Abstract. Intestinal microbiota are considered to play an important role both in colorectal tumor development and in the modulation of mucosal immunity. Studies on animals reared in germ-free (GF, without intestinal microbiota) versus conventional (CV, with regular microbiota colonization of the bowel) conditions can aid in clarifying the influence of bacteria on carcinogenesis and the anticancer immune response. The capability of the intestinal environment to modulate anticancer immunity not only at the mucosal but also at the systemic level is still an open question. In our study we found that, following the same protocol of colorectal cancer induction, GF rats developed less and smaller tumors than CV rats. The GF rats that did not develop cancer also presented a better anticancer immune response with an increase in NK, NKT, CTL, B cells and cytotoxicity in peripheral blood. We hypothesize that the lower antigenic challenge and the absence of the ‘physiological inflammation’, caused by the commensal microbiota in the gut of CV rats, may enhance the capability of the GF rats to develop more efficacious anti-cancer immune responses. The different levels of tolerance/regulatory mechanisms in GF versus the CV animals may modulate the anticancer response not only at the mucosal but also at the systemic immunity level.

Introduction

Colorectal cancer is an illness with a strong social impact. It is the second cause of death by cancer worldwide, and is the cause of 12% of the cancer deaths in the European Union (1). Environmental factors, especially dietary habits and intestinal microbiota composition, are claimed to be critical for tumor induction, promotion and development, independently of the genetic background on which they can operate (hereditary or sporadic cancers) (2-4).

Intestinal bacterial flora has been described to play a double-edged activity, according to its composition and metabolic products, either assisting or preventing carcinogenesis (4-6). Consequently, understanding the role of the microbiota in this process is important for the development of effective probiotic- and prebiotic-based anticancer therapies and prevention strategies (7-9).

Moreover, the various types of bacteria can modulate differently the gut-associated lymphoid tissue (GALT) functions (10-13). In fact, to preserve the local homeostasis, the mucosal immunity i) is constantly activated to impede the translocation of the bacteria through the mucosa (‘physiological inflammation’); ii) has an active cross-talk with the bacterial environment in a symbiotic mode; iii) has the ability to distinguish pathogens from commensal microflora; and iv) to preserve the symbiotic balance, permits tolerance toward immunogenic molecules expressed or produced by the commensal microflora. Consequently, the intestinal environment can develop an intense modulatory effect on immunity both at the local (mucosal) and the systemic level (14-17). Accordingly, the presence or absence of intestinal bacteria can induce a different modulation of the anticancer response, not only at the mucosal but also at the systemic level.

Animal models of human diseases greatly contribute to the elucidation of the etiology and pathogenesis of illnesses and to the development of new approaches to diagnosis and therapy (18,19). A large number of mouse and rat models have been proposed for studying colorectal carcinogenesis. Many of these models use chemical carcinogens as cancer inducers. Azoxymethane and 1,2-dimethylhydrazine are the carcinogens most commonly utilized. They affect DNA methylation, and can be used alone or in association with orally administered
promoters (e.g., a fatty diet, dextran sulfate) (20-23). We developed a model, previously published, in which the initiation by azoxymethane is followed by promotion with repeated injections of a known quantity of bile inside the cecum (24,25). In fact, bile can affect the composition of the intestinal microbiota and, reciprocally, the microbiota can elaborate the biliary salts with effects on cancer development (26,27).

The availability of gnotobiologic animals generated and maintained in germ-free conditions has enhanced the possibility to investigate and understand the impact of the intestinal environment on both the modulation of mucosal immunity and the onset of pathologies such as inflammatory bowel diseases and colorectal cancer (28).

In this study, we present results concerning colorectal cancer development following a common protocol of experimental carcinogenesis in conventionally reared and germ-free Wistar-AVN rats. Under these conditions, we also investigated the influence of the different intestinal environments on the systemic immunity (lymphocyte subpopulation profile and cytotoxic response) in healthy, cancer-bearing (CR), and cancer-resistant animals.

Materials and methods

Experimental animals. Male inbred Wistar-AVN (F 89) rats were received as pathogen-free from the animal facility of the Institute of Physiology, Academy of Sciences of the Czech Republic, v.v.i. (ASCR, v.v.i.), in Prague.

This inbred strain was originally established at the Institute of Genetics of the Czechoslovak Academy of Sciences (70 generations) since 1980 (29), and then maintained at the Institute of Physiology, ASCR, v.v.i. The conventional (CV) rats, with regular intestinal commensal microflora, were housed in the animal facility of the Institute of Microbiology, ASCR, v.v.i., in Prague. They were fed an unrestricted standard pellet (ST-1) diet and had free water intake.

The Wistar-AVN germ-free (GF) rats were produced in the Laboratory of Physiology, Immunity and Ontogenesis of Gnotobionts of the Institute of Microbiology, ASCR, v.v.i., in Novy Hradec (CZ). Females at the end of pregnancy underwent hysterectomy. The newborns were delivered by Cesarean section after washing the uterus in antiseptic solution, and were transferred inside a sterile transparent Trexler-type plastic isolator. The newborns were maintained under controlled sterile conditions and reared inside the isolators (30). Initially, the rats were artificially hand-fed (every 3-4 h) with a specifically formulated milk diet, subsequently substituted by a granulated diet (30-33). All nutrients were regularly sterilized by irradiation (59 kGy). Throughout the experiment, the GF rats were maintained inside the sterile isolators, at a constant temperature of 22° C, under filtered air flux, and without restriction in diet and water intake (Fig. 1).

A regular weekly control of the sterile conditions was performed by sampling stools from the isolators. Samples were cultivated on peptone bouillon, Sabouraud bouillon, Schadller bouillon (Difco, Detroit, MI, USA) and then on blood agar, Sabouraud agar, Schadller agar in an anaerobic atmosphere (gas Anaerogen 2.5 l:DIOXO) to detect the presence of both aerobic and anaerobic bacteria. Furthermore, the smears were stained with the Gram stain and the fluorescent dyes acridine orange (for Gram-positive bacteria, yellow fluorescence) and fluorescein (for Gram-negative bacteria, green fluorescence) (34).

Carcinogen and promoter. Azoxymethane (AOM), an active derivative of 1-2-dimethylhydrazine, was used as the chemical carcinogen and porcine bile was used as the promoter. Both products were purchased from Sigma Chemicals (Seattle, WA, USA). The dry porcine bile was solubilized in water (1500 mg of dry bile in 100 ml distilled water, stirred at 1000 rpm for 1 h at 40°C) and then filtered through 0.22-μm sterile micropore filters (Millex-GS Filters, Millipore, Bedford, MA, USA) to eliminate possible bacterial contamination.

Monoclonal antibodies. The panel of monoclonal antibodies used for the identification of the lymphocyte subpopulation phenotypes included anti-CD3 (G4.18), -CD4 (OX-38), -CD8a (OX-8), -CD8b (341), -TCRβ (R73), -CD45ra (OX-33), either FITC- or PE-labeled; biotin-conjugated anti-NKP-P1A (X.78) and streptavidin labeled with appropriate fluorescent dye. All antibodies were purchased from Pharmingen, San Diego, CA, USA.

Animal model and experiment design. Thirty-two rats (10 GF induced to cancer, 10 CV induced to cancer, 6 GF healthy controls, 6 CV healthy controls) were used for the experiments. The animals were sacrificed in the 32nd week from the beginning of the experiment. Three independent experiments were performed.

Colorectal carcinogenesis was induced as previously published (24,25). Briefly, CV and GF male rats, 150 g body weight (b.w.), were operated on under general anesthesia (chloralum hydrate 0.8%, 1 ml IP/150 g b.w.), to create a subcutaneous cecal hernia (Fig. 2). In the rat, the appendix and cecum are very large and constitute a continuous intestinal tract. The GF rats present a larger cecum than the CV rats, with a thinner intestinal wall.

The apical part of the appendix, passed through an opening created in the lower-right abdominal wall quadrant, was fixed in a subcutaneous pocket. The resulting bulk was easy to be identified and percutaneously punctured.

Ten days after the surgery, rats received subcutaneously 9 mg/kg b.w. azoxymethane (AOM) once a week for 5 weeks (initiation). The promotion was performed by intracecal injection of 3 ml of bile, three times a week for 3 weeks.

At the scheduled time (32 weeks from the beginning of the experiment), the animals were sacrificed. An autopsy was performed, and blood, the spleen, mesenteric lymph nodes, and large bowel were collected. Mononuclear cells were separated from the blood and spleen in order to be used for the FACS analysis and the cytotoxicity assay. The large bowel, longitudinally opened and washed with cold saline, was immediately examined. The number and dimensions of tumors were recorded. After fixation in 10% buffered formalin, the colon and rectum specimens were embedded in paraffin, and the histological sections were stained with haematoxylin and eosin for the histopathological diagnosis.
All experiments were approved by the Ethics Committee of the Institute of Microbiology, according to the Animal Protection Act of the Academy of Sciences of the Czech Republic and the European Convention for the Care and Use of Laboratory Animals.

Preparation of mononuclear cells. Rat mononuclear cells were obtained from heparinized blood and freshly harvested spleens. The spleens were dissociated using a nylon mesh. The mononuclear cells were separated by standard Ficoll-Hypaque 1085 density gradient centrifugation (400 g/30 min at 18°C) and then washed three times in PBS. They were separated in the necessary aliquots after counting in a Burker's chamber and were used for the phenotype diagnosis by FACS analysis and cytotoxicity assay.

FACS analysis. The separated mononuclear cells were stained with the previously mentioned indicated panel of anti-rat monoclonal antibodies using the standard procedure in 96-well U bottom microtiter plates (2x10^5 mononuclear cells/well). Then they were washed once in cold wash buffer (PBS, 0.05% NaN3, 0.25% gelatine; Sigma, St. Louis, MO, USA), and appropriately diluted mononuclear antibodies were added in a 10-μl volume. After a 20-min incubation on ice, cells were washed 3 times with 200 μl of wash buffer. Analysis was performed using FACS-Vantage SE flow cytometer (Becton Dickinson, San Jose, CA, USA) and FlowJo software (Treestar Inc., Ashland, OR, USA).

The lymphocyte subpopulations were identified as follows: natural killer (NK) cells (CD3 -/NKR-P1Ahigh/CD8a+); natural killer T (NKT) cells (CD3+/TCRαβ+/NKRP1A+); T lymphocytes (CD3+/TCRαβ/NKR-P1-); T helper (CD3+/CD4+/CD8b-); cytotoxic T (CTL) lymphocytes (CD8a+/CD8b+); and B cells (CD3-/CD45ra+) (35).

Cytotoxicity assay. Cytotoxicity assays were performed on separated mononuclear cells as previously published (36). The effector cell activity was tested against the NK-sensitive YAC-1 cell line previously labeled with 51Cr (sodium chromate, Na251CrO4) using a 90-min incubation at 37°C in CO2. Samples were seeded in quadruplicates. Cell-free supernatants (0.025 ml/sample) were harvested after 3.5 h of incubation and mixed with SuperMix scintillation cocktail (Pharmacia, P-L Biochemicals, Uppsala, Sweden) to evaluate the lysis of the target cells. 51Cr release was measured in a Microbeta Trilux scintillation counter (Wallac, Turku, Finland). Results, expressed as a percentage of target cell-specific lysis, were calculated according to the standard formula:

\[
\text{% lysis} = \frac{\text{experimental release} - \text{spontaneous release}}{\text{maximum release} - \text{spontaneous release}} \times 100
\]

Statistics. Quantitative data were expressed as the mean ± SD, and, in appropriate cases, as percentage of the control. The Student's t-test was used for the statistical analysis of the data.
Results

Tumor development. The final eligible groups of cancer-induced rats included 6 GF (2 deaths occurred during carcinogenesis induction, 2 cutaneous-cecal fistulas developed during the promotion treatment) and 9 CV animals (1 death during carcinogenesis induction).

Cancers developed in 3 (50%) GF versus 7 (77.77%) CV rats. The total number of cancers was 3 in GF (1 CR/tumor-bearing rat) versus 13 in CV (1.85 CR/tumor-bearing rats) rats. Conventional rats presented not only multiple cancers, but also larger cancer dimensions (maximum tumor diameter in CV rats was 5.2±3.47 mm vs. GF, 3±1.80 mm; t=0.45) (Fig. 3).

All animals were negative for metastatic spread. Histology revealed both intestinal and mucinous types of adenocarcinoma. The cancers (81.25%) (13/16) were well-to-moderately differentiated, while the rest presented some poorly differentiated areas (Fig. 4).

As an occasional finding, 83.33% of GF rats exhibited a polycystic liver, not correlated with the presence of cancer (Fig. 5). The cysts contained a clear fluid, had diameters ranging from 1 to 8 mm, and were negative for neoplastic degeneration. Conventional rats showed this feature to a lesser extent (33.33%).

Systemic immune response after cancer induction. In this preliminary study, we also investigated the possible effects of GF and CV conditions on the systemic immunity after cancer induction. We evaluated the variations in the principal lymphoid cell subpopulation at the mesenteric lymph nodes (MLNs), spleen and blood levels, and the cytotoxic activity of blood- and spleen-derived mononuclear cells.
NK and NKT cells. NK lymphocytes are crucial effectors of the innate immune response during carcinogenesis, and, together with NKT cells, they assist in the development of a correct adaptive anticancer immunity in a cross-talk with dendritic cells (37,38). Comparing the GF and CV rats, the percentages of both NK and NKT lymphocytes in the MLNs were very similar both in the healthy and cancer-induced animals (Fig. 6).

Also in the spleen, the NK and NKT cell values followed similar dynamics in both CV and GF rats; the values in the GF animals being constitutively higher (Fig. 6D and E).

In the blood, on the other hand, the NK cells of GF rats markedly rose in the cancer-resistant group (146% of the healthy control value and 194.83% of the CV value; t=0.03) (Fig. 6C-F), and a 60% increment of NKT cells was also observed after cancer induction (Fig. 6).

T and B lymphocytes. Variation in the number of adaptive immunity cell subpopulations can be indicative of the capability and efficacy of the immune response mounted by an organism against a developing cancer.

In the spleen and blood, the T lymphocytes of GF animals paralleled the dynamics observed in CV rats; at lower values but with a similar trend. However, in the blood, the GF (but not the CV) cancer-resistant rats showed a 27% increment over the control value (Fig. 7A-C). In contrast, in the MLNs the response to the carcinogenesis induced an increase in T lymphocytes in CV rats and a minor decrease in GF rats.

The B cells presented opposite dynamics (Fig. 7D-F). No differences between the groups were found in the spleen, while in the blood, the GF cancer-resistant group exhibited a rise in B cells, 40% over the control and 70% over the CV group (t=0.01).

CD4+ T helper and cytotoxic T lymphocytes. On evaluating the two principal T cell subpopulations involved in the immune response, the CD4+ (T helper, Fig. 8A-C) and CD8+ (CTL, Fig. 8D-F) lymphocytes, the dynamics of CD4+ cells in the spleen and in the MLNs paralleled that described in the T lymphocytes.

In the blood, on the other hand, the CV animals had quite stationary values of CD4+ cells (with a slight reduction in cancer-bearing rats), while the GF rats showed a progressive increase in the CD4+ cell percentages from the healthy to the cancer-bearing group (114% in the cancer-resistant group, 143% in the cancer-bearing rats, t=0.01; control, 100).

The CTL subpopulation responded differently in the two conditions. In MLNs, the CTL increased 47% in the cancer-resistant CV rats, and maintained quite normal values in the

Figure 5. Polycystic liver in GF rats. The cysts have a variable distribution in the organ with variable dimensions (arrows).

Figure 6. NK and NKT cells after cancer induction. (A-C) NK lymphocytes; (D-F) NKT lymphocytes. Percentages of positive cells in mesenteric lymph nodes (A and D), spleen (B and E), and blood (C and F). NK cells were identified as CD3−/NKR-P1Ahigh/CD8α+; NKT cells were identified as CD3+/TCRαβ+/NKR-P1A+. Healthy, control group; neg, rats negative for tumor development after carcinogenesis induction (cancer-resistant); CR, cancer-bearing rats.
The cancer development caused a decrease in CTL in both GF and CV rats. In the spleen, while the CTL values of the CV rats did not show significant variations in the three groups, the GF rats presented a 44% increase in the resistant-to-cancer group (t=0.1) and a 20% reduction in cancer conditions.

The blood CTL levels were enhanced by 20% in the GF rats after carcinogenesis, while only slight variations occurred in the values of the CV rats.

Cytotoxicity. The cytotoxic activity against the YAC-1 cells (rat lymphoma cell line sensitive to the cytotoxic activity of
NK) was evaluated in the spleen and in the blood mononuclear cells. In the spleen (Fig. 9A and B), the cytotoxicity did not show significant differences between CV and GF healthy and cancer-resistant animals. In contrast, an established cancer condition produced important impairment of the cytotoxic function, larger in CV than in GF animals (-58 vs -35% of healthy controls, respectively). In the blood (Fig. 9C and D), the circulating lymphocytes of GF rats had enhanced activity in cancer-resistant animals (140% of control vs 72.5% of the control in the CV animals, t=0.01) and they were less inhibited in the cancer-bearing rats than in the CV group (-35 vs -60% respectively).

Discussion

Applying the same model of carcinogenesis in the same strain of rats under CV and GF conditions, we found that GF rats show a lower susceptibility to inducing and developing colorectal cancers, and a more active anticancer immune response than CV rats.

Similar results about cancer development in GF animals were previously reported by other authors. It was found that GF rats can develop less and smaller solid tumors (including colorectal tumors) than CV animals, both spontaneously and after chemically induced carcinogenesis with either 1,2-dimethylhydrazine (DMH) or its active derivatives AOM and methylazoxymethanol. This different susceptibility was attributed to the important contribution of bacterial populations to the development of the carcinogenic process (39-41). Reddy et al reported that only 20% of GF rats develop tumors after DMH. In contrast, the tumor incidence in CV rats was 93%, and the neoplasms were multiple. The authors found the contamination of gnotobiotic rats with *Clostridium perfringens* to be relevant for colonic carcinogenesis. Notably, they also observed that GF rats did not develop ear tumors, a common event in hydrazine-derivative cancer induction under CV conditions (42). Depending on the type of diet, the commensal microbiota (especially the component anaerobic bacteria) can be modified, with effects on the metabolism of the alimentary and biliary substrates. McGarr et al stressed the importance of the bacterial flora composition in conditioning the intestinal environment by its metabolic products (production of bile acid 7 α-dehydroxylation, sulfidogenesis, methanogenesis, and related changes in the carbohydrate and bile acid metabolism) (4). The bile metabolism appeared important in many experimental and clinical reports. The bile secreted after administration of DMH induced an intense enhancement of the β-glucuronidase activity in intestinal bacteria of cancer-induced rats, and variations in the bile metabolism (also according to the fat intake with the diet) were associated to hyperplasia of mucosal crypts in the colon (27,43-47). The absence of bacteria in GF rats eliminates all of these noxious factors and limits the effects of the carcinogenesis.

The intestinal microbiota were also demonstrated to affect the development and maturation of the mucosal immune system, with pronounced effects on local and systemic immune responses (10,15,17,48,49).

Our data, though preliminary, indicate a different capability of GF versus CV rats to mount an efficient immune response against cancer. The values of the immune cell subpopulations in the blood of GF animals that were induced to cancer but
did not develop tumors suggest that the challenge i) enhanced the immunity of GF rats, increasing the NK and NKT lymphocytes and ii) stimulated the establishment of a valid adaptive immune response increasing the number of both the CTL and B cells. The increased cell number was associated with an augmented cytotoxic function. In CV rats, on the other hand, the blood immunological parameters did not show appreciable variations from the healthy condition values.

The particular interplay between the microbiota and the mucosal immune system in the bowel can explain these findings. The intraepithelial diffuse lymphocytes, intra-mucosal and submucosal follicles and patches constitute a system that represents an extensive, important and plastic barrier against the penetration of foreign organisms (bacteria, fungi, viruses, and parasites) through the mucosal epithelia inside the organism (10,15). How this barrier works is still a matter of discussion. The role of T and B cells in the mucosa, as well as the continuous production of IgA targeting B cells, as well as the continuous production of IgA targeting the commensal microbiota are aspects that are nearly clarified, whereas the effect of this interplay on the systemic immunity is still controversial (50-53). Certainly, the variable spectrum of antigens exhibited by the various types of microbiota (and their metabolic products) can variably challenge the immunity and the tolerant immune environment of the gut. However, the DC and T-helper cell cross-talk with the epithelial cells and bacteria, the modulation of the cytokine balance (Th1, Th2, Th3 cytokines) and the Toll-like receptor involvement of bacterial flora and the maturation and antigen tolerance of Th2, Th3 cytokines) and the Toll-like receptor involvement of bacterial flora and the maturation and antigen tolerance of the immune system (local and systemic) (11,51). For example, the bacterial environment may produce effects also influencing the systemic immunity developing in newborns (passing from the uterine germ-free environment leading also to systemic tolerance and/or IgA production of the CV rats showed increased T, T helper and NKT cells and a reduction in B lymphocytes, while the GF rats showed an increase in CTL and B cells and a reduction in T and T helper lymphocytes. Further studies are needed to better define the phenotype of these cells and the cytokine and antibody profile.

In conclusion, we can assume that GF rats have a better capability to elicit anticancer immune responses than CV animals, and that the immunological challenge produced by the intestinal microbiota and its products can develop a double-edged effect on cancer development. These data are promising for addressing the possible use of prebiotics and probiotics in inducing modulations of the systemic immunity.

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