal adenocarcinoma (3). Approximately 300,000 esophageal carcinoma-related deaths occur every year worldwide, with its incidence and mortality rates varying widely across countries. In China, studies indicate that ~150,000 people succumb to EC every year, with >90% of them diagnosed with ESCC (4). Although some progress has been achieved with regard to diagnosis and treatment of ESCC, its five-year survival rate remains low (2). It is, therefore, imperative to develop antitumor drugs that effectively improve the overall survival rates, while conferring low toxicity to patients. During the development of antitumor drugs, numerous focus has been directed towards active compounds from natural products. For example, paclitaxel, a new anti-microvascular drug extracted from the bark of *Taxus brevifolia*, has been used in the treatment of ESCC and ovarian carcinoma (5,6). Similarly, vincristine, an alkaloid extracted from *Catharanthus roseus*, is widely applied in the treatment of germinoma (7) and lymphoma (8). In addition, Neferine (Fig. 1A), an alkaloid extracted from *Nelumbo nucifera* (lotus), has been revealed to actively reduce blood lipid levels and anti-inflammation, and recently it was reported to exert antitumor effects in multiple tumor cells (9,10). Xu *et al* (11) revealed that neferine suppressed the proliferation of ovarian carcinoma cells by provoking autophagy. In osteosarcoma, neferine inhibited cell proliferation by triggering cell cycle arrest (12). However, its effects on ESCC remain unknown.

Abnormal alterations in the cell cycle is a hallmark of cancer, and has been extensively exploited as a major target for development of treatment therapies (13,14). Previous studies have demonstrated that cyclins, along with cyclin-dependent kinases (CDKs), are key regulators of cell cycle progression. For instance, cyclin B1 is required to initiate mitosis by modulating phosphorylation or dephosphorylation of proteins (15). In addition, a series of CDKs, such as p21, have been reported to function as regulators during activation of cyclin B1 (16).

Apoptosis refers to the active and orderly death of cells that maintains homeostasis of the internal environment under physiological or pathological conditions (17,18). Exposure of cells to internal pro-apoptotic factors, such as activators of oncogenes, agents that cause DNA damage, cell hypoxia, and deficiency of cell growth factors, has been revealed to activate apoptosis of mitochondrial cells (19). Previous studies have

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*Correspondence to:* Dr Gaifang Liu, Department of Gastroenterology, Hebei General Hospital, 348 West HePing Boulevard, Shijiazhuang, Hebei 050051, P.R. China  
E-mail: srmxhk@163.com

\*Contributed equally

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demonstrated that dysregulation of apoptosis can result in a variety of human diseases, including development and regression of tumors (20,21). In fact, dysregulation-related resistance to apoptosis is one of the causes for tumorigenesis (22).

Reactive oxygen species (ROS) has been implicated in tumorigenesis. Particularly, a moderate ROS level is required for cell proliferation but excessive production of ROS induces apoptosis causing cell death (23). Furthermore, ROS has been revealed to modulate various pathways, including the c-Jun N-terminal kinase (JNK), which is a key regulator of apoptosis (24,25). Recent studies demonstrated that the level of ROS could be tightly controlled by the cellular antioxidant system. The transcription factor nuclear factor erythroid 2-related factor 2 (Nrf2) is deemed as the master regulator of the antioxidant system. Although consistently exposed to a high level of ROS, cancer cells could survive by upregulating the expression of Nrf2. In cerebral ischaemia/reperfusion injury, the oxidative damage caused by overproduced ROS could be removed by enhancing the expression of Nrf2 (26-28). Hence, Nrf2 is usually regarded as an upstream molecule of ROS.

The present study investigated the potential anticancer activity of neferine in ESCC cells. It was revealed that neferine induced cell cycle arrest and apoptosis in these cells. Mechanistically, neferine induced ROS-mediated activation of the JNK signaling pathway by inhibiting Nrf2. Collectively, these findings demonstrated that neferine holds great promise as a treatment for ESCC.

## Materials and methods

**Reagents.** Neferine (purity >98%) was acquired from Selleckchem, whereas tert-Butylhydroquinone (tBHQ; B105351), N-acetyl-L-cysteine (NAC; A105421) and SP600125 (SP; S125267) were purchased from Shanghai Aladdin Biological Technology Co., Ltd. Neferine was dissolved in DMSO (Sigma-Aldrich; Merck KGaA) at a concentration of 100 mM as a primary stock solution and the desired concentration of neferine for each experiment was obtained via thinned with RPMI-1640 medium with 10% FBS, immediately before use. The concentration of DMSO was lower than 1:3,000 in all experiments.

**Cell lines and cultures.** Cancer cell lines, KYSE30, KYSE150 and KYSE510, were obtained from Fengh Biotech and maintained in RPMI-1640 medium, supplemented with 10% FBS (HyClone; GE Healthcare Life Sciences). The cultures were incubated at 37°C, and 5% CO<sub>2</sub>.

**Cell viability assay.** Approximately 4,000 cells/well, were seeded overnight in 96-well plates, then treated with varying concentrations (0, 5, 10, 15, 20 and 30  $\mu$ m) of neferine for 24 and 48 h at room temperature. Cell viability was determined by detecting the absorbance at OD 450 nm using 10  $\mu$ l Cell Counting Kit-8 (NCM Biotech) according to the manufacturer's instructions.

**Clone formation assay.** Approximately 1,000 cells/well, earlier incubated with the aforementioned neferine concentrations, were seeded in 6-well plates and cultured for 14 days. The

colonies were then washed with PBS, fixed with 75% ethanol at room temperature for 15 min and stained using 0.1% crystal violet for another 15 min. Finally, images were obtained using a digital camera (Olympus) and the number of forming colonies (>10 cells per colony) was measured by Gel Imaging Analysis System (Syngene).

**Apoptosis assays.** Approximately 5x10<sup>4</sup> cells were collected, following overnight incubation with various neferine concentrations (0, 10, 15 and 20  $\mu$ m), then incubated with 5  $\mu$ l Annexin V-FITC and 5  $\mu$ l propidium iodide (Neobioscience) in darkness for 15 min at room temperature. Thereafter, apoptosis was assessed using a flow cytometer (BD Biosciences) and the results were analyzed with FlowJo analysis software (version 10.0; FlowJo LLC).

**Analysis of the cell cycle.** Approximately 2x10<sup>5</sup> cells were plated in a well of 6-well plates for 24 h, followed by another 24 h incubation with neferine at 37°C. The cultures were then collected and fixed with ice-cold 70% ethanol at 4°C overnight, followed by determination of cell cycle distribution using a Cell Cycle Detection Kit (Nanjing KeyGen Biotech Co., Ltd.) according to the manufacturer's instructions.

**Western blot analysis.** Profiles of protein expression were analyzed using western blot as previously described (21). The primary antibodies used in the present study included E-cadherin (product code ab194982), N-cadherin (product code ab18203), cyclin B1 (product code ab32053), p21 (product code ab109520), Bax (product code ab32503), Nrf2 (product code ab137550), and phosphorylated (p)-JNK (product code ab4821) (all from Abcam), caspase-3 (cat. no. 19677-1-AP), Bcl-2 (cat. no. 12789-1-AP), Beclin-1 (cat. no. 11306-1-AP), JNK (cat. no. 24164-1-AP) and caspase-9 (cat. no. 10380-1-AP) (all from ProteinTech Group, Inc.).  $\beta$ -actin (cat. no. A19788) (Sigma-Aldrich; Merck KGaA) was included as an internal control. The blots were then incubated with horseradish peroxidase (HRP)-conjugated secondary antibodies including anti-mouse IgG (dilution 1:2,000; cat. no. 7076) and anti-rabbit (dilution 1:2,000; cat. no. 7074; both from Cell Signaling Technology, Inc.). The protein bands were analyzed using ImageQuant LAS 4000/4010 (GE Healthcare).

**Determination of ROS production.** Levels of ROS produced were measured using a ROS Assay kit (Nanjing KeyGen Biotech Co., Ltd.), according to the manufacturer's instructions, then the results analyzed using fluorescent microscopy (magnification, x100) and a flow cytometer (FACScan; BD Biosciences) with FlowJo analysis software (version 10.0; FlowJo LLC).

**Statistical analyses.** All experiments were conducted using at least three replicates, and the results were presented as the means  $\pm$  standard deviations (SD) of the means. A one-way analysis of variance (ANOVA) was used to compare differences among multiple groups with Tukey's post hoc test. An unpaired Student's t-test was employed for comparisons between two groups. All data analyses were performed in GraphPad Prism version 7 (GraphPad Software, Inc.) or SPSS 22.0 (IBM Corp).

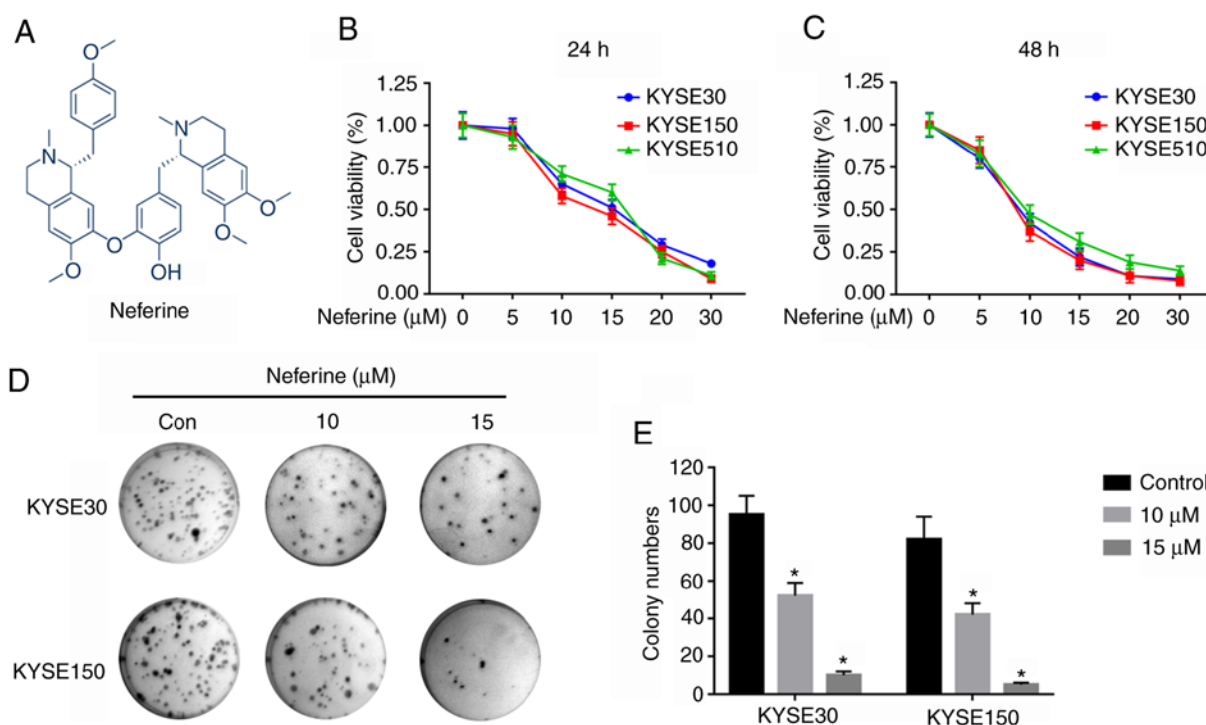


Figure 1. Anti-proliferative effects of neferine in ESCC cell lines. (A) Neferine structure. (B and C) KYSE30, KYSE150 and KYSE510 cells were treated with neferine (0, 10 and 15  $\mu$ M) for (B) 24 h and (C) 48 h. Cell viability was detected by the CCK-8 assay. (D and E) Results of colony formation assays in KYSE30 and KYSE150 cells incubated with neferine (0, 10 and 15  $\mu$ M). \* $P < 0.05$  vs. 0  $\mu$ M of neferine or vs. the control. ESCC, esophageal squamous cell carcinoma; CCK-8, Cell Counting Kit-8.

## Results

**Neferine suppresses cell proliferation.** To explore the antiproliferative activity of neferine on ESCC cells, three cell lines (KYSE30, KYSE150 and KYSE510) were incubated with varying (0, 5, 10, 15, 20 or 30  $\mu$ M) concentrations for 24 h (Fig. 1B) and 48 h (Fig. 1C) and the viability of the cells was determined using a CCK-8 assay. The results revealed that neferine hindered the proliferation of the three ESCC cell lines. Notably, the  $IC_{50}$  values, after 24 h, were  $14.16 \pm 0.911$ ,  $13.03 \pm 1.162$ , and  $14.67 \pm 1.353$   $\mu$ M in KYSE30, KYSE150, and KYSE510 cells, respectively. A colony formation assay, performed to evaluate the long-term effects of neferine on proliferation of ESCC cells (Fig. 1D) revealed suppression of clonogenicity in KYSE30 and KYSE150 relative to the control group (Fig. 1E). Collectively, these results indicated that neferine hindered proliferation of ESCC cells.

**Neferine induces cell cycle arrest.** To ascertain whether neferine hinders ESCC proliferation by regulating cell cycle distribution, KYSE30 and KYSE150 cells were exposed to 4 different neferine concentrations (0, 10, 15 and 20  $\mu$ M) for 24 h, and then cell cycle distribution was analyzed using flow cytometry (Fig. 2A). A higher  $G_2/M$ -phase distribution in neferine-treated KYSE30 and KYSE150 cells relative to the control group (Fig. 2B) was revealed. The proportion of  $G_2/M$  phase cells in KYSE30 cells was  $9.7 \pm 0.91\%$  in the untreated group, while the percentages from neferine treatment were  $15.1 \pm 1.37$  (10  $\mu$ M),  $22.8 \pm 1.84\%$  (15  $\mu$ M) and  $33.0 \pm 3.25\%$  (20  $\mu$ M). In KYSE150 cells, the proportions of  $G_2/M$  phase cells were of  $10.2 \pm 0.92\%$  (untreated),  $16.3 \pm 2.32\%$

(10  $\mu$ M),  $25.3 \pm 2.45\%$  (15  $\mu$ M) and  $33.2 \pm 3.41\%$  (20  $\mu$ M). Next, western blot analysis was used to detect the expression of cyclin B1 and p21, and the results revealed that neferine mediated a downregulation of cyclin B1, but upregulation of p21 expression (Fig. 2C and D). These results indicated that neferine inhibited growth of ESCC cells by modulating cell cycle-related proteins.

**Neferine induces cell apoptosis.** Apoptosis assays were performed to investigate the ability of neferine to inhibit proliferation of ESCC. The results revealed significantly higher apoptosis in neferine-treated KYSE30 and KYSE150 cells, relative to the control group (Fig. 3A and B). Specifically, apoptosis rates in KYSE30 cells were  $2.13 \pm 0.67\%$  for the untreated group, while those in neferine-treated cells were  $16.55 \pm 2.45\%$  (10  $\mu$ M),  $24.2 \pm 3.67\%$  (15  $\mu$ M) and  $53.2 \pm 5.31\%$  (20  $\mu$ M). In KYSE150 cells, the apoptotic rates were  $3.21 \pm 0.72\%$  (untreated),  $15.31 \pm 3.22\%$  (10  $\mu$ M),  $27.3 \pm 3.45\%$  (15  $\mu$ M) and  $43.2 \pm 4.21\%$  (20  $\mu$ M). Furthermore, neferine treatment significantly increased the expression levels of cleaved PARP as well as cleaved caspase-3 and 9, but downregulated the expression of Bcl-2 (Fig. 3C and D). These findings indicated that neferine successfully induced apoptosis in ESCC cells.

**Neferine increases ROS production and activates the JNK pathway.** Neferine has been demonstrated to cause excessive ROS production leading to apoptosis (12). Based on this, its ability to induce ROS production in ESCC cells was explored by treating KYSE30 and KYSE150 cells with 10, 15 and 20  $\mu$ M of neferine for 12 h (Fig. 4A), and then sequentially exposing them to 15  $\mu$ M for 6, 12 and 24 h (Fig. 4B). The

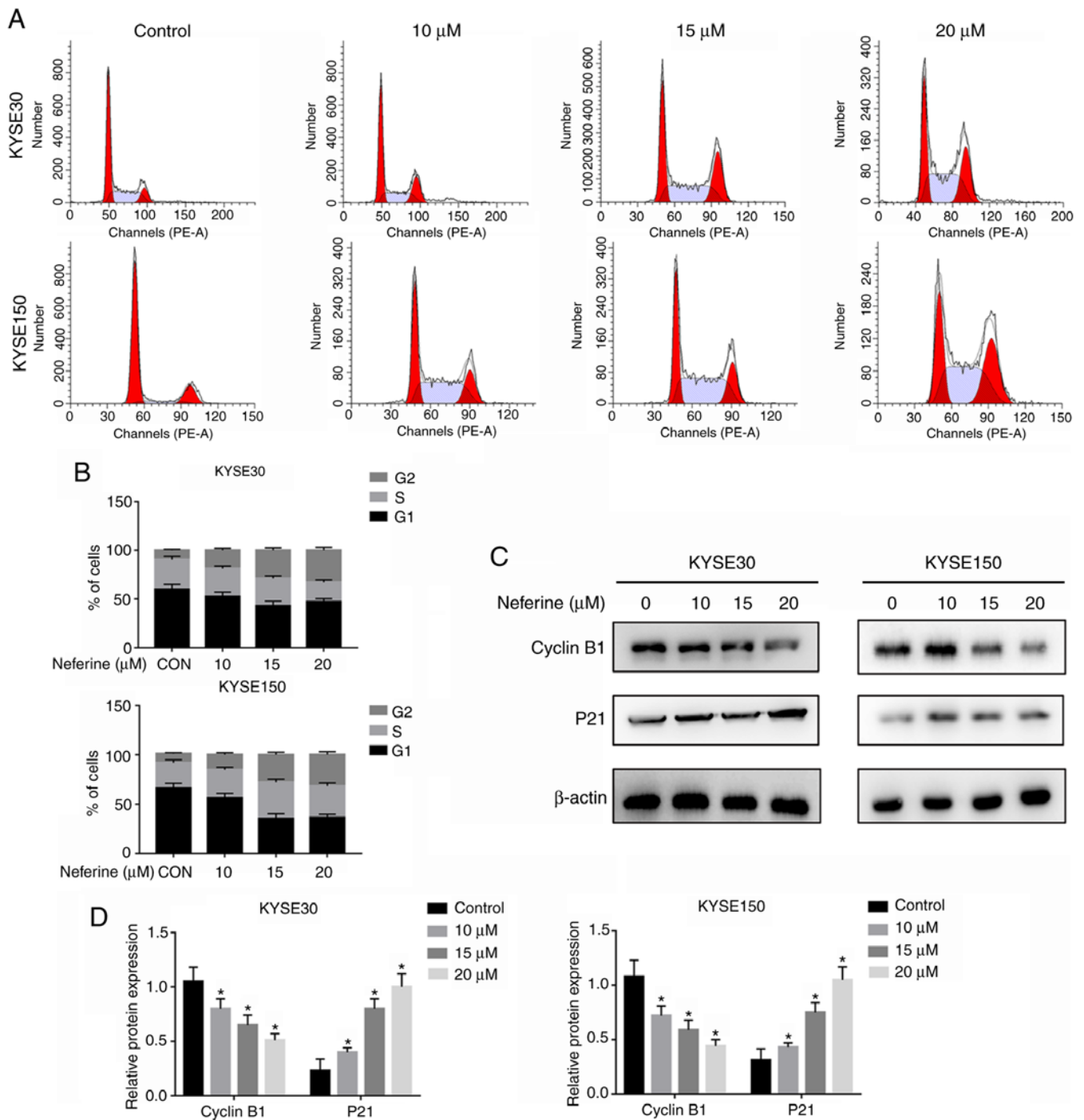


Figure 2. Neferine-triggered cell cycle arrest in ESCC cells. (A and B) KYSE30 and KYSE150 cells were incubated with neferine (0, 10, 15 and 20  $\mu\text{M}$ ) for 24 h, and cell cycle distribution was assessed via flow cytometry. (C and D) KYSE30 and KYSE150 were incubated with neferine (0, 10, 15 and 20  $\mu\text{M}$ ) for 24 h. The expression of cyclin B1 and p21 was detected via western blot analysis. \* $P < 0.05$  vs. the control. ESCC, esophageal squamous cell carcinoma.

results revealed significantly higher intracellular ROS levels at 6, 12 and 24 h, in neferine-treated cells relative to the control group. Similarly, higher ROS levels were detected in KYSE30 and KYSE150 cells exposed to higher, compared to lower neferine concentrations (Fig. 4A). Then, the antioxidant, NAC was analyzed, using fluorescence microscopy (Fig. 4C) and flow cytometry (Fig. 4D) and it was revealed that this ROS inhibitor significantly reduced the production of ROS in ESCC cells following neferine treatment. Numerous studies have reported a close relationship between ROS production and

activation of the JNK pathway (29,30). Therefore, the expression of JNK pathway-related proteins was assessed using western blot analysis and the results revealed significantly higher levels of JNK phosphorylation in neferine-treated ESCC cells (Fig. 4D and E).

*Neferine triggers cell cycle arrest and apoptosis by increasing ROS production.* Since the antioxidant NAC could significantly reduce the generation of ROS, subsequently, the effects of neferine-mediated ROS accumulation on apoptosis and cycle

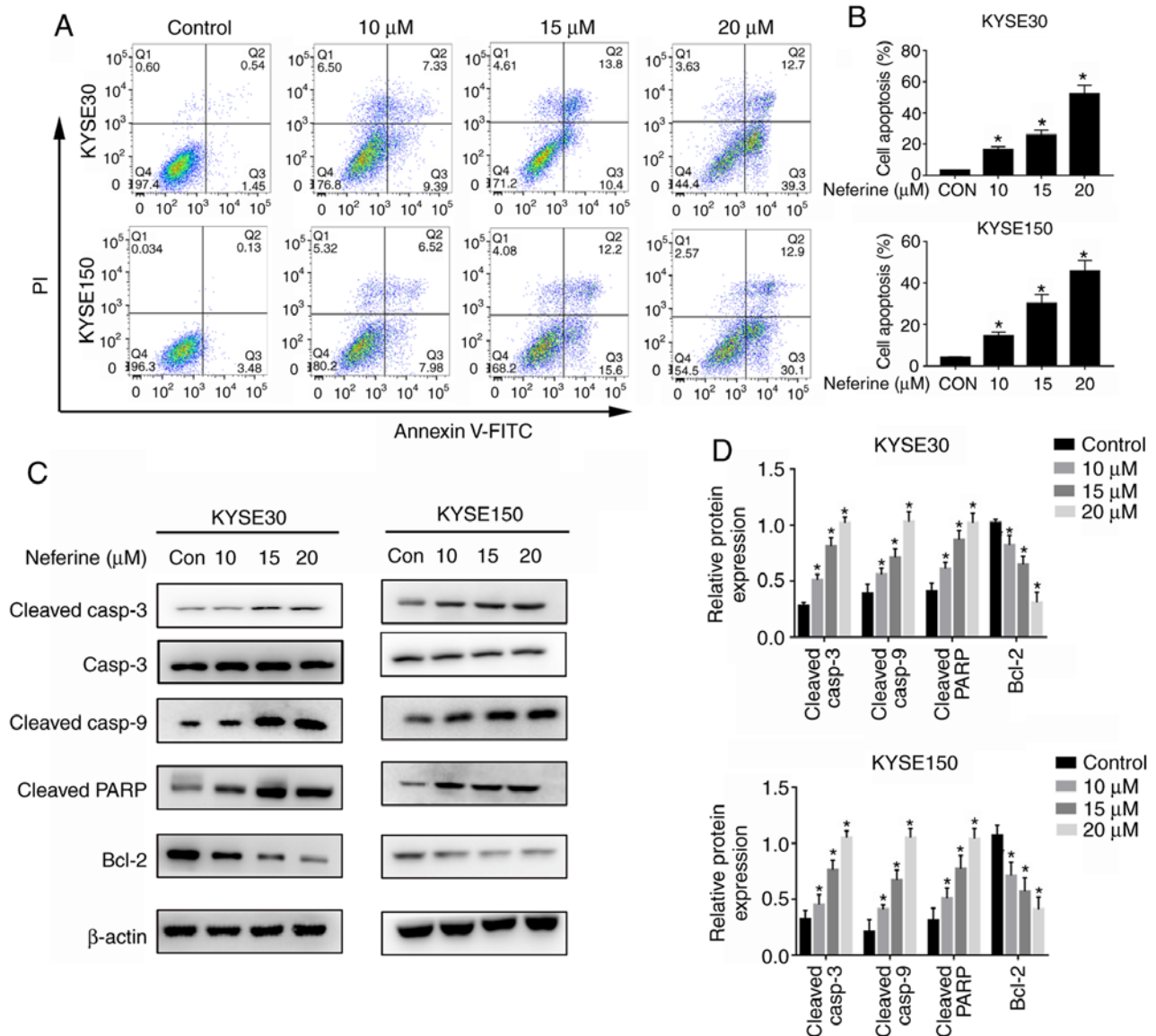


Figure 3. Neferine induces apoptosis in ESCC cells. (A and B) KYSE30 and KYSE150 cells were incubated with neferine (0, 10, 15 and 20  $\mu$ M) for 24 h, and cells apoptosis was determined via flow cytometry. (C and D) KYSE30 and KYSE150 were incubated with neferine (0, 10, 15 and 20  $\mu$ M) for 24 h, after which the expression of apoptosis-related proteins was detected by western blot analysis. \* $P < 0.05$  vs. the control. ESCC, esophageal squamous cell carcinoma.

arrest in ESCC cells were investigated using NAC. Flow cytometric results revealed that 2 h pretreatment with 10 mM NAC could reverse neferine-induced apoptosis (Fig. 5A and B), downregulate the expression of apoptotic-related proteins, cleaved caspase-3, cleaved caspase-9 and cleaved PARP (Fig. 5C and D) and decrease the neferine-induced G<sub>2</sub>/M cell cycle arrest (Fig. 5E and F). These results indicated that neferine may affect apoptosis and the cell cycle of ESCC cells by inducing ROS accumulation.

*Neferine triggers cell cycle arrest and apoptosis by activating the JNK pathway.* The aforementioned findings suggested that neferine induced activation of the JNK pathway, which is closely associated with cell proliferation, the cell cycle and apoptosis. Consequently, the effects of neferine-mediated activation of the JNK pathway in apoptosis and cycle arrest in ESCC cells were explored, using SP, an inhibitor of the JNK pathway. Flow cytometric results revealed that 2 h

pretreatment with 15  $\mu$ M SP could reverse neferine-induced apoptosis (Fig. 6A and B) and downregulate the expression of apoptotic-related proteins; cleaved caspase-3, cleaved caspase-9 and cleaved PARP (Fig. 6C and D). In addition, SP-mediated JNK inhibition resulted in a decrease of G<sub>2</sub>/M cell cycle arrest (Fig. 6E and F). These results indicated that neferine may affect apoptosis and the cell cycle of ESCC cells by regulating activation of the JNK signaling pathway.

*Neferine triggers the ROS-mediated JNK pathway with Nrf2 inhibition.* It was further explored whether ROS participates in the activation of the JNK signaling pathway. Results of the western blot assay revealed that NAC successfully reversed the phosphorylation levels of JNK induced by neferine (Fig. 7A and B). However, SP failed to reduce neferine-induced ROS production (Fig. 7C). These results indicated that neferine induced activation of the JNK signaling pathway by stimulating production of ROS. In addition, it was observed that neferine



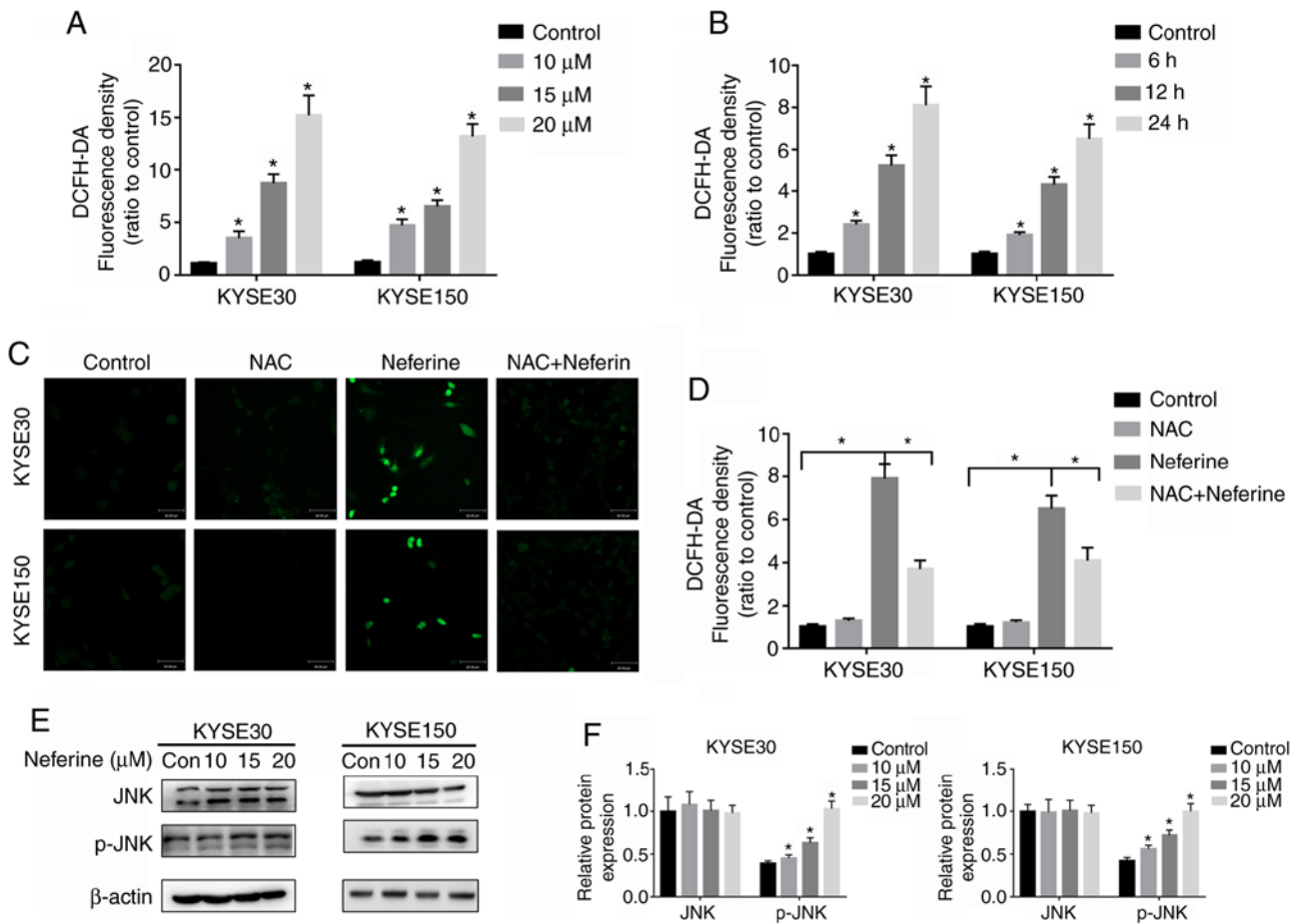


Figure 4. Neferine induces the production of ROS and activates the JNK pathway in ESCC cells. KYSE30 and KYSE150 cells were pretreated with (A) 10, 15 and 20  $\mu$ M neferine for 12 h and incubated with (B) 15  $\mu$ M for 6, 12 and 24 h. The ROS levels were assessed using a flow cytometer. (C and D) KYSE30 and KYSE150 cells were treated with 15  $\mu$ M neferine with or without 10 mM NAC for 12 h, and the ROS levels were determined using fluorescence microscopy. (E and F) KYSE30 and KYSE150 cells were treated with neferine (0, 10, 15 and 20  $\mu$ M) for 24 h, and the expression of JNK and p-JNK was detected by western blotting. \* $P$ <0.05 vs. the control or otherwise indicated in the image. ROS, reactive oxygen species; JNK, c-Jun N-terminal kinase; ESCC, esophageal squamous cell carcinoma; NAC, N-acetyl cysteine; p-JNK, phosphorylated JNK.

inhibited the expression of antioxidant, Nrf2 (Fig. 7D and E). Specifically, ESCC cells pretreated with Nrf2 activator, tBHQ, partially offset the proliferation inhibition effect of neferine on ESCC, compared with the control group (Fig. 7F). These data indicated that neferine could inhibit Nrf2 expression, stimulate production of ROS and activate the JNK signaling pathway. Moreover, the compound could also induce apoptosis and cell cycle arrest in ESCC, which indicates a potential role in management of esophageal carcinoma.

## Discussion

Esophageal squamous cell carcinoma is an aggressive malignancy (1). Currently, ESCC patients are mainly treated using surgical approaches, as well as by radiotherapy or chemotherapy, depending on the pathological results. Although chemotherapeutic drugs improve outcomes of esophageal carcinoma patients to a certain extent, the prognosis of ESCC remains dismal (2). Although several antitumor drugs such as paclitaxel (6), vincristine (8) and colchicine (31), are available for clinical application, they have limited efficacy in killing tumor cells. This indicates that more effective anticancer drugs should be designed to satisfy this unmet clinical need. In

the present study, the antitumor effect of neferine, an alkaloid extracted from lotus leaves on ESCC was investigated. The results revealed that it inhibited proliferation of esophageal carcinoma cells, thereby causing G<sub>2</sub>/M phase arrest and inducing apoptosis. In addition, neferine activated the JNK signaling pathway by stimulating production of a high level of ROS and inhibiting Nrf2. Overall, these findings indicated that neferine possesses a significant anti-esophageal carcinoma effect.

Targeting the cell cycle has been a major approach in the development of cancer treatments. Consequently, numerous cell cycle-specific agents, including methotrexate, vinblastine and cytarabine (32,33), have been identified and are widely applied in the clinical management of malignant tumors. Previous studies have revealed that neferine inhibits progression of multiple malignancies, through cell cycle arrest, although this effect varies (12). For instance, Pham *et al* revealed that neferine hindered proliferation of human neuroblastoma by blocking G<sub>2</sub>/M cell cycle progression (9), while Xu *et al* revealed that it could cause G<sub>1</sub>-phase arrest in ovarian carcinoma cells by upregulating p21 and p27 (11). In the present study, it was observed that neferine treatment increased the G<sub>2</sub>/M phase in ESCC cells by downregulating the expression of cyclin B1

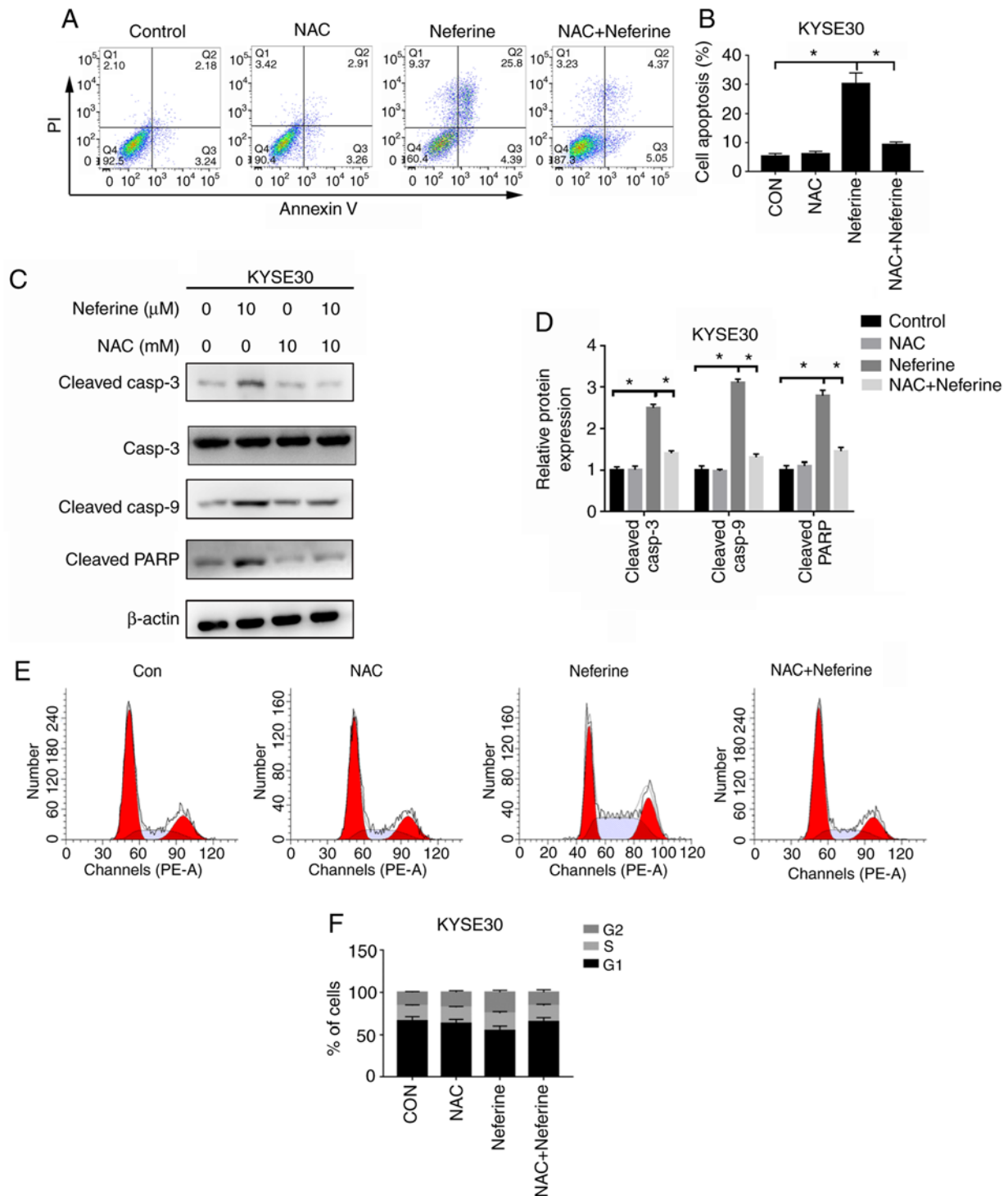


Figure 5. Effect of the JNK pathway in neferine-induced apoptosis and cell cycle arrest. KYSE30 were treated with 15  $\mu$ M neferine with or without 10 mM NAC for 12 h, and (A and B) cell apoptosis was determined by flow cytometry. (C and D) The expression levels of apoptosis-related proteins were determined by western blot analysis. (E and F) The distribution of the cell cycle as determined by flow cytometry. \* $P < 0.05$  vs. the control or otherwise indicated in the image. JNK, c-Jun N-terminal kinase; NAC, N-acetyl cysteine.

while upregulating that of p21. The Cdk1/cyclin B1 complex is a crucial initiator of mitosis, and has been reported to coordinate G<sub>2</sub>/M progression (34). Studies have revealed that activation of this complex can be blocked by upregulating p21 (34,35). In the present study, neferine suppressed proliferation of tumor cells, by blocking cell cycle progression, although the mechanisms underlying this phenomenon are unclear and require further investigation.

Apoptosis, also known as programmed cell death, was proposed by Kerr *et al* and refers to an active and inherent programmed phenomenon (36). During this process, cells maintain a balance of quantity through proliferation and apoptosis, but once this balance is broken, it results in certain diseases, such as cancer. Understanding the relationship between apoptosis and cancer development presents new insights into development of novel strategies for treatment

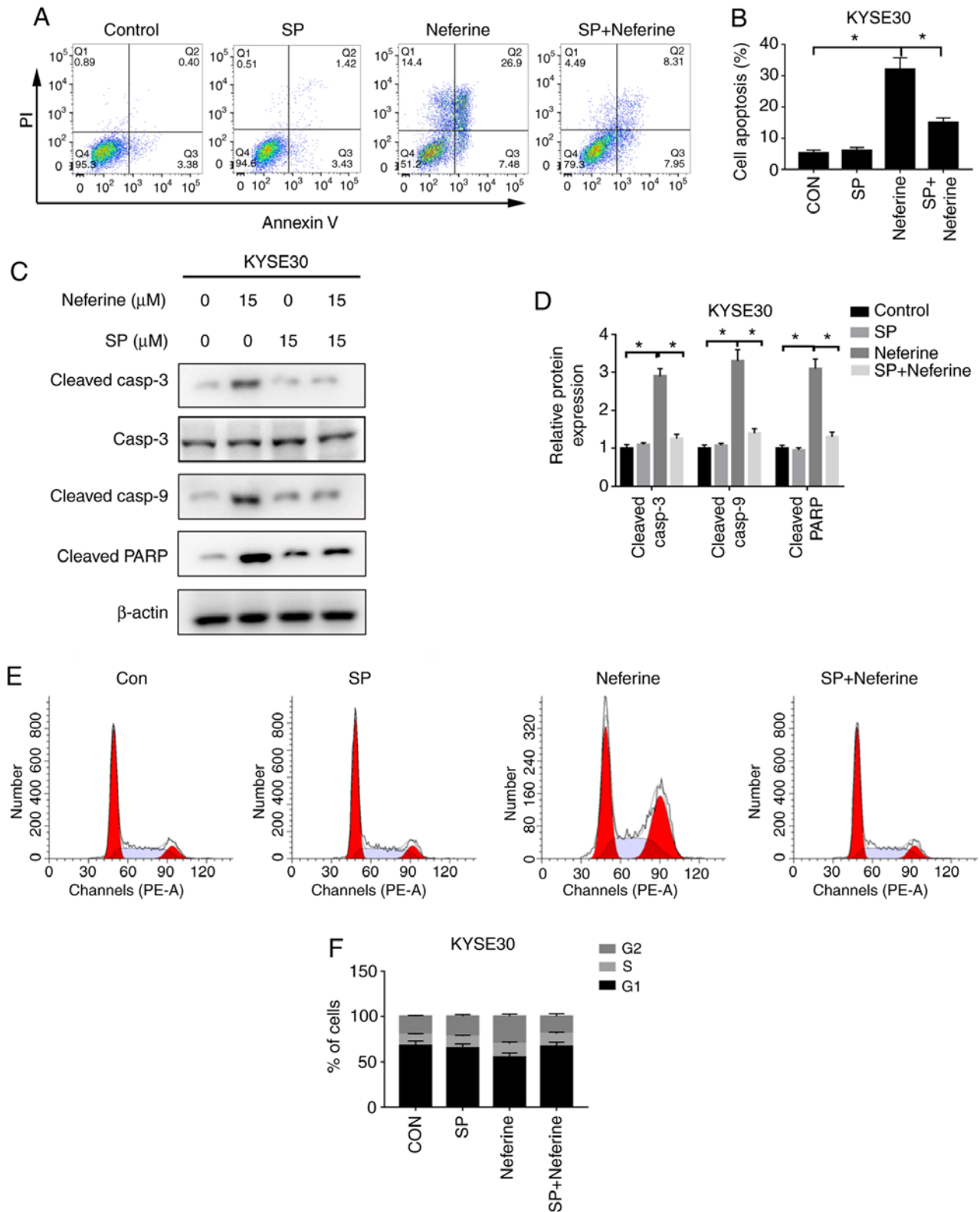


Figure 6. Role of ROS in neferine-induced apoptosis and cell cycle arrest. KYSE30 cells were treated with 15  $\mu$ M neferine with or without 10 mM NAC for 12 h, and then (A and B) cells apoptosis was determined by flow cytometry. (C and D) The expression levels of apoptosis-related proteins by western blot analysis. (E and F) Determination of the cell cycle distribution by flow cytometry. \* $P < 0.05$  vs. the control or otherwise indicated in the image. ROS, reactive oxygen species.

of the disease. Consequently, a variety of anticancer drugs have recently been revealed to inhibit progression of tumor cells by inducing apoptosis. For instance, schisantherin (37) hindered proliferation of human gastric cancer cells by

triggering apoptotic cell death. In the present study, activation of caspase-3/9 and PARP was closely associated with the apoptosis pathway. In addition, neferine treatment induced apoptosis, mediated upregulation of the expression of cleaved



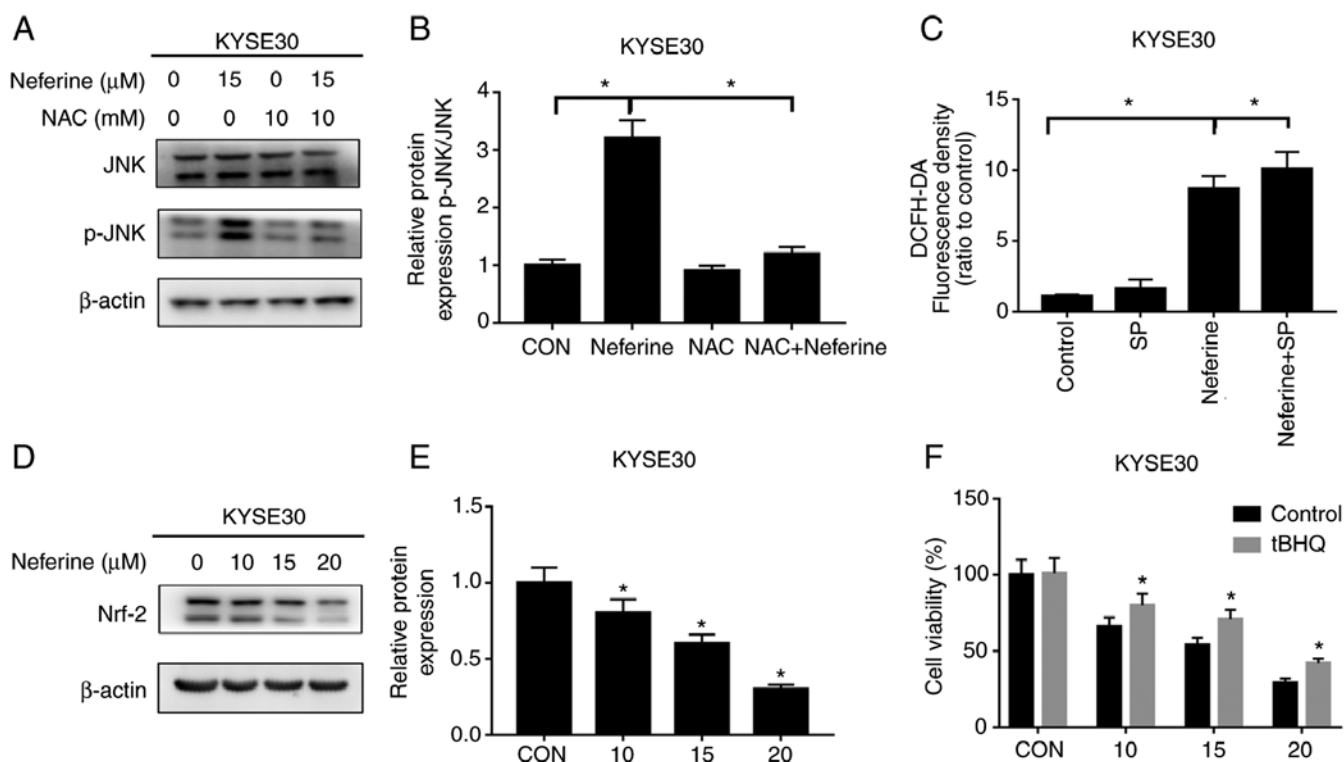


Figure 7. Neferine induces ROS production and activates JNK signaling by inhibiting Nrf2 expression. (A and B) Cells were treated with 15  $\mu$ M neferine with or without 10 mM NAC for 12 h, and the expression of p-JNK and JNK was determined by western blotting. (C) KYSE30 cells were treated with 15  $\mu$ M neferine with or without 15 mM SP for 12 h, and the ROS levels were assessed using flow cytometry. (D and E) Cells were treated with 10, 15 and 20  $\mu$ M neferine for 12 h, and the expression of Nrf2 was determined by western blot analysis. (F) Cells were treated with 0, 10, 15 and 20  $\mu$ M of neferine, with or without 20  $\mu$ M tBHQ for 12 h, and then cell viability was determined with a CCK-8 assay. \* $P$ <0.05 vs. the control or otherwise indicated in the image. ROS, reactive oxygen species; JNK, c-Jun N-terminal kinase; NAC, N-acetyl cysteine; SP, SP600125; tBHQ, tert-butylhydroquinone.

caspase-3/9 and PARP, and also resulted in the downregulation of Bcl-2. Collectively, these results indicated that neferine induced the apoptosis pathway in ESCC.

Recent studies have shown that ROS accelerates the death of tumor cells, thereby effectively treating cancer (38,39). High production of ROS decreases the mitochondrial transmembrane potential and the release of cytochrome *c*, which then activates a series of caspases, thereby inducing cell apoptosis. In the present study, it was observed that neferine triggered ROS production in a time- and dose-dependent manner, whereas pretreatment with NAC, an inhibitor of ROS, reversed neferine-induced cell cycle arrest and apoptosis. In addition to apoptosis, neferine-triggered ROS production resulted in cell cycle arrest.

Stress-activated kinases, JNK, are activated by natural compounds such as longikaurin A (40), isoliensinine (41) and ampelopsin (42). In addition, studies have revealed that ROS activates the JNK signaling pathway and promotes tumor cell apoptosis (17,38,43). Similarly, in the present study it was revealed that neferine treatment increased the levels of JNK phosphorylation in esophageal carcinoma cells. Conversely, pretreatment with SP, an inhibitor of the JNK pathway, partially offset neferine-induced cell cycle arrest and apoptosis. Furthermore, pretreatment with NAC attenuated activation of the JNK pathway, however the addition of the JNK inhibitor failed to reduce increase of neferine-induced ROS. These results indicated that neferine induced cell cycle arrest and apoptosis through the ROS-mediated JNK pathway.

The present results revealed that neferine-treated esophageal carcinoma cells exhibited downregulation of Nrf2 expression, and this was partially offset by Nrf2 activator tBHQ. It was therefore hypothesized, that neferine may inhibit the expression of Nrf2, induce production of ROS and activate the JNK signaling pathway, thus causing cell cycle arrest and inducing apoptosis. Nrf2 is an antioxidant factor that regulates cellular redox state and is highly expressed in a variety of tumor cells (44). In addition, this factor induces and regulates the expression of a series of antioxidant protein groups, and has been found to reduce cell damage caused by ROS and electrophiles, thereby maintaining the cell in a stable state and the dynamic balance of redox reaction (27,44,45). However, there are certain limitations to the present study. All the experiments were performed at the cellular level and the effect of neferine on esophageal carcinoma requires *in vivo* validation in a future study.

In summary, the present study revealed that neferine can successfully suppress the growth of ESCC cells by blocking cell cycle progression and triggering apoptosis. Furthermore, ROS production and JNK phosphorylation are required in arresting cell cycle progression and triggering apoptosis. Overall, neferine may be a suitable candidate for developing novel therapies for treatment of ESCC.

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Authors' contributions

KA and YZ designed the study, performed the laboratory experiments and wrote the manuscript. YL was responsible for the statistical analysis. SY, ZH, MC, GuL and CD assisted with the experiments and data analysis. JG and GaL reviewed and edited the manuscript. All authors have read and approved the final manuscript and agree to be accountable and agree to be accountable for all aspects of the research in ensuring that the accuracy or integrity of any part of the work are appropriately investigated and resolved.

## Ethics approval and consent to participate

Not applicable.

## Patient consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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