

The effect of neoadjuvant therapy on the 5-fluorouracil metabolic and relative enzymes of oral squamous cell carcinoma

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Abstract. To assess the effect of neoadjuvant therapy using tegafur/uracil (UFT) and radiation therapy on the 5-fluorouracil (5-FU) metabolic and relative enzymes, thymidylate synthase (TS), dihydropyrimidine dehydrogenase (DPD), orotate phosphoribosyl transferase (OPRT) and thymidine phosphorylase (TP) in oral squamous cell carcinoma (OSCC), we examined the mRNA expression and immunohistochemical staining status of these enzymes using 17 surgical specimens. Seven patients did not receive any neoadjuvant therapy and 10 were treated with UFT and local irradiation therapy. Our result showed that the mRNA expression of these enzymes in neoadjuvant group was not significantly different from that of non-treated group using real-time quantitative PCR. To confirm the protein expression, we also carried out immunohistological staining of TS and DPD two key enzymes in the 5-FU metabolism, using the same specimens. Immunohistological staining status did not correspond to the results of mRNA analysis completely, though no significant difference between the groups was observed. Furthermore, no significant relationship between the UFT administration period and mRNA expression of the 5-FU metabolic enzymes was observed in neoadjuvant therapy group and also the distribution of the enzyme mRNA expression levels was similar to that of non-treated group. The results suggested that the neoadjuvant therapy of OSCC might not affect the expression status of 5-FU metabolic and relative enzymes in surgical tumor samples and the tumor tissues might serve as a useful specimen source

to analyze the expression status of the 5-FU metabolic and relative enzymes and to determine the prospective efficiency of 5-FU-based adjuvant chemotherapy.

Introduction

5-Fluorouracil (5-FU) is a widely used anti-cancer agent for the therapy of a variety of malignancies including gastrointestinal, mammary non-small cell lung and head and neck cancers. Especially, tegafur/uracil (UFT) as an oral 5-FU prodrug is commonly used for adjuvant and/or neoadjuvant therapy of these cancers. Previously, the relationship between 5-FU metabolic and relative enzymes in cancers including oral squamous cell carcinoma (OSCC) and the anti-cancer effect of 5-FU has been reported and evaluation of the enzyme expression can be used to predict the desirable efficiency of 5-FU-based chemotherapy prospectively (1-5). However, the effect of the neoadjuvant therapy using 5-FU and irradiation on the 5-FU metabolic and relative enzymes is controversial and remains unclear (6-9). Furthermore, there is no comparative study of that in head and neck cancer. In this study, to clarify the effect of the neoadjuvant therapy, particularly 5-FU administration, on 5-FU metabolic and relative enzymes, we investigated the expression status of these enzymes in oral squamous cell carcinoma tissues of patients treated with neoadjuvant therapy and non-treated group.

Materials and methods

Patient population. A total of 17 patients who underwent surgical resection of primary OSCC at the Department of Oral and Maxillofacial Surgery, Gifu University Hospital between March 2002 and August 2003 was included in this study (Table I). Six patients were male and 11 were female with average age was 67.4 years. Ten patients received neoadjuvant therapy of perioral 5-FU administration and local irradiation. In neoadjuvant group, the average amount of UFT was 14500 mg (2000-25200 mg) and the average doses of external beam radiation (RT) was 32 Gy (range 30-40 Gy).

This study was approved by the Institutional Review Board of Gifu University. Agreements of all participating patients were obtained using the written informed consent document.

RNA extraction and cDNA synthesis. One tissue specimen about 3 mg of weight and 3 mm in length were obtained from

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Abbreviations: OSCC, oral squamous cell carcinoma; TS, thymidylate synthase; DPD, dihydropyrimidine dehydrogenase; OPRT, orotate phosphoribosyl transferase; TP, thymidine phosphorylase; 5-FU, 5-fluorouracil; UFT, tegafur/uracil; RT, radiation therapy

Key words: neoadjuvant therapy, 5-fluorouracil metabolic enzymes, oral squamous cell carcinoma

operation materials. Following surgery, the tissue samples were immediately frozen in liquid nitrogen and stored at -80°C until use. The interval between operation and freezing process was done as quick as possible.

Total RNA was isolated using an RNeasy mini kit (Qiagen, Inc., Chatsworth, CA) and DNase treatment was performed using the RNase-Free DNase set (Qiagen, Inc.) according to the manufacturer's instruction. After RNA isolation, cDNA was prepared from each sample using Super Script II Reverse Transcriptase (Invitrogen Co., Carlsbad, CA). Reverse transcription with up to $4\text{ }\mu\text{g}$ of total RNA was carried out in a total volume of $1\text{ }\mu\text{l}$ containing $500\text{ }\mu\text{g/ml}$ of oligo (dT), in 250 mM Tris-HCl (pH 8.3), 375 mM KCl, 15 mM MgCl_2 , 0.1 M DTT and $1\text{ }\mu\text{l}$ dNTPs. Initially, the total RNA solution mixed with oligo (dT) was heated at 65°C for 5 min and immediately chilled on ice, then the other reagents were added. First-strand cDNAs were obtained and dissolved in $20\text{ }\mu\text{l}$ distilled water.

Real-time quantitative RT-PCR assay. The mRNA levels of TS, DPD, OPRT and TP were evaluated by real-time quantitative RT-PCR (TaqMan PCR) using an ABI Prism 7700 sequence detector (Perkin-Elmer Applied Biosystems, Foster City, CA). The β -actin gene was used as the endogenous control gene. Primers and TaqMan probes for each gene were designed based on the nucleotide sequence of human TS, DPD, OPRT and TP (Table II). The PCR mixture contained $10\text{ }\mu\text{l}$ of each appropriately diluted cDNA sample (standard curve points and patient samples), 200 nM forward primer, 200 nM reverse primer, 100 nM TaqMan probe and $12.5\text{ }\mu\text{l}$ TaqMan Universal PCR Master Mix (Perkin-Elmer Applied Biosystems), in a final volume of $25\text{ }\mu\text{l}$. The PCR profile consisted of one incubation at 94°C for 2 min, one incubation at 95°C for 10 min and 40 cycles of amplification for 15 sec at 95°C and 1 min at 60°C . The expression of β -actin gene was used as an internal standard. Relative gene expression was calculated by determining the ratio between the amount of the PCR product of 5-FU metabolic and relative enzyme gene and β -actin gene.

Immunohistochemistry. All tissues from a total of 17 cases of OSCC were fixed in 10% formalin and embedded in paraffin wax. Sections, $3\text{-}\mu\text{m}$ thick, were cut and mounted on aminopropyltriethoxy silane-coated slides. Sections were deparaffinized with xylene and rehydrated in graded ethanol. Endogenous peroxidase activity was quenched with 0.3% hydrogen peroxide in methanol for 20 min at room temperature. Proteolytic enzyme pretreatments were performed by means of 0.002% proteinase K (Roche Diagnostics, Indianapolis, IN, USA) in 50 mM Tris-buffered saline, pH 7.6 at room temperature for 15 min and 0.2% trypsin (Type 1, Sigma, St. Louis, MO, USA) in 10 mM phosphate-buffered saline (PBS), pH 7.2 at 37°C for 30 min. The sections were incubated in polyclonal antibody (diluted at 1:1,000, Taiho Pharmaceutical, Saitama, Japan) against TS and DPD overnight at room temperature. The specificity of these antibodies has been shown previously (10,11). After rinsing in PBS, pH 7.2, the sections were incubated with the universal immunoperoxidase polymer, anti-mouse and -rabbit (Histofine® Simple Stain MAX PO, Nichirei, Tokyo, Japan) at room temperature for 30 min. The reaction products were visualized in 50 mM

Table I. The clinicopathological features of 17 OSCC patients.

	Neoadjuvant therapy		Total (n=17)
	UFT + RT (n=10)	None (n=7)	
Gender			
Male	5	1	6
Female	5	6	11
Age (average)	68.4 \pm 11.9	65.8 \pm 13.3	67.4 \pm 12.2
Tumor size			
T1	0	3	3
T2	5	4	9
T3	0	0	0
T4	5	0	5
Nodal status			
N0	6	7	13
N1	3	0	3
N2	1	0	1
Stage			
1	0	3	3
2	2	4	6
3	3	0	3
4	5	0	5
Tumor differentiation			
Well	8	7	15
Moderate	2	0	2
Anneroth's classification			
1	0	2	2
2	8	4	12
3	2	1	3
Tumor site			
Tongue	1	4	5
Lower gum	5	0	5
Floor of mouth	2	2	4
Buccal mucosa	2	1	3

Tris-HCl buffer, pH 7.6, containing 50 mg/dl diaminobenzidine tetrahydrochloride and 0.006% hydrogen peroxide. The nuclei were lightly counterstained with Mayer's hematoxylin. Paraffin sections of human colon cancer tissue (cell line DLD-1/FdUrd) with high TS expression, implanted in a nude mouse, were used as a positive control. Paraffin sections of human pancreatic cancer tissue (cell line MIAPaCa-2) with high DPD expression, implanted in a nude mouse, were used as a positive control. For the negative control purpose, the primary antibody was replaced by PBS.

Evaluation of immunohistochemical staining. All of the immunostained sections under the appropriate antigen retrieval condition were reviewed by two investigators (M.T. and T.Y.) and the TS and DPD expression was divided according to the method of Sinicrope *et al* (12). Briefly, immunostaining was

Table II. Sequence of real-time quantitative RT-PCR primers and sequence-specific probes for target genes.

Target gene	Sequence	
TS	Sense primer	ATTTACCTGAATCACATCGAGCC
	Antisense primer	TCGAAGAATCCTGAGCTTTGG
	TaqMan probe	FAM-AAAATTTCAGCTTCAGCGAGAACCCAGACC-TAMRA
DPD	Sense primer	TCCCCAAAAGGCCTATTTCCT
	Antisense primer	TGCTCAATTACCCAAATGTTCC
	TaqMan probe	FAM-CCATCAAGGATGTAATAGGAAAAGCACTGCAG-TAMRA
TP	Sense primer	GAGTCTATTCTGATTCAATGTCATC
	Antisense primer	CCCACGATACAGCAGCCC
	TaqMan probe	FAM-CAGATGCAAGTGCTGCTGGACCAGG-TAMRA
OPRT	Sense primer	ACTACACTAGAGCAGCGGTTAGAATG
	Antisense primer	AACTGAACTCCTGGAGTCAAGTGA
	TaqMan probe	FAM-TTCTGGCTCCCGAGTAAGCATGAAACC-TAMRA

The probe were labeled with a receptor dye (FAM) situated at the 5 end of the oligonucleotide and a Quencer dye (TAMRA) located at the 3 end.

Table III. mRNA of 5-FU metabolic and relative enzymes in 17 OSCC patients and neoadjuvant therapy.

Neoadjuvant therapy	mRNA of 5-FU metabolic and relative enzymes							
	TS	P-value	DPD	P-value	OPRT	P-value	TP	P-value
Non-therapy (n=7)	15.4±17.8	P=0.922	25.8±38.7	P=0.845	55.3±69.9	P=0.558	308.8±369.5	P=0.696
UFT + RT (n=10)	10.8±12.3		25.7±27.2		62.0±60.1		337.2±533.2	

Table IV. Immunohistochemical staining score of TS and DPD in 17 OSCC patients.

Neoadjuvant therapy	Immunohistochemical staining score of TS and DPD			
	TS	P-value	DPD	P-value
Non-therapy (n=7)	5.7±5.9	P=0.880	3.1±2.1	P=0.918
UFT + RT (n=10)	3.9±3.8		6.0±3.6	

expressed as the percentage of stained cells out of total number of tumor cells and assigned to one of five categories: 0, <5%; 1, 5-25%; 2, 25-50%; 3, 50-75% and 4, >75%. The intensity of immunostaining was scored as: 1 weak; 2 moderate; 3 intense (Fig. 1). The two scores were multiplied and the product was defined as immunohistochemical score. The assessment of immunostaining was done without knowing results of other experiments.

Statistical analysis. The clinicopathological features, the mRNA levels/immunohistochemical staining score and neoadjuvant therapy were compared using the Mann-Whitney U test and Kruskal-Wallis test. UFT off period and mRNA

expression of 5-FU metabolic and relative enzymes in neoadjuvant therapy group were compared using Spearman's correlation coefficient by rank. Statistical significance was established at the $P<0.05$ level for each analysis.

Results

Effect of neoadjuvant therapy on the 5-FU metabolic and relative enzymes. We analyzed the effect of neoadjuvant therapy on the 5-FU metabolic and relative enzymes in OSCC patients by real-time quantitative RT-PCR and immunohistochemical analysis. The mRNA expression of TS, DPD, OPRT and TP was analyzed by the real-time quantitative RT-PCR using the tumor tissues treated by adjuvant therapy or none. The mean values of these mRNA expressions are summarized in Table III. The mRNA expression levels of all enzymes indicated that there was no significant difference between neoadjuvant therapy and non-neoadjuvant therapy group (Table III).

To confirm this phenomenon, immunohistochemical staining of TS and DPD was carried out using the same specimens of mRNA analysis. The mean values of TS and DPD staining scores of tumor tissues are summarized in Table IV. The staining score of TS in neoadjuvant therapy group showed a tendency of lower score as compared with that in adjuvant therapy group, but no significant difference was observed. Furthermore, the mean value of DPD staining

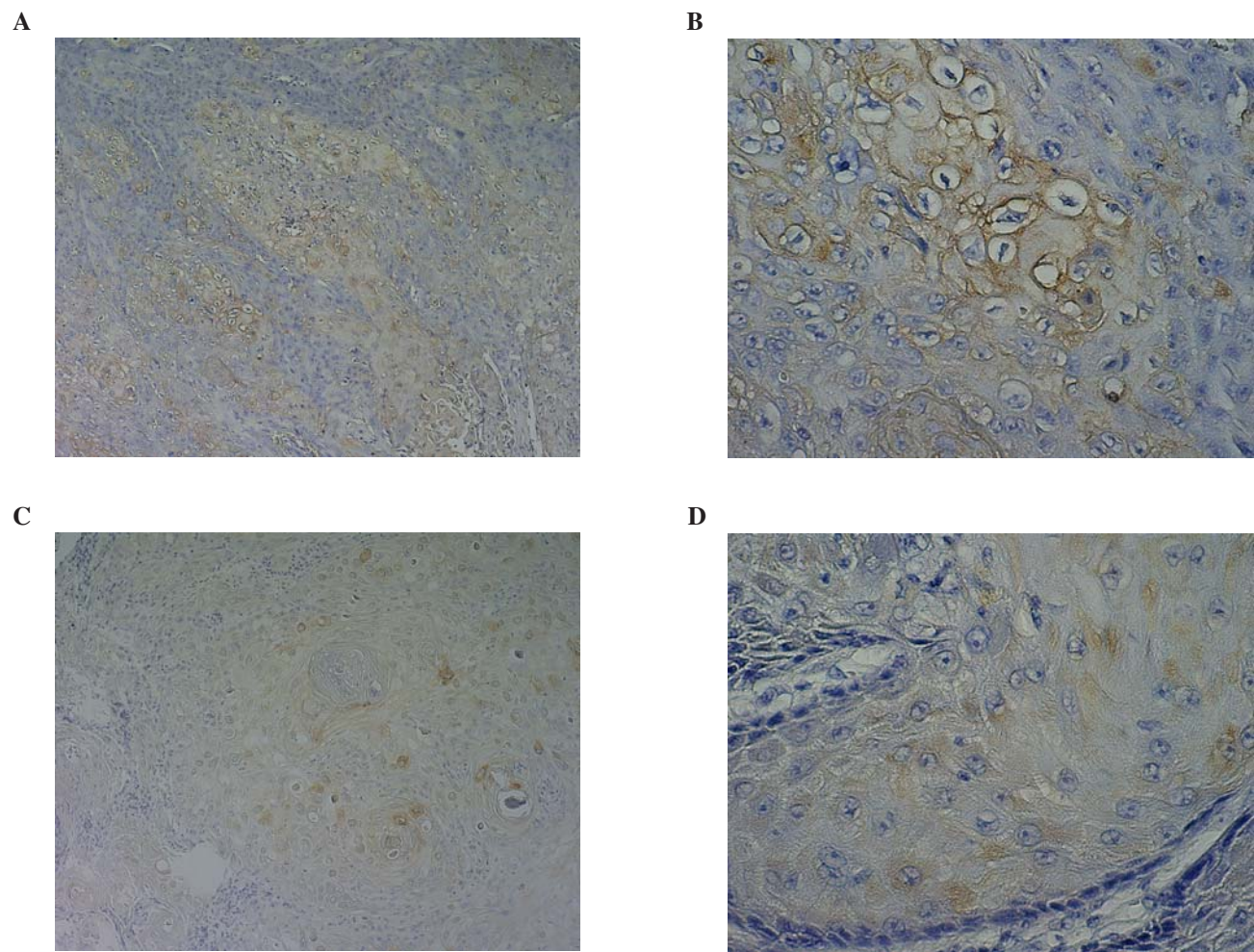


Figure 1. Photomicrographs showing representative areas of category 3, where ~70% of tumor cells exhibit intense positive reactions for DPD (A, x100) (B, x400) and category 2, where ~45% of tumor cells exhibit moderate positive reactions for TS (C, x100) (D, x400) in well differentiated squamous cell carcinomas.

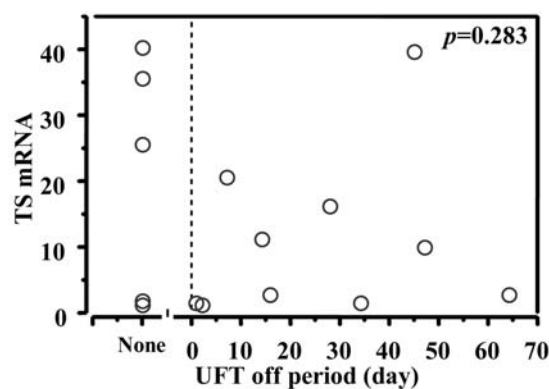


Figure 2. UFT off period and TS mRNA level in neoadjuvant therapy group and non-treated group.

scores in neoadjuvant therapy group was higher than that of adjuvant therapy group, but not statistically significant. Although immunohistological staining status did not completely correspond to the results of mRNA expression, no significant difference between groups was observed in the analyzed enzymes (Table IV).

Effects of UFT administration period on the mRNA expression of the 5-FU metabolizing enzymes. To assess the effect of UFT on the mRNA expression of the 5-FU metabolizing enzymes, we analyzed the relationship between the off period of UFT until tumor excision and mRNA expression levels of TS, DPD, OPRT and TP in neoadjuvant therapy group.

The mRNA expression of TS showed a decreasing tendency according to the days following 5-FU interruption and an increasing tendency was observed in the expression of DPD and OPRT enzymes, though P-value of TS, DPD and OPRT was 0.283 0.252 and 0.223, respectively (Figs. 2, 3 and 4). Furthermore, the expression of TP was not affected by the off period of UFT until tumor excision (Fig. 5).

Discussion

The effects of 5-FU are closely related to the activity of its metabolic and associated enzymes of patients. 5-FU metabolic enzymes such as thymidylate synthase (TS), dihydropyrimidine dehydrogenase (DPD), orotate phosphoribosyl transferase (OPRT) and thymidine phosphorylase (TP) were extensively explored in various cancers, but have rarely been examined in OSCCs. 5-FU is metabolized into two different active

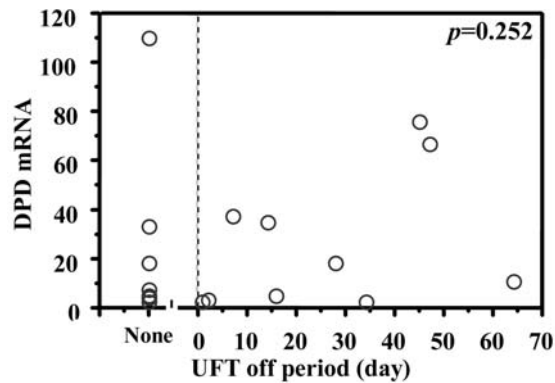


Figure 3. UFT off period and DPD mRNA level in neoadjuvant therapy group and non-treated group.

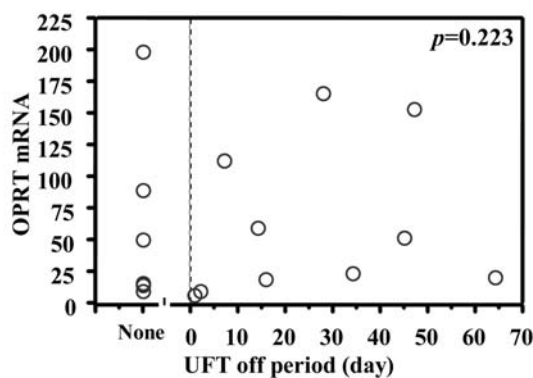


Figure 4. UFT off period and OPRT mRNA level in neoadjuvant therapy group and non-treated group.

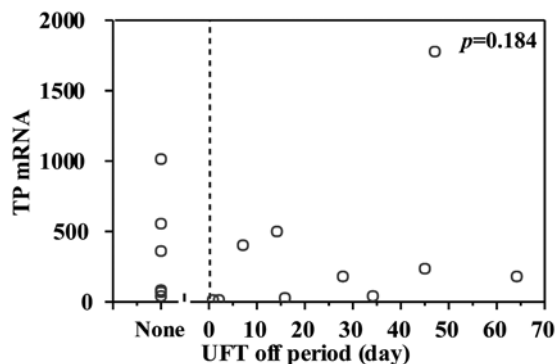


Figure 5. UFT off period and TP mRNA level in neoadjuvant therapy group and non-treated group.

forms of 5-fluorodeoxyuridine monophosphate (FdUMP) and 5-fluorouridine (FUTP) and these metabolites inhibit the DNA synthesis and RNA function, respectively. FdUMP and the coenzyme 5, 10-methylene tetrahydrofolate form the covalent ternary complex with the DNA synthesizing enzyme thymidine synthetase (TS) (13). This complex inhibits the conversion of dUMP to dTMP that is an essential step of DNA synthesis and acts on the anti-cancer effects (14). Consequently, overexpression of TS possibly decreases the inhibitory effect of 5-FU on the DNA synthesis and several studies suggested that high amount of TS in tumor cells

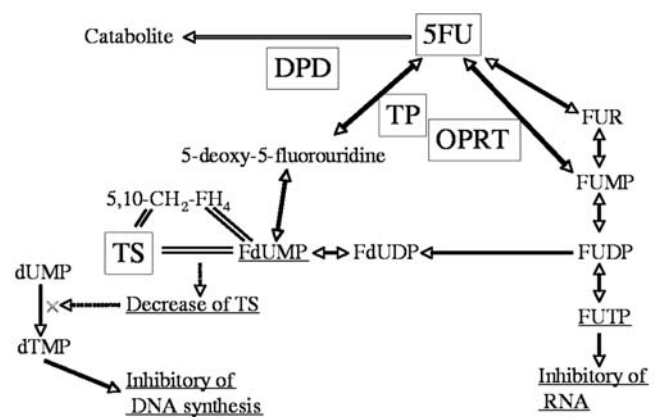


Figure 6. The metabolic pathway of 5-FU. FdUDP, 5-fluorodeoxyuridine diphosphate; FdUMP, 5-fluorodeoxyuridine monophosphate; FUDP, 5-fluorodeoxyuridine; FUMP, 5-fluorouridine monophosphate; FUTP, 5-fluorouridine triphosphate; FUR, 5-fluorouridine; dTMP, deoxythymidine monophosphate; dUMP, deoxyuridine monophosphate; DPD, dihydropyrimidine dehydrogenase; OPRT, orotate phosphoribosyl transferase; TP, thymidine phosphorylase; TS, thymidine synthase.

might decrease the efficiency of 5-FU and lead to drug resistance (1,5,15-17). DPD is the initial and rate-limiting enzyme in the catabolic pathway of 5-FU. Therefore, DPD in tumor cells may reduce the anti-cancer effects of 5-FU and several studies have reported that the level of DPD expression is well correlated to the resistance of 5-FU efficiency (3,4,18). OPRT is the first key enzyme for phosphorylation of 5-FU and converts 5-FU to 5-fluorouridine-5-monophosphate (FUMP) and is considered to inhibit predominantly the RNA function. Thus, it is reported that high amount of intratumoral OPRT correlates well to the tumor sensitivity to 5-FU (2,19,20). TP is an enzyme that catalyzes the conversion of 5-FU to 5-deoxy-5-fluorouridine reversibly and high expression of this enzyme in a tumor is correlated with high response rate to 5-deoxy-5-fluorouridine (21,22) (Fig. 6).

As described above, 5-FU was metabolized and modified its anti-cancer effects by these enzymes. Therefore, evaluation of these enzyme expression levels can be used to determine the desirable efficiency and prognosis including the prevention of 5-FU side effects prospectively. From this point of view, the relationship between enzyme expression and anti-cancer effect was examined by many researchers. Although all results are not completely in agreement, previous studies indicated that the low expression of TS and DPD possibly enhanced the anti-cancer effects of 5-FU by analyzing the mRNA level and immunohistochemical staining of OSCC cases (1,3-5,23). Furthermore, the high expression of OPRT mRNA may prognosticate the good efficiency of 5-FU (2). However, effects of neoadjuvant therapy on the 5-FU metabolic and relative enzymes remain unclear. It was revealed that the exposure of cells to 5-FU increased the expression of TS *in vitro* (9,24-26) and several clinical studies demonstrated that the neoadjuvant therapy might affect the 5-FU metabolic and relative enzyme status. A previous study indicated that a significant increase of DPD and no change of TS were observed in colorectal and gastric cancer (27) and that no change of DPD and TS was observed

in gastric cancer (8) following 5-FU and cisplatin-based chemotherapy. Other studies reported that radiation therapy did not affect the DPD expression in rectal cancer (6) and that neoadjuvant therapy using 5-FU, cisplatin and radiation therapy decreased the expression of TS and DPD in esophageal cancer (28). These results suggest that the possibility of modifying effect of neoadjuvant therapy on the 5-FU metabolizing enzyme status, though these results are not identical and the effects of neoadjuvant therapy may be variable in different organs and the gene expression of relative enzymes would depend on the metabolism of 5-FU in a particular organ site. Additional study is needed to determine whether different type of cancer respond similarly or differently to 5-FU-based therapy and/or radiation. Radiation therapy and/or chemotherapy using 5-FU are commonly used and bring the desirable prognosis in the treatment of oral cancer, though the effect of neoadjuvant therapy on the 5-FU metabolizing enzyme status is not yet fully examined.

Our results of mRNA analysis indicated that mRNA expression of TS, DPD, OPRT and TP were not significantly affected by the neoadjuvant therapy using 5-FU and irradiation and similar results using the same specimens were observed in the immunohistochemical analysis of TS and DPD, two key enzymes in the 5-FU metabolism, though immunohistological staining status did not correspond to the results of mRNA analysis completely. Furthermore, as a comparison of the 5-FU administration period with mRNA expression of these enzymes, neoadjuvant therapy did not affect the mRNA expression of 5-FU metabolizing enzymes. These results suggested that the expression status of these enzymes would not be affected by 5-FU-based chemotherapy and radiation received before surgical treatment and the surgical tumor tissues may serve as a useful specimen source to analyze 5-FU metabolic enzymes expression for the evaluation of 5-FU-based adjuvant chemotherapy efficiency following surgery. Comprehensive studies with larger sample size are needed to further clarify the efficacy of neoadjuvant therapy using 5-FU and radiation and the gene and protein expression levels of 5-FU metabolic enzymes in oral cancer. Furthermore, quantitative RT-PCR and immunohistochemical staining are simple and quick methods, and these techniques could be used to assess the 5-FU metabolic enzyme levels in patients treated with 5-FU-based chemotherapy or non-treated group in order to determine the prospective efficacy of 5-FU therapy, though we observed a discrepancy of enzyme expression status between mRNA quantification and immunohistochemical staining status. Therefore, both mRNA quantification and immunohistochemical staining is recommended for the evaluation of the 5-FU metabolizing enzymes.

As a conclusion, the results of this study suggested that the neoadjuvant therapy of OSCC might not affect the expression status of 5-FU metabolic and relative enzymes in surgical tumor samples and the tumor tissues might serve as a useful specimen source to analyze the expression status of the 5-FU metabolic and relative enzymes and to determine the prospective efficiency of 5-FU-based adjuvant chemotherapy.

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