Prophylactic and therapeutic efficacy of an attenuated *Listeria monocytogenes*-based vaccine delivering HPV16 E7 in a mouse model

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Abstract. *Listeria monocytogenes* (*L. monocytogenes*) has been developed as a cancer vaccine vector due to its ability to elicit strong innate and adaptive immune responses. For clinical application, it is necessary to exploit a *Listeria* platform strain that is safe and that also retains its immunogenicity to develop vaccine candidates against cancer. In this study, a highly attenuated strain with a deletion of *actA/plcB* was employed as a vector to deliver the human papillomavirus type 16 (HPV16) E7 antigen, which was stably inserted into the chromosome of *L. monocytogenes*. The prophylactic and therapeutic efficacy of the recombinant *L. monocytogenes* strain expressing E7 (LM1-2-E7) were evaluated in C57BL/6 mice. In prophylactic tumor challenge assays, immunization with the recombinant strain LM1-2-E7 was able to protect against tumor formation in 87.5% of the mice, even after a second challenge, suggesting that this prophylactic immunization can provide long-lasting immunity. In the therapeutic setting, immunization with LM1-2-E7 led to tumor regression in 50% of the mice and suppressed tumor growth in the remaining mice. The results showed that the recombinant strain was cleared by the immune system within 5 days after immunization and induced a Th1 immune response against E7 peptide and E7-specific cytotoxic T-lymphocyte (CTL) killing activity without severe inflammatory responses in the spleen and liver. Markedly, recombinant *Listeria* strain resulted in preferential accumulation within tumor tissues and induced higher numbers of CD8+ T cells that infiltrated into the tumor, which were associated with retardation of tumor growth. Collectively, these data indicate that LM1-2-E7 is a possible vaccine candidate against cervical cancer.

Introduction

Cervical cancer is the third most common cancer among women worldwide, and nearly half a million cases are diagnosed annually. In developing countries, it is the leading cause of cancer mortality in women (1,2). Even with optimal treatment (primarily surgery, followed by chemotherapy and radiotherapy), 40% of cervical cancer patients succumb to this disease annually (3). Human papillomavirus type 16 (HPV16) is one of the principal pathogens in cervical cancer and is responsible for >50% of cervical cancer cases (3,4). The US Food and Drug Administration has approved 2 prophylactic HPV vaccines, Gardasil (Merck) and Cervarix (GlaxoSmithKline) to prevent cervical cancer and the prophylactic vaccines can significantly reduce the incidence of HPV-related cancers (1,5). However, since the vaccine is based on the virion capsid proteins (L1, L2), which are absent in cervical cancer, they are unlikely to be effective in controlling pre-existing HPV infections or HPV-associated lesions (6). Therefore, it is necessary to develop both prophylactic and therapeutic vaccines against cervical cancer. The 2 major HPV oncoproteins, E6 and E7, which are consistently expressed in cervical cancer cells, are essential for the malignant transformation and maintenance of tumor cells (7). Cellular immunity to E7/E6 is associated with the clearance of premalignant HPV16 lesions, therefore, E6 and E7 are ideal targets for cervical cancer immunotherapy (8).

*Listeria monocytogenes* (LM) as a vaccine vector has been widely used to deliver tumor-associated antigens (TAAs) for cancer immunotherapy (9,10). *L. monocytogenes* is a facultative intracellular bacterium that has the unusual ability to escape from the phagosome and multiply in the cytoplasm of cells (11). *Listeria monocytogenes* directly infects antigen-presenting cells (APCs), such as dendritic cells and macrophages, thereby delivering TAAs into their cytoplasm, resulting in processing and presentation of TAAs to the immune system, which induces antigen-specific CD4+ and CD8+ T cell responses (12,13). Hence, recombinant *L. monocytogenes*-based vaccines expressing TAAs, including endoglin (CD105) (14), human prostate-specific antigen (PSA) (15), HER-2/neu (16) and HPV16 E7 (17,18) have been developed for cancer immunotherapy in preclinical and clinical trials. Previously, Gunn *et al*...
(19) developed an LM-LLO-E7 vaccine, which carried a plasmid that contained an hly promoter to drive the expression of the LLO-E7 fusion protein in the presence of chloramphenicol. Verch et al reported that Listeria-based antibiotic resistance gene-free vaccine LMdd (pTV3) was constructed, but only attenuates the vector by 0.5-1 log (20,21). However, for clinical application, the safety and ability of a live recombinant vaccine to stably express foreign antigens need to be assessed.

In the current study, an attenuated L. monocytogenes with deletions of actA and plcB (LM-actA/pIscB, in brief: LM1-2) was employed as a vaccine vector to deliver HPV16 E7. ActA is a major virulence protein that induces the rapid polymerization of filamentous actin and propels L. monocytogenes through the cytoplasm and into neighboring cells (22). Pamer (23) reported that ActA-deficient mutants are highly attenuated. Additionally, PlcB has been demonstrated to be important in second vacuolar escape (24). Previous studies analyzed a double mutant with actA and plcB in adult volunteers and indicated that the mutant was a safe vector for clinical application (25,26). Also, the E7 antigen was integrated into the chromosome of L. monocytogenes using the integration vector pIMK2-SPhly, which provides an effective tool to secretly express the heterologous proteins (27).

Results of the present study showed that the highly attenuated LM1-2-E7 strain induced E7-specific cell-mediated immunity and exhibited significant prophylactic and therapeutic efficacy against cervical cancer in a murine model. In addition, the antitumor efficacy was associated with intratumoral CD8+ T cell infiltration and preferential accumulation of LM1-2-E7 within tumor xenografts.

Materials and methods

Peptide, bacteria and plasmid. HPV16 E749-57 (RAHYNIVTF) peptide for the H-2D+ restricted epitope was synthesized by Beijing Seelight Biotechnology, LLC. (Beijing, China). The plasmid pIMK2-SPhly was generously provided by Professor Chakraborty (Justus Liebig University, Giessen, Germany). Strain yzuLM4 (serotype 1/2a) was isolated and preserved in our laboratory. The LM1-2 strain was obtained from Beijing Scilight Biotechnology, LLC. (Beijing, China).

Mice, cell lines and media. C57BL/6 mice (6-8 weeks old) were purchased from the Comparative Medical Center of Yangzhou University. Animals were housed and used in accordance with the protocols approved by the institutional animal experimental committee. The TC-1 cell line was purchased from Beijing Hualisentai Bio-Scientific, Co., Ltd. (Beijing, China). TC-1 cells are C57BL/6 lung tumor epithelial cells immortalized with HPV16 E6/E7 and transformed with the Ha-ras oncogene. Cells were cultured in RPMI-1640, supplemented with 10% fetal calf serum (FCS), 1 mmol/l sodium pyruvate, 100 U/ml penicillin and 100 µg/ml streptomycin in a 37°C incubator with 5% CO2.

Construction of the recombinant LM1-2-E7 strain. The E7 fragment was amplified and cloned into vector pMK2-SPhly using primers E7 forward, (GACCGATCCCATCGGAGTAC ACCTAC) and E7 reverse (CCGCTCGAGTTATGTTTCTG AGAACA) with restriction enzyme sites BamH1 and XhoI. The resulting plasmid pMK2-SPhly-E7 and control plasmid pMK2-SPhly were electroporated into competent LM1-2, which were designated as the recombinant L. monocytogenes strain LM1-2-E7 and LM1-2-control, respectively.

Western blotting. LM1-2-E7 and LM1-2-control were cultured overnight in brain heart infusion (BHI) broth at 37°C and their supernatants were collected by centrifugation at 8,000 rpm for 10 min. Secreted proteins in the culture supernatants were precipitated with trichloroacetic acid (TCA; Sigma-Aldrich, St. Louis, MO, USA) and resuspended in 1.5 mM Tris-HCl buffer. Then, the precipitates were lysed by ultrasonic waves. The secreted proteins and lysates were separated by 4-12% SDS-PAGE, transferred to a nitrocellulose membrane and detected using an anti-E7 monoclonal antibody (Clone 8C9; Invitrogen Life Technologies, Carlsbad, CA, USA). The signals were measured using ECL detection reagents (Thermo Scientific, Rockford, IL, USA) and exposure to Hyperfilm.

In vitro stability assay. The stability of LM1-2-E7 was determined by serially passaging for 40 times without antibiotics and subsequently analyzing the expression of E7 in the 20th, 30th and 40th passages.

Immunization of mice with LM1-2-E7. C57BL/6 mice (6-8 weeks old) were intraperitoneally immunized with LM1-2-E7 (0.1 LD50, 5x107 CFU) or LM1-2-control (0.1 LD50, 5x107 CFU) or phosphate-buffered saline (PBS) buffer on days 0 and 7. Seven days after the booster immunization, mice were used for ELISPOT assay, cytotoxicity assay in vivo and histopathological study. The groups treated with PBS buffer or LM1-2-control were used as negative controls.

Enzyme-linked immunosorbent spot (ELISPOT) assay. The ELISPOT assay was performed as previously described (29). The spleens were harvested and processed into single cell suspensions. The cell suspensions were treated with ammonium chloride (ACK) buffer to lyse the erythrocytes and washed twice with complete RPMI-1640 medium. A total of 5x105 cells/well was incubated in anti-murine interferon (IFN)-γ/interleukin (IL)-4-coated ELISPOT plates. The cells were stimulated in triplicate in C-RPMI medium as a negative control and pulsed with 2 µM E749-57 (RAHYNIVTF) peptide or with concanavalin A (ConA, 5 µg/ml; Sigma, St. Louis, MO, USA) as a positive control. After 48 h of incubation at 37°C, the plates were developed according to the manufacturer's protocol (BD Pharmingen, San Diego, CA, USA). The monoclonal antibodies that were used for the ELISPOT assay were R4-6A2 for IFN-γ, XMG1.2 for biotinylated IFN-γ, BVD4-1D11 for IL-4 and BVD6-24G2 for biotinylated IL-4 (BD Pharmingen). The spots were counted using an automated ELISPOT Bioreader 5000 (ImmunoBioSystem, The Colony, TX, USA).

Cytotoxicity assay. To determine specific cytotoxicity in vivo, the splenocytes were pooled from naive C57BL/6 mice and divided into 2 groups: the cell suspension for 1 group was incubated with the E7 peptide at 37°C for 45 min and subsequently labeled with 2.5 µM Carboxyfluorescein succinimidyl ester (CFSEhigh; Molecular Probes, Invitrogen Life Technologies)
buffer at 37°C for 10 min, whereas another group was incubated without the peptide and labeled with CFSE<sup>low</sup> (0.25 µM) buffer. CFSE<sup>high</sup> and CFSE<sup>low</sup> cells were mixed in a 1:1 ratio and 10<sup>6</sup> cells were intravenously injected into immunized mice. Twenty-four hours later, the spleens of the immunized mice were processed into single cell suspensions and analyzed by flow cytometry (FACScan; Becton Dickinson) to determine the ratio of CFSE<sup>high</sup> to CFSE<sup>low</sup> cells. The percentage of specific lysis was calculated using the following formula: percent specific lysis=[100x (% CFSE<sup>high</sup> immunized/% CFSE<sup>low</sup> immunized))/(% CFSE<sup>high</sup> control/% CFSE<sup>low</sup> control) (30).

Histopathological study. The sections of the spleens and livers were fixed in 13% neutral buffered formalin. Paraffin-embedded sections were cut at 5 µm, stained with hematoxylin and eosin (H&E), and examined for histological lesions under a microscope (Leica Microsystems, Wetzlar, Germany).

Prophylactic and therapeutic tumor load experiments. In a prophylactic experiment, C57BL/6 mice (6-8 weeks old, 8 per group) were intraperitoneally immunized with LM1-2-E7 or LM1-2-control or PBS buffer on days 0 and 7 and subcutaneously challenged on day 11 with 2x10<sup>5</sup> TC-1 cells. The mice were monitored for tumor formation.

In a therapeutic setting, C57BL/6 mice (8 per group) were subcutaneously injected with 2x10<sup>5</sup> TC-1 cells on the left flank. When the tumor size reached an average diameter of 5 mm on day 7 after tumor cell inoculation, the mice received LM1-2-E7 or LM1-2-control or PBS buffer intraperitoneally on days 0 and 7. Each experiment was repeated twice showing similar results.

For immunohistochemistry analysis, tumor specimens in the immunized groups were fixed in 13% neutral buffered formalin. Paraffin-embedded sections were cut at 5 µm and incubated with anti-mCD8 (clone53-6.7; R&D Systems, Minneapolis, MN, USA) overnight at 4°C. The anti-mCD8 antibody was visualized through HRP-DAB Cell and Tissue Staining kit (R&D Systems) and counterstained with H&E.

Bacterial translocation studies. Tumor-bearing mice (7 days after the tumor cell inoculation) received a single intraperitoneal immunization (0.1 LD<sub>50</sub> LM1-2-E7). The spleens, livers and tumors of 3 mice were homogenized on days 1, 2, 3 and 5 post-immunization. The bacterial numbers were determined by plating the cell suspensions on BH agar. The tumor tissues at 1 day post-immunization were cut into smaller sections, fixed in a solution of 2.5% (w/v) glutaraldehyde in 0.1 M cacodylate buffer, dehydrated and embedded in Epon. Ultrathin sections were cut and stained with uranyl acetate and Reynolds' lead citrate. The sections were examined using a Transmission Electron Microscope (TEM; JEOL Ltd., Tokyo, Japan).

Statistical analysis. Statistical analyses for in vitro and in vivo experiments were carried out using the GraphPad Software package (GraphPad Software, La Jolla, CA, USA). Student's t-test and one-way ANOVA were used for analysis of the comparisons between the groups. Statistical significance was set at *P<0.05, **P<0.01 and ***P<0.001.

Results

Construction of LM1-2-E7 to express and secrete the HPV16 E7 protein. The recombinant attenuated LM1-2-E7 strain, which integrated the encoding gene of the HPV16 E7 into the chromosome of the LM1-2 strain using pMK2-SPhy, was constructed. Western blotting revealed that the recombinant strain LM1-2-E7 expressed and secreted the HPV16 E7 protein (Fig. 1A). Moreover, E7 was stably expressed for at least 40 passages indicated by in vitro stability assay (Fig. 1B).
Vaccination with LM1-2-E7 protects mice against tumor cell challenge. The preventive effect of the LM1-2-E7 vaccine was assessed in the TC-1 tumor model. Mice were challenged with TC-1 cells on day 4 after the second immunization and were observed for tumor development. In the LM1-2-E7-vaccinated group, 7 mice were tumor-free until day 50, excluding 1 mouse (87.5%) that indicated tumor growth on day 32 after the tumor cell challenge. By contrast, tumors appeared in all of the mice on day 7 in the PBS group and on day 10 in the LM1-2-control group (Fig. 2). The results demonstrate that the LM1-2-E7 strain confers significant preventive efficacy. Furthermore, 7 tumor-free mice were rechallenged with TC-1 cells on day 50 and all of the mice rejected the tumor challenge 50 days after the second tumor cell injection. The tumor-free mice appeared to be healthy and presented no weight loss by the end of the study. This finding indicates that the mice which are vaccinated with LM1-2-E7 have long-lasting protection and elicit a memory response against HPV16.

LM1-2-E7 strain causes regression of established tumors in mice. To examine the therapeutic efficacy of the LM1-2-E7 vaccine candidate, C57BL/6 mice were subcutaneously injected with TC-1 cells and intraperitoneally immunized with LM1-2-E7, LM1-2-control, or PBS buffer on days 7 and 14 and tumor growth was measured. As shown in Fig. 3, the tumor volumes in the mice that were immunized with LM1-2-E7 were significantly reduced compared to those in the LM1-2-control or the PBS group (P<0.001). Moreover, 4 of the 8 mice in the LM1-2-E7-immunized group remained tumor-free. Additionally, the mice immunized with LM1-2-E7 survived tumor invasion on day 36. By contrast, 7 mice survived tumor invasion in the LM1-2-control group and only 3 mice in the PBS group. These results indicate that the LM1-2-E7 strain exhibits therapeutic activity in the TC-1 mouse tumor model.

LM1-2-E7 strain elicits E7-specific cellular immune responses in mice. In order to measure the cellular immune responses elicited by LM1-2-E7, the splenocytes were isolated on Day 7 from the second immunization, and the number of IFN-γ/IL-4-secreting cells after stimulation with E7 peptide was analyzed using an ELISPOT assay. The mean number of IFN-γ-secreting cells in the LM1-2-E7-immunized group was significantly higher than the number of IL-4-secreting cells (P<0.01) and significantly increased compared to those of the control groups (P<0.01). These results indicate that mice immunized with LM1-2-E7 developed cellular immune responses against the E7 peptide.

Cytotoxic T-lymphocytes (CTLs) are a critical component of the immune response to tumors. Thus, the E7-specific CTL responses in vivo were determined. The results indicate that LM1-2-E7-vaccinated mice exhibited the highest cytolytic activity (53.49±3.63%) against E7-loaded target cells in comparison with the cytolytic activity (13.96±2.05%) found in the PBS group (2.0±0.76%).

Increased frequency of tumor-infiltrating CD8+ T cells in tumors. Tumor-infiltrating lymphocytes (TILs) are a part of the tumor surveillance system. The CD8+ T cells were analyzed in the tumors from all the groups. A higher number of tumor-infiltrating CD8+ T cells (22.7±2.66%) was detected in the LM1-2-E7 group compared to the controls (17.45±2.1%, LM1-2-control; 8.55±0.96%, PBS group) (Fig. 5A and B). A similar result was also found in the immunohistochemistry of the excised tumor tissues (Fig. 5C). These results indicate that the LM1-2-E7 vaccine could enhance antitumor immunity by increasing the infiltration of CD8+ T cells into the tumor.

Histopathological study of the organs of the immunized mice. The spleens from the LM1-2-E7-immunized group and LM1-2-control group did not reveal any significant pathological lesions and the liver sections revealed inflammatory cell infiltration in the hepatic lobules. The results demonstrate that LM1-2-E7 and LM1-2-control induce a mild inflammatory response with no necrosis or structure damage.
Distribution of the LM1-2-E7 strain after a single intraperitoneal immunization. Tumor-bearing mice were intraperitoneally immunized with a single dose of LM1-2-E7 and the number of viable bacteria was determined in the spleen, liver and tumor homogenates. As shown in Fig. 7A, the LM1-2-E7 bacteria were rapidly cleared from the spleen and...
liver without damaging their structures. On day 1 post-immunization, LM1-2-E7 bacteria were detected in tumor tissue and the number of bacteria peaked on day 2. TEM demonstrated that the LM1-2-E7 strain infected the tumors in vivo with a high efficacy (Fig. 7C). On day 5 post-immunization, LM1-2-E7 disappeared from the spleens, livers and tumors of the mice (Fig. 7A and B).

**Discussion**

There are currently prophylactic vaccines against HPV for clinical use, however, the cost of these vaccines is prohibitive in developing countries (1). In addition, these prophylactic vaccines do not generate therapeutic effects (32). The prophylactic and therapeutic *L. monocytogenes*-based vaccine
(LM1-2-E7) was developed in the present study. LM1-2-E7 was able to protect 87.5% of the mice even 50 days after the second tumor challenge. Also, immunization with the LM1-2-E7 strain was able to induce tumor regression in 50% of the mice in the therapeutic experiment.

To avoid serious toxicity of *L. monocytogenes*, a highly attenuated strain LM-actA/plcB was selected as a vaccine vector. Due to deletion of the virulence factors actA and plcB, the pathogenicity of LM-actA/plcB is significantly decreased, the LD₉₀ is 3 logs higher than that of the parent strain yzuLM4 (data not shown). As indicated in tissue sections, only lymphocyte infiltration was observed in the livers from LM1-2-E7-immunized mice. Also, the results demonstrated that the attenuated LM1-2-E7 strain was cleared by the immune system within 5 days post-immunization, which is in line with the LM-actA/plcB strain (33). Although virulence of the LM1-2-E7 strain is reduced, LM1-2-E7 retains the ability to induce strong E7-specific immune responses.

In addition to choosing the attenuated strain as backbone, an integration vector pMK2-SPhly was used for the construction of recombinant strain. The E7 antigen was stably integrated into the *Listeria* chromosome via pMK2-SPhly and secretly expressed by recombinant strain, which is the basis of the E7 antigen entered into the MHC class I pathway (27). Moreover, stability assay in *vitro* suggests that the E7 antigen was stably expressed for at least 40 passages, which is appropriate for clinical immunization.

Antigen-specific T cell responses are most critical for the regression of established tumors and protection against tumor challenge. IFN-γ and IL-4 secreted by Th1 cells and Th2 cells in LM1-2-E7 immunized mice were determined by ELISPOT assay. The increased secretion of the Th1 cytokine IFN-γ indicated that the immune response elicited by LM1-2-E7 was biased toward the Th1 type against the E7 peptide. These Th1 T cells have a pivotal role in antitumor immunity and contribute to APC maturation and the release of cytokines during CD8⁺ T cell proliferation and differentiation (34). Of note, our cytotoxicity activity in *vivo* revealed that the stimulated T cells in LM1-2-E7 immunized mice could destroy the target cells pulsed with the E7 peptide, which indicated that LM1-2-E7 could induce strong E7-specific CTL response in *vivo*.

The generation of CD8⁺ tumor-infiltrating lymphocytes (TILs) can be used as a surrogate marker for cancer vaccine efficacy and activated CD8⁺ T cells release cytolytic agents that attack and kill tumor cells (35). The number of tumor-infiltrating CD8⁺ T cells increased in the LM1-2-E7 group compared to the controls through the FACS and immunohistochemistry analyses, which was strongly associated with the better therapeutic efficacy in the LM1-2-E7-immunized mice. The higher number of CD8⁺ T cells may be depended on the cytosolic location of *L. monocytogenes*.

Our results show that the recombinant *Listeria* strain could infect and reside in the tumors, so E7 antigen delivered by the recombinant *Listeria* strain is targeted to the tumor tissue. Yu *et al* (36) suggested that the survival and replication of *L. monocytogenes* in tumors is dependent on the tumor immune microenvironment and vascularization, which provides a sanctuary for bacteria to escape clearance by the immune system. *L. monocytogenes* in tumors may directly lyse tumor cells or stimulate homing effector cells by releasing cytokines and chemokines to destroy tumor cells. Gravekamp and Paterson also reported that *L. monocytogenes* can efficiently kill tumor cells through a dual mode of action, which involves both direct kill and CTL responses to the *Listeria* antigen (37).

In the present study, *L. monocytogenes* exerts a strong adjuvant effect, which is associated with a strong innate immunity induced by *L. monocytogenes* (12). One manifestation is that 2-fold increase of tumor-infiltrating CD8⁺ T cells caused in the LM1-2-control group compared to the PBS group. In *vivo* tumor regression assay showed that LM1-2-control can prolong the survival time of tumor-bearing mice, which mainly produced an effect by *L. monocytogenes*.

The TC-1 cell line which expresses HPV E6 and E7 oncoproteins was applied to establish an HPV16-positive cancer-associated tumor model. The TC-1 tumor model in C57BL/6 mice is a widely-used model for use in vaccine research against cervical cancer (38,39). Thus, the antitumor efficacy of LM1-2-E7 was initially evaluated in the TC-1 tumor model. However, this transplantable tumor model has several limitations, such as the inability to accurately mimic the immunoinhibitory effects of the tumor microenvironment (40). Hence, other cervical cancer models (a transgenic mouse model) will be selected to evaluate the potency of this vaccine in future studies.

In conclusion, our results demonstrate that LM1-2 is a possible vaccine vector, which is capable of delivering TAAs for cancer immunotherapy. LM1-2-E7 exerts a prophylactic effect on tumor growth and leads to the regression of established tumors expressing E7 antigen. The antitumor efficacy was associated with E7-specific CTL response and robust cellular immune responses elicited by recombinant strain. The results may be of importance in further investigations of this vaccine to combat cervical cancer and other HPV-associated cancers.

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