# Protein disulfide isomerase A1 regulates breast cancer cell immunorecognition in a manner dependent on redox state

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Abstract. Oxidoreductase protein disulphide isomerases (PDI) are involved in the regulation of a variety of biological processes including the modulation of endoplasmic reticulum (ER) stress, unfolded protein response (UPR), ER-mitochondria communication and the balance between pro-survival and pro-death pathways. In the current study the role of the PDIA1 family member in breast carcinogenesis was investigated by measuring ROS generation, mitochondrial membrane disruption, ATP production and HLA-G protein levels on the surface of the cellular membrane in the presence or absence of PDIA1. The results showed that this enzyme exerted pro-apoptotic effects in estrogen receptor (ER $\alpha$ )-positive breast cancer MCF-7 and pro-survival in triple negative breast cancer (TNBC) MDA-MB-231 cells. ATP

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*Abbreviations:* PDI, protein disulphide isomerase; ROS, reactive oxygen species; TNBC, triple negative breast cancer; MMD, mitochondrial membrane disruption; RISC, RNA-interfering silencing complex

*Key words:* protein disulfide isomerase, reactive oxygen species, endoplasmic reticulum stress, transcription, antigen presentation, breast cancer

generation was upregulated in PDIA1-silenced MCF-7 cells and downregulated in PDIA1-silenced MDA-MB-231 cells in a manner dependent on the cellular redox status. Furthermore, MCF-7 and MDA-MB-231 cells in the presence of PDIA1 expressed higher surface levels of the non-classical human leukocyte antigen (HLA-G) under oxidative stress conditions. Evaluation of the METABRIC datasets showed that low PDIA1 and high HLA-G mRNA expression levels correlated with longer survival in both ER $\alpha$ -positive and ER $\alpha$ -negative stage 2 breast cancer patients. In addition, analysis of the PDIA1 vs. the HLA-G mRNA ratio in the subgroup of the living stage 2 breast cancer patients exhibiting low PDIA1 and high HLA-G mRNA levels revealed that the longer the survival time of the ratio was high PDIA1 and low HLA-G mRNA and occurred predominantly in ERa-positive breast cancer patients whereas in the same subgroup of the ER $\alpha$ -negative breast cancer mainly this ratio was low PDIA1 and high HLA-G mRNA. Taken together these results provide evidence supporting the view that PDIA1 is linked to several hallmarks of breast cancer pathways including the process of antigen processing and presentation and tumor immunorecognition.

### Introduction

The fine-tuning of the intracellular levels of reactive oxygen species (ROS) and the cellular redox state is of crucial importance for breast carcinogenesis, progression, prognosis and therapy (1). ROS are involved in multiple redox regulated signaling pathways including the modulation of endoplasmic reticulum and mitochondria homeostasis and contribute to the pathogenesis of a number of human diseases including breast cancer (2). Cancer cells generate persistently high ROS levels which activate pro-survival mechanisms including endoplasmic reticulum (ER) stress, unfolded protein response

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(UPR) and inhibition of pro-apoptotic pathways initiated in mitochondria (1,3). ER stress is activated when the ER chaperones involved in the refolding of misfolded proteins are not functional leading to the accumulation of high levels of misfolded proteins in the cell and subsequent induction of UPR (4). By activating ER stress, the cells transiently halt protein synthesis in order to cope with the accumulation of non-functional proteins but if the concentration of the misfolded proteins is high the UPR stimulates cell death (5,6).

Redox responsive endoplasmic reticulum proteins such as members of the protein disulfide isomerase (PDI) superfamily and in particular the prototype family member prolyl 4-hydroxylase subunit beta (P4HB or PDIA1) activates the ER transmembrane kinase protein kinase R (PKR)-like endoplasmic reticulum kinase (PERK) thus playing a crucial role in the stimulation of the UPR (7). PDIA1 has been shown to modulate cellular oxidative stress mediating homeostasis of the antioxidant glutathione (8) and its function is regulated by cellular redox state. Specifically, PDIA1 exerts chaperone and isomerase activities in an oxidative stress-dependent manner facilitating redox-mediated regulation of protein folding (9-12).

PDIA1 is primarily localized in the endoplasmic reticulum but extracellular matrix, mitochondria, nucleus, and cytosolic PDIA1 localization has also been demonstrated (13). Diverse subcellular localization confers PDIA1 a dual role in cell death and survival during ER stress (14). For instance, PDIA1 mitochondrial localization contributes to the induction of mitochondrial outer membrane permeabilization (MOMP), the release of the mitochondrial cytochrome c into the cytoplasm and the initiation of apoptosis (15). Furthermore, PDIA1 mitochondrial localization suggested that this protein is involved in the regulation of redox mediated mitochondrial functions including energy metabolism (16). Nuclear localization of PDIA1 implicated this protein in the regulation of the activity of redox-sensitive transcription factors to co-ordinate efficient ROS detoxification (17). Extracellular matrix localization of PDIA1 was potentially related to invasion and metastasis as it has been shown for PDI family members in glioma (18) and hepatocarcinoma (14).

The impact of ER stress on immune responses (19) as well as the involvement of the UPR in the regulation of the tumor microenvironment are becoming widely acknowledged (20). PDI family members are key components of the antigen presentation machinery (21,22) contributing to the selection and loading of the optimal antigen to MHC class I molecules (23,24) and the dissociation of the antigen-loaded MHC class I complex and exit from the endoplasmic reticulum (25).

In the present study, the regulation of the PDIA1 functions under diverse oxidative stress conditions and its role in a range of physiological processes known to affect breast carcinogenesis were investigated in the estrogen receptor (ER $\alpha$ )-positive MCF-7 and the triple negative MDA-MB-231 breast cancer cells. The correlation between *PDIA1* and *HLA-G* mRNA levels and their association with the overall survival were also investigated in breast cancer patients by analyzing the molecular taxonomy of breast cancer international consortium (METABRIC) microarray dataset available in the cBio cancer genomics portal (http://cbioportal.org) (26).

The results provide evidence to support the view that *PDIA1* differentially affects antioxidant homeostasis and ATP

generation in the ER $\alpha$ -positive vs. the TNBC cells and its mRNA levels are linked to the overall survival of stage 2 breast cancer patients. The findings of this study indicate an alternative molecular mechanism directing the evasion of immune surveillance in breast tumors that could be used as a platform for the design of stratified breast cancer immunotherapies, in addition to those that use PDIA1 as a therapeutic target (27).

#### Materials and methods

Cell culture. The human breast carcinoma cell lines MCF-7 (expressing wild-type p53) and MDA-MB-231 [bearing mutated p53 (R280K)] were obtained from the European collection of cell cultures (ECACC) and maintained in Dulbecco's modified Eagle's medium (Sigma-Aldrich, UK) supplemented with 10% foetal bovine serum (Gibco) and 1% penicillin/streptomycin (Lonza) at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub> until they reached 70% confluency (48 h). Where indicated cells were treated with 10 ng/ml interferon- $\gamma$  (IFN- $\gamma$ ) (Sigma-Aldrich) for 24 h or 10  $\mu$ M etoposide (ETOP) for 24 h (Sigma-Aldrich).

Western blotting. Cellular extracts from MCF-7 and MDA-MB-231 were collected in ice-cold TNN buffer containing 1 mM DTT, 1 mM PMSF, and 1  $\mu$ g/ml protease inhibitors. Protein concentrations were determined by the Bradford assay (Sigma Aldrich) and 30  $\mu$ g of protein per sample were resolved on a 20% precast polyacrylamide gel and transferred to a PVDF membrane. The membranes were blocked using 5% fat-free milk in PBS (v/v) for 1 h at 25°C. Membranes were then incubated in 2.5% milk in PBS-0.1% Tween-20 (v/v) with anti-P4HB antibody (Santa Cruz Biotechnology; sc-136230) (dilution 1:500) or β-actin (Sigma Aldrich; A1978) (dilution 1:10,000) overnight at 4°C. The membranes were washed three times with PBS-0.1% Tween-20 (v/v) for 5 min and then incubated with secondary anti-mouse immunoglobulin G conjugated to horsedish peroxidase (GE Healthcare) (dilution 1:1,000) in 2.5% milk in PBS-0.1% Tween-20 (v/v) for 1 h at 25°C. Protein bands were then visualized using the ChemiDoc MP imaging system (Bio-Rad).

siRNA transfection. A concentration of 5  $\mu$ M of the siGENOME P4HB siRNA and 5  $\mu$ M of the siGENOME non-targeting siRNA pool was added to each well containing 2x10<sup>5</sup> cells in DMEM and incubated for 72 h according to the suppliers' instructions (Dharmacon) as described previously (28). Immediately after the 72 h transfection cells were used for subsequent experimentation. The sequences of the siRNA pools against P4HB and scramble siRNA were: P4HB: ACAGGACGGUCAUUGAUUA, GGACGGUCAUUGAUU ACAA, CCAAGAGUGUGUCUGACUA, CAGAGAGGA UCACAGAGUU and scramble: UAGCGACUAAACACA UCAA, UAAGGCUAUGAAGAGAUAC, AUGUAUUGG CCUGUAUUAG, AUGAACGUGAAUUGCUCAA.

Intracellular ROS generation. ROS generation was measured using the dye CM-H<sub>2</sub>DCF-DA (29). Briefly, cells were grown in 6-well plates at a density of  $1x10^6$  cells/well and treated as indicated. Cells were centrifuged for 3 min at 400 x g at 25°C, the supernatant was removed and the pellet resuspended in cold PBS. Then, 1  $\mu$ M of CM-H<sub>2</sub>DCF-DA was added and the extracts were incubated for 30 min in the dark at 25°C. Subsequently, 30  $\mu$ l of samples were transferred to A2 slides (ChemoMetec) and ROS were measured using the NucleoCounter NC-3000<sup>TM</sup> (ChemoMetec).

Glutathione cellular levels. The NucleoCounter NC-3000<sup>TM</sup> system was used to detect changes in the intracellular level of (reduced) thiols. Following the indicated treatments cells were dissociated from the culture plates using 500  $\mu$ l dissociation buffer (ChemoMetec). Then the cells were stained with solution 5 according to the manufacturer's instructions (ChemoMetec), loaded onto 8-chamber NC-slides and samples were analysed using the NucleoCounter NC-3,000<sup>TM</sup>.

*Mitochondrial membrane disruption*. Mitochondrial transmembrane disruption was measured using the cationic dye JC-1 (5,5,6,6-tetrachloro-1,1,3,3-tetraethyl benzimidazol carbocyanine iodide) (ChemoMetec) and the NucleoCounter NC-3,000<sup>TM</sup>. Following the indicated treatment, the cells were stained with JC-1 and DAPI according to the manufacturer's instructions (ChemoMetec). Cellular JC-1 monomers and aggregates were detected as green and red fluorescence, respectively. Mitochondrial depolarization and apoptosis were revealed as a decrease in the ratio of red/green fluorescence. Staining with the blue fluorescent dye (DAPI) was used to detect necrotic and late apoptotic cells. After stained cells were loaded on an 8-chamber NC-Slide A8<sup>TM</sup> and samples were analysed quantifying the amount of blue, green, and red fluorescence using the NucleoCounter NC-3,000<sup>TM</sup>.

Adenosine triphosphate assay. ATP levels were measured using the ViaLight plus kit (Lonza), based on the amount of bioluminescent ATP generated in cells. ATP monitoring reagent (AMR plus) was prepared by adding assay buffer into the vial containing the lyophilized AMR and incubated at room temperature for 15 min for complete rehydration. Cells were lysed in 50  $\mu$ l and the cell lysate was added to a luminometer plate together with 100  $\mu$ l of cell lysis reagent for 10 min. A total volume of 100  $\mu$ l of AMR plus was added to the appropriate well. The plate was then incubated at room temperature for 2 min and luminescence values were obtained using the Fluostar OPTIMA microplate reader (BMG Labtech).

*HLA-G surface levels*. Breast cancer cell lines were seeded in a 6-well plate at a concentration of  $1x10^6$  cancer cells/well for 24 h in a CO<sub>2</sub> incubator. Cells were then transfected with P4HB siRNA or scramble siRNA for 48 h at 37°C. Following treatment with IFN- $\gamma$  or ETOP for 24 h at 37°C, the cells were detached from the wells with 500  $\mu$ l dissociation buffer and the cell lysates were transferred to a 15 ml falcon tube and centrifuged at 300 x g for 5 min at 4°C. The supernatant was removed and 3x10<sup>5</sup> cells in 100  $\mu$ l (10% FBS in PBS) were transferred to a fresh tube. APC-anti human HLA-G (Thermo Fisher) was added and the cells were incubated for 30 min on ice in the dark. The stained samples were analysed by flow cytometry (Becton Dickinson).

PDIA1-HLA-G correlation in breast cancer patients. The METABRIC breast cancer dataset was downloaded from

cBio Cancer Genomics Portal (http://cbioportal.org) for 1,900 breast cancer patients (26). The distribution of the mRNA expression levels of *PDIA1* and *HLA-G* was measured across samples. *PDIA1* (*P4HB*) and *HLA-G* mRNA expression levels were considered high if their z-scores were higher or equal to the 75th percentile of the distribution (30) and low if their z-scores were lower than the 75th percentile of the distribution (z-score high *PDIA*  $\ge$  0.572, z-score high *HLA-G*  $\ge$  0.517).

Statistical analysis. Graphs were plotted using GraphPad PRISM 7 (GraphPad software Inc.). The Mann-Whitney test was used to assess the significance of the difference between two groups, whereas the Kruskal-Wallis test was used to assess the significance of the differences between more than two groups. Log-rank was used to test the significance of the difference between Kaplan-Meier survival curves. The mean was calculated as  $\pm$  SEM. P<0.05 indicated statistical significance.

## Results

Silencing of PDIA1 gene expression in breast cancer cells. To investigate the role of the PDIA1 in the modulation of diverse hallmarks of cancer such as resistance to cell death, regulation of cellular energy production pathways and evasion of immune destruction, the gene expression of this oxidoreductase was silenced in the ER $\alpha$ -positive (MCF-7) and the ER $\alpha$ -negative (MDA-MB-231) breast cancer cells. The efficiency of silencing of the *PDIA1* gene expression was confirmed for each assay performed. The representative western blot analysis shown in Fig. 1, which shows the efficient silencing of the *PDIA1* gene expression only in MCF-7 and MDA-MD-231 cells transfected with the siRNA-PDIA1 untreated or treated with IFN- $\gamma$  or ETOP, but not in those cells transfected with the scramble siRNA.

PDIA1 modulates ROS generation in breast cancer cells. Type II interferon IFN-γ induces both carcinogenic and cytotoxic effects (31) playing an important role in cell growth and survival in a manner involving the generation of ROS (32). The topoisomerase II inhibitor ETOP has also been shown to induce ROS generation in brain cancer cells (33). Additionally, IFN-y regulates the expression of genes encoding NADPH oxidases (Nox) (34) and evidence indicating functional interaction between PDI and Nox family members (35) suggests that IFN-y may indirectly regulate PDIA1 levels and activity under diverse oxidative stress conditions. The association between overexpression of NADPH oxidases and resistance to ETOP treatment as a result of ROS-mediated induction of senescence has also been reported (36), suggesting that PDIA1 is a potential indirect modulator of ROS mediated effects exerted upon ETOP treatment. To investigate the potential involvement of PDIA1 in altering the IFN-y and ETOP mediated redox state in breast cancer cells ROS levels were measured in MCF-7 and MDA-MB-231 cells treated with these compounds in the presence or absence of PDIA1.

In MCF-7 cells silencing of PDIA1 did not affect ROS generation in the untreated or IFN- $\gamma$  treated cells compared to scramble-transfected cells (Fig. 2A, compare bars 1 and 3

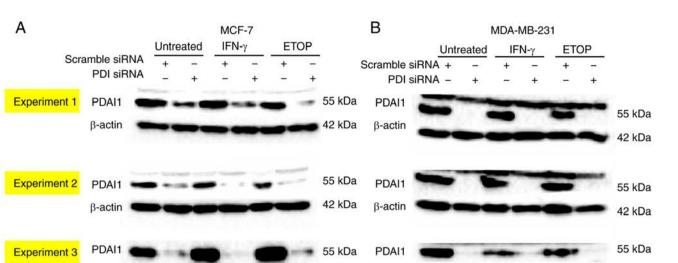


Figure 1. Silencing of *PDIA1* gene expression in breast cancer cells. MCF-7 (A) and MDA-MD-231 (B) cells were transfected with either scramble or siRNA-PDA11 and treated with DMSO, IFN- $\gamma$  or ETOP as indicated. The silencing efficiency was evaluated using western blot analysis.

42 kDa

**B**-actin

to bars 2 and 4, respectively). Decreased ROS levels were recorded in PDIA1-silenced MCF-7 cells treated with ETOP compared to scramble-transfected cells under the same conditions (Fig. 2A, compare bar 5 to bar 6). Decreased ROS levels were measured in MCF-7 cells treated with IFN-y in the presence of PDIA1 compared to the untreated cells (Fig. 2A, compare bar 3 to bar 1) whereas in the presence of PDIA1 ETOP did not affect ROS generation in MCF-7 cells (Fig. 2A compare bar 5 to bar 1). In the absence of PDIA1 significantly decreased ROS generation was observed in the ETOP-treated MCF-7 cells compared to untreated cells (Fig. 2A, compare bar 6 to bar 2). Significantly increased ROS levels were observed in PDIA1-silenced MDA-MB-231 cells treated with either IFN-y or ETOP compared to the scramble-transfected cells under the same conditions (Fig. 2C, compare bars 3 and 5 to bars 4 and 6, respectively). Significantly decreased ROS levels were observed in the IFN-y treated MDA-MB-231 cells in the presence of PDIA1 compared to untreated cells under the same conditions (Fig. 2C, compare bar 3 to bar 1). ETOP treatment of PDIA1-silenced MDA-MB-231 cells resulted in a significant increase of ROS generation compared to untreated cells (Fig. 2C, compare bar 6 to bar 2). PDIA1 protein levels were not significantly affected by any treatment (Fig. 1). Representative histograms showing the ROS levels in scramble or siRNA-PDIA1-transfected MCF-7 or MDA-MB-231 cells untreated or treated with IFN-γ or ETOP are provided in Fig. 2B and 2D, respectively.

**B**-actin

PDIA1 regulates GSH levels in breast cancer cells. The ratio of glutathione (GSH) vs. glutathione disulfide (GSSG) is important for cellular physiology since a decreased GSH/GSSG ratio leads to increased susceptibility to oxidative stress whereas increased GSH levels induce resistance of cancer cells to oxidative stress (37). Inhibition of PDIA1 isomerase activity has been shown to abrogate glutathione depletion (8) indicating the essential role of PDIA1 in the process of disulfide bond formation and therefore the regulation of the ratio of GSH vs. GSSG (38). To investigate the role of PDIA1 in the regulation of the GSH homeostasis in breast cancer cells the GSH/GSSG ratio was investigated in MCF-7 and MDA-MB-231 cells in which the *PDIA1* expression had been silenced.

Significant upregulation of GSH levels was observed in the untreated PDIA1-silenced MCF-7 cells compared to scramble-transfected cells under the same conditions (Fig. 3A, compare bar 1 to bar 2). There were no other changes evident in GSH levels in MCF-7 cells in any of the conditions studied (Fig. 3A). By contrast, in the MDA-MB-231 cells significant downregulation of GSH levels was observed in the untreated PDIA1-silenced MDA-MB-231 cells compared to the untreated and scramble-transfected cells (Fig. 3C, compare bar 1 to bar 2). Downregulation of GSH levels was also recorded in the ETOP-treated MDA-MB-231 cells in the presence of PDIA1 compared to the untreated cells (Fig. 3C, compare 5 to bar 1). Finally upregulated GSH levels were measured in PDIA1 silenced MDA-MB-231 cells treated with IFN-y compared to the siRNA-PDIA1 transfected untreated cells (Fig. 3C, compare bar 4 to bar 2). Representative histograms showing the GSH levels in scramble or siRNA-PDIA1 transfected MCF-7 or MDA-MB-231 are provided in Fig. 3B and 3D respectively.

PDIA1 regulates mitochondrial function in breast cancer cells. The communication between ER and mitochondria is critical for several important cellular functions including the balance between survival and death (39). The role of PDIA1 in the regulation of this communication has been shown in cells in which blocking the PDIA1 activity prevents stimulation of the mitochondrial outer membrane potential (MOMP) and inhibits apoptosis (15). In addition, the combination of ETOP with a PDI inhibitor has been shown to trigger increased ER-associated calcium influx and loss of mitochondrial membrane integrity (40). These findings led us to investigate the effect of PDIA1 on the integrity of the mitochondrial membrane of breast cancer cells.

42 kDa

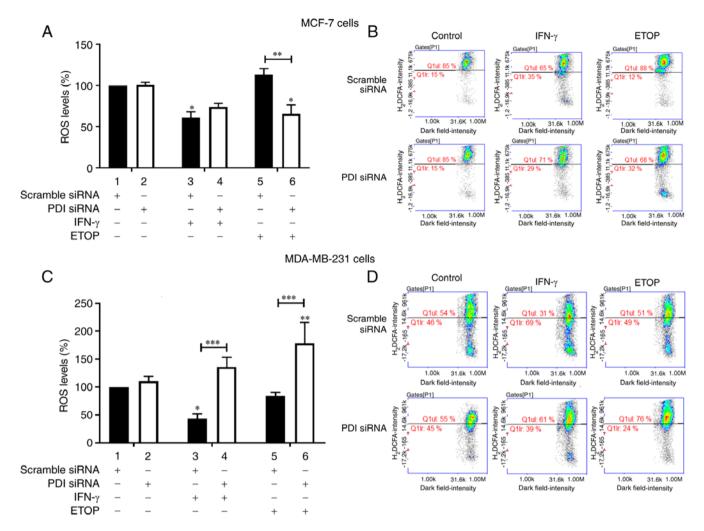


Figure 2. ROS generation in untreated, IFN- $\gamma$  or ETOP treated breast cancer cells in the presence or absence of PDIA1. (A and C) Bar chart represent the ROS levels in siRNA scramble transfected (black bars) or siRNA-PDIA1 transfected (white bars) MCF-7 (A) or MDA-MB-231 (C) cells untreated or treated with either IFN- $\gamma$  or ETOP as indicated. ROS levels recorded in the scramble transfected and untreated cells were arbitrarily set to 100 and the different treatments were calculated accordingly. The asterisks above each bar indicate the statistical significance of the comparison between bars 3 and 5 compared to bar 1 and bars 4 and 6 compared to bar 2. The asterisks above the line indicate the comparison between black and white bars. (B and D) Representative histograms showing the ROS levels in scramble or siRNA-PDIA1 transfected MCF-7 (B) or MDA-MB-231 (D) cells untreated or treated with IFN- $\gamma$  or ETOP. The data represent the mean of three independent experiments and the error bars the mean  $\pm$  SEM. \*P<0.05, \*\*P<0.01 and \*\*\*P<0.005.

The mitochondrial membrane potential was investigated in MCF-7 and MDA-MB-231 cells in which the PDIA1 expression had been silenced and cells were left untreated or treated with IFN-y or ETOP. Silencing of PDIA1 in MCF-7 cells downregulated mitochondrial membrane potential in the untreated cells compared to the scramble-transfected cells under the same conditions (Fig. 4A, compare bar 1 to bar 2). Increased mitochondrial membrane potential was observed in the presence of PDIA1 in MCF-7 cells treated with IFN- $\gamma$ compared to the untreated cells (Fig. 4A, compare bar 3 to bar 1), whereas decreased mitochondrial membrane disruption was measured in the presence of PDIA1 in MCF-7 cells treated with ETOP compared to the untreated cells (Fig. 4A, compare bar 5 to bar 1). PDIA1-silenced MCF-7 cells treated with IFN-y exhibited increased mitochondrial membrane disruption compared to PDIA1-silenced untreated cells (Fig. 4A, compare bar 4 to bar 2). Silencing of PDIA1 in MDA-MB-231 cells upregulated mitochondrial membrane disruption in ETOP-treated cells compared to the scramble-transfected cells under the same conditions (Fig. 4C, compare bar 5 to bar 6). Increased mitochondrial membrane disruption levels were also measured in MDA-MB-231 cells treated with IFN-γ in the presence of *PDIA1* compared to the untreated cells (Fig. 4C, compare bar 3 to bar 1). In ETOP-treated *PDIA1*-silenced MDA-MB-231 cells the mitochondrial membrane disruption was upregulated compared to the untreated cells under these conditions (Fig. 4C, compare bar 6 to bar 2). Representative histograms showing the intensity of JC-1 (%) in scramble or siRNA targeting PDIA1-transfected MCF-7 and MDA-MB-231 cells are provided in Fig. 4B and 4D, respectively.

*PDIA1 modulates energy metabolism in breast cancer cells.* The communication between ER and mitochondria by a network of proteins residing in the interface between the two organelles is critical in controlling vital physiological functions including energy metabolism and cellular death/survival decisions (41). Structural and functional changes of the ER-mitochondria contact proteins result in the deregulation of calcium (Ca<sup>2+</sup>) homeostasis and consequently mitochondrial energy production and cell death (42). PDI plays an important

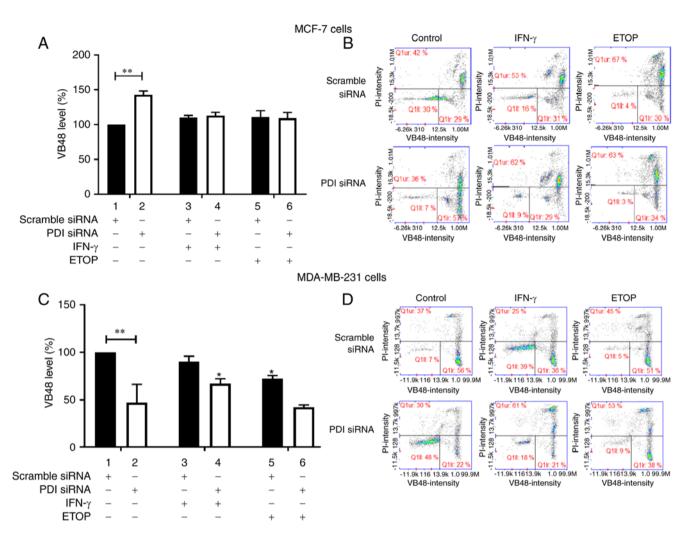


Figure 3. GSH levels in untreated, IFN- $\gamma$  or ETOP treated breast cancer cells in the presence or absence of PDIA1. (A and C) Bar charts represent GSH levels in MCF-7 (A) and MDA-MD-231 (C) cells in the presence (black bars) or absence of *PDIA1* (white bars) untreated or treated with IFN- $\gamma$  or ETOP as indicated. GSH levels detected in the scramble transfected and untreated cells were arbitrarily set to 100 and the different treatments were calculated accordingly. The asterisks above each bar indicate the statistical significance of the comparison between bars 3 and 5 compared to bar 1 and bars 4 and 6 compared to bar 2. The asterisks above the line indicate the comparison between black and white bars. (B and D) Representative histograms showing the GSH levels in siRNA scramble or PDIA1 transfected MCF-7 (B) or MDA-MB-231 (D) cells. The data represent the mean of three independent experiments and the error bars the mean  $\pm$  SEM. \*P<0.05 and \*\*P<0.01.

role in the cross talk between cellular redox state and  $Ca^{2+}$  homeostasis in the ER (43) suggesting its involvement in the regulation of energy metabolism (44).

To test this hypothesis ATP production was investigated in MCF-7 and MDA-MB-231 cells treated with IFN- $\gamma$  or ETOP in the presence or absence of *PDIA1* (Fig. 5). Silencing of PDIA1 in MCF-7 cells upregulated ATP levels in the untreated cells (Fig. 5A, compare bar 1 to bar 2). Decreased ATP levels were recorded in MCF-7 cells treated with IFN- $\gamma$  or ETOP in the presence of PDIA1 compared to the untreated cells (Fig. 5A, compare bar 3). Decreased ATP levels were also observed in PDIA1-silenced MCF-7 cells treated with IFN- $\gamma$  (Fig. 5A, compare bar 3 to bar 4) or ETOP compared to scramble-transfected cells under the same conditions (Fig. 5A, compare bar 5 to bar 6). Overall, no significant changes in ATP levels were evident in untreated, IFN- $\gamma$ - or ETOP-treated PDIA1-silenced MCF-7 cells compared to those measured in the untreated, IFN- $\gamma$  or ETOP treated cells under the same conditions (Fig. 5A, compare bar 5 to bar 6). Overall, no significant changes in ATP levels were evident in untreated, IFN- $\gamma$ - or ETOP-treated PDIA1-silenced MCF-7 cells compared to those measured in the untreated, IFN- $\gamma$  or ETOP treated cells (Fig. 5B)

Decreased ATP levels were measured in MDA-MB-231 cells treated with IFN- $\gamma$  or ETOP in the presence of *PDIA1* 

compared to the untreated cells (Fig. 5C, compare bars 3 and 5 to bar 1). Silencing of *PDIA1* in MDA-MB-231 cells down-regulated ATP levels in the untreated cells (Fig. 5C, compare bar 1 to bar 2), IFN- $\gamma$ -treated cells (Fig. 5C, compare bar 3 to bar 4), and ETOP-treated cells (Fig. 5C, compare bar 5 to bar 6). ATP generation was downregulated significantly in untreated, IFN- $\gamma$ - or ETOP-treated MDA-MB-231 cells in the absence of *PDIA1* vs. the presence of *PDIA1* (Fig. 5D).

PDIA1 modulates HLA-G surface levels in breast cancer cells. In recent years, the connection between ER stress signalling pathways, UPR induction, deregulation of energy metabolism, and immune responses has been unravelled (45,46). The link between the cellular redox state with several ER-resident chaperones and in particular PDIA1 in mediating the processing, optimal selection and antigen loading to the MHC class I during the process of antigen presentation have been demonstrated (23,24,47). Apart from the peptide antigen processing, loading and stabilization of the early MHC class I complex (22), PDIA1 has also been shown to modulate the

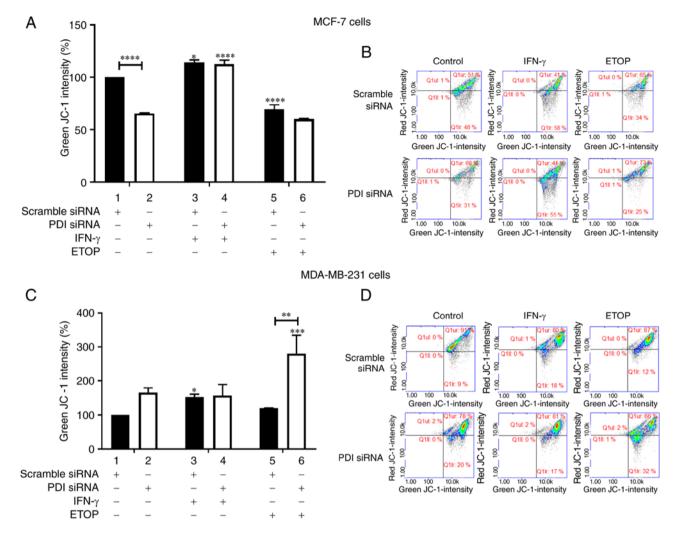


Figure 4. Mitochondrial membrane potential in untreated, IFN- $\gamma$  or ETOP-treated breast cancer cells in the presence or absence of PDIA1. (A and C) Bar charts represent the mitochondrial membrane disruption levels in siRNA scramble transfected (black bars) or siRNA-PDIA1 transfected (white bars) MCF7 (A) and MDA-MB-231 (C) cells untreated or treated with IFN- $\gamma$  or ETOP as indicated. The mitochondrial membrane disruption levels in the scramble transfected and untreated cells were arbitrarily set to 100 and the different treatments were calculated accordingly. The asterisks above each bar indicate the statistical significance of the comparison between bars 3 and 5 compared to bar 1 and bars 4 and 6 compared to bar 2. The asterisks above the line indicate the comparison between black and white bars. (B and D) Representative histograms showing the JC-1 (%) intensity in scramble siRNA or siRNA targeting PDIA1 transfected MCF-7 (B) and MDA-MB-231 (D) cells. The data represent the mean of three independent experiments and the error bars the mean  $\pm$  SEM. \*P<0.05, \*\*P<0.01, \*\*\*P<0.005 and \*\*\*\*P<0.001.

MHC class I expression (48). Two types of the MHC class I molecules have been described; the classical (human leukocyte antigen HLA-A, HLA-B, and HLA-C alleles) and the non-classical (HLA-E, HLA-F, HLA-G) proteins (49). Human breast cancer tissues and breast cancer cells express HLA-G whereas in normal epithelial mammary cells HLA-G mRNA expression has not been detected indicating the involvement of the non-classical MHC class I molecules in the evasion of the immune surveillance by breast cancer cells and their important role in determining the prognosis of breast cancer patients (50-52).

To investigate any potential role of PDIA1 in modulating the surface expression of the non-classical MHC class I HLA-G molecule and thus in the process of evasion of immune surveillance (51,52) the HLA-G surface levels were followed in untreated MCF-7 and MDA-MB-231 cells or treated with IFN- $\gamma$  or ETOP in the presence or absence of *PDIA1* (Fig. 6). Significantly lower HLA-G surface levels were observed in both MCF-7 and MDA-MB-231 cells in the absence of *PDIA1*  compared to MCF-7 and MDA-MB-231 cells in the presence of *PDIA1* (Fig. 6A and B).

PDIA1/HLA-G mRNA ratio correlates with overall survival in breast cancer patients. To explore the correlation between *PDIA1* and *HLA-G* gene expression and identify any potential clinical implications of the PDIA1 and HLA-G ratio in breast cancer patient data obtained from the METABRIC dataset available in the cBio Cancer Genomics Portal (http://cbioportal.org) (26) were analyzed to follow the PDIA1 and HLA-G mRNA levels in various stages of ERa-positive and ERa-negative breast cancer patients. Table I indicates the number of ER $\alpha$ -positive and ER $\alpha$ -negative patients and the stage classification of their disease. Results shown in Fig. S1 indicate the PDIA1 and HLA-G mRNA expression levels in ER $\alpha$ -positive (Fig. S1A and B) and ERa-negative (Fig. S1C and D) patients in each stage of the disease. The correlation between PDIA1 and HLA-G mRNA levels in ER $\alpha$ -positive stage 1 (Fig. S2A), stage 2 (Fig. S2B) and stage 3 (Fig. S2C) patients indicates

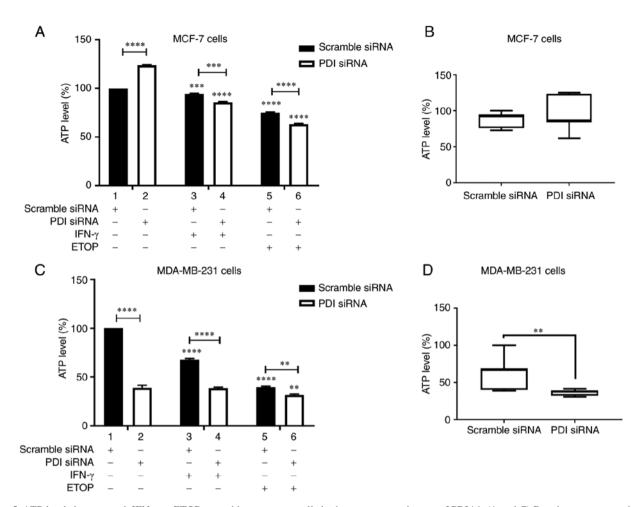


Figure 5. ATP levels in untreated, IFN- $\gamma$  or ETOP-treated breast cancer cells in the presence or absence of PDIA1. (A and C) Bar charts represent the ATP generation in scramble transfected (black bars) or siRNA PDIA1 transfected (white bars) MCF-7 (A) and MDA-MB-231 (C) cells untreated (bars 1 and 2) or treated with either IFN- $\gamma$  (bars 3 and 4) or ETOP (bars 5 and 6). ATP generation levels in the untreated control were arbitrarily set to 100 and the different treatments were calculated accordingly. The asterisks above each bar indicate the statistical significance of the comparison between bars 3 and 5 compared to bar 1 and bars 4 and 6 compared to bar 2. The asterisks above the line indicate the comparison between black and white bars. (B and D) Bar charts represent the average ATP generation levels in the non-treated, IFN- $\gamma$  and ETOP treated MCF-7 (B) and MDA-MB-231 (D) cells in the presence (scramble siRNA) or absence (PDIA1 siRNA) of *PDIA1*. The data represent the mean of three independent experiments and the error bars the mean  $\pm$  SEM. \*\*P<0.005 and \*\*\*P<0.0001.

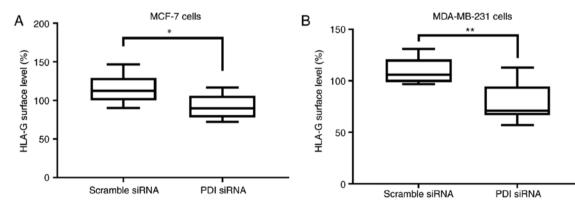


Figure 6. HLA-G levels in untreated, IFN- $\gamma$  or ETOP-treated breast cancer cells in the presence or absence of PDIA1. Bar charts represent the cell surface HLA-G levels in siRNA scramble transfected or siRNA PDIA1 transfected MCF-7 (A) and MDA-MB-231 (B) cells. The data represent the mean of three independent experiments and the error bars the mean  $\pm$  SEM. \*P<0.05 and \*\*P<0.01.

statistically significant results for stage 2 and 3 patients. A similar analysis of the correlation between *PDIA1* and *HLA-G* mRNA levels in ER $\alpha$ -negative stage 1 (Fig. S2D), stage 2 (Fig. S2E) and stage 3 (Fig. S2F) patients did not show any

statistical significance. Kaplan-Meir survival curves were plotted to explore whether the *PDIA1/HLA-G* mRNA ratio was associated with the overall survival of stage 2 breast cancer patients (Fig. 7). Statistically significant association

| METABRIC     |         |           |            |     |       |
|--------------|---------|-----------|------------|-----|-------|
| ERα-positive |         | Not known |            | 378 | 1,457 |
| -            | Stage 1 | Low PDIA1 | Low HLA-G  | 265 |       |
|              |         |           | High HLA-G | 59  |       |
|              |         | HighPDIA1 | Low HLA-G  | 48  |       |
|              |         |           | High HLA-G | 15  |       |
|              | Stage 2 | Low PDIA1 | Low HLA-G  | 399 |       |
|              |         |           | High HLA-G | 86  |       |
|              |         | HighPDIA1 | Low HLA-G  | 92  |       |
|              |         |           | High HLA-G | 38  |       |
|              | Stage 3 | Low PDIA1 | Low HLA-G  | 38  |       |
|              |         |           | High HLA-G | 15  |       |
|              |         | HighPDIA1 | Low HLA-G  | 14  |       |
|              |         |           | High HLA-G | 1   |       |
|              | Stage 4 | Low PDIA1 | Low HLA-G  | 6   |       |
|              |         |           | High HLA-G | 1   |       |
|              |         | HighPDIA1 | Low HLA-G  | 2   |       |
|              |         |           | High HLA-G | 0   |       |
| ERα-negative |         | Not known |            | 123 | 443   |
|              | Stage 1 | Low PDIA1 | Low HLA-G  | 27  |       |
|              | -       |           | High HLA-G | 24  |       |
|              |         | HighPDIA1 | Low HLA-G  | 21  |       |
|              |         | C C       | High HLA-G | 16  |       |
|              | Stage 2 | Low PDIA1 | Low HLA-G  | 45  |       |
|              | -       |           | High HLA-G | 57  |       |
|              |         | HighPDIA1 | Low HLA-G  | 51  |       |
|              |         | -         | High HLA-G | 32  |       |
|              | Stage 3 | Low PDIA1 | Low HLA-G  | 18  |       |
|              |         |           | High HLA-G | 13  |       |
|              |         | HighPDIA1 | Low HLA-G  | 10  |       |
|              |         |           | High HLA-G | 6   |       |
|              | Stage 4 | Low PDIA1 | Low HLA-G  | 0   |       |
|              |         |           | High HLA-G | 0   |       |
|              |         | HighPDIA1 | Low HLA-G  | 0   |       |
|              |         |           | High HLA-G | 0   |       |
| Total        |         |           |            |     | 1,900 |

Table I. Breast cancer patient data obtained from the METABRIC microarray dataset categorized by  $ER\alpha$  status, stage of the disease and levels of PDIA1 and HLA-G mRNA expression levels.

 $ER\alpha \text{-positive or -negative breast cancer patients in stages 1-4 expressing high or low PDIA1 and HLA-G mRNA levels.$ 

between low PDIA1/high HLA-G mRNA ratio and longer overall survival was observed in ER $\alpha$ -negative stage 2 patients (Fig. 7D). Analysis of the subgroup of the living breast cancer patients at stage 2 exhibited low PDIA1 and high HLA-G mRNA ratio indicated that in the ER $\alpha$ -positive patients longer survival is associated predominantly with high PDIA1 and low HLA-G mRNA levels (Fig. 7B) whereas in the ER $\alpha$ -negative patients longer survival is associated mainly with low *PDIA1* and high *HLA-G* mRNA levels (Fig. 7E). A similar analysis carried out in the subgroup of the living breast cancer patients at stage 2 exhibiting high PDIA1 and low HLA-G mRNA levels indicated that longer survival was associated mainly

with high *PDIA1* and low *HLA-G* mRNA levels in the ER $\alpha$ -negative patients (Fig. 7F) but there was no correlation in the ER $\alpha$ -positive patients (Fig. 7C).

#### Discussion

Protein disulfide isomerases compose a superfamily of more than 20 members of endoplasmic reticulum proteins that apart from protein folding exert multiple other functions including oxidoreductase activity, molecular chaperoning and acting as hormone reservoirs (10). The prototype member of the family PDIA1 is localized primarily in the endoplasmic

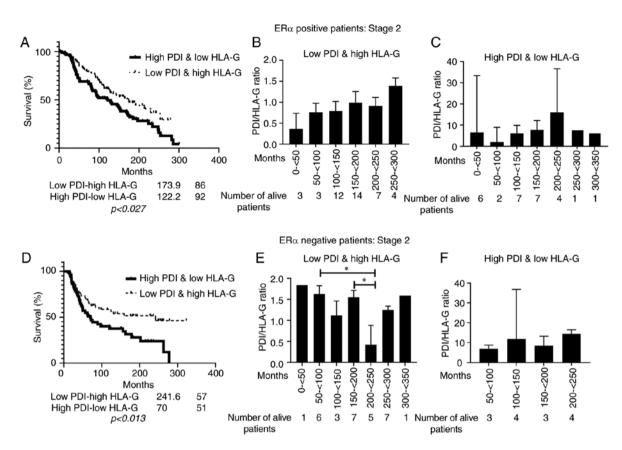


Figure 7. Kaplan-Meir survival curves showing the association of PDIA1 / HLA-G mRNA ratios with overall survival of stage 2 breast cancer patients. (A and D) Kaplan-Meir survival curves depicting the association between low PDIA1/high HLA-G and high PDIA1/low HLA-G mRNA ratios with overall survival of stage 2 ER $\alpha$  positive (A) and ER $\alpha$  negative (D) breast cancer patients. (B and E) Bar charts indicating the *PDIA1/HLA-G* mRNA ratio in the ER $\alpha$  positive (B) and ER $\alpha$  negative (E) patients exhibiting low *PDIA1*/high HLA-G mRNA ratio. (C and F) Bar charts indicating the *PDIA1/HLA-G* mRNA ratio in the ER $\alpha$  positive (C) and ER $\alpha$  negative (F) patients exhibiting high *PDIA1*/low HLA-G mRNA ratio. \*P<0.05.

reticulum but nuclear, mitochondrial and localization on the surface of the cellular membrane has also been reported (43). PDIA1 exerts tumor-stimulating or suppressing effects being involved in a wide spectrum of physiological functions in a manner dependent on the type of tissue, microenvironmental conditions, subcellular localization and its oxidized or reduced conformation (10-12). To shed light on the role of the PDIA1 in breast carcinogenesis we followed a variety of ROS modulated pathways in the estrogen receptor positive MCF-7 and the ER $\alpha$  negative MDA-MB-231 cells under differential oxidative stress conditions in the presence or absence of PDIA1.

In the present study, IFN- $\gamma$  treatment reduced ROS levels in both MCF-7 and MDA-MB-231 cells whereas ETOP did not affect ROS generation in these cells in the presence of PDIA1. In the absence of PDIA1 IFN-y treatment did not affect ROS levels in MCF-7 cells and increased oxidative stress in MDA-MB-231 cells. Estrogen receptor alpha (ER $\alpha$ ) and estrogen receptor beta (ER $\beta$ ) associate with IFN- $\gamma$  and this association modulates malignant behaviour (53). In addition, PDIA1 interacts with ER $\alpha$  and regulates its structure and activity (54). Therefore the difference of the redox state between MCF-7 and MDA-MB-231 cells treated with IFN-γ could be attributed to the fact that MCF-7 cells are ER $\alpha$  and ERβ positive whereas MDA-MB-231 cells are triple negative breast cancer cells. PDIA1 functions as ERa coregulator modulating the receptor's transcriptional activity (54). ER $\alpha$ modulates the gene expression of growth hormone (GH) (55), which alters cellular oxidative stress levels (56). The differential regulation of the PDIA1-mediated *GH* gene expression in the ER $\alpha$ -positive MCF-7 cells and the ER $\alpha$ -negative MDA-MB-231 cells is an additional potential explanation justifying the differential redox state in the ER $\alpha$  positive vs. the ER $\alpha$  negative breast cancer cells.

ETOP treatment, on the other hand, inhibited ROS generation in MCF-7 cells and induced oxidative stress in MDA-MB-231 cells in the absence of *PDIA1* suggesting that this topoisomerase II inhibitor exerts its effects through distinct pathways in the two cell lines. ETOP induces stabilization of the tumor suppressor p53 and PDI modifies the activity of this tumor suppressor (7) providing a potential explanation for the observed differences in ROS generation between the wild-type p53 expressing MCF-7 cells and the MDA-MB-231 cells which bear mutated p53 (p53-R280K). The gene expression of the NADPH oxidase family member Nox4, which is a source of ROS, has been demonstrated to be differentially regulated by wt and mutant p53 (57). Evidence indicating association between PDI and p53 (7) as well as PDI and Nox4 (58) has been previously presented suggesting that differences in the ROS levels generated in the MCF-7 compared to MDA-MB-231 could be attributed to the differential Nox4 levels in the two cell lines.

Glutathione is a known modulator of the function of PDIA1 (59) regulating the ratio of the oxidized vs. the reduced PDIA1 conformations (60). Oxidized and reduced PDIA1

conformations or death outcome during ER stress (9) suggest the importance of the interplay between GSH and PDIA1 in cancer progression. Silencing of PDIA1 increased cellular GSH concentration in MCF-7 cells whereas the opposite was the case in MDA-MB-231 cells where in the absence of PDIA1 decreased GSH levels were observed. Since depletion of cellular GSH is an indicator of apoptosis initiation (61), the obtained results indicate that PDIA1 is a pro-apoptotic factor in MCF-7 cells whereas in MDA-MB-231 cells it plays a pro-survival role. This conclusion is supported by the results obtained from the experiments assessing MOMP demonstrating that silencing of PDIA1 reduced the polarization of the mitochondrial membrane in untreated MCF-7 cells and did not affect mitochondrial membrane disruption in the MDA-MB-231 cells under the same conditions. A potential mechanism justifying the differential effects of PDIA1 silencing on mitochondrial membrane potential in the two cell lines is the mitochondrial colocalization of the PDIA1 (13) with the estrogen receptors ER $\alpha$  and ER $\beta$  in the MCF-7 breast cancer cells (62). Mitochondrial localization of ERa is associated with the modulation of the mitochondrial membrane potential and the inhibition of mitochondrial ROS generation due to the upregulation of the manganese superoxide dismutase activity in MCF-7 ERα-positive cells (62).

The observed changes in the ROS generation, regulation of antioxidant cellular levels and mitochondrial membrane potential in the presence vs. the absence of PDIA1 are indications that PDIA1 plays a critical role in the communication between endoplasmic reticulum and mitochondria and as such in the regulation of mitochondrial biogenesis and potentially energy metabolism (63). Support to this hypothesis is lent by observations showing the relationship between calcium and energy metabolism and the link between PDIA1 and the regulation of calcium homeostasis (64). Measurement of ATP production indicated that MCF-7 cells produced higher ATP levels in the absence of PDIA1 whereas MDA-MB-231 in the absence of PDIA1 produced significantly lower ATP levels. The crosstalk between PDIA1, p53 and Nox4 in the regulation of the activity of the mitochondrial respiratory chain (65,66) and thus ATP production may be the reason for the differential ATP levels observed in the MCF-7 and MDA-MB-231 cells.

The immune system recognizes and eliminates neoplastic cells by identifying tumor specific antigens presented to the immune system cells in complex with MHC class I molecules. The cellular redox state is a crucial factor contributing to the efficient recognition of tumor antigens by the immune system (25) and PDIA1 governs the antigen processing and presentation events (22). Apart from its role in the stabilization of the early MHC class I complex and selection of the appropriate antigen PDIA1 has also been shown to participate in the regulation of the expression of the MHC class I (46). The expression of the classical or non-classical type of MHC class I is a critical point distinguishing the visible from the invisible to the immune system tumors, as overexpression of HLA-G facilitates evasion of the immune surveillance by the tumor cells (50,67). HLA-G has been shown to inactivate the effector function of the natural killer (NK) cells by associating with the inhibitory receptor of these cells (51). Results shown in Fig. 6 indicate that the HLA-G cell surface levels in the cells expressing *PDIA1* are higher compared to those in cells in which the expression of *PDIA1* had been silenced implying that by regulating the HLA-G surface levels *PDIA1* potentially facilitates tumor cells to escape NK cell mediated innate immune responses thereby promoting immunotolerance (52).

PDIA1 overexpression has been reported in several types of cancer and is correlated with metastasis and resistance to cancer therapy (68,69). PDIA1 has also been shown to associate with well-characterized metastatic factors including metalloproteases, selectins and integrins (68,70). Results shown in this study indicating correlation between the PDIA1/HLA-G mRNA ratio and overall survival in breast cancer patients provides further support for the hypothesis that PDIA1 is involved in the co-ordination of immune responses to tumor cells as well as in metastasis. Furthermore, the fact that high PDIA1 and low HLA-G mRNA ratio was found in the subgroup of the stage 2 ER $\alpha$ -positive breast cancer patients exhibiting low PDIA1 and high HLA-G mRNA levels the longer they survive, whereas low PDIA1 and high HLA-G mRNA ratio was measured in the longer survivors of the same subgroup of ERa-negative breast cancer patients could provide the potential means for selective treatment of the two different types of patients.

PDIA1 plays differential role in the regulation of the cellular redox state in the ER $\alpha$ -positive MCF-7 vs. the TNBC MDA-MB-231 cells. In particular, silencing of PDIA1 downregulated ROS levels in MCF-7 cells and upregulated ROS levels in MDA-MB-231 cells. Upregulation of GSH levels in PDIA1 silenced MCF-7 cells and downregulation of GSH levels in PDIA1 silenced MDA-MB-231 cells suggesting that PDIA1 is a pro-apoptotic factor in the former and pro-survival in the latter cells. ATP production was not affected in MCF-7 cells whereas MDA-MB-231 cells in which PDIA1 had been silenced produced lower ATP levels compared to PDIA1 expressing cells. The positive correlation of PDIA1 mRNA levels with HLA-G gene expression in breast cancer patients together with results showing downregulation of HLA-G levels on the extracellular membrane of MCF-7 and MDA-MB-231 cells lacking PDIA1 suggest that PDIA1 may contribute to the evasion of the immune surveillance by breast cancer cells. In addition the correlation of the ratio of PDIA1 and HLA-G mRNA levels in stage 2 breast cancer patients indicates that PDIA1 could be used as a determining factor in the stratification of patients that would be responsive to immunotherapy.

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#### Availability of data and materials

All data generated or analysed during this study are included in this published article

### **Authors' contributions**

RA planned and performed experiments, analysed the results and prepared the draft of the manuscript, SK and NT performed experiments, analysed the results and reviewed the manuscript. TL, PY and LM analysed the results and reviewed the manuscript. MKD and CD have formed the hypothesis and supervised the research carried out, interpreted the results and prepared the manuscript. All authors approved the final manuscript.

#### Ethical approval and consent to participate

Not applicable.

## Patient consent for publication

Not applicable.

#### **Competing interests**

The authors declare that they have no competing interests.

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