Abstract. Humanized antibodies vary and have certain effects, but they are expensive and require repeated administration. We developed cells which constantly express a humanized antibody, and we performed anticancer humanized antibody therapy involving cell transplantation. Genes with the same amino acid sequence as that of the variable region of trastuzumab (Herceptin) as the humanized anti-HER2 monoclonal antibody were produced by overlap-PCR and were connected to the anti-human CD16 antibody [anti-HER2+anti-CD16 single-chain antibody (anti-HER2+CD16 scAb)]. For transplantation, stem cell-like cells that are immunologically tolerant and do not transform into cancer [mouse embryo fibroblast cell line C3H10T1/2 (10T1/2)] were used. The antibody was incorporated into 10T1/2 (antibody-expressing cells) using the pMX-IRES-EGFP retroviral vector. Cell supernatants and human monocytes were exposed to the human breast cancer strain HTB131 (antibody-expressing cells) using the pMX-IRES-EGFP retroviral vector. Cell supernatants and human monocytes were exposed to the human breast cancer strain HTB131 expressing HER2, and the in vitro antibody-dependent cell-mediated cytotoxicity (ADCC) effects were evaluated. After the transplantation of antibody-expressing and HTB131 cells into SCID mice, human monocytes were intermittently administered, and the in vivo ADCC effects were evaluated. We found that the ex vivo dead cell rate was 15.4% for Herceptin, 5.6% for anti-HER2+CD16 scAb, 1.5% for anti-CD16 scAb, and 2.1% for the control, demonstrating the antitumor effects of anti-HER2+CD16 scAb. In an antibody-expressing cell transplantation model, the inhibitory effects of this antibody on HTB131 cell establishment were observed. In conclusion, the establishment of breast cancer cells in the peritoneum was inhibited by the transplantation of antibody-expressing cells. Since this method requires cell transplantation only once, the drug cost may be reduced.

Introduction

The HER2/neu proto-oncogene as erbB-2 encodes a 185-kDa type I transmembrane receptor tyrosine kinase (1). Trastuzumab (Herceptin®, Chugai Pharmaceutical Co., Ltd., Tokyo, Japan) is an anti-HER2 antibody targeting HER2 (2). It binds to the juxtamembrane region of HER2, identifying this site as a target for a humanized monoclonal antibody authorized for metastatic breast cancer overexpressing HER2 by the Japanese government in 1998. As the common administration method of trastuzumab, the optimal dose is 2-4 mg/kg once a week. The half-life of the IgG antibody in the blood is usually about 1 week. Therefore, to maintain an effect, the continuous administration of the antibody is required. However, antibody therapies are expensive and involve repeated administration for a long period. The total cost is approximately 14,400,000 yen (~12,000 dollars) when a patient with metastatic breast cancer undergoes medical treatment for approximately 3 years, the average life expectancy period (test calculation of our facility), since a vial of trastuzumab costs 80,000 yen (~670 dollars) in Japan. To lower the cost, a reduction in the number of antibody administrations must take place. We, therefore, designed a transplant cell therapy which involves constant antibody development. Regarding the cells used for transplantation, long-life cells are desirable in order to produce antibodies for the longest period possible. Furthermore, it is desirable that the cells are applicable to all individuals and that cell suppliers can mass produce them to save on production costs. Stem cell-like cells satisfy these requirements and thus are favorable candidates. Since stem cell-like cells can multiply, mass production is possible for transplant use. Also, it is known that they are immunologically tolerant, and within-species cell transplantation is possible (3). A progressive effect is required to induce antibody-dependent cell-mediated cytotoxicity (ADCC) and to produce the antibody constantly. ADCC occurs when antibodies bind to antigens on tumor cells and the antibody Fc domains engage Fc receptors on immune effector...
cells (4,5). Herceptin also elicits the cytotoxicity reaction of target cells by ADCC (6).

Cancer cells continue to achieve drug resistance by all means even when an effective anticancer drug is used, but resistance to the antibody does not develop as long as the surface antigen of the cancer is preserved. Therefore, continuous antibody treatment is extremely effective for the prevention of cancer recurrence. This study is a preliminary research involving ‘cancer antibody treatment using human amnion stem cells’. Since a large quantity of amniotic fluid cells can be separated from a discarded placenta, ethical issues do not arise. Moreover, stem cells do not cause canceration in spite of their marked differentiation and proliferation capacities (7). In this study, we developed mouse stem cell-like cells which constantly produce anti-HER2 antibodies, and we investigated whether they are appropriate candidates for use in the treatment of cancer.

Materials and methods

Vectors. We developed two single-chain antibodies (scAb) using the following methods. The amino acid sequence of the anti-HER2 single-chain antibody constructed with the complementarity-determining region of the mouse and humanized framework region [single-chain variable fragment (scFv)] was obtained from the antibody showing HER2 protein-neutralizing activity engineered by Carter et al (2). These genes of anti-HER2 scFv were synthesized and cloned as independent segments by employing a combination of oligonucleotide synthesis and polymerase chain reaction (PCR). They were inserted into the expression cassette of a retroviral plasmid showing unique restriction sites which permitted the assembly of genes including (5’ to 3’) the cystomegalovirus promoter/enhancer, an optimal Kozak sequence (GCCCC ACC), the human Igκ-chain secretion signal sequence, a sequence encoding a human constant κ-domain, 6 histag + myc tag to aid in identification, and an SV40 polyadenylation signal (anti-HER2 scAb). The amino acid sequence of anti-CD16 scFv was obtained from an antibody with a variable region of the heavy chain (VH) and that of the light chain (VL) domain sequences of NM522 scFv and linker of (Gly3 Ser Ala3) x4 specificity for CD16-positive cell-binding activity (7). Anti-CD16 scAb was constructed with anti-CD16 scFv instead of anti-HER2 scFv. Anti-HER2+CD16 scFv were connected and introduced into the plasmid as a bispecific scAb (9-14). These constructs were sequenced to demonstrate their fidelity. The number of sequences that demonstrated their fidelity. The number of sequences that encoded anti-HER2+CD16 scAb and anti-CD16 scAb was 1,950 and 1,594 bases, respectively. Theoretically, the anti-HER2+CD16 scAb construct has the capacity to induce ADCC (Fig. 1A). These genes were subsequently excised from each plasmid and inserted into the expression cassette of the retroviral plasmid pMXc-IRES-EGFP generously provided by Kitamura et al (15). We used these plasmids to infect 4x10^5 phoenix cells on a 12-well plate using FuGENE6 Transfection Reagent (Roche Applied Science, Mannheim, Germany), collected each retrovirus plasmid in the supernatant, and purified anti-HER2+CD16 scAb, anti-CD16 scAb, and the null retroviral vector using conventional methods. The null retroviral vector is a control with an identical backbone to these retroviral vectors, but it contains no transgene (Fig. 1B).

The mouse embryo fibroblast cell line 10T1/2 was generously provided as a mouse stem cell-like cell line by Nishikawa et al (3). 10T1/2 cells were placed in flat-bottomed 96-well culture plates at a concentration of 1x10^5 cells per well in Opti-MEM (Gibco, Life Technologies Japan Ltd., Tokyo, Japan) infected with anti-HER2+CD16, anti-CD16, and the null retroviral vectors, and then incubated for 24 h at 37°C. 10T1/2 cells were added to polybrane on the 2nd day and incubated for 48 h at 37°C. The fluorescence of 10T1/2 cells was observed using EGFP after supernatant removal and washing with phosphate-buffered saline (PBS). The supernatants were evaluated for the presence of antibodies containing the human κ-chain constant region (C6) by Western blot analysis using a goat anti-human Igκ antibody-horseradish peroxidase (HRP) conjugate (Sigma-Aldrich, St. Louis, MO, USA). The supernatant of the null vector was used as a negative control, and purified human IgG (10 ng) (Sigma) was used as a positive control. For the evaluation of immunoreactivity against the HER2 protein of the anti-HER2+CD16 scAb expressed by the vector, recombinant HER2 protein (generously provided by Nichirei Corp., Tokyo, Japan) was probed with anti-HER2+CD16 scAb vector-infected cell supernatant diluted to 4,000 times. Mouse IgG (10 ng) (Sigma) was used as a negative control.

Non-specific cytotoxicity of antibodies. Supernatants of 10T1/2 cells infected by anti-HER2+CD16 scAb, anti-CD16 and the null vectors were evaluated regarding the presence of non-specific cytotoxicity that does not depend on ADCC. HTB131 cells derived from human breast cancer expressing HER2, which was purchased from the American Type Culture Collection (Manassas, VA, USA), was used. HTB131 cells were placed in flat-bottomed 96-well culture plates at a concentration of 1x10^5 cells per well in RPMI-1640 (Gibco) supplemented with 10% fetal calf serum (FCS, Sigma) under a humidified atmosphere containing 5% CO2 at 37°C for 6 h. After removing the medium, HTB131 cells were incubated in the supernatant containing the anti-HER2+CD16, anti-CD16, or null vectors diluted from 1 to 2^5 times for 3 days. Then, the non-specific cytotoxicity of each supernatant was measured using the LDH release assay (Roche Cytotoxicity Detection Kit, Roche).

Cytotoxicity associated with ADCC. Cytotoxicity associated with the antibody was evaluated using a single-cell cytotoxicity assay (16).

Effector cells. Blood from healthy volunteers was collected. Fresh peripheral blood lymphocytes (PBLs) (4 ml) were separated by buoyant density centrifugation on Ficoll-Plaque Plus (3 ml) (Amersham, General Electric Healthcare Japan, Tokyo, Japan) at 400 x g for 30 min at 20°C. The lymphocyte layer was obtained, washed twice in PBS and re-suspended in RPMI-1640 without FCS. They were used as effector cells (2x10^6 cells/ml) after confirmation of their viability to be >90%.

Target cells. Suspended HTB131 cells (2x10^6 cells/ml) in RPMI-1640 without FCS were used as target cells. The target cells were added to the medium including the supernatant of
anti-HER2+CD16 scAb, anti-CD16 scAb, null (no antibody) and 100 μg/ml of Herceptin and cultured for 72 h at 37˚C.

Reagents. To obtain agarose (Sigma) with optical clarity, a high-tensile strength and low melting point at 39˚C, a 1:1 blend of agarose and RPMI-1640 was used as molten agarose. The effector-to-target conjugates were formed using a 1:1 mixture of 500 μl of effector cells and 500 μl of target cells (final concentration of each cell type, 1x10^6 cells/ml each) in a 10x75-mm round-bottomed borosilicate tube with subsequent centrifugation (200 x g) for 5 min at 37˚C. Samples were incubated for 15 min with the subsequent addition of 1 ml of molten agarose. The cell and agarose mixture of 200 μl was quickly spread directly on a 6-well plate. It soon solidified, and 1 ml of RPMI-1640 was added. It was then cultured for 3 h at 37˚C. After removing RPMI-1640, the gelatinous mixture of cells and agarose (1 ml) was diluted 1:2 with 1 ml trypan blue, left for 10 min, washed twice with PBS and immersed in 1% formalin for 10 min. After removing the formalin solution, the samples were washed twice with PBS and observed by microscopy. Target cells that were bound to effector cells and also stained by trypan blue were regarded as target cells killed by ADCC. The percentage of the number of dead cells to the total target cell number (500 in each well) was calculated. Four separate experiments were performed using the different procedures, and the results were calculated as the average ± standard deviation (SD).

Double xenograft mouse. C.B-17-SCID mice (female, 4-6 weeks old, Clea Japan Inc., Tokyo, Japan) received an injection of 2x10^6 HTH131 cells in 0.5 ml of RPMI-1640 medium into the peritoneal cavity (i.p.), and then an intravenous injection (i.v.) of 2x10^7 transgene 10T1/2 cells via a tail vein. To assess HTH131 and 10T1/2 cell localization, mice were necropsied 6 weeks later. The HTH131 cells injected i.p. formed nodules in the intra-abdominal cavity, and the 10T1/2 cells which were injected i.v. were implanted into the perivascular area of pulmonary arteries (double xenograft mouse). The intra-abdominal nodules and lungs were removed, fixed in formalin and embedded in paraffin. Sections were deparaffinized in xylene, dehydrated with alcohol, rehydrated in PBS, and stained with hematoxylin and eosin (H&E). For specimens of intra-abdominal nodules, endogenous peroxidases were blocked with 3% hydrogen peroxide in PBS. After 1 h of incubation with anti-ErbB2/HER2 (C-term) rabbit monoclonal antibody (Epitomics, Inc., Burlingame, CA) diluted at 1:500, biotin-streptavidin immunostaining was carried out with CAS II Rabbit Link (Dako, Japan).

In 3 groups of double xenograft mice, 10T1/2 cells were transplanted with anti-HER2+CD16 scAb vector (anti-HER2+CD16 scAb mice, n=4), anti-CD16 scAb vector (anti-CD16 scAb mice, n=2) and the null vector (null mice, n=2). Mice were administered Herceptin at 25 μg in 0.5 ml of distilled water twice per week for 3 weeks (Herceptin mice, n=2). Human PBLs (5x10^7) in 0.5 ml of PBS were injected into the peritoneal cavity of mice twice per week for 3 weeks (total number of PBL i.p. administrations was 6), and followed up for one year.

Animals were maintained at the Animal Care and Use Facilities at Tokyo Medical University Hospital under specific pathogen-free conditions. All experiments were approved by the Animal Care and Ethics Committee of Tokyo Medical University.

Statistical analysis. Statistical analyses were performed using Microsoft Excel (Microsoft Corp., Redmond, WA, USA). Differences among statistical values of single-cell cytotoxicity assays were evaluated using the Mann-Whitney U-test.

Results

In vitro characterization of the anti-HER2+CD16 scAb vector. The anti-HER2+CD16 scAb vector is a retroviral vector containing c-DNA encoding a human single-chain antibody and EGFP. To examine gene transduction and protein expression, we infected 10T1/2 cells with anti-HER2 +CD16 scAb vector and observed the fluorescence of EGFP.
with a fluorescence microscope. We confirmed the stable emission of light from EGFP in the cells (Fig. 2A). To examine the expression and secretion of anti-HER2+CD16 scAb by the anti-HER2+CD16 scAb vector, anti-human constant κ domain, Western blot analysis under reducing conditions was performed. The supernatants collected from 10T1/2 cells infected with the anti-HER2+CD16 vector contained antibodies reactive with the common human Igκ chain domain expressed by the relevant retroviral vector. In contrast, no anti-human Igκ-reactive material was detectable in supernatants from the null vector-infected cells (Fig. 2B). To test whether the proteins of anti-HER2+CD16 scAb bind to the recombinant HER2 protein under reducing conditions, but not mouse IgG (Fig. 2C). Thus, both analyses demonstrated that the anti-HER2+CD16 scAb could be secreted outside 10T1/2 cells and showed specificity for HER2. When we investigated the presence of HER2 protein in the supernatant from the anti-CD16 vector-infected cells, anti-CD16 scAb did not recognize HER2 protein (data not shown).

Non-specific cytotoxicity of anti-HER2+CD16 scAb. We evaluated the non-specific toxicity of the supernatant including the anti-HER2+CD16 scAb, anti-CD16 scAb and null using an LDH release assay. We added supernatants from anti-HER2+CD16, anti-CD16 and null vector-infected HTH131 cells in serial dilutions. The supernatant of the cells transfected with the null vector showed the highest LDH level. Those of the anti-HER2+CD16 scAb and anti-CD16 scAb vectors showed values lower than the null vector in all diluted samples. However, single-chain antibodies barely
showed toxicity not associated with ADCC for HTB131 HER2-positive breast cancer cells (Fig. 3).

**ADCC-associated cytotoxicity of anti-HER2+CD16 scAb.**

We judged breast cancer cells showing trypan blue positivity connected to mononuclear cells to be ADCC-associated dead cells, and calculated a dead cell rate. The dead cell rate associated with ADCC was the highest with Herceptin (15.4±0.5%). The dead cell rates of anti-HER2+CD16 scAb, anti-CD16 scAb, and null were 5.6±0.5, 1.5±0.2, and 1.9±0.2%, respectively. The non-specific dead cell rate of Herceptin, anti-HER2+CD16 scAb, anti-CD16 scAb, and null was 6.0±0.6, 1.7±0.2, 1.7±0.2, and 2.1±0.3%, respectively (Fig. 4A). Based on these results, cell death due to ADCC was caused by anti-HER2+CD16 scAb (Fig. 4B).

**Antitumor effect in double xenograft mice.** 10T1/2 cells were transplanted around a pulmonary artery, and there was no evidence to suggest the malignant transformation of vascular infiltrates. Small nodules were formed in the intra-abdominal cavity. These nodules were positive for HER2 protein immunohistochemically. We confirmed these implanted cells in all 4 types of mice (Fig. 5).

There was no tumor-related death in the 2 mice receiving Herceptin. One of the 4 mice undergoing oHER2+oCD16 cell administration died in the 38th week, and 2 of 2 mice receiving oCD16 cell administration died from tumor growth in the 10th and 37th weeks (Fig. 6). An antitumor effect through cell transplantation was confirmed based on these results. However, the antitumor effects of the anti-HER2+CD16 scAb were lower than those of Herceptin, the complete form of the antibody.

**Discussion**

Antibodies activate various accessory effector cells with Fc receptors specific to the Fc region of specific isotype anti-
bodies. These accessory cells are activated after binding to specific Fcγ receptor IgG antibodies, particularly IgG1 and IgG3 on their surface. As one population of these receptors, Fcγ-III(CD16) receptors are present in NK cells, eosinophils and macrophages among accessory cells. The phenomenon wherein target cells bound to an antibody (breast cancer cells in this study) are destroyed by cells such as NK cells is called antibody-dependent cell-mediated cytotoxicity (ADCC) (4-5). Trastuzumab and rituximab are humanized antibodies capitalizing on the effects of ADCC (6). Trastuzumab is an antibody in which the framework region and constant region have been humanized, after excluding only the complementarity-determining region directly binding to antigens (2). Of the constituent amino acids of the antibody produced in this study, 95% were derived from human IgG, and the other 5% were derived from the mouse antibody 4D5. Therefore, the antigenicity of the antibody in humans is very low. Its antigen affinity and specificity are comparable to those of 4D5 as the parent antibody, and ADCC induction ability is maintained. Subsequently, the antigen-binding region of trastuzumab was used in studies to enhance various antitumor effects. Weiner and colleagues studied an anti-HER2+anti-CD16 single-chain antibody (8-14). They showed a decrease in CD16-positive cells only in the presence of HER2-positive target cells and trastuzumab, stating that the binding of the three is necessary. The amino acid sequence of the anti-HER2 region is the same as that of trastuzumab. In a mouse treatment model using this antibody, SK-OV-3 cells were transduced to cell genes, and the production of even a small amount of antibody activated accessory cells, inducing ADCC activity. No toxicity of the anti-HER2+anti-CD16 single-chain antibody has been previously confirmed in vitro (10) or in clinical cases (11). This study also confirmed no antibody-dependent cytotoxicity.

Studies of stem cells over the last few years have shown their potentiality as a method replacing organ transplantation. In particular, since ES cells are established from human cutaneous cells, issues regarding ethics, immune reactions at the time of transplantation, and the supply source of donor cells may be overcome in the near future. This method is also considered to be cell transplantation therapy, but its purpose is different. Amniotic cells, one type of mesenchymal stem cells (MSCs), show weak expression of MHC class I and no expression of class II, and, therefore, are difficult to recognize by the maternal immune system. These cells produce various immunosuppressive factors, inhibiting the immune system. Using these characteristics, amniotic cells are considered to be suitable for transplantation as cells not requiring HLA compatibility. In addition, since these cells are generally discarded after delivery, they are abundantly available (7). Studies on antitumor therapy using MSCs have shown MSC accumulation in the tumor (18,19). A small number of MSCs were collected from the bone marrow and cultured to obtain a large amount in the laboratory. Subsequently, MSCs were transduced with an adenovirus vector encoding IFN-β as cells for treatment. The MSCs for transplantation accumulated in the tumor, and when intravenously injected into mice, they accumulated in target cells. In addition, MSCs continuously expressed the drug (IFN-β), resulting in cytotoxic effects on cancer cells. In gene transduction using an adenovirus vector, the gene expression period is approximately 2 weeks. Expecting gene expression for a longer period, we performed gene transduction using a retroviral vector. We considered that expression for a long period is more appropriate than high-level expression for a short period in breast cancer showing relatively slow proliferation. The gene transduction method should be determined according to the goal expression period and amount. Studeny et al (18,19) scrutinized automated MSC accumulation in the tumor rather than the antitumor effects of IFN-β. Tumors are ‘injuries that do not heal’, emitting various signals. MSCs receive these signals and accumulate in local areas to repair the injury. Tumors grow with the help of stem cells, arranging the cancer stromal environment. They concluded that MSC accumulation in the tumor can be an excellent vehicle for cancer treatment. Subsequently, Kamrani et al (20) reported the promotion of breast cancer metastasis by MSCs in the tumor stroma. In this study, mouse embryo fibroblast cells were used as mouse stem cell-like cells instead of MSCs, but we intend to use human amniotic cells in the future. In this study focusing on the antitumor effects of continuous antibody expression, a model in which the 10T1/2 cell implantation area was separated from the HTH131I breast cancer cell implantation area was used. In addition, to confirm the specific cytotoxic effects of human monocyes, ADCC was demonstrated using human breast cancer cells, the humanized anti-HER2 single-chain antibody, anti-human CD16 antibody, and human monocyes.
The most important focus in this study was the comparison of antitumor effects between the anti-HER2+CD16 antibody and Herceptin. A single-cell cytotoxicity assay for the evaluation of in vitro ADCC confirmed the cytotoxic effects of the anti-HER2+CD16 antibody due to ADCC, but its level of effect was lower than that of Herceptin. As a preliminary in vivo experiment, we produced a treatment model in which Herceptin and PBLs were administered 6 weeks after the intraperitoneal injection of tumor cells. However, no antitumor effects were observed when Herceptin and PBLs were administered after the establishment of the tumors (data not shown). These results were consistent with the absence of clinical antitumor effects of Herceptin when used alone. In this study, based on the results of the preliminary experiment on Herceptin, we did not use a model in which cells for treatment were transplanted after the establishment of HTH131 cells, but speculated that no antitumor effects can be achieved in this model. Clinically, molecular-targeted drugs are administered multiple times in principle. In this study, Herceptin was administered 6 times. Finkle et al. (21) inhibited carcinogenesis using anti-HER2 antibody administration (mu4D5) in transgenic mice expressing HER2 that develop cancer generally until the 28th week, and concluded that antibody administration has inhibitory effects on carcinogenesis. Also, in this study, no tumor establishment was observed in mice receiving Herceptin. The establishment of cancer cells was also inhibited after the transplantation of cells continuously expressing anti-HER2+CD16 scAb. These results suggest the inhibitory effects of the transplantation of these cells on recurrence after an operation for breast cancer.

In conclusion, in this study, stem cells secreting the anti-HER2+CD16 single-chain antibody were transplanted, and antitumor effects due to ADCC were observed. This method can be a long-term local therapy for cancer involving the single-dose administration of cells.

References