

# Differential expression of PD-L1 and PD-L2 is associated with the tumor microenvironment of TILs and M2 TAMs and tumor differentiation in non-small cell lung cancer

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**Abstract.** To improve the treatment strategy of immune-checkpoint inhibitors for non-small cell lung cancer (NSCLC), a comprehensive analysis of programmed death-ligand (PD-L)1 and PD-L2 expression is clinically important. The expression of PD-L1 and PD-L2 on both tumor cells (TCs) and tumor-infiltrating immune cells (ICs) was investigated, with respect to tumor-infiltrating lymphocytes (TILs) and M2 tumor-associated macrophages (TAMs), which are key components of the tumor microenvironment, in 175 patients with resected NSCLC. The TIL and M2 TAM densities were associated with the expression of PD-L1 on the two TCs (both  $P < 0.0001$ ) and ICs (both  $P < 0.0001$ ). The TIL and M2 TAM densities were also associated with the expression of PD-L2 on both TCs ( $P = 0.0494$  and  $P = 0.0452$ , respectively) and ICs ( $P = 0.0048$  and  $P = 0.0125$ , respectively). However, there was no correlation between the percentage of PD-L1-positive TCs and the percentage of PD-L2-positive TCs ( $r = 0.019$ ;  $P = 0.8049$ ). Meanwhile, tumor differentiation was significantly associated with the PD-L1 expression on TCs and ICs ( $P = 0.0002$  and  $P < 0.0001$ , respectively). By contrast, tumor differentiation was inversely associated with the PD-L2 expression on both TCs and ICs ( $P = 0.0260$  and  $P = 0.0326$ , respectively). In conclusion, the combined evaluation of PD-L1 and PD-L2 expression could be clinically important in the treatment strategy of immune-checkpoint inhibitors in patients with NSCLC. In

particular, the evaluation of PD-L2 expression may be necessary for patients with PD-L1-negative NSCLC.

## Introduction

Non-small cell lung cancer (NSCLC), accounting for ~85% of all cases of lung cancer, remains to be the leading cause of cancer-related mortality worldwide, despite the availability of advanced cytotoxic chemotherapies and molecular-targeted therapies, such as EGFR-tyrosine kinase inhibitors (1,2). However, recently, agents that target the programmed death-1 (PD-1)/programmed death-ligand 1 (PD-L1) axis, such as immune-checkpoint inhibitors, have been widely used as a standard treatment for patients with metastatic NSCLC (3-5). Pembrolizumab, an anti-PD-1 antibody, has been approved as monotherapy in patients with tumors that have highly upregulated expression of PD-L1 on tumor cells (TCs) (4). This finding made PD-L1 testing a mandatory diagnostic test during treatment planning in patients with NSCLC. Furthermore, effective clinical response to atezolizumab, an anti-PD-L1 antibody, is observed not only in patients with tumors with high PD-L1 expression on TCs, but also in patients with tumors that expressed high levels of PD-L1 on tumor-infiltrating immune cells (ICs) (6). These observations suggest that the PD-L1 expression not only on TCs but also ICs serves an important role in regulating the anti-tumor T cell response. In addition, the PD-L1 expression on TCs and ICs is reported to be affected by microenvironment stimuli, including tumor-infiltrating lymphocytes (TILs) and M2 tumor-associated macrophages (TAMs) (7,8).

On the other hand, recent clinical studies report that PD-L2, another PD-1 ligand, is also widely expressed in numerous types of cancer, including NSCLC (9-14). Several studies reveal that PD-L2 is also expressed by both TCs and various ICs, depending on the microenvironment stimuli (15-17). In addition, experimental studies report that PD-L2-expressing TCs are resistant to treatment with anti-PD-L1 antibody alone and that this resistance is overcome by an anti-PD-1 antibody or in combination with an anti-PD-L2 antibody (18,19). A clinical study reports that

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clinical response to pembrolizumab in patients with head and neck squamous cell carcinoma may be related partly to blockade of PD-1/PD-L2 interactions (20). However, the clinical significance of the PD-L2 expression in NSCLC is still controversial (7,9-11).

Taken together, to improve the treatment strategy of immune-checkpoint inhibitors for patients with NSCLC, a comprehensive analysis of the biological mechanisms and clinical significance of PD-L1 and PD-L2 expression was considered to be clinically important. Therefore, the present study was performed to evaluate the expression of PD-L1 and PD-L2 on both TCs and ICs in patients with NSCLC. In addition, the association between TILs and M2 TAMs, which are key components of the tumor microenvironment (TME), on the expression of PD-L1 and PD-L2 was also analyzed.

## Materials and methods

**Patients.** Consecutive 175 patients with NSCLC, who underwent surgery at the Department of Thoracic Surgery, Kitano Hospital (Osaka, Japan) between November 2011 and December 2014, were included. The present study was approved by the Ethics Committee (approval no. P181200300) and written informed consent was provided from each patient. Pathological staging was determined using the 8th Tumor Node Metastasis (TNM) classification system (21). The histological type and the grade of differentiation of the tumors were determined according to the classification system developed by the World Health Organization (22). The medical records and histopathological diagnosis from the patients were fully documented.

**Immunohistochemistry.** Immunohistochemical studies were performed to evaluate the TIL distribution by CD3 staining, the M2 TAM distribution by CD163 staining (8,23), PD-L1 expression on TCs and ICs by the Ventana SP263 assay and PD-L2 expression on TCs and ICs, using the Ventana BenchMark GX system (Ventana Medical Systems; Roche Diagnostics), according to the recommended protocol. The following antibodies were used: Rabbit monoclonal anti-human CD3 (clone 2GV6; prediluted; Ventana Medical Systems; Roche Diagnostics), PD-L1 (clone SP263; prediluted; Ventana Medical Systems; Roche Diagnostics) (24) and PD-L2 (cat. no. 18251-1-AP; 1:200; ProteinTech Group, Inc.) and mouse monoclonal anti-human CD163 (clone 760-4437; prediluted; Ventana Medical Systems; Roche Diagnostics). The tissues were fixed in 10% neutral-buffered formalin for 24 h at room temperature. After dehydration in graded ethanol series followed by xylene at room temperature, the tissues were embedded in paraffin at 60°C. Formalin-fixed paraffin-embedded tissue was cut into 4- $\mu$ m sections and mounted on poly-L-lysine-coated slides. The sections were deparaffinized and rehydrated using EZ Prep (Ventana Medical Systems; Roche Diagnostics) at 75°C. Antigen retrieval was performed using Cell Conditioner 1 (Ventana Medical Systems; Roche Diagnostics) for 64 min at 100°C against CD3, PD-L1 and PD-L2 and 32 min at 100°C against CD163. The sections were then incubated with the specific primary antibody for 16 min at 37°C against CD3, CD163 and PD-L1 and 2 h at 37°C for PD-L2. Subsequently, the sections

were treated with the OptiView HQ Linker (Ventana Medical Systems; Roche Diagnostics) for 8 min at 37°C and the OptiView HRP Multimer (Ventana Medical Systems; Roche Diagnostics) for 8 min at 37°C. Finally, counterstaining was performed with Mayer's hematoxylin and Scott's tap water bluing reagent at 37°C.

The evaluation of the stained tissue sections was performed by two investigators (RS and CLH) blinded to the study. The cases with discrepancies were jointly re-evaluated until a consensus was reached. For CD3 and CD163 staining, the five most representative high-power fields (magnification,  $\times 400$ ;  $0.0625 \text{ mm}^2$ ) of the tumor stroma were selected. Tumor stroma was defined as the area where tumor stromal cells accounted for >70% of the total cells (25). The number of CD3-positive cells and CD163-positive cells in each area was counted and the average number of fields in each area was calculated. Finally, the CD3-positive cell density in the tumor stroma (TIL density) and the CD163-positive macrophage density in the tumor stroma (M2 TAM density) were defined as the cell number per  $\text{mm}^2$ . PD-L1 and PD-L2 expression was calculated as the percentage of membrane staining on TCs or ICs, respectively, in the overall area of the tumor, regardless of intensity.

**Statistical analysis.** The statistical significances regarding continuous variables were assessed using either a t-test, ANOVA with Bonferroni/Dunn post hoc test or Pearson's correlation coefficient. Categorical variables were compared using a  $\chi^2$  test. Statistical analyses were performed using SPSS v23.0 for Windows (IBM Corp.). All P-values were based on the two-sided statistical analysis and  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

**Distribution and clinical significance of TILs among resected NSCLCs.** Immunohistochemistry for CD3 exhibited a membranous and cytoplasmic staining pattern (Fig. 1A, C and E). The TIL density varied among the 175 tumor tissues (mean  $\pm$  standard deviation,  $948.1 \pm 890.6$ ; Table I). As the TIL density cut-off (524) demonstrated the highest significance with respect to the percentage of PD-L1-positive TCs and PD-L2-positive TCs, the sample was classified as TIL-high when the TIL density was  $>524$ . A total of 71 tumors (40.6%) were classified as TIL-low and 104 tumors (59.4%) were classified as TIL-high. With respect to tumor histology, the TIL density was significantly higher in squamous cell carcinoma compared with that in adenocarcinoma ( $P = 0.0206$ ). In addition, with respect to tumor differentiation, the TIL density was significantly higher in moderately and poorly differentiated tumors compared with that in well-differentiated tumors ( $P = 0.0130$ ).

**Distribution and clinical significance of M2 TAMs among resected NSCLCs.** Immunohistochemistry for CD163 exhibited a membranous and cytoplasmic staining pattern (Fig. 1G). The M2 TAM density also varied among the 175 tumor tissues (mean  $\pm$  standard deviation,  $382.5 \pm 381.9$ ; Table I). There was a weak correlation between TIL and M2 TAM densities ( $r = 0.262$ ;  $P = 0.0004$ ; Fig. 2). The sample was classified as M2 TAM-high when the M2 TAM density was  $>380$  due to the highest significance in the level of C-reactive protein, a marker

Table I. Distributions of TIL and M2 TAM density among NSCLC patients according to clinicopathological characteristics.

	n	TIL		Mean ± standard deviation	P-value	M2 TAM		Mean ± standard deviation	P-value
		low	high			low	high		
Smoking									
Non-smoker	90	37	53	981.2±931.3	0.6139 <sup>a</sup>	59	31	357.7±362.0	0.3782 <sup>a</sup>
Smoker	85	34	51	913.0±849.6		49	36	408.7±402.4	
Tumor status									
T0	9	6	3	712.7±854.2	0.3270 <sup>b</sup>	8	1	205.3±308.8	0.1526 <sup>b</sup>
T1	84	40	44	874.8±847.4		57	27	353.7±378.5	
T2, T3, T4	82	25	57	1048.9±934.9		43	39	431.4±387.6	
Nodal status									
N0	137	61	76	890.2±884.1	0.1032 <sup>a</sup>	88	49	346.2±337.1	0.0165 <sup>a,c</sup>
N1, N2, N3	38	10	28	1156.5±894.3		20	18	513.4±495.7	
Pathological stage									
0	8	6	2	641.6±884.3	0.0632 <sup>b</sup>	8	0	107.5±102.7	0.0388 <sup>b,c</sup>
I	111	51	60	842.8±816.2		71	40	358.2±352.2	
II	26	7	19	1264.0±1190.4		12	14	517.9±427.0	
III	30	7	23	1145.4±790.0		17	13	428.2±450.5	
Differentiation									
Well	35	18	17	765.4±717.5	0.0130 <sup>b,c</sup>	27	8	252.5±270.9	0.0015 <sup>b,c</sup>
Moderately	105	46	59	881.0±864.8		68	37	363.7±361.7	
Poorly	35	7	28	1331.8±1028.3		13	22	568.8±467.5	
Histology									
Ad	141	61	80	857.5±801.0	0.0206 <sup>b,c</sup>	98	43	336.1±356.2	0.0036 <sup>b,c</sup>
Sq	27	9	18	1356.1±1244.2		10	17	594.2±474.7	
La	7	1	6	1198.6±556.9		0	7	499.9±154.0	
Total number of patients	175	71	104	948.1±890.6		108	67	382.5±381.9	

<sup>a</sup>P-value determined using a t-test. <sup>b</sup>P-value determined using ANOVA followed by a Bonferroni/Dunn test. <sup>c</sup>P<0.05. TIL, tumor-infiltrating lymphocyte; TAM, tumor-associated macrophage; NSCLC, non-small-cell lung cancer; Ad, adenocarcinoma; Sq, squamous cell carcinoma; La, large cell carcinoma.

Table II. Distributions of PD-L1 and PD-L2 expressions among NSCLC patients according to clinicopathological characteristics.

	PD-L1 expression on TCs					PD-L1 expression on ICs					PD-L2 expression on TCs					PD-L2 expression on ICs				
	n	<1%	1-49%	≥50%	P-value	<1%	1-9%	≥10%	P-value	<1%	1-49%	≥50%	P-value	<1%	1-9%	≥10%	P-value			
Smoking																				
Non-smoker	90	50	25	15	0.5946 <sup>a</sup>	27	32	31	0.9917 <sup>a</sup>	30	47	13	0.1596 <sup>a</sup>	24	35	31	0.7427 <sup>a</sup>			
Smoker	85	51	18	16		25	31	29		40	37	8		26	34	25				
Tumor status																				
T0	9	6	2	1	0.1414 <sup>a</sup>	5	3	1	0.0104 <sup>a,b</sup>	2	7	0	0.0218 <sup>a,b</sup>	2	1	6	0.1569 <sup>a</sup>			
T1	84	56	15	13		25	38	21		26	44	14		22	34	28				
T2, T3, T4	82	39	26	17		22	22	38		42	33	7		26	34	22				
Nodal status																				
N0	137	84	33	20	0.0848 <sup>a</sup>	46	51	40	0.0166 <sup>a,b</sup>	56	64	17	0.8082 <sup>a</sup>	44	49	44	0.0852 <sup>a</sup>			
N1, N2, N3	38	17	10	11		6	12	20		14	20	4		6	20	12				
Pathological stage																				
0	8	6	2	0	0.3067 <sup>a</sup>	5	3	0	0.0027 <sup>a,b</sup>	2	6	0	0.6336 <sup>a</sup>	2	1	5	0.2355 <sup>a</sup>			
I	111	69	25	17		38	43	30		46	50	15		36	41	34				
II	26	13	8	5		4	10	12		12	12	2		6	14	6				
III	30	13	8	9		5	7	18		10	16	4		6	13	11				
Differentiation																				
Well	35	25	9	1	0.0002 <sup>a,b</sup>	12	17	6	<0.0001 <sup>a,b</sup>	7	22	6	0.0260 <sup>a,b</sup>	8	10	17	0.0326 <sup>a,b</sup>			
Moderately	105	63	27	15		36	39	30		47	46	12		34	39	32				
Poorly	35	13	7	35		4	7	24		16	16	3		8	20	7				
Histology																				
Ad	141	84	40	17	0.0001 <sup>a,b</sup>	45	56	40	0.0173 <sup>a,b</sup>	53	70	18	0.6431 <sup>a</sup>	42	59	40	0.2096 <sup>a</sup>			
Sq	27	15	3	9		6	6	15		13	11	3		6	7	14				
La	7	2	0	5		1	1	5		4	3	0		2	3	2				
Total number	175	101	43	31		52	63	60		70	84	21		50	69	56				

<sup>a</sup>P-value determined using a  $\chi^2$  test. <sup>b</sup>Statistical significance <0.05. NSCLC, non-small-cell lung cancer; TCs, tumor cells; ICs, tumor-infiltrating immune cells; Ad, adenocarcinoma; Sq, squamous cell carcinoma; La, large cell carcinoma.

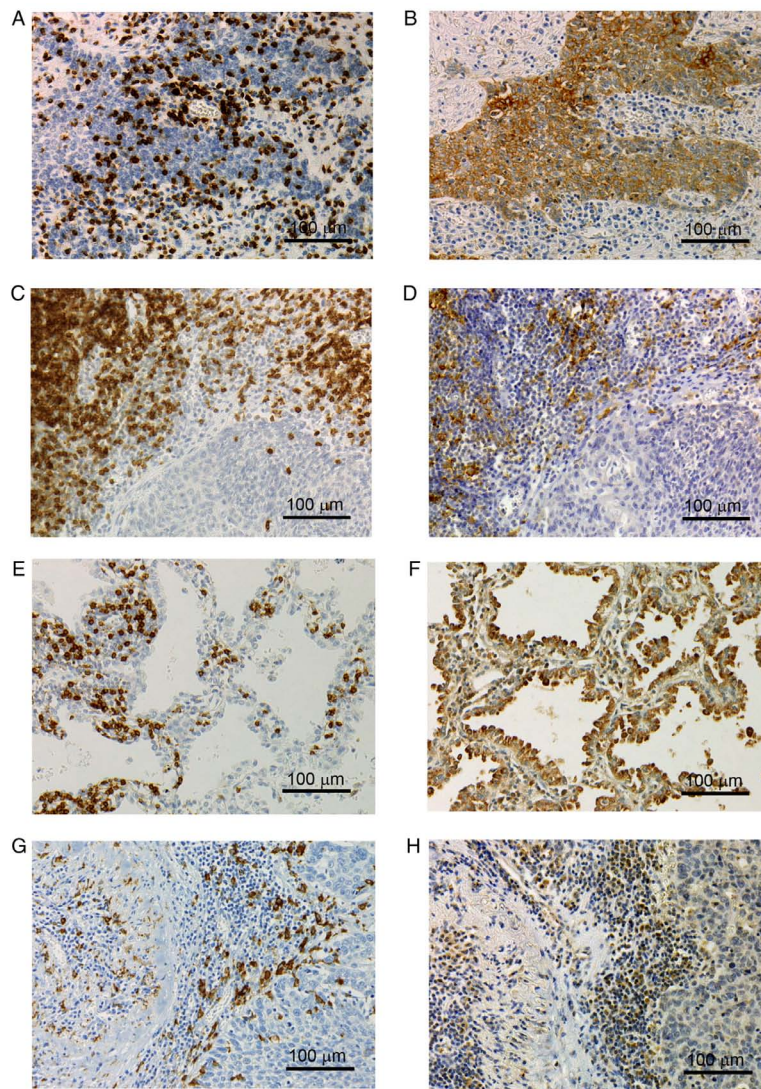


Figure 1. Immunostaining of lung cancer. (A) A squamous cell carcinoma with a high density of TIL and (B) positive expression of PD-L1 on TCs. (C) A squamous cell carcinoma with a high density of TIL and (D) positive expression of PD-L1 on ICs. (E) An adenocarcinoma with a high density of TIL and (F) positive expression of PD-L2 on TCs. (G) A squamous cell carcinoma with a high density of M2 TAM and (H) positive expression of PD-L2 on ICs. Scale bar=100  $\mu$ m. TIL, tumor-infiltrating lymphocyte; PD-L, programmed death-ligand; TCs, tumor cells; ICs, tumor-infiltrating immune cells; TAM, tumor-associated macrophage.

of the inflammatory response, as previously reported (23). A total of 108 tumors (61.7%) were classified as M2 TAM-low and 67 tumors (38.3%) were classified as M2 TAM-high. The M2 TAM density was also significantly higher in squamous cell carcinoma compared with that in adenocarcinoma ( $P=0.0036$ ). The M2 TAM density was also significantly higher in poorly differentiated tumors compared with that in well- and moderately differentiated tumors ( $P=0.0015$ ). Furthermore, the M2 TAM density was significantly higher in node-positive tumors and advanced stage ( $P=0.0165$  and  $P=0.0388$ , respectively).

**Expression of PD-L1 on TCs and ICs with respect to TILs and M2 TAMs.** The percentage of PD-L1-positive TCs varied among the 175 tumor tissues (mean  $\pm$  standard deviation;  $15.6 \pm 27.0\%$ ; Fig. 1B). PD-L1 expression on TCs was significantly higher in squamous cell carcinoma compared with that in adenocarcinoma ( $P=0.0001$ ).

The percentage of PD-L1-positive ICs also varied (mean  $\pm$  standard deviation,  $9.4 \pm 10.9\%$ ; Fig. 1D). PD-L1

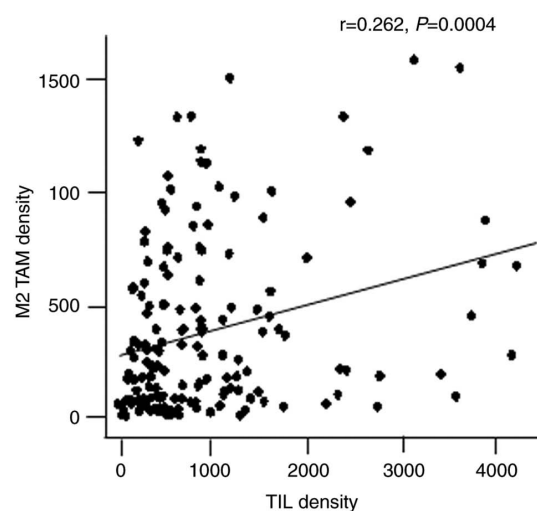


Figure 2. Association between TIL and M2 TAM densities. TIL, tumor-infiltrating lymphocyte; TAM, tumor-associated macrophage. TIL, tumor-infiltrating lymphocyte; TAM, tumor-associated macrophage.

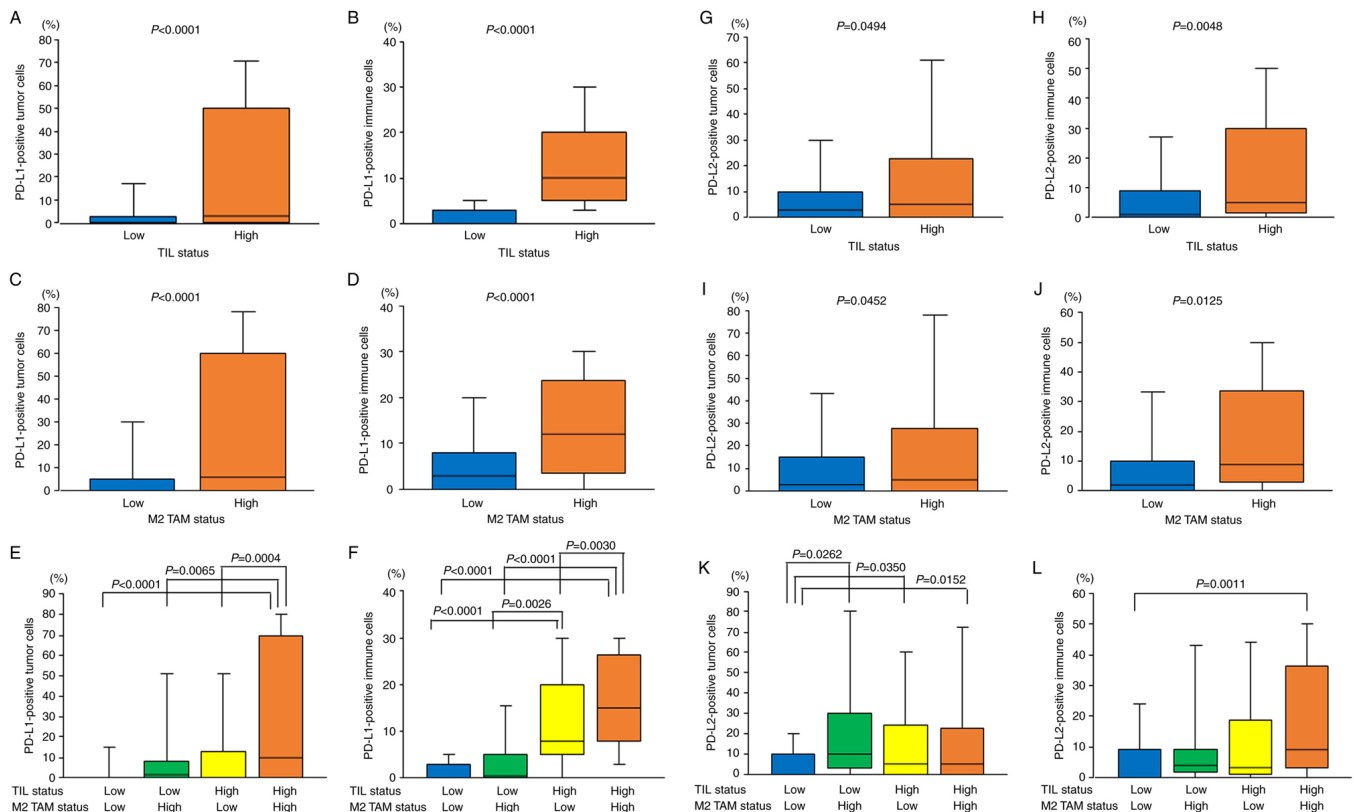


Figure 3. PD-L1 expression on (A) TCs and (B) ICs with respect to TIL density. PD-L1 expression on (C) TCs and (D) ICs with respect to M2 TAM density. PD-L1 expression on (E) TCs and (F) ICs with respect to TIL and M2 TAM densities. PD-L2 expression on (G) TCs and (H) ICs with respect to TIL density. PD-L2 expression on (I) TCs and (J) ICs with respect to M2 TAM density. PD-L2 expression on (K) TCs and (L) ICs with respect to TIL and M2 TAM densities. PD-L, programmed death-ligand; TCs, tumor cells; ICs, tumor-infiltrating immune cells; TAM, tumor-associated macrophage; TIL, tumor-infiltrating lymphocyte.

expression on ICs was also significantly higher in squamous cell carcinoma compared with that in adenocarcinoma ( $P=0.0173$ ). Furthermore, PD-L1 expression on ICs was significantly associated with tumor status, nodal status and pathological stage ( $P=0.0104$ ,  $P=0.0166$  and  $P=0.0027$ , respectively).

With respect to TILs, the TIL density was significantly correlated with the percentage of PD-L1-positive TCs ( $r=0.365$ ;  $P<0.001$ ). The percentage of PD-L1-positive TCs was significantly higher in the TIL-high group compared with that in the TIL-low group ( $22.2\pm30.8\%$  vs.  $6.1\pm16.0\%$ ;  $P<0.0001$ ; Fig. 3A). Furthermore, the TIL density was also significantly correlated with the percentage of PD-L1-positive ICs ( $r=0.751$ ;  $P<0.001$ ). The percentage of PD-L1-positive ICs was significantly higher in the TIL-high group compared with that in the TIL-low group ( $14.4\pm11.2\%$  vs.  $1.9\pm3.5\%$ ;  $P<0.0001$ ; Fig. 3B).

As previously reported (8), with respect to M2 TAMs, the M2 TAM density was significantly correlated with the percentage of PD-L1-positive TCs ( $r=0.389$ ;  $P<0.001$ ). The percentage of PD-L1-positive TCs was significantly higher in the M2 TAM-high group compared with that in the M2 TAM-low group ( $26.5\pm32.3\%$  vs.  $8.9\pm20.5\%$ ;  $P<0.0001$ ; Fig. 3C). Furthermore, the M2 TAM density was significantly correlated with the percentage of PD-L1-positive ICs ( $r=0.375$ ;  $P<0.001$ ). The percentage of PD-L1-positive ICs was significantly higher in the M2 TAM-high group compared with that in the M2 TAM-low group ( $14.2\pm12.0\%$  vs.  $6.4\pm8.8\%$ ;  $P<0.0001$ ; Fig. 3D).

With respect to the combined evaluation of TILs and M2 TAMs, the percentage of PD-L1-positive TCs was significantly the highest in both the TIL-high and M2 TAM-high tumors (Fig. 3E). The percentage of PD-L1-positive ICs was also significantly the highest in both the TIL-high and M2 TAM-high tumors (Fig. 3F).

**Expression of PD-L2 on TCs and ICs with respect to TILs and M2 TAMs.** The percentage of PD-L2-positive TCs varied among the 175 tumor tissues (mean  $\pm$  standard deviation,  $14.6\pm22.9\%$ ; Fig. 1F) and there were  $<1\%$  in 70 (40.0%) tumors, 1-49% in 84 (48.0%) tumors and  $\geq 50\%$  in 21 (12.0%) tumors (Table II). The percentage of PD-L2-positive ICs also varied (mean  $\pm$  standard deviation,  $12.5\pm18.4\%$ ; Fig. 1H) and there were  $<1\%$  in 50 (28.6%) tumors, 1-9% in 69 (39.4%) tumors and  $\geq 10\%$  in 56 (32.0%) tumors (Table II).

With respect to TILs, the percentage of PD-L2-positive TCs was significantly higher in the TIL-high group compared with that in the TIL-low group ( $17.4\pm25.9\%$  vs.  $10.5\pm17.0\%$ ;  $P=0.0494$ ; Fig. 3G). In addition, the TIL density was significantly correlated with the percentage of PD-L2-positive ICs ( $r=0.226$ ;  $P=0.003$ ). The percentage of PD-L2-positive ICs was significantly higher in the TIL-high group compared with that in the TIL-low group ( $15.7\pm19.7\%$  vs.  $7.8\pm15.3\%$ ;  $P=0.0048$ ; Fig. 3H).

With respect to M2 TAMs, the percentage of PD-L2-positive TCs was significantly higher in the M2



TAM-high group compared with that in the M2 TAM-low group ( $19.0 \pm 27.9\%$  vs.  $11.9 \pm 18.9\%$ ;  $P=0.0452$ ; Fig. 3I). Furthermore, the percentage of PD-L2-positive ICs was also significantly higher in the M2 TAM-high group compared with that in the M2 TAM-low group ( $16.9 \pm 19.8\%$  vs.  $9.8 \pm 17.0\%$ ;  $P=0.0125$ ; Fig. 3J).

With respect to the combined evaluation of TILs and M2 TAMs, the percentage of PD-L2-positive TCs was significantly the lowest in both the TIL-low and M2 TAM-low tumors (Fig. 3K). The percentage of PD-L2-positive ICs was significantly lower in both the TIL-low and M2 TAM-low tumors compared with that in both the TIL-high and M2 TAM-high tumors ( $P=0.0011$ ; Fig. 3L).

**Correlations between the expression of PD-L1 and PD-L2 on the TCs and ICs among resected NSCLC.** There was no correlation between the percentage of PD-L1-positive TCs and the percentage of PD-L2-positive TCs ( $r=0.019$ ;  $P=0.8049$ ; Fig. 4A). On the other hand, the percentage of PD-L1-positive TCs was significantly correlated with the percentage of PD-L1-positive ICs ( $r=0.396$ ;  $P<0.0001$ ; Fig. 4B). In addition, the percentage of PD-L2-positive TCs also was significantly correlated with the percentage of PD-L2-positive ICs ( $r=0.488$ ;  $P<0.0001$ ; Fig. 4C).

**Expression of PD-L1 and PD-L2 with respect to tumor differentiation.** PD-L1 expression on TCs was significantly associated with tumor differentiation ( $P=0.0002$ ; Table II), as previously reported (8). The percentage of PD-L1-positive TCs was  $6.0 \pm 17.0\%$  in well-differentiated tumors,  $13.1 \pm 24.2\%$  in moderately differentiated tumors and  $32.8 \pm 34.9\%$  in poorly differentiated tumors. The percentage of PD-L1-positive TCs was significantly higher in poorly differentiated tumors compared with that in well- and moderately differentiated tumors ( $P<0.0001$  and  $P=0.0001$ , respectively; Fig. 5A).

Furthermore, PD-L1 expression on ICs was also significantly associated with tumor differentiation ( $P<0.0001$ ; Table II), as previously reported (8). The percentage of PD-L1-positive ICs was  $5.7 \pm 8.6\%$  in well-differentiated tumors,  $8.3 \pm 10.0\%$  in moderately differentiated tumors and  $16.2 \pm 12.6\%$  in poorly differentiated tumors. The percentage of PD-L1-positive ICs was significantly higher in poorly differentiated tumors compared with that in well- and moderately differentiated tumors ( $P<0.0001$  and  $P=0.0001$ , respectively; Fig. 5B).

On the other hand, PD-L2 expression on TCs was inversely associated with tumor differentiation ( $P=0.0260$ ; Table II). The percentage of PD-L2-positive TCs was  $23.5 \pm 25.9\%$  in well-differentiated tumors,  $13.4 \pm 22.4\%$  in moderately differentiated tumors and  $9.2 \pm 19.1\%$  in poorly differentiated tumors. The percentage of PD-L2-positive TCs was significantly higher in well-differentiated tumors compared with that in poorly and moderately differentiated tumors ( $P=0.0088$  and  $P=0.0234$ , respectively; Fig. 5C).

The PD-L2 expression on ICs was also inversely associated with tumor differentiation ( $P=0.0326$ ; Table II). The percentage of PD-L2-positive ICs was  $19.3 \pm 22.5\%$  in well-differentiated tumors,  $11.4 \pm 17.4\%$  in moderately differentiated tumors and  $9.1 \pm 15.3\%$  in poorly differentiated tumors. The percentage of

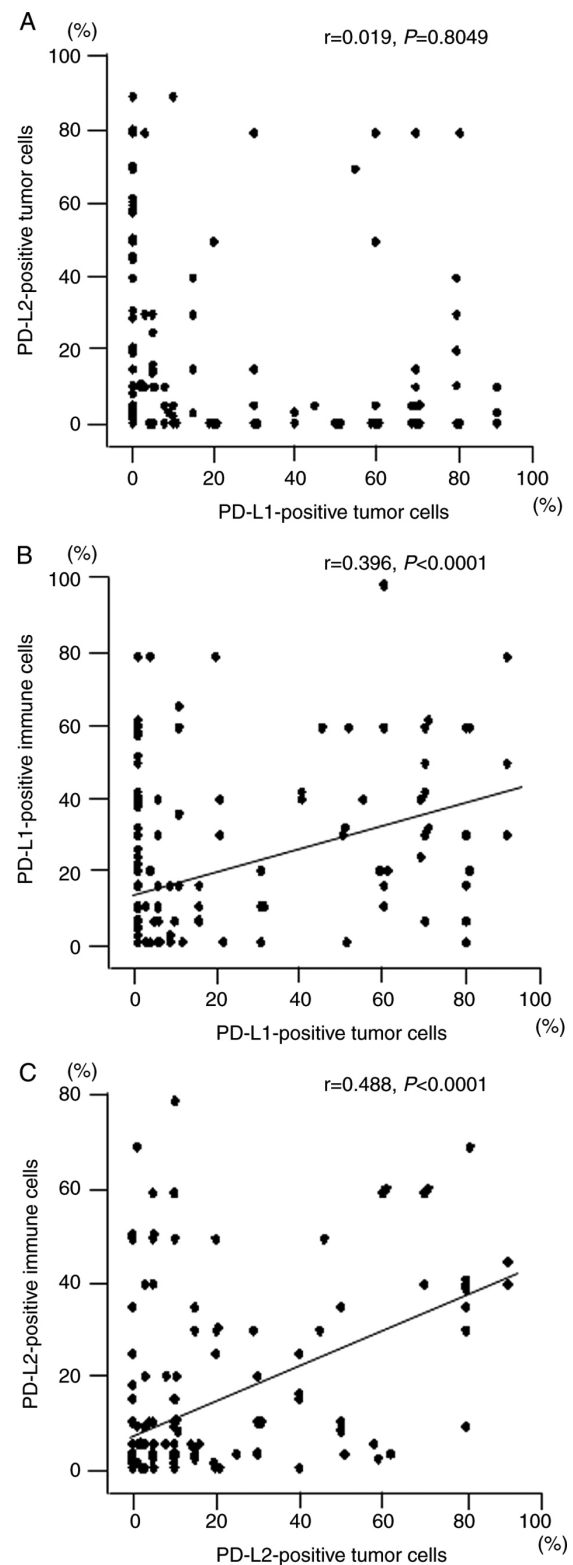


Figure 4. (A) Correlation between PD-L1 expression on TCs and PD-L2 expression on TCs. (B) Correlation between PD-L1 expression on TCs and PD-L1 expression on ICs. (C) Correlation between PD-L2 expression on TCs and PD-L2 expression on ICs. PD-L, programmed death-ligand; TCs, tumor cells; ICs, tumor-infiltrating immune cells.

PD-L2-positive ICs was significantly higher in well-differentiated tumors compared with that in poorly and moderately differentiated tumors ( $P=0.0196$  and  $P=0.0269$ , respectively; Fig. 5D).

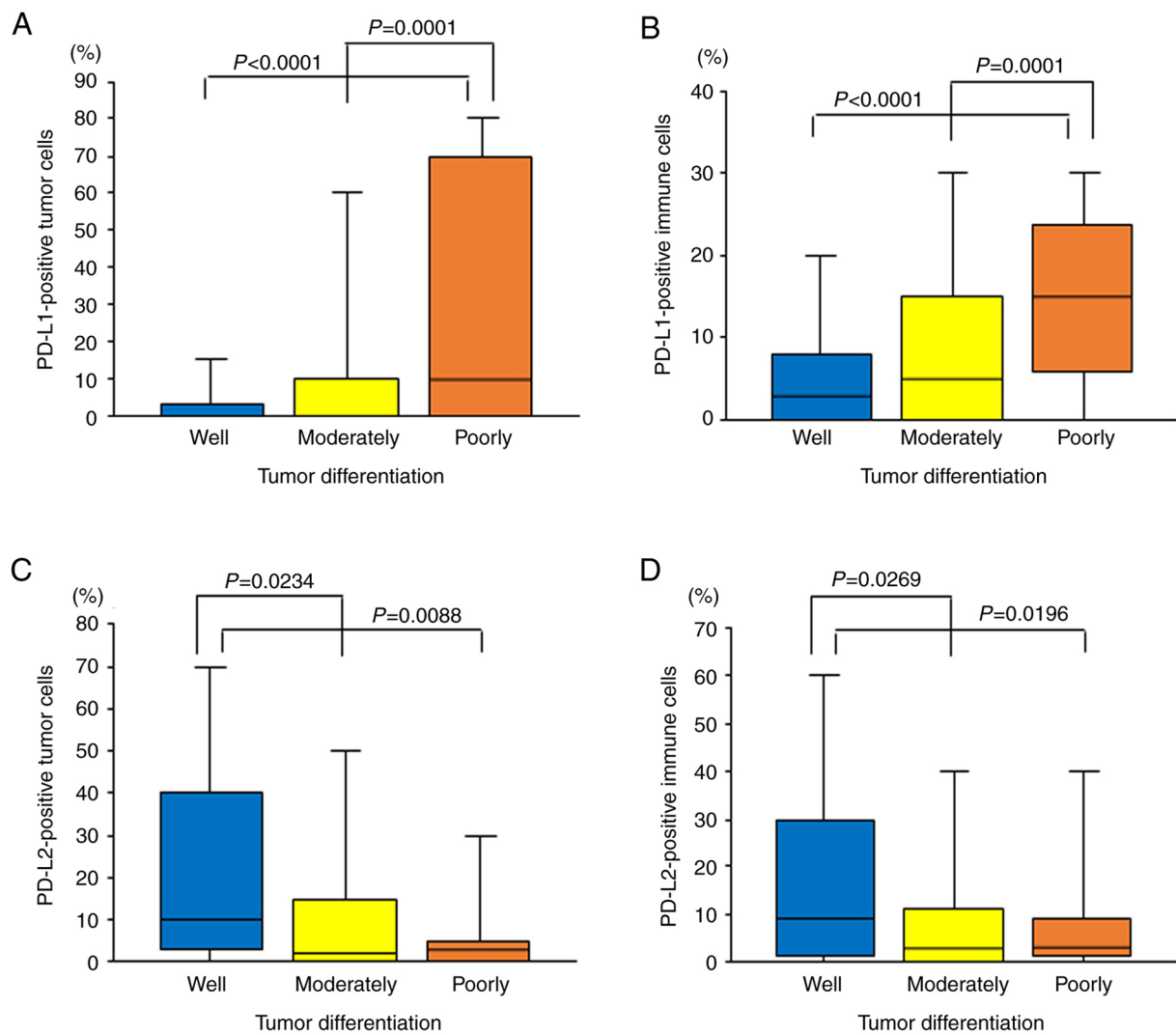


Figure 5. PD-L1 expression on (A) TCs and (B) ICs with respect to tumor differentiation. PD-L2 expression on (C) TCs and (D) ICs with respect to tumor differentiation. TCs, tumor cells; ICs, tumor-infiltrating immune cells. PD-L, programmed death-ligand; TCs, tumor cells; ICs, tumor-infiltrating immune cells.

## Discussion

A comprehensive study on PD-L1 and PD-L2 expression on both TCs and ICs in NSCLC was performed. A recent study reports that PD-L1 has predominant roles in Th1-type immunity whereas PD-L2 is involved in Th2-type immunity (26). In addition, to elucidate the biological mechanisms of their regulation, TILs and M2 TAMs, which are key components of the TME and associated with tumor progression, were investigated. The evaluation of PD-L1 and PD-L2 on both TCs and ICs is clinically important and immunohistochemistry is an appropriate method for the design of the present study. A previous study reports that the Ventana PD-L1 (SP-263) assay is clinically useful for PD-L1 staining on both TCs and ICs (24). In addition, the PD-L2 expression using the Ventana system also exhibited a clear staining on both TCs and ICs in the present study.

Consequently, the present study revealed that the TIL density was strongly associated with the PD-L1 expression on both TCs and ICs. On the other hand, PD-L2 was widely expressed not only on TCs, but also on ICs in NSCLC. In addition, the TIL density was also associated with PD-L2 expression on both

TCs and ICs. Initially, CD8<sup>+</sup> or CD4<sup>+</sup> T cells and NK cells are known to induce PD-L1 expression by producing interferon (IFN)- $\gamma$  (27,28). TILs have been reported to be an important cause of PD-L1 expression on ICs, such as lymphatic endothelial cells, macrophages and monocytes (29-31). Numerous clinical studies have also revealed that TILs are associated with PD-L1 expression in human cancer, including NSCLC (7,32,33). In addition, previous studies report that TILs are also associated with PD-L2 expression in human cancer (33,34).

Based on the physiological or pathological situation, macrophages can be polarized into various phenotypes with different biological properties, such as tumor-inhibiting M1 macrophages and tumor-promoting M2 macrophages (35,36). During tumor progression, Th2-derived cytokines originating from TCs and stromal cells can induce the production of M2 TAMs in the TME, which can promote tumor cell proliferation (37). In fact, the M2 TAM density was associated with nodal status and pathological stage in the present study. Thus, M2 TAM-high tumors have more aggressive potential in NSCLC (23).

On the other hand, our previous study found that the M2 TAM density was strongly associated with PD-L1 expression



on both TCs and ICs (8). In addition, the present study demonstrated that the M2 TAM density was also associated with the PD-L2 expression on both TCs and ICs. Experimental studies report that TCs can induce M2 TAMs with increased expression of PD-L1 (38,39). It is also known that PD-L1, induced by IFN- $\gamma$  from TAMs, promoted the progression of lung cancer (40). Recent studies show that other signals derived from macrophages, such as TNF- $\alpha$ , VEGF and CXCL8, can induce PD-L1 expression (41-43). In addition, previous studies report that macrophages can induce not only PD-L1 expression, but also PD-L2 expression (44,45).

From these findings, the TIL and M2 TAM densities were associated with the expression of PD-L1 and PD-L2 on TCs and ICs. In the present study, the TIL density was significantly associated with the preoperative serum albumin level ( $r=0.269$ ;  $P<0.001$ ; Fig. S1A) and the preoperative peripheral blood lymphocyte count ( $r=0.209$ ;  $P=0.006$ ; Fig. S1B). Therefore, TILs are considered to be a host-related factor. By contrast, M2 TAMs are considered to be a tumor-related factor (23). Thus, such complex crosstalk in the TME, including TILs and M2 TAMs, could affect the expression of PD-L1 and PD-L2 on TCs and ICs in NSCLC (46).

However, the present study demonstrated the additional finding of no correlation between PD-L1 expression on TCs and PD-L2 expression on TCs, despite the possible same regulations by TILs and M2 TAMs. Several studies also report a high frequency of discordance between PD-L1 and PD-L2 expression in human cancer (47,48). By contrast, there were correlations between PD-L1 expression on TCs and PD-L1 expression on ICs and between PD-L2 expression on TCs and PD-L2 expression on ICs in the present study.

The present study revealed that tumor differentiation was strongly associated with PD-L1 expression on TCs and ICs. The percentages of PD-L1-positive TCs and PD-L1-positive ICs were higher in poorly differentiated tumors compared with that in well- and moderately differentiated tumors. A meta-analysis on PD-L1 expression in lung cancer also reports the same results (49). In addition, an experimental study reveals that PD-L1 could upregulate the  $\beta$ -catenin signaling pathway to induce epithelial-mesenchymal transition (50), which is associated with tumor differentiation in lung cancer (51,52). By contrast, tumor differentiation was inversely associated with PD-L2 expression on TCs and ICs in the present study. The percentages of PD-L2-positive TCs and PD-L2-positive ICs were higher in well-differentiated tumors compared with that in poorly and moderately differentiated tumors.

Therefore, the combined evaluation of PD-L1 and PD-L2 expression could be considered clinically important in the treatment strategy of immune-checkpoint inhibitors in patients with NSCLC. In particular, the evaluation of PD-L2 expression may be necessary for patients with PD-L1-negative NSCLC. Patients with PD-L2-positive NSCLC could be treated with anti-PD-1 antibodies, such as Pembrolizumab, and combined treatment with anti-PD-L2 antibodies in the future (18-20). In fact, in the present study, immune-checkpoint inhibitors were only used in 7 cases of PD-L1-positive tumors at the time of disease recurrence, whereas 56 cases had recurrence following surgery. Further clinical studies are required for patients with PD-L2-positive NSCLC. In addition, the present study

was performed using a relatively small number of patients at one institution. Therefore, a further study using more cases is required to elucidate the clinical significance of PD-L2 expression, especially with respect to the treatment strategy of immune-checkpoint inhibitors. Furthermore, the present study was evaluated only by immunohistochemistry and a further study to investigate their gene copy numbers may be needed (53).

In conclusion, PD-L1 and PD-L2 expression on TCs and ICs was associated with TILs and M2 TAMs in NSCLC. However, there was no correlation between PD-L1 and PD-L2 expression on TCs. Meanwhile, PD-L1 expression on TCs and ICs was associated with tumor differentiation, while PD-L2 expression on TCs and ICs was inversely associated with tumor differentiation. The combined evaluation of PD-L1 and PD-L2 expression could be considered clinically important in the treatment strategy of immune-checkpoint inhibitors in patients with NSCLC. In particular, the evaluation of PD-L2 expression may be necessary for patients with PD-L1-negative NSCLC.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

RS, CLH and HD designed the study. RS, CLH and MF designed and performed the experiments. RS, CLH and HC collected the data. RS and CLH analyzed and interpreted the data and wrote the manuscript. RS and CLH confirm the authenticity of all the raw data. All authors have read and approved the final version of the manuscript for publication.

### Ethics approval and consent to participate

The current study was approved by the Institutional Ethics Committee of the Kitano Hospital (approval no. P181200300) and written informed consent was provided from each patient. The research was conducted in compliance with the principles outlined in the Declaration of Helsinki.

### Patient consent for publication

Written informed consent for publication of patient data/accompanying images was obtained.

### Competing interests

The authors declare that they have no competing interests.

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