Connexin32-mediated antitumor effects of suicide gene therapy against hepatocellular carcinoma: *In vitro* and *in vivo* anticancer activity

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**Abstract.** Normal hepatocytes express connexin32 (Cx32), which forms gap junctions at cell–cell contact areas. The aim of the present study was to investigate whether Cx32 mediates the cell death-inducing effects of ultrasound microbubbles carrying the herpes simplex virus thymidine kinase (HSV-TK) suicide gene against hepatocellular carcinoma cells *in vitro* and *in vivo*. HepG2 cells were exposed to different concentrations of trans-retinoic acid (ATRA) in culture, to evaluate the intrinsic antitumor effect of ATRA. Detailed *in-vitro* and *in-vivo* investigations on the antitumor effects of ATRA via Cx32 mediation were performed, and the possible underlying mechanisms of action of the compound were then examined. The gene expression of HSV-TK transfected by ultrasound wave irradiation in the HepG2 cells was quantified using reverse transcription-quantitative polymerase chain reaction analysis. The effects on cell death were assessed using an MTT assay. The protein expression levels of Cx32 in ATRA-untreated or ATRA-treated tissues were quantified by immunohistochemical analysis and Western blot assays. The HSV-TK gene was successfully transfected into the HepG2 cell using ultrasound wave irradiation, and was stably expressed. Compared with the other groups, the HSV-TK gene group treated with ATRA exhibited an increased number of apoptotic cells (P<0.05) and improved tumor suppression (P<0.05). ATRA significantly increased the expression of Cx32 in the hepatoma tissues (P<0.01). The present study demonstrated that ATRA elevated the protein expression of Cx32 and enhanced the bystander effect of the HSV-TK/GCV suicide gene therapy system, which may provide a potential strategy for hepatocellular carcinoma treatment.

**Introduction**

Hepatocellular carcinoma (HCC) is the fifth most common type of malignant tumor worldwide, and the third most common cause of cancer-associated mortality, with surgical resection remaining the most effective therapy (1). However, <15% of patients benefit from this treatment due to the presence of multiple tumor nodules (2). Therefore, it is imperative to identify novel therapeutic strategies, including suicide gene therapy, in which nucleic acids encoding specific therapeutic genes are used as antitumor agents. Cancer gene therapy offers potential for decreasing tumor-associated mortality rates. However, it has been clinically limited by non-targeted and insufficient gene transfer (3). Ultrasound-targeted microbubble destruction-targeted gene delivery to the tumor tissue, and the targeted co-delivery of genes synergistically improves antitumor effects (3).

The present study evaluated the use of gene therapy to target HCC, using the herpes simplex virus thymidine kinase/ganciclovir (HSV-TK/GCV) suicide gene system via its ‘bystander effect’. It is not a requirement that all tumor cells are directly targeted, and the occurrence of the bystander effect in HSV-TK/GCV therapy may represent an important therapeutic opportunity (4). There is compelling evidence demonstrating that gap junctional intercellular communication (GJIC) is directly involved (5,6). Gap junctions are formed by connexins, a family of homologous proteins, which directly link the cytoplasms of adjacent cells to allow the passage of ions (4). Connexins can also act as tumor suppressor genes (7). GJIC is involved in tissue homeostasis, whereas the expression of connexin32 (Cx32) remains expressed and is critical for intercellular communication (8). Alternatively, a number of classes of chemicals, including gemcitabine (9) and cAMP (10), have been reported to increase Cx26 and Cx43 and, subsequently, GJIC. An ideal wide-spectrum chemical inducer of GJIC is trans-retinoic acid (11).
acid (ATRA), which results in upregulated expression levels of Cx43 and GJIC (11,12).

Therefore, the present study hypothesized that treatment of tumor cells with ATRA augments the bystander effect of the HSV-TK/GCV system and results in improved tumor cell death-inducing effects by enhancing GJIC. In the present study, the effect of ATRA on the bystander-mediated cell death of HepG2 cells were examined in vitro and in vivo, and whether facilitating gap junction communication through Cx32 overexpression can increase the therapeutic efficacy of suicide gene therapy.

Materials and methods

Chemicals and reagents. Rabbit anti human Cx32 antibody was purchased from Bioworld Technology, Inc. (St. Louis Park, MN, USA). ATRA and (GCV) were purchased from Sigma-Aldrich (St. Louis, MO, USA). The total expression plasmid vector of the enhanced green fluorescent protein and HSV-TK I type (pIRES2-EGFP-HSV-TK) was constructed previously at the Institute of Ultrasound Imaging of Chongqing Medical University (Chongqing, China) (13). UTG 1025, a self-made ultrasonic gene transfection instrument and lipid microbubbles were provided by the Institute of Ultrasound Imaging of Chongqing Medical University (Chongqing, China). Plasmid preparation and the co-transfection was extracted and purified using a Tiangen kit (Beijing, China).

Cell line and experimental animals. The HepG2 human hepatoma cell line was obtained from the Cell Resource Center, Chinese Academy of Medical Sciences, Peking Union Medical College (Beijing, China), and cultured in high glucose Dulbecco's modified Eagle's medium containing 10% fetal bovine serum (FBS; HyClone Laboratories, Inc., Logan, UT, USA), at 37°C in 5% CO₂. The viability of the HepG2 cells, determined by trypsin blue (0.4%; Sigma-Aldrich) exclusion, was >95%.

A total of 32 male athymic BALB/c nu/nu mice (4-6 weeks old, weighing 20±2 g) were purchased from the Laboratory Animal Center of Chongqing Medical University. They were housed at a temperature of 25°C, under a 12-h light/dark cycle, in specific pathogen-free conditions and received food and water ad libitum. Each mice was inoculated subcutaneously with 0.2 ml HepG2 cells (5x10⁶ cells per mouse) in suspension in the right flank. The experimental animals, with tumor diameters measuring 0.5-1.0 cm, were randomly divided into the following four groups (n=8 per group): (A) phosphate-buffered saline (PBS), (B) HSV-TK, (C) ATRA and (D) HSV-TK+ATRA. Each group contained eight mice. All protocols were approved by the Animal Experimentation Ethics Committee of Chongqing Medical University, in compliance with the recommended National Institutes of Health guidelines for the care and use of animals for scientific purposes (14).

Plasmid preparation and the combining of microbubbles with the plasmid. The pIRES2-EGFP-HSVTK gene plasmid for transfection was extracted and purified using a Tiangen kit (cat. no. RM204-01; Tiangen Biotech Co., Ltd., Beijing, China); the concentration of the isolated plasmid DNA was determined at an absorbance of 260/280=1.9 by ultraviolet spectrophotometry (U-0080D; Hitachi High-Technologies Corp., Tokyo, Japan), and resuspended to a final concentration of 1 µg/µl in ddH₂O (Beyotime Institute of Biotechnology, Shanghai, China). The recombinant plasmid was evaluated using biomaging systems (GeiGDoc2000; Bio-Rad Laboratories GmbH, München, Germany). The method used for the preparation of the gene-loaded lipid microbubbles was performed, according to the criteria described by Wang et al (15). The prepared blank lipid microbubbles and plasmid were then mixed (1 mg/ml) and incubated at 37°C for 30 min, and the gene-loaded lipid microbubbles were produced.

Ultrasound microbubble-mediated transfection with the pIRES2-EGFP-HSVTK gene. The plasmid concentration was adjusted to 0.2 µg/ml, mixed with the lipid microbubbles and incubated at 37°C for 30 min, as described above. The microbubbles containing the pIRES2-EGFP-HSVTK plasmid were added to each well and exposed to ultrasonic (UTG 1025, Institute of Ultrasound Imaging of Chongqing Medical University) radiation (1 MHz; 0.5 W/cm²; 30 sec). In order to obtain the positive clone (TK⁺) HepG2 cells (density, 80-90%), the HSV-TK-transfected cells were selected using G418 culture media (800 mg/ml; HyClone Laboratories, Inc.).

Reverse transcription-quantitative polymerase chain reaction (RT‑qPCR) analyses of the transfection and expression of the pIRES2-EGFP-HSVTK gene. At 2 weeks following the observation of HepG2 cells stably expressing HSV-TK, total RNA was extracted from the HepG2 cells and quantified using RNA Isolation Solvent (Omega Bio-tek, Inc., Doraville, GA, USA) according to the manufacturer's instructions and reverse transcribed, using an RT‑PCR kit (Promega Corporation, Madison, WI, USA) according to the manufacturer's protocol. The RNA (1 µg) was used to synthesize cDNA (Thermo Fisher Scientific, Inc., Waltham, MA, USA). The relative transcription of HSV-TK was determined by performing semi-quantitative PCR analyses of HSV-TK, TK⁺ cells (positive control) and β-actin (internal control) by qPCR amplification using a Rotor-Gene 6000 PCR machine (Corbett Life Science, Mortlake, Australia). The forward primer was 5'-CAGCCCAAGAAGCCACGGAAGT-3' and the reverse primer was 5'-AGC

Assessment of the effects of ATRA and GCV on the growth of mixed cells. Prior to proceeding with comparisons of the HSV-TK/GCV-mediated bystander effect, it was important to ensure that ATRA itself had no effect on in vitro growth.

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rates or cell death in the cell lines used in the present study. The HepG2 cells were plated at a density of 5x10^3 cells/well. The cell culture media, containing varying concentrations of ATRA (0, 10^{-4}, 10^{-5}, 10^{-6}, 10^{-7} and 10^{-8} mol/l) were replaced every day. Following 3 days incubation at 37°C, 20 µl MTT assay mix was added to each well. The plates were incubated for 4 h at 37°C, and the absorbance was measured at 570 nm (U-0080D), following which the optical density (OD570) was calculated. The same method to used to assess the cytotoxicity of GCV at varying concentrations (0, 20, 40, 60, 80 and 100 µg/ml). The inhibition ratio was calculated as follows: Inhibition ratio = (1 - OD_{experiment}/OD_{control}) x 100%. Each assay was repeated three times.

**ATRA treatment to assess the bystander effect of HSV-TK in vitro.** The HSV-TK-transfected (TK⁺) cells were mixed with untransfected HSV-TK (TK⁻) cells at concentrations between 0 and 100%. These mixtures were plated in 96-well culture plates at a density of 4,000 cells/well in 100 µl media with (10^{-4} mol/l), or without ATRA. There were four duplicate wells plated for each mixture of cells. When the cells were ~20-30% confluent, with the majority of cells showing visible contact with adjacent cells, the medium was removed and replaced with complete medium containing GCV (40 µg/ml). The cells were incubated for 3 days at 37°C with or without ATRA (10^{-6} mol/l). The assessment of cell number was determined using an MTT (20 µl, 37°C, 0.5 mg/ml) colorimetric cell proliferation assay, which measures viable cell dehydrogenase activity. The experiment was repeated three times.

**In vivo experiments**

**ATRA administration in vivo.** The mice were subcutaneously injected with HepG2 cells, as described above, and when the tumor diameter reached 0.5-1.0 cm, the microbubbles containing the HSV-TK plasmid (200 µl; 0.1 µg/µl) were injected into the tumor foci of groups B and D (once every 5 days, five times). Subsequently, the ultrasonic gene transfection instrument was used to irradiate the tumor (1 MHz; 2 W/cm²; 5 min), and GCV (100 mg/kg/day) was administered into the peritoneal cavity following irradiation for 14 consecutive days. In groups C and D, ATRA (1 mg/kg-day; no antitumor effect) was administered into the peritoneal cavity consecutively for 14 days. PBS (200 µl) was injected into the tumor foci in group A.

Tumor sizes were measured every 3 days, and the volumes were calculated using the following equation: [(longest diameter) x (shortest diameter)^2] / 2. The tumor inhibition rate was calculated as follows: Inhibition rate = (PBS group - mean tumor volume in treatment group) / mean tumor volume in control group x 100%.

**Immunohistochemical analysis.** The mice were anesthetized with pentobarbital (0.03 mg/100 g; Sigma-Aldrich) prior to sacrifice following treatment in each group. Tumor tissues were fixed in 10% zinc-buffered formalin (Sigma-Aldrich), embedded in paraffin (Sigma-Aldrich), sectioned (4 µm), and stained with hematoxylin and eosin (Beyotime Institute of Biotechnology). To avoid nonspecific staining, avidin and biotin in the tissues were blocked using a blocking kit. Following the blocking reaction, the slides were incubated with biotin-conjugated anti-Cx32 (1:100) at 4°C overnight. Goat anti-rabbit immunoglobulin G (IgG; cat. no. BS13271; Bioworld Technology, Inc.) was used as the negative control, at 37°C for 20 min. The reaction was visualized using a SABC Standard kit (cat. no. SA1022; Wuhan Boster Biological Technology, Ltd., Wuhan, China), followed by counterstaining with hematoxylin. Serial sections were fixed in 10% zinc-buffered formalin and stained with hematoxylin and eosin. The sections were then incubated in PBS containing diaminobenzidine (Beyotime Institute of Biotechnology) for 5 min, and examined under a microscope (CKX 41SF; Olympus, Tokyo, Japan).

**Protein extraction and western blot analysis.** The proteins were extracted using protein extraction reagent (Beyotime Institute of Biotechnology) 48 h following transfection, and stored at -20°C, as described previously (16). The protein extracts were obtained using a Membrane and Cytosol Protein Extraction kit (P0033; Beyotime Institute of Biotechnology), and protein concentration was determined using a Bradford assay kit (cat. no. P0006; Beyotime Institute of Biotechnology). Proteins were resolved by electrophoresis on 8% SDS-PAGE gels (Beyotime Institute of Biotechnology) and transferred onto polyvinylidene difluoride membranes (Thermo Fisher Scientific, Inc.). The membranes were immunoblotted with polyclonal rabbit anti-human anti-Cx32 (cat. no. BS3527; Bioworld Technology, Inc.; 1:500-1:1,000 dilution) overnight at 4°C. The secondary antibody comprised horseradish peroxidase-conjugated monoclonal goat anti-rabbit (cat. no. BS13271; 1:10,000; Bioworld Technology, Inc.). The bands were analyzed using a GelGDoc2000 imaging system (Bio-Rad Laboratories, Inc., Hercules, CA, USA), and the protein levels were quantified by their relative OD.

**Statistical analysis.** The SPSS 17.0 statistical software package (SPSS, Inc., Chicago, IL, USA) was used to perform statistical analysis. The data are expressed as the mean ± standard error of the mean.

**Figure 1.** Detection of the expression of the TK gene by reverse transcription-quantitative polymerase chain reaction analysis in transfected HepG2 cells. (A) Positive control; (B) target gene. HSV-TK, herpes simplex virus-thymidine kinase.
deviation. Analysis of variance was used to assess the inhibition rate. A least significant difference t-test was used for pairwise comparisons. Kaplan-Meier's method was applied for survival analysis. P<0.05 was considered to indicate a statistically significant difference.

Results

Expression of TK-specific mRNA and cytotoxicity of ATRA and GCV. The mRNA expression of TK was observed in the HepG2 cells transfected using ultrasound microbubble-mediated with pIRES2-EGFP-HSVTK, compared with the positive control (Fig. 1). The rates of growth inhibition of the cells were 1.74±0.04, 2.75±0.76, 2.80±0.09, 9.37±0.32 and 45.38±0.72% at ATRA concentrations of $10^{-8}$, $10^{-7}$, $10^{-6}$, $10^{-5}$ and $10^{-4}$ mol/l, respectively (Fig.2A). No apoptosis was observed in the cells exposed to ATRA alone, at concentrations as high as $10^{-6}$ mol/l, compared with the cells growing in the absence of ATRA (P>0.05), which showed no growth inhibitory effects. Toxic effects were observed when the concentration of ATRA was >$10^{-6}$ mol/l (P<0.05).

The rates of growth inhibition of the cells were 1.57±0.05, 4.95±0.10, 39.12±1.50, 94.30±0.93 and 95.38±1.12% at GCV concentrations of 20, 40, 60, 80 and 100 µg/ml, respectively (Fig.2B). No significant difference in growth was observed in the cells exposed to GCV at concentrations up to 40 µg/ml, compared with the cells growing in the absence of GCV (P>0.05).

In vitro analysis of the bystander effect. To compare the potency of the bystander effect with or without ATRA, experiments were performed, in which HSV-TK + and HSV-TK - cells were mixed at varying ratios, followed by exposure to 40 µg/ml GCV, with or without $10^{-6}$ mol/l ATRA. ATRA alone had no effect on the number of cells and this concentration of GCV had no effect on the growth of the cells. In the same proportion of HSV-TK + cells, a significant decrease in cell viability was observed in the ATRA-treated cells, compared with cells with HSV-TK + treatment (P<0.05; Fig.3).

In vivo experiments

Treatment effect. As tumor size increased, the mice exhibited an emaciated body, appetite loss, dull furs, activity reduction and body weight loss. However, the growth of the mice in the treatment group was significantly improved, compared with that in the control group. Analysis of the tumor inhibition rates revealed that the tumor sizes in group D (HSV-TK+ATRA) were significantly lower (P<0.05), compared with those in the other groups. Compared with the HSV-TK group, the tumor sizes in the HSV-TK+ATRA group were smaller at all time points (P<0.05). The tumor inhibition rates of the PBS, HSV-TK, ATRA and HSV-TK+ATRA groups were 0, 37.97±4.35, 6.92±7.41 and 59.40±6.17%, respectively (Fig. 3).

Histopathological changes and expression levels of Cx32 in tumor tissues. Compared with the other groups (Fig 4A-C), higher levels of tumor cell necrosis were observed in the HSV-TK+ATRA group (Fig.4 D; P<0.05). Lower levels of cell necrosis and karyopyknosis were observed in the HSV-TK group, although these levels were higher, compared with the levels of cell necrosis in the PBS and ATRA groups. In analyzing the immunohistochemical staining, the brown-yellow or dark brown staining of the cytoplasm was considered positive (predominantly localized to the membrane of cells). Compared with the untreated group, the protein expression of Cx32 was significantly increased in the ATRA-treated tumor groups (P<0.01; Fig. 4E and F). Similar results were obtained in the analysis of protein expression of Cx32 using Western blot analysis (P<0.05), in which the protein levels of Cx32 were significantly increased in the ATRA and HSV-TK+ATRA groups, compared with the other groups (P<0.01; Fig. 5).

Discussion

HCC is one of the most common types of malignancy, which has a poor prognosis worldwide and frequently recurs following surgical or nonsurgical treatments, including transarterial chemoembolization, radiofrequency ablation, percutaneous ethanol injection therapy and chemotherapy (17). Newly established treatment methods, including gene therapy, can be combined with traditional treatments to destroy the tumor tissues. Prodrug/suicide gene therapy, which delivers a ‘suicide’ gene to target cells and renders them sensitive to a specific prodrug, is a promising strategy for the treatment of malignant tumors (18). The HSV-TK/GCV system is one of the most well-characterized systems and has been successfully used in vitro and in vivo for the treatment of malignancies (19-21). Numerous studies have shown that the treatment effect of the
Figure 3. Analysis of the bystander effect in vitro and in vivo. Compared with the control group, the bystander effect was observed in the ATRA-treated cells, with reduced cell viability (P<0.05). Tumor inhibition rate in vivo. The bystander effect led to a reduction in tumor volumes in the HSV-TK/GCV+ATRA group, compared with the other groups (P<0.05), and in the HSV-TK group, compared with the PBS and ATRA groups (P<0.05). The results are expressed as the mean ± standard deviation. PBS, phosphate-buffered saline; ATRA, trans-retinoic acid; HSV-TK, herpes simplex virus-thymidine kinase; GCV, ganciclovir.

Figure 4. Hepatic tumor tissues stained with hematoxylin and eosin following treatment. Compared with the (A) PBS, (B) HSV-TK and (C) ATRA groups, higher levels of tumor cell necrosis were found in the (D) HSV-TK+ATRA group (P<0.05). Levels of cell necrosis and karyopyknosis were lower in the HSV-TK group, which showed higher levels of necrosis than the PBS and ATRA groups (P<0.05). Original magnification, x200; scale bar=50 µm. Compared with the (E) untreated group, protein levels of Cx32 were higher in the (F) ATRA-treated group (P<0.01). (G) Quantification of results. Compared with the other groups, there were fewer hepatic tumor cells in the HSV-TK+ATRA group (P<0.05). (H) Cx32 protein level was increased in the ATRA-treated tumor group (P<0.01), compared with the control group (untreated group). Original magnification, x400; scale bar=25 µm. Cx32, connexin32BS, phosphate-buffered saline; ATRA, trans-retinoic acid; HSV-TK, herpes simplex virus-thymidine kinase.
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ATRA antagonizes the effects of two serine/threonine protein kinase families, protein kinase C and mitogen-activated protein kinase. This results in the phosphorylation of Cx43 and/or other Cx proteins, including Cx26 (30). In addition, it has been shown that ATRA enhances the tumoricidal effect of HSVTK/GCV suicide gene therapy against Daoy MB cells, by strengthening the bystander effect in vitro and in vivo (31). Similarly, the results of the present study revealed that ATRA significantly increased the expression of Cx32 in the HepG2 cells (Fig. 4F), and significantly enhanced the bystander effect of the pIRES2-EGFP-HSV-TK/GCV system in the HepG2 cells (Figs. 2 and 3).

The results of the present study suggested that the HSV-TK/GCV suicide gene therapy system, mediated by Cx32 and combined with ATRA as an adjuvant, may have important implications for HCC treatment. The results of the in vitro experiments demonstrated a markedly enhanced apoptotic effect in the ATRA-treated cells, compared with ATRA-untreated cells, at the same proportion of HSVTK-positive cells. In vivo, the tumor inhibition rate was 37.97% when treated with the HSV-TK suicide gene only, whereas the tumor inhibition rate was 59.4% in the HSV-TK+ATRA group. In addition, the expression of Cx32 in tumor tissues or cells treated with ATRA was increased, with localization in a normal position. However, despite the experiments performed in the present study, a number of questions remain, including how ATRA increased the expression of Cx, and whether other Cx proteins are involved in the antitumor effect. However, the potential side effects of ATRA may be reduced through use of the HSV-TK/GCV suicide gene system.

In the present study, an ultrasound microbubble was used as the gene vector. The ultrasound microbubble-mediated delivery system has been used as a novel and effective gene delivery method (32-34). The ultrasound microbubble-mediated HSV-TK suicide gene system not only improves gene targeting, but also increases the gene transfection efficiency due to the features of ultrasound and microbubbles. Ultrasound-targeted microbubble destruction technology is expected to become a novel gene delivery technique and may provide a novel strategy for targeted cancer therapy.

In conclusion, the results of the present study supported the suggested that the bystander effect ATRA, combined with delivery of the pIRES2-EGFP-HSV-TK/GCV system, can be an effective treatment for HCC. The mechanism appears to involve the induction of death of the proliferative HepG2 cells by enhancing the function of GJIC. The bystander effect may provide additional beneficial effects to that of promoter selectivity by eliminating neighboring, but uninfected, target cells (35). The clinical use of this type of gene therapeutic regimen require further investigation, and the formulation of the correct combination of therapeutic regimens and prediction of curative effects are also essential.

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fluorescent imaging to monitor temporal
survival in patients with unresectable hepatocellular carcinoma.


