AAV2-mediated interleukin-12 in the treatment of malignant brain tumors through activation of NK cells

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Abstract. Interleukin-12 has been elucidated as a powerful anti-cancer factor in pre-clinical research. However, the obstacles of this modality that emerged from human clinical trials included the toxicity of repeated large dose administration and short effective duration. Therefore, a prolonged, constant therapeutic level of interleukin-12 is required to reduce the adverse effects and enhance the therapeutic efficacy. In this study, 54 nude mice were divided into three groups treated with rAAV2 encoding interleukin-12, rAAV2 vector, and PBS, respectively. All nude mice received human glioblastoma multiforme cell line DBTRG implantation. The biochemistry studies included serum levels of interleukin-12, isotypes of immunoglobulin, interferon-γ, and TNF-α. The activated NK cells were sorted from the spleen by flow cytometry and the cytotoxicity of NK cells was evaluated by LDH assay. In the rAAV2 encoding interleukin-12 group, substantial expression of interleukin-12 was obtained with a serum level of 120-150 pg/ml through the experimental course and a significant increase of activated NK cells was achieved. The splenocytes extracted from the spleen in rAAV2 encoding IL-12 mice strongly exhibited cytotoxic activity compared to the control groups (p<0.001). The IgG1, IgG2a, and IgM also showed a significant increase in the rAAV2 encoding IL-12 group compared to the control groups (p<0.05). The tumor growth rate decreased obviously in the rAAV2 encoding IL-12 group compared to the control groups (p<0.001). The IgG1, IgG2a, and IgM also showed a significant increase in the rAAV2 encoding IL-12 group compared to the control groups (p<0.05). The tumor growth rate decreased obviously in the rAAV2 encoding IL-12 group compared to the control groups (p<0.001). This study demonstrated an encouraging result of immunomodulative therapy in malignant brain tumors by rAAV2 carrying IL-12 through activating NK cells.

Introduction

In spite of the traditional treatments of malignant brain tumors, such as surgical excision, radiotherapy, chemotherapy, or the aforementioned concomitant with each other, the prognosis of malignant brain tumor is still unsatisfactory due to the fact that the mean survival time of patients is only 12 months and most patients die within 2 years (1-3). A more effective therapy than the traditional treatments is needed urgently. In the post-genome century, gene therapies have become the mainstream in research on treatment of human diseases, in which cytokine research has been in the majority and has increased from 13% of all human clinical trials before 2001 to 20% in 2007. Furthermore, cytokine-mediated therapies also play an important role in the immunomodulative gene therapies of cancer by inducing or enhancing the innate or adaptive immune activity with or without a vector (4,5).

Among the pro-inflammatory cytokines, interleukin-12 (IL-12) is the most powerful one which strongly induces and enhances the innate and adaptive immune responses. IL-12 is composed of a 35 kDa light chain and a 40 kDa heavy chain bound with a di-sulfate chain. Most antigen-presenting cells including macrophage, dendritic cells, and neutrophile are able to secrete IL-12 when encountering pathogens (6). The most remarkable cytotoxic reaction of IL-12 is inducing proliferation and maturation of natural killer cells (NK cells) and cytotoxic T cells. Moreover, it can also enhance secretion of interferon-γ (IFN-γ), tumor necrosis factor-α (TNF-α) and granulocyte-macrophage colony-stimulating factor from NK cells or cytotoxic T cells and increase the cytotoxic ability of macrophage and NK cells. In adaptive immunity, IL-12 regulates Th1 cell differentiation and promotes IgG2 production by B cells (7). However, the human clinical trials of recombinant IL-12 protein on malignancy did not show the appreciated consequence as illustrated in the animal study due to its insufficient half-life from protein administration and due to the conflicts between its adverse effects and effective dosages (8,9). The method for re-adopting IL-12 in clinical application should shift the protein administration to genetic regulation or modulation, which has potential to achieve long-persisting therapeutic efficacy and reduce fluctuation of induced immune activity.
In preclinical anti-cancer investigation, recombinant adenovirus-associated virus (rAAV) has been demonstrated as an effective vehicle to transduce therapeutic genomes into muscle cells (10). Furthermore, as of June 2008, up to 26 human clinical trials have been submitted to the US FDA using rAAV as the vector for genomic transfer (11). This evidence implies the feasibility of rAAV in human application in the future. The superiority of rAAV in safety and efficiency over other viral vectors has been documented, including the lower pre-existing 32% serum antibody of AAV compared to 55% of adenovirus, better transducing affinity of broad cell types compared to only dividing cell of retro-virus, causing no known human disease compared to lung infection of adeno-virus or oncogenicity of retro-virus and stable episomal presentation compared to random integration of retro-virus (12-14). Several human clinical trials have successfully demonstrated the efficacy and safety of rAAV, for example, in 1996, Dong et al successfully treated cystic fibrosis with rAAV mediated cystic fibrosis transmembrane conductance regulator gene transduced into patient lung tissue (15). Kappitt and his colleagues treated advanced Parkinson's disease by implanting rAAV2 encoding GAD gene into the subthalamic nucleus (16). In this study, we investigated the transductive efficiency of rAAV2 encoding IL-12 in vivo, the anti-cancer activity of NK cells/lymphokine-activated cells evoked by IL-12 stimulation in vivo and ex vivo, and the antibody-dependent cell-mediated cytotoxicity of NK cells through isotypes of immunoglobulin produced by B cells. For these purposes, we chose nude mice for this experiment as they lack T immunity but are present with NK cell and B cell immunity (17-19).

Materials and methods

Preparation of rAAV2 plasmids. Three plasmids pAAV-MCS, pAAV-RC, and pHelper were purchased from Stratagene. The full length cDNA of IL-12 (InvivoGen) was amplified using polymerase chain reaction and subcloned into pAAV-MCS and verified by DNA sequencing (Protec). 

E. coli (ECOS 101) were prepared as competent cells for plasmids transformation and cultured with 2YT medium (Invitrogen). Three plasmids were extracted from competent cells and quantified with OD260/280, then preserved at -80 C for further utility.

Transfection of 293 cells. HEK 293 cells were cultured in DMEM (Cellgro) with 10% FBS (Hyclone) and 1% penicillin/streptomycin (1% P/S; Gibco). PBS and 0.05% trypsin+EDTA (Gibco) were used for cell irrigation and passage. Prior to transfection of plasmids, cells were collected and plated for 8x10^6 cells in each dish, then 20 μg of each package of plasmids, pAAV-RC, pHelper, and pAAV-IL12 were mixed into 15 ml centrifugal tube which contained 2 ml, 0.25 M CaCl2. The medium in 293 cell dishes was withdrawn and 20 ml medium (DMEM with 10% FBS, without 1% P/S) was added. The plasmid solution was pipetted into a 50 ml centrifugal tube which contained 2 ml 2 times HBS and was shook until small powder formation, then poured on the 293 cell dishes for 6 h. The medium was changed with DMEM + 10% FBS and the culture continued for 65 h.

Purification of rAAV2. The cultured cells were collected and transferred in equal amounts into four 50 ml tubes for centrifugation at 300 rpm for 10 min. The upper clear liquid was poured out and cell pellets were frozen at -80°C for 20 min. DMEM (30 ml, without FBS and P/S) was added to the cell pellets after re-warming in a 37°C water bath for 15 min. The cell pellets were shaken to separate and stored again at -80°C for 20 min. BenZonase (20 μl, Novagen) was added to the tube then re-warmed in a 37°C water bath for 30 min. After spinning at 3000 rpm for 15 min, the upper clear liquid was collected and added to 6 ml 10% DOC (Sigma) for reaction for 20 min at 37°C. The sample was first filtered through a 5.0 μm then a 0.8 μm filter. The sample (120 ml) was poured into a prepared heparin column slowly and repeated once. NaCl/PBS (0.1 M) was used to irrigate the column then 15 ml, 0.4 M NaCl/PBS was added. An Amicon Ultra centrifugal tube was prepared, then added to post-filtered 15 ml, 0.4 M NaCl/PBS viral solution. About 1.5 ml viral solution was left after 1800 rpm centrifugation, which was added to 15 ml PBS and spun at 1800 rpm again. Total 1.5 ml solution was left after the last centrifugation, it was pipetted into each of the 1.5 ml Eppendorf micro-centrifuge tubes with a volume of 110 μl and stored at -80°C for further utility.

Animal model. The animal use protocol was reviewed and approved by the Institutional Animal Care and Use Committee of Tzu-Chi Hospital. Fifty-four mice were enrolled in this experiment and were divided into three groups which included 18 mice treated with rAAV2 encoding IL-12 cDNA (rAAV2/IL-12) as the experimental group, 18 mice treated with rAAV2 non-coding vector (rAAV2/empty) and 18 mice.

<table>
<thead>
<tr>
<th>Mice grouping</th>
<th>AAV2/IL-12</th>
<th>AAV2/empty</th>
<th>PBS</th>
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<tbody>
<tr>
<td>No. of mice</td>
<td>18</td>
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<tr>
<td>No. of sacrificed mice</td>
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<tr>
<td>Muscle staining (6th week)</td>
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<td>Sorting of activated NK cells (8th week)</td>
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<tr>
<td>No. of puncture</td>
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<tr>
<td>Isotypes of immunoglobulin (7th week)</td>
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<td>4x1</td>
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<tr>
<td>IL-12 (7, 8, 9, 10th week)</td>
<td>4x4</td>
<td>4x4</td>
<td>4x4</td>
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<tr>
<td>IFN-γ and TNF-α (8th week)</td>
<td>4x1</td>
<td>4x1</td>
<td>4x1</td>
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The mice of AAV2/IL-12 group received 4 punctures for evaluating of IL-12 level at different time points (4x4), 6 punctures for isotypes of immunoglobulin at 1 time point (4x1), and 3 punctures for IFN-γ and TNF-α (4x1). The choice of mice bled for IL-12 level was in turn, for example, in AAV2/IL-12 treated group, four mice received puncture in the 7th post-vaccination week then another four mice received puncture at the 8th post-vaccination week and so on. bPost-vaccination week.
treated with PBS as the control groups (Table I). All nude mice were purchased from National Laboratory Animal Center R.O.C. and bred in an aseptic room until having a body weight between 20-25 gm. The human glioblastoma multiforme cell line DBTRG was purchased from American Type Culture Collection for xenograft of nude mice. The experimental group received injection on the right thigh with treating reagent of 1x10¹¹ particles/kg rAAV/IL-12 and the control groups with 1x10¹¹ particles/kg rAAV2/empty and 60 μl PBS solution, individually.

All nude mice received subcutaneous implantation of 5x10⁴ DBTRG cells on the flank 4 weeks after vaccination of treating agent. The collection of blood for biochemistry study was harvested by retro-bulbal puncture. The body weight and tumor volume were recorded every 2 days. The biochemical studies performed included serum level of IL-12 being evaluated every week from the 3rd to the 6th week post-tumor implantation (7th to 10th week post-vaccination), isotypes of immunoglobulin being detected in the 3rd week, IFN-γ being detected at the 4th post tumor implantation week, and TNF-α being detected at the 4th post-tumor implantation week as well. Three mice in each of the three groups were sacrificed for sorting activated NK cells in the 4th post-tumor implantation week. Three mice of each of the three groups were sacrificed for IL-12 staining of muscle at the 42nd day after vaccination. When the tumor volume reached 1000 mm³, the animal was sacrificed and their presumed-survival days were recorded. The organs, including lung, liver, and kidney, were extracted and preserved in 4% formalin while the spleen was extracted and prepared for LDH cytotoxic assay immediately. In total, 12 rAAV2/IL-12-treated mice, 12 rAAV2/empty-treated mice, and 12 PBS-treated mice completed the course and were estimated for tumor growth and presumed-survival time (Fig. 1 and Table I).

Immunohistochemistry, flowcytometry, ELISA assay and LDH cytotoxic assay. The immunohistochemistry of intracytoplasmic IL-12 staining was performed with a DAB150-150 slide kit which was purchased from Chemicon Internation, Inc. The amount of IL-12, IFN-γ and TNF-α were detected with ELISA kits which were purchased from Bender MedSystems, Inc. Isotypes of immunoglobulin were detected with a mouse immunoglobulin isotyping ELISA kit which was purchased from BD Bioscience. The absorbance of immunoglobulin was evaluated with a spectrophotometer (Molecular Devices Emax precision microplate reader). LDH cytotoxic assay was performed with CytoTox 96 Non-Radioactive Cytotoxicity Assay set which was purchased from Promega Corporation. Activated NK cells were sorted by flow cytometry (FACSVantage SE) with R-phycoerythrin-conjugated anti-mouse NK-1.1 (NKR-P1B and NKR-P1C) monoclonal antibody which was purchased from BD Biosciences. All the experimental procedures followed the product information and instructions.

Statistical analysis. The data are reported as mean value ± standard deviation. The significant difference between the respective groups were compared with one way ANOVA with Bonferroni post test except in the tumor growth experiment, which was analysed with mix effect repeated-measurements ANOVA. The statistical significance of the results was recorded as significant at p<0.05 and as very significant at p<0.001.

Results

Transduction of muscle cells by rAAV2 encoding IL-12. The vaccinated muscle from the right thigh of the posterior limb was excised and stained with a DAB slide kit for IL-12 on frozen section. The muscle cells from the rAAV2/IL-12-vaccinated right thigh exhibited brown intracytoplasmic stain of IL-12, which indicated successful transduction of rAAV2 and good expression of IL-12 in muscle cells. There was no brown staining on the non-vaccinated left thigh of the rAAV2/IL-12-treated, the right thigh of the rAAV2/empty-treated, and the right thigh of the PBS-treated mouse (Fig. 2).

Isotypes of immunoglobulin. The isotypes of immunoglobulin including IgG1, IgG2a, IgG2b, IgG3, IgM, IgA were detected in 4 rAAV2/IL-12-treated, 4 rAAV2/empty-treated, and 4 PBS-treated mice. The mean absorbance percentage was 56.1±8.2% of IgG1, 26.9±7.7% of IgG2a, and 11.4±3.1% of IgM in the rAAV2/IL-12-treated group; 21.1±5.0% of IgG1, 8.6±4.0% of IgG2a and 2.6±3.1% of IgM in the rAAV2/empty-
treated group; 21.3±4.9% of IgG1, 8.7±4.0% of IgG2a and
3.0±2.7% of IgM in the PBS-treated group. The ANOVA
analysis disclosed significant difference between the
rAAV2/IL-12-treated, rAAV2/empty-treated and PBS-treated
groups either in the IgG1, IgG2a or IgM absorbance. Signi-
ficant differences of IgG1, IgG2a and IgM were observed
between the rAAV2/IL-12-treated and the rAAV2/empty-
treated groups and also between the rAAV2/IL-12-treated
and PBS group. The expression levels of IgG1, IgG2a and IgM
were indistinguishable between the rAAV2/empty-treated
and the PBS-treated groups (Fig. 3). The absorbance values
were fairly low in the IgG2b, IgG3, and IgA, which were
excluded from this analysis.

Figure 2. The muscle sections achieved from the thighs of mice and stained
with DAB slide kit at the 6th week after vaccination. The vaccinated right
thigh muscle of the rAAV2/IL-12-treated mice illustrated an intracellular
brown stain of IL-12 (A-C). There were no visible brown stains on the non-
vaccinated left thigh muscle of rAAV2/IL-12-treated mouse (D), vaccinated
right thigh muscle of the rAAV/empty-treated mouse (E), and vaccinated
right thigh muscle of the PBS-treated mouse (F).

Figure 3. Mean absorbance (%) of IgG1, IgG2a, and IgM detected on the
3rd post-tumor implantation week (7th week after vaccination) with ELISA
assay. In total, 4 AAV2/IL-12-treated (black bar), 4 AAV2/empty-treated
(gray bar), and 4 PBS-treated mice (white bar) were estimated and expressed
with mean value ± standard deviation. **Very significant difference
(p<0.001); * Significant difference (p<0.05).

Figure 4. IL-12 serum level (pg/ml) was detected in the 7, 8, 9, and 10th
after vaccination by retro-bulbar puncture. Four punctures were done at each
different time point in the AAV2/IL-12-treated group (black bar), rAAV2/
empty-treated group (gray bar), and PBS-treated group (white bar). The
rAAV2/IL-12 displayed a constant level from the 7th to 10th week ~130 pg/ml.
**Very significant difference (p<0.001); *Significant difference (p<0.05).

Figure 5. Histograms of flow cytometry of activated NK cells. The activated
NK cells were sorted from splenocytes from AAV2/IL-12-treated (A),
AAV2/empty-treated (B), and PBS-treated groups (C) in the 8th week after
vaccination. The mean % of activated NK cells sorted from 3 AAV2/IL-12-
treated mice (black bar), 3 AAV2/empty-treated mice (gray bar), and 3 PBS-
treated mice (white bar) exhibited obvious increasing in the AAV2/IL-12-
treated group (D). **Very significant difference.
Serum levels of IL-12 and IFN-γ. The serum level of IL-12 was quantified with ELISA assay. The mean serum level of IL-12 in the rAAV2/IL-12-treated group was 125.8±18.3 pg/ml in the 7th week post-vaccination, 133.4±45.0 pg/ml in the 8th week, 131.1±33.0 pg/ml on the 9th week, and 147.2±29.6 pg/ml in the 10th week. There was very low detectable serum concentration of IL-12 in the control groups. The ANOVA analysis disclosed significant difference between the three groups either in at the 7, 8, 9, or 10th week. Very significant difference was observed in the increased IL-12 level of the rAAV2/IL-12-treated compared to the other 2 groups at all evaluating time points (Fig. 4).

Activating of NK cells. The activated NK cells were sorted by flow cytometry with monoclonal antibody NK 1.1 from the cells extracted from the spleen. The generated histograms showed 34.4±5.8% activated NK cells in extracted cells of rAAV2/IL-12-treated group, 5.4±1.9% of rAAV2/empty-treated group, 2.1±1.3% of PBS-treated group (Fig. 5). Although the rAAV/empty-treated mice showed a slight increase of the ratio of activated NK cells, the rAAV2/IL-12-treated mice exhibited a 6.4-fold increase in ratio over the rAAV2/empty-treated. These results could be interpreted that abundant functional NK cells could be induced in secondary lymphoid organ, at least in the spleen, by rAAV-mediated IL-12. The ANOVA analysis elicited significant difference between the three groups.

Cytotoxicity of splenocytes. Splenocytes/LAK cells obtained from 12 rAAV2/IL-12-treated, 12 rAAV2/empty-treated, and 12 PBS-treated mice were subjected to LDH cytotoxic assay using YAC-1 (mouse lymphoma cells) as target cells. The cytotoxicity of splenocytes/LAK cells from rAAV2/IL-12-treated group demonstrated constant increase, from 26.1±7.5, 32.9±2.8, 40.6±7.1, 48.5±7.5 and 56.5±4.3% associated with raising ratio of splenocytes to YAC-1 from 1 to 1 up to 50 to 1. Splenocytes/LAK cells from the PBS group showed cytotoxic effect <1% and rAAV2/empty group showed 10-16%. The ANOVA analysis elicited significant difference between three groups in all of the different ratio groupings. The cytotoxicity of the rAAV2/IL-12-treated group was significantly increased compared with the other 2 groups in all of the different ratio groupings. Although the cytotoxic effect of rAAV2/empty-treated was slightly higher than the PBS-treated group, there was no significant difference between them (Fig. 6).

Tumor growth and presumed-survival of animals. The presumed-survival period of an animal was determined when the tumor grew to ~1000 mm³. The mean presumed-survival period was 71.1±8.5 days in rAAV2/IL-12-treated, 42.7±6.8 days in rAAV2/empty-treated, 40.0±5.3 days, and 40.0±5.6 days in PBS-treated groups. The ANOVA analysis elicited significant difference between the three groups. The presumed-survival of AAV2/IL-12 treated group exhibited a significant increase compared with the other groups (Fig. 7). The inoculated-tumor growth was analyzed by mix effect repeated-measurements ANOVA that elicited significant inhibition in the AAV2/IL-12-treated group compared to the other 2 groups (Fig. 8).

Discussion

AAV has been demonstrated as an effective and safe vector in gene conduction for the reasons of currently causing no
human disease, existing in a living animal for a long period, and having only mild immune response (12,20). For the management of chronic disease in a human subject, rAAV carrying a therapeutic gene has been demonstrated as an effective method for continued expression of mediated genes to correct the genomic deficiency (15,21). In this study, the muscle cells were transduced by rAAV2 and expressed IL-12 constantly through the experimental period. Abundant IL-12 presented in the peripheral blood had the potential to enter secondary lymphoid organs, such as the spleen, to activate NK cells or monocytes and to prime the innate immunity. In addition, IL-12 has been verified with the ability to stimulate B-cells, producing some isotopes of immunoglobulin through the TH2-independent pathway (6). In this study, the IL-12, secreted from the rAAV/IL-12 transfected muscle cells, induced a nearly 3.4-fold increase of IgG1 and a 4.7-fold of IgG2a over the non-rAAV/IL-12 transfected cells. Those isotopes of immunoglobulin are known to play an important role in antibody-dependent cell-mediated cytotoxicity of NK cells (22,23).

To ensure that IL-12 could activate NK cells, the splenocytes of each group were sorted for activated NK cells, which showed a tremendous amount of activated NK cells in the rAAV2/IL-12-treated group (Fig. 5). By using the LDH cytotoxic assay, splenocytes/LAK cells were evaluated for their cytotoxicity *ex vivo* in different ratios to targeted YAC-1 cells, which disclosed rising cytotoxic activity from 26.1% at the ratio of 1 to 1 up to 56.5% at the ratio of 50 to 1. However, the cytotoxic activity was <24% in the ratio under 1 to 1 (splenocytes to targeted YAC-1 cells), implying that substantial and prolonged anti-cancer immune activity is crucial for the elimination of inborn stubborn cancer cells.

The pleiotropic IL-12 has been characterized as activating NK cells, cytotoxic T cells and lymphokine-producing monocytes (5). However, since nude mice lack T-cell immunity, the anti-pathogen or anti-cancer activity is derived from NK cells or monocytes only. In this study, tumor-growth was reduced in the rAAV2/IL-12-treated group with the estimated mean survival prolonged 1.6- to 1.8-fold over control groups. It can be expected that in immunocompetent animals or humans with normal T-cell immunity, the prognosis should be improved much more through IL-12-induced Th1 cellular immunity.

In spite of the high serum concentration of IL-12, the IFN-γ and TNF-α presented quite a low level in the circulatory system in this study. This phenomenon suggested that the interaction of IL-12 with immune cells is carried out predominantly locally, for example the inflammatory tissue or neoplastic tissue, rather than systemically and that the toxic IFN-γ was present in circulation for only a short time. This therapeutic modality, muscle cells transfected by rAAV2/IL-12 with a constant serum level of IL-12, provides a safer and more efficient route in preventing morbidity from fluctuation of cytokine concentration such as intermittent administration of recombinant IL-12 (8,9). In addition, from the view of the responses of cytokines and immune cells, the fact that rAAV2 did not induce significant immune activity in this study further improves the safety of rAAV2 applied in mammals.

For the purpose of eradication of cancer cells, a long period of therapy or multiple cycles of treatment are performed routinely by chemotherapy, radiotherapy, or monoclonal antibody targeted therapy in present therapeutic practice. From the preliminary result of clinical trial of hemophilia type B treated with AAV2-mediated factor IX, AAV2 transfected skeletal muscle could express substantial IX up to 10 months after a primary injection (21). In addition, in our experiment, the AAV2-mediated IL-12 expressed by muscle cells of mice persisted at least 10 weeks from initial vaccination. This evidence suggests that the extended therapeutic effect by AAV2-mediated gene transfer could be accomplished by one injection. Consequently, compared with repeated protein administration, the treatment model of AAV2 encoding therapeutic gene will be more efficient and less expensive.

In conclusion, IL-12 can be expressed in muscles transduced by rAAV2 encoding IL-12 and secreted into the circulatory system to maintain a constant serum concentration to further initiate the innate and adaptive immune response. Subsequently, NK cells can be activated and exhibit cytotoxic ability to kill cancer cells *in vivo* and *ex vivo*. The final results disclosed a confirmed feasibility of anti-cancer therapy with vaccination of rAAV2 encoding IL-12 through NK-cell-related cytotoxic immune responses.

References


