

# How initial tumor stage affects rectal cancer patient follow-up

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**Abstract.** Many believe that follow-up testing for rectal carcinoma patients after primary curative-intent therapy should be rather intensive for high-stage lesions and less intensive for low-stage lesions. We recently carried out a survey of the American Society of Colon and Rectal Surgeons (ASCRS) to quantify the strategies they use after primary treatment for their own patients. Considerable variability in surveillance exists. Here we report how initial TNM stage affects follow-up intensity. We devised vignettes succinctly describing otherwise healthy patients with rectal carcinoma (stages I-III). We mailed a questionnaire based on the vignettes to the 1,795 ASCRS members. Responses deemed evaluable were entered into a computer database. The effect of TNM stage on follow-up intensity for patients with stage I, II, or III rectal carcinoma treated with radical surgery was assessed by repeated-measures ANOVA. The surveillance modality most frequently utilized was the office visit. In year 1 following surgery for patients with stage I lesions,  $3.8 \pm 2.7$  office visits (mean  $\pm$  SD) were recommended, decreasing to  $1.5 \pm 1.0$  in year 5. For patients with stage III lesions treated with radical surgery  $\pm$  adjuvant therapy,  $4.0 \pm 2.8$  office visits were recommended in year 1, decreasing to  $1.7 \pm 1.2$  in year 5. Similar results were generated for all commonly used surveillance modalities. The intensity of follow-up after curative-intent treatment for rectal carcinoma varies minimally across TNM stages. This suggests that a controlled trial comparing high-intensity versus low-intensity follow-up testing could be carried out without stratification by TNM stage.

## Introduction

In 2002 there were 10.9 million new cases of cancer worldwide, including 1 million colorectal cancers (1). The first form of therapy to reliably achieve cure was excision. Goligher has summarized the fascinating history of surgery for rectal carcinoma (2). Miles devised the abdominoperineal resection and published his landmark paper in 1908 (3). The use of radiation was limited for most of the twentieth century but now plays an adjuvant role in most cases. Effective adjuvant chemotherapy is used in many patients as well. Refinements in treatment, advances in diagnosis, and improvements in supportive care have increased the five-year survival rate in the USA from 49% in 1975 to 66% at present (4). Similar statistics have been generated in other wealthy nations with good access to modern management. Many of the advances in diagnosis and management have been established through well-controlled trials. When well-designed adequately powered trials yield persuasive evidence favoring a particular strategy, efficiencies can result. For example, Mille *et al* reported a large decrease in the cost of breast cancer patient follow-up after the introduction of clinical practice guidelines which were based on a targeted literature review and a consensus of medical experts (5).

Post-treatment surveillance is anomalous in that few adequately powered controlled trials have been carried out to determine which strategy would be appropriate for a particular patient. Several studies of variable design have been reported, all of which are underpowered (6). A primary reason high-quality trials on this topic have been so rare is their expense, since large numbers of patients must be followed for several years to reach conclusions. Currently, therefore, clinicians, medical care systems, researchers, patients and others must rely on lower quality data to decide on surveillance schemes for patients after curative-intent therapy. There is corresponding variability among guidelines and in current practice (7).

About 41,000 people in the USA are diagnosed with rectal carcinoma each year (4). To achieve the current 66% five-year survival rate, perhaps 75% of the newly diagnosed patients, or 31,500, receive treatment with curative potential. Creating well-thought-out management strategies for these

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Table I. The four vignettes on which the survey was based.

1.	A patient who has undergone local therapy (local excision, contact radiotherapy, cryosurgery) of a stage I (T1-2 N0 M0) rectal carcinoma.
2.	A patient who has undergone radical surgery (proctectomy with colorectal or coloanal anastomosis or proctectomy with abdominoperineal resection) of a stage I (T1-2 N0 M0) rectal carcinoma.
3.	A patient who has undergone radical surgery of a stage II (T3-4 N0 M0) rectal carcinoma.
4.	A patient who has undergone radical surgery of a stage III (T1-4 N1-2 M0) rectal carcinoma.

patients has been identified as a major goal by the Institute of Medicine, the American Society of Clinical Oncology (ASCO), and other organizations (8). In an effort to further this goal, we surveyed members of the American Society of Colon and Rectal Surgeons (ASCRS) to determine how these highly experienced experts follow their own patients after completion of treatment. Not surprisingly, there was significant variation in follow-up intensity (7). We now report how much of this variation is ascribable to the initial stage of the tumor.

### Materials and methods

There were 1,795 surveys mailed to the members of the ASCRS. This was followed by a second mailing to non-respondents. A small sample (247) of the nonrespondents was contacted by email to determine the effectiveness of email as a tool to obtain increased response rates. However, this was not extended to the entire nonrespondent population due to the time involved and the low response rates (six responses).

The survey consisted of four components. The first part ascertained whether the surgeon was currently in practice, performing surgery, and personally conducting long-term postoperative follow-up for his own rectal cancer patients; those respondents answering affirmatively to these items were considered evaluable. All others were excluded. Respondents were then asked a series of questions regarding their age, training background, practice type, current medical society memberships, and other demographic variables.

The second part of the survey consisted of vignettes describing four otherwise healthy patients who had undergone uncomplicated surgery for rectal carcinoma (Table I). ASCRS members were asked to describe their follow-up schedule for each vignette by indicating the frequency per year with which they would recommend each diagnostic modality listed in the survey (Table II) during each of the first five years after initial therapy. Participants were also asked to list any other diagnostic modality that they use routinely and to state the recommended frequency, as for the other methods. In all scenarios, follow-up was defined as the period beginning with the completion of all adjuvant radiation therapy and/or chemotherapy. On receipt of the completed surveys, the data were entered into a computerized database (Statistical Package for the Social Services) and analyzed. The mean and standard deviation (SD) were calculated for each follow-up modality by stage and postoperative year. The hypothesis that surveillance varies by TNM stage was analyzed for the three scenarios in which radical surgery was described, using the general linear model of repeated-measures analysis of

Table II. The menu of follow-up modalities suggested in the questionnaire.

Office visit (including digital rectal examination, if indicated)
Complete blood count (CBC)
Liver function tests (LFTs)
Serum carcinoembryonic antigen (CEA) level
Colonoscopy
Flexible sigmoidoscopy
Chest radiograph
Intrarectal ultrasound
Computed tomography (CT) of abdomen/pelvis
CT of chest
Magnetic resonance imaging (MRI) of the abdomen/pelvis
CEA scan (nuclear medicine)
Whole body positron emission tomography (PET) scan (fluorodeoxyglucose)
Bone scan (nuclear medicine)
Other

variance. The entire survey instrument is available on the Internet (<http://surgery.slu.edu/survey/SurgerySurvey.pdf>). Demographic data and results for local resection of stage I lesions are available elsewhere (7).

### Results

Of the 1,782 surveys sent to the correct address, there were 566 responses (32% response rate). Of these, 347/566 (61%) filled out the survey correctly and were still actually providing care to rectal cancer patients (performing surgery and personally conducting follow-up). These 347 completed surveys were considered evaluable and were further analyzed.

The results of the survey showing the frequency of post-operative testing in years 1 to 5 for the three scenarios describing patients treated with radical surgery are summarized in Table III. The scenario dealing with transanal resection was not considered in this analysis. There were 246 respondents (71%) who reported identical schedules for office visits across all tumor stages. Two hundred and twenty respondents (63%) reported identical schedules for serum CEA level, 252 (73%)



SPANDIDOS PUBLICATIONS Frequency of postoperative testing in years 1-5 for an otherwise healthy patient who has just undergone uncomplicated curative radical surgery (proctectomy with colorectal or coloanal anastomosis or proctectomy by abdominoperineal resection) of stages I, II, or III rectal carcinoma (n=343).<sup>a</sup>

Modality	Postoperative year	Stage I mean $\pm$ SD <sup>b</sup>	Stage II mean $\pm$ SD	Stage III mean $\pm$ SD
Office visit	1	3.8 $\pm$ 2.7	3.9 $\pm$ 2.7	4.0 $\pm$ 2.8
	2	3.2 $\pm$ 2.7	3.4 $\pm$ 2.7	3.5 $\pm$ 2.8
	3	2.1 $\pm$ 1.2	2.3 $\pm$ 1.3	2.4 $\pm$ 1.5
	4	1.7 $\pm$ 1.0	1.8 $\pm$ 1.1	1.9 $\pm$ 1.2
	5	1.5 $\pm$ 1.0	1.6 $\pm$ 1.1	1.7 $\pm$ 1.2
Serum CEA level <sup>c</sup>	1	2.9 $\pm$ 2.8	3.2 $\pm$ 2.9	3.3 $\pm$ 2.9
	2	2.6 $\pm$ 2.8	2.9 $\pm$ 2.8	3.0 $\pm$ 2.9
	3	1.8 $\pm$ 1.9	2.0 $\pm$ 1.9	2.1 $\pm$ 1.9
	4	1.5 $\pm$ 1.8	1.7 $\pm$ 1.8	1.8 $\pm$ 1.8
	5	1.3 $\pm$ 1.8	1.5 $\pm$ 1.8	1.6 $\pm$ 1.8
CBC <sup>c</sup>	1	1.4 $\pm$ 2.8	1.6 $\pm$ 3.0	1.6 $\pm$ 3.0
	2	1.1 $\pm$ 1.6	1.2 $\pm$ 1.6	1.3 $\pm$ 1.8
	3	0.8 $\pm$ 1.3	0.9 $\pm$ 1.3	1.0 $\pm$ 1.5
	4	0.7 $\pm$ 1.2	0.8 $\pm$ 1.2	0.9 $\pm$ 1.4
	5	0.7 $\pm$ 1.1	0.8 $\pm$ 1.2	0.8 $\pm$ 1.4
Liver function tests	1	1.3 $\pm$ 2.8	1.5 $\pm$ 2.9	1.6 $\pm$ 3.0
	2	1.2 $\pm$ 2.7	1.3 $\pm$ 2.8	1.4 $\pm$ 2.9
	3	0.8 $\pm$ 1.9	1.0 $\pm$ 1.9	1.1 $\pm$ 2.0
	4	0.7 $\pm$ 1.8	0.8 $\pm$ 1.8	0.9 $\pm$ 2.0
	5	0.7 $\pm$ 1.1	0.8 $\pm$ 1.2	0.8 $\pm$ 1.3
Flexible sigmoidoscopy	1	0.9 $\pm$ 1.3	0.9 $\pm$ 1.4	0.9 $\pm$ 1.4
	2	0.8 $\pm$ 1.2	0.8 $\pm$ 1.3	0.9 $\pm$ 1.3
	3	0.5 $\pm$ 0.9	0.6 $\pm$ 0.9	0.6 $\pm$ 0.9
	4	0.4 $\pm$ 0.7	0.4 $\pm$ 0.7	0.4 $\pm$ 0.7
	5	0.4 $\pm$ 0.7	0.4 $\pm$ 0.7	0.4 $\pm$ 0.7
Chest radiograph	1	0.8 $\pm$ 0.9	0.9 $\pm$ 1.0	1.0 $\pm$ 1.0
	2	0.7 $\pm$ 0.8	0.8 $\pm$ 0.9	0.9 $\pm$ 1.0
	3	0.6 $\pm$ 0.7	0.7 $\pm$ 0.8	0.7 $\pm$ 0.8
	4	0.5 $\pm$ 0.6	0.5 $\pm$ 0.6	0.6 $\pm$ 0.7
	5	0.5 $\pm$ 0.6	0.6 $\pm$ 0.6	0.7 $\pm$ 0.7
Colonoscopy	1	0.9 $\pm$ 0.9	0.9 $\pm$ 0.9	0.9 $\pm$ 1.0
	2	0.5 $\pm$ 0.7	0.5 $\pm$ 0.7	0.5 $\pm$ 0.7
	3	0.5 $\pm$ 0.6	0.5 $\pm$ 0.6	0.5 $\pm$ 0.6
	4	0.6 $\pm$ 1.0	0.6 $\pm$ 1.0	0.6 $\pm$ 1.0
	5	0.5 $\pm$ 0.6	0.5 $\pm$ 0.6	0.5 $\pm$ 0.6
CT of abdomen and pelvis <sup>c</sup>	1	0.5 $\pm$ 0.7	0.6 $\pm$ 0.7	0.7 $\pm$ 0.8
	2	0.4 $\pm$ 0.6	0.5 $\pm$ 0.7	0.6 $\pm$ 0.8
	3	0.3 $\pm$ 0.5	0.4 $\pm$ 0.6	0.4 $\pm$ 0.7
	4	0.2 $\pm$ 0.5	0.3 $\pm$ 0.6	0.3 $\pm$ 0.6
	5	0.3 $\pm$ 0.5	0.3 $\pm$ 0.6	0.4 $\pm$ 0.6
Intrarectal ultrasound	1	0.4 $\pm$ 0.9	0.4 $\pm$ 0.9	0.4 $\pm$ 0.9
	2	0.3 $\pm$ 0.7	0.3 $\pm$ 0.8	0.3 $\pm$ 0.8
	3	0.2 $\pm$ 0.6	0.2 $\pm$ 0.6	0.3 $\pm$ 0.6
	4	0.2 $\pm$ 0.5	0.2 $\pm$ 0.5	0.2 $\pm$ 0.5
	5	0.2 $\pm$ 0.5	0.2 $\pm$ 0.5	0.2 $\pm$ 0.5
CT of chest	1	0.1 $\pm$ 0.4	0.1 $\pm$ 0.5	0.2 $\pm$ 0.5
	2	0.1 $\pm$ 0.4	0.1 $\pm$ 0.5	0.1 $\pm$ 0.5
	3	0.1 $\pm$ 0.4	0.1 $\pm$ 0.4	0.1 $\pm$ 0.4
	4	0.1 $\pm$ 0.3	0.1 $\pm$ 0.4	0.1 $\pm$ 0.4
	5	0.1 $\pm$ 0.4	0.1 $\pm$ 0.4	0.1 $\pm$ 0.4

Table III. Continued.

Modality	Postoperative year	Stage I mean $\pm$ SD <sup>b</sup>	Stage II mean $\pm$ SD	Stage III mean $\pm$ SD
MRI of abdomen and pelvis <sup>c</sup>	1	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2	0.1 $\pm$ 0.4
	2	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2	0.1 $\pm$ 0.4
	3	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2	0.1 $\pm$ 0.3
	4	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
	5	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2
PET scan <sup>c</sup>	1	0.0 $\pm$ 0.2	0.1 $\pm$ 0.2	0.1 $\pm$ 0.2
	2	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2
	3	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
	4	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1
	5	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
Bone scan	1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2
	2	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
	3	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2	0.0 $\pm$ 0.2
	4	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
	5	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.2
CEA scan	1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1
	2	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1
	3	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1
	4	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1
	5	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1	0.0 $\pm$ 0.1

<sup>a</sup>There were 347 responses to the 4 vignettes in the survey but only 343 completed the questionnaires dealing with the 3 vignettes analyzed in this report. <sup>b</sup>Data shown are the mean ( $\pm$ SD) of the number of visits or procedures, specific to each postoperative year, recommended by the respondents. <sup>c</sup>CEA, carcinoembryonic antigen; CBC, complete blood count; CT, computed tomography; MRI, magnetic resonance imaging; PET, positron emission tomography.

reported identical schedules for complete blood count (CBC), and 247 (71%) used identical schedules for liver function tests (LFTs). These were the four most commonly used modalities. Correlation analysis revealed that surveillance patterns for most modalities were correlated highly ( $r>0.70$ ) across the years after surgery, indicating that the follow-up strategy for year 1 correlated highly with that in year 2, which correspondingly correlated with year 3, etc. Therefore, the general linear model of repeated-measures analysis of variance was deemed appropriate for the purpose of this analysis.

The frequency of use of the various surveillance modalities in relation to the number of postoperative years was analyzed initially. There was sufficient power to detect a difference among years for 11 of the 14 modalities; office visit, serum CEA level, CBC, LFTs, flexible sigmoidoscopy, colonoscopy, chest radiograph, intrarectal ultrasound, CT of abdomen/pelvis, CT of chest and PET scan. For all these modalities, there was a significant main effect ( $p<0.05$  for PET scan,  $p<0.01$  for all others); follow-up means generally decreased with increasing postoperative years. The three 'other' tests most commonly performed were proctoscopy [ $n=15$  (stage I),  $n=14$  (stage II),  $n=14$  (stage III)], rigid sigmoidoscopy [ $n=15$  (stage I),  $n=16$  (stage II),  $n=16$  (stage III)], and abdominal ultrasound [ $n=17$  (stage I),  $n=17$  (stage II),  $n=18$  (stage III)].

The data were then analyzed for differences among stages (stages I, II, III, radical resections). There was enough power to detect a statistically significant difference among stages for

12 of the 14 analyzed modalities. These modalities were office visit, serum CEA level, CBC, LFTs, flexible sigmoidoscopy, chest radiograph, intrarectal ultrasound, CT of abdomen/pelvis, CT of chest, MRI of abdomen/pelvis, PET scan, and bone scan. For these modalities, a significant main effect of tumor stage was demonstrated, showing that higher tumor stage corresponded to a greater frequency of surveillance modality use ( $p<0.05$  for flexible sigmoidoscopy, intrarectal ultrasound and MRI;  $p<0.01$  for all others). The two modalities with power  $<0.80$  were CEA scan and colonoscopy.

## Discussion

O'Connell *et al* estimated that the overall five-year survival rate for patients with colon carcinoma was about 65% in 2004 in the US, and about 93% for stage I (T1-2 N0 M0), 85% for stage II A (T3 N0 M0); 72% for stage II B (T4 N0 M0); 83% for stage III A (T1-2 N1 M0); 64% for stage III B (T3-4 N1 M0); 44% for stage III C (any TN2 M0); and 8% for stage IV (any T any NM1) in the US, using Surveillance Epidemiology and End Results (SEER) data (9). Extrapolation of these data to rectal cancer is accepted by the UICC (10). Since the survival rate is so good, many rectal cancer survivors receive surveillance following treatment. Some patients clearly benefit from this but the optimal surveillance strategy has not been defined by well-controlled, adequately powered clinical trials. Some of the benefits of post-treat-



veillance can be quantified. Anthony *et al* estimated increase in five-year survival rate ascribable to an organized surveillance regimen input to be as low as 0.3% or as high as 10% (11). There is substantial benefit in detecting recurrence of the index carcinoma, but few of these patients are cured. There is greater value when a second primary colorectal cancer is detected. The incidence of second primary invasive colorectal cancer after curative-intent treatment was approximately 0.3%/year in a carefully studied clinical trial. Many of these are low-stage lesions and thus highly curable (12).

Surveillance programs also have collateral benefits (detecting an asymptomatic aortic aneurysm by CT, for example) and harms (operative mortality following surgery for an asymptomatic aneurysm). There are difficult-to-quantify benefits of follow-up as well, such as the peace of mind that derives from being told that no abnormalities have been found on surveillance examinations. Some patients experience anxiety before test results are known. On balance, however, when cancer patients are queried about high-intensity surveillance as compared with low-intensity surveillance, they report equivalent quality of life and many prefer the low-intensity strategy (13).

Overuse, underuse, and misuse of scarce resources has been identified as an important societal problem (6), and rectal cancer patient care is a typical example. The cost-utility implications of the many recommended schemes for most disorders have not been carefully examined (14). Strategies in clinical medicine that maximize benefit for an individual patient often differ from those that maximize benefit for a population (15). A systematic review of the oncology literature indicates that our society is willing to pay for interventions that do not cost more than about \$50,000 per quality-adjusted life year gained by the intervention (16). For individuals without access to medical care, whether they are the under-insured or uninsured in the US or simply average citizens in poor nations, these figures are meaningless, of course, so decisions under these circumstances are heavily influenced by cost alone. For a physician practicing in an inner city clinic, obtaining a PET scan, for example, may take so much effort and involve so many bureaucratic hurdles that he or she simply does not attempt to order it, whereas a physician practicing in a wealthy area often finds that a patient can easily pay out-of-pocket for any surveillance test not covered by an insurance plan. Financial barriers to medical care and the resulting adverse effects on health have been amply documented elsewhere (17,18). This undoubtedly contributes to the variation in medical practice in the US and, presumably, most other parts of the world. The end result is that variation in community standards of care at the neighborhood level is related primarily to the affluence of the residents of that microenvironment.

Sifting through the available evidence on rectal cancer patient follow-up is a large task for busy clinicians. Diagnostic tests should be carefully evaluated and the results reported; current evidence indicates that most evaluations are lacking in important dimensions, compromising their reliabilities and relevance (19). Guidelines created by trusted groups such as the National Cancer Center Network and ASCO are deservedly popular and regularly updated. They

are limited by the quality of the evidence on which they are based, however, and the Institute of Medicine has estimated that only about four percent of clinical decision-making is strongly supported by evidence or consensus of experts (20). Guidelines should ideally have certain attributes: validity, an estimate of strength of available evidence, estimated outcomes, reliability, reproducibility, clinical applicability, clinical flexibility, and clarity. They should be created by a multidisciplinary process, undergo scheduled review, and the procedures used in guideline development should be documented and described. The medical review criteria should also possess particular attributes: sensitivity, specificity, responsiveness to patient preferences, and readability. They should be minimally obtrusive, feasible to obtain, easy to transform to computer protocols, and provide criteria for appeals by patients or professional caregivers. They should consider safety, cost, patient comfort, and accessibility to important modalities such as PET-CT fusion imaging. ASCO guidelines based on available evidence and expert opinion for surveillance after curative-intent therapy for colorectal cancer were first published in 1999 (21) and subsequently revised. Periodic history and directed physical examination, CEA testing, and colonoscopy are the only follow-up tests recommended. Electronic tools such as MEDLINE, CANCERLIT, and the Cochrane Library are also reliable, convenient, and increasingly user-friendly. The quality of the guideline development process is often seriously flawed, however (22). Making an optimal decision about interventions for a particular patient requires knowledge of potential outcomes and costs of various options. This helps a clinician to select interventions according to their cost-effectiveness. Opting for the most cost-effective ones first, followed by others in the order of decreasing cost-effectiveness, should promote optimal allocation of constrained resources at the level of the individual patient. Planners of industrial processes, after assigning appropriate values to relevant variables, are able to construct models to deliver the best outcomes for various input scenarios. Populations of patients, each with multiple clinical conditions and risk factors, pose much more complex decision-making problems.

This report concerns a large survey. Surveys typically provide data not available in any other way, although many weaknesses have been identified (23). The evidence shown here documents the self-reported recommendations of seasoned surgeons considering innumerable, often intangible aspects of patient care. The main conclusion of our analysis is that the TNM stage affects follow-up practice of ASCRS surgeons statistically significantly, but that the effect of stage is clinically trivial. This conclusion is congruent with an earlier survey that focused on follow-up for patients treated with curative intent for colon carcinoma (24). Few respondents to our survey selected diagnostic tests other than those presented in our questionnaire. This provides evidence that the survey instrument offered a comprehensive menu of modalities. Office visit is the most frequently employed diagnostic entity. Serum CEA level is the most frequently used blood test. Our data indicate, however, that 1% of ASCRS surgeons request serum CEA levels at least 12 times during the first post-operative year for rectal cancer patients with stage I-III



Table IV. Proposed alternate trial arms of a clinical trial based on the survey results.

Follow-up parameter	Postoperative year	More intensive strategy	Less intensive strategy
Office visit	1	6 per year	3 per year
	2-5	4 per year	2 per year
Serum CEA level	1	6 per year	3 per year
	2-5	4 per year	2 per year
CT of abdomen and pelvis	1	2 per year	1 per year
	2-5	1 per year	1 in year 3 only
Chest X-ray	1	2 per year	1 per year
	2-5	1 per year	1 in year 3 only
Colonoscopy	1	1 per year	1 per year
	2-5	1 per year	1 in year 3 only

lesions treated with curative-intent radical surgery, while 12% never request it. Colonoscopy and flexible sigmoidoscopy are both recommended regularly. Chest radiograph is the imaging study recommended most often, though CT is also used regularly. PET-CT fusion imaging was not available when the survey was mailed but seems likely to become an important modality.

The current report is the first to directly estimate the actual intensity of diagnostic testing after curative-intent surgery for rectal cancer, as delivered by practicing doctors who personally treat and follow these patients. The survey did not consider why ASCRS members order particular tests, how they acted on the test results, whether self-reported test-ordering frequency matches actual frequency, or whether the pattern of test ordering affects patient outcomes. We also point out that many rectal cancer patients undergo surgery by non-ASCRS surgeons and that follow-up may be delegated to medical oncologists, family physicians, or others. Their strategies may well differ from those described here. Our study was also limited by the relatively low response rate (566/1782, 32%), which may reflect the survey length. There were relatively few respondents from nations other than the USA (144/566, 25%) so our data do not permit reliable comparisons among countries. Despite these limitations, this report provides information not found elsewhere.

The specific and idealized situations presented in our questionnaire do not reflect all the elements of uncertainty present in actual practice. Nevertheless, 'standardized patient technology' is a validated and feasible method for measuring variability in clinical practice among physicians (25). We believe that this survey of a large sample of surgeons that used standardized patient vignettes provides an adequate approximation of actual practice. The results of this analysis do not permit us to comment on the value of different surveillance intensities for patients with different TNM stages since few data exist to document whether any strategy is beneficial, as measured by increased patient survival, quality of life, or cost-weighted parameters. It is worth recalling a highly cited definition of medical care quality: 'The best measure of quality is not how well or how frequently a medical service is given, but how closely the result approaches

the fundamental objectives of prolonging life, relieving distress, restoring function, and preventing disability' (26).

Mullan, a cancer survivor who is also a practicing physician, is often credited with establishing cancer survivorship as a distinct entity, one deserving scholarly activity and general acceptance (27). He wrote that 'the challenge in overcoming cancer is not only to find therapies that will prevent or arrest the disease quickly, but also to map the middle ground of survivorship and minimize its medical and social hazards'. There are now over 10 million cancer survivors in the US and this number is constantly increasing because of advances in cancer detection and treatment. Comparable statistics are generated in virtually all western industrial societies. Acceptance of the concept of cancer survivorship and research dealing with the topic have generated notice. Public policy has flowed from this. The 2004 report of the President's Cancer Panel (28), the 2004 National Action Plan for Cancer Survivorship of the Lance Armstrong Foundation and the Centers for Disease Control and Prevention (29), and the 2006 Analysis of the Institute of Medicine (8) all called for increased research to promote the well-being of cancer survivors. Numerous consumer advocacy groups such as the National Coalition for Cancer Survivorship have labored to generate community support and legislation to further these goals (30).

We used data from our survey to devise two surveillance strategies, one somewhat more intensive than the mean and one somewhat less intensive (Table IV). These would be good candidates for a randomized clinical trial since both are representative of the self-reported actual practices of ASCRS members. Such trials are feasible, although they are expensive and difficult to perform. Although most surgeons follow their own rectal cancer patients after surgery (7), carefully conducted trials indicate that patient follow-up for several common types of cancer can be carried out successfully by general practitioners as well as surgeons (31,32). The lack of uniformity in surveillance is not confined to surgeons or medical oncologists (33). It extends to general internal medicine physicians caring for patients with other common chronic disorders (34). It is also related to patient expectations. The public is quite enthusiastic about screening for cancer,



SPANDIDOS (35). The eventual financial gains from well-powered trials have been projected to outweigh costs by 1-2 orders of magnitude (36,37). Such trials directed at patients with rectal cancer after curative-intent treatment are in progress now (6). The eventual results should provide high-quality evidence on which clinicians can base their own practice. The optimal strategy for individual patients may well vary because of cultural differences, personal preferences, financial considerations and other factors (38).

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