More efficient induction of antitumor T cell immunity by exosomes from CD40L gene-modified lung tumor cells

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Abstract. The incidence of lung cancer increases annually. However, the effects of the present methods for the treatment of lung cancer are extremely poor. It has been reported that exosomes from heat-stressed 3LL Lewis lung tumor cells effectively elicit systemic antitumor immunity. CD40 signaling is critical in the activation of dendritic cells (DCs), which are important in the induction of antitumor immunity. In the present study, exosomes from CD40 ligand gene-modified 3LL tumor cells (CD40L-EXO) were identified to be more immunogenic compared with control-EXO and lac Z-EXO. CD40L-EXO induced a more mature phenotype of the DCs and promoted them to secrete high levels of interleukin-12. CD40L-EXO-treated DCs induced a greater proliferation of allogeneic T cells in the mixed lymphocyte reaction. Moreover, CD40L-EXO induced robust tumor antigen-specific CD4+ T cell proliferation ex vivo. CD40L-EXO were also extremely effective in the protective and therapeutic antitumor tests in vivo. These results indicate that CD40L-EXO may be used as an efficient vaccine for lung cancer immunotherapy.

Introduction

The morbidity and mortality of lung cancer has been rapidly increasing over the past 50 years. The incidence of lung cancer has accounted for 12% of all new cancer cases, and lung cancers are the second most common malignancies in individuals (1). Surgical intervention is the most effective method to treat lung cancer; however, the recurrence following surgery remains high. Moreover, the effect of traditional radiation and chemical treatment on pulmonary carcinoma is extremely limited. It is therefore necessary to urgently find a novel method to treat lung cancers more efficiently.

Exosomes are lipid bilayer vesicles, 30-90 nm in diameter, formed within late endocytic compartments and physicochemically distinct from other secreted vesicles (2-4). Exosomes may be released from various living cells, including tumor cells, dendritic cells (DCs), platelets, cytotoxic T lymphocytes (CTLs) and reticulocytes (2,5). Exosomes derived from tumor cells (TEX) contain tumor antigens, and a number of studies have indicated that exosomes may be used as an effective vaccine to initiate antitumor immunity (6,7). However, the immunogenicity of the tumor antigen in TEX is poor and the efficiency of TEX to induce antitumor immunity requires further improvement. One of the most significant characteristics of exosomes is their suitability for artificial modification. It has been reported that TEX from heat-stressed tumor cells or super-antigen-anchored TEX are more immunogenic and may induce more efficient tumor antigen-specific CTL responses (8,9). It may be assumed that TEX is likely to be an attractive vaccine for the prevention of tumors. TEX from heat-stressed 3LL lung tumor cells are effective in the regression of the established lung cancer in mice, which indicates that TEX are also effective in the treatment of lung cancers.

CD40, a member of the tumor necrosis factor receptor superfamily, is expressed on B cells, monocytes and DCs. CD40 is significant in the improvement of immune responses following ligation with its natural ligand, CD40 ligand (CD40)/CD154 (10). DCs are unique professional antigen-presenting cells that prime naïve T cells. DCs are considered to be the most attractive candidates in the activation of immunity against cancer (11). The activation of CD40 signaling in DCs may promote their differentiation and matu-
Exosomes from CD40L gene-modified lung tumor cells improve antitumor response.

**Materials and methods**

Reagents. CD4+ T cell isolation kit II was purchased from Milteny Biotec (Bergisch Gladbach, Germany) and the ELISA kit with IL-2, IL-12, TNF-α and interferon (INF)-γ and phycocerythrine (PE)-conjugated antibodies against CD40 (1c10), MHCI (M5/114.15.2), CD80 (16-10A1) and CD86 (D03.1) was obtained from eBioscience (San Diego, CA, USA). The PE-conjugated antibodies against CD40L (MR1) were obtained from BD Biosciences (San Diego, CA, USA). The antibodies against HSP70 (F-3), CD63 (Y-8), CD9 (KMC8.8) and TSG101 (c-2) were purchased from Santa Cruz Biotechnology, Inc. (Santa Cruz, CA, USA). The lactate dehydrogenase (LDH) assay kit was purchased from Promega Corporation (Wisconsin, WA, USA). The aldehyde/sulfate latex beads and the alamarBlue cell proliferation assay kit were from Invitrogen Life Technologies (Carlsbad, CA, USA).

Cell lines and mice. The 3LL Lewis lung cancer and B16 melanoma cell lines were obtained from the American Type Culture Collection (Manassas, VA, USA). The 3LL and B16 cells were maintained in RPMI-1640 media and Dulbecco's modified Eagle's medium (DMEM; Hyclone, Rockford, IL, USA), respectively, supplemented with 10% fetal calf serum (FCS; Hyclone). C57BL/6 mice were obtained from Joint Ventures Sipper BK Experiment Animals Co. (Shanghai, China). Female mice, 6-8 weeks old, were housed in a specific pathogen-free facility. The experimental protocols were approved by the Animal Care and Use Committee of the School of Medicine, Zhejiang University (Hangzhou, China).

Construction of recombinant mouse Ad-CD40L. Ad-CD40L was constructed using the AdMax™ system (Microbix Biosystems, Mississauga, OR, Canada). Briefly, the DNA fragment for murine CD40L was amplified from splenocytes of the C57BL/6 mice by polymerase chain reaction using the following specific primers: Sense: 5'-GGCCGATATCCATGATA GAAACATACAGCCAA-3' and antisense: 5'-GACGTCGGAC GAGTTTGAGTAAGCCAAAAGA-3', synthesized by Sangong Biotech Shanghai Co. Ltd. (Shanghai, China). The CD40L sequence was then inserted into a pDC315 shuttle vector and co-transfected with pBHGloxΔE1, 3Cre Ad backbone plasmid (transfected with pBHGloxΔE1 and backbone plasmid) into HEK293 cells to generate Ad-CD40L. The generated Ad-CD40L was purified and stored at -80°C.

**Electron microscopy.** Exosomes were fixed in 4% paraformaldehyde, loaded onto formvar carbon-coated electron microscopy grids (Phillips Electronic Instruments, Mahwah, NJ, USA), contrasted and embedded in a mixture of uranyl acetate and methylcellulose. Sections were observed with a Philips Tecnai-10 transmission electron microscope operating at 80 kV (Phillips Electronic Instruments).

**Western blot analysis.** In total, 10 μg exosomal proteins were separated by a 10% SDS-polyacrylamide gel and transferred onto a polyvinylidene difluoride membrane. Following incubation with anti-HSP70 (F-3), CD63 (Y-8), CD9 (KMC8.8) and TSG101 (c-2) antibody and corresponding horseradish peroxidase-coupled secondary antibody sequentially, the specific band on the membrane was visualized with a chemiluminescence kit (ECL detection kit, Amersham Bioscience, Piscataway, NJ, USA).

**DC generation and fluorescence-activated cell sorting (FACS) analysis.** Bone marrow cells from the C57BL/6 mice were cultured in RPMI-1640 medium containing 10% FCS, 10 ng/ml granulocyte macrophage-colony stimulating factor and 1 ng/ml IL-4 to generate bone marrow-derived DCs (BMDCs). Following culture growth for a period of five days, 1x10⁶/ml BMDCs were stimulated with 1 or 10 μg/ml CD40L-EXO, LacZ-EXO and Control-EXO or 1 μg/ml lipo-polysaccharide (LPS) for 48 h. For FACS analysis of the BMDCs, 1x10⁶ BMDCs were incubated with the corresponding PE-conjugated antibodies for 20 min.

For the FACS analysis of the exosomes, 20 μg exosomes were incubated with 5 μl 4-μm diameter aldehyde/sulfate latex beads for 15 min at room temperature in a 20-μl final volume, followed by gentle agitation for 1 h in 1 ml phosphate-buffered saline (PBS) and centrifugation at 3,000 x g for 5 min. The
pellet was blocked by incubation with 20 µl FCS for 30 min. Exosome-coated beads were washed three times in PBS and then resuspended in 50 µl PBS. The beads were incubated for 1 h with the corresponding fluorescent antibodies. The cells and beads were analyzed by flow cytometry using a FACS Calibur flow cytometer and CellQuest software (Becton-Dickinson, Mountain View, CA, USA).

Cytokine assay. The levels of IL-12p70 and tumor necrosis factor (TNF)-α from the exosome-stimulated BMDCs and the levels of IFN-γ and IL-2 from the splenocytes of the exosome-immunized C57BL/6 tumor mice ex vivo were detected by ELISA kits according to the manufacturer's instructions.

Mixed lymphocyte reaction (MLR). The BMDCs from the C57BL/6 mice were stimulated with 10 µg/ml CD40L-EXO, LacZ-EXO or Control-EXO, or 1 µg/ml LPS for 48 h. The BMDCs were then collected and inactivated by 50 µg/ml mitomycin C for 30 min at 37°C. CD4+ T cells from the splenocytes of the BALB/c mice were isolated using a CD4+ T cell isolation kit II (Miltenyi Biotec, Surrey, UK). BMDCs and CD4+ T cells were co-cultured at the indicated ratio for 72 h. AlamarBlue was then added per well for an additional culture for 24 h. The fluorescence intensities were detected to determine the CD4+ T cell proliferation.

Antigen presentation assay. The C57BL/6 mice were immunized four times at an interval of seven days by 100 µg 3LL cell lysates. At 35 days post-immunization, the CD4+ T cells derived from the splenocytes were isolated and co-cultured with syngeneic BMDCs that had been pre-treated with 1 or 10 µg/ml exosomes for 48 h. Following co-culture for 72 h, the proliferation of the CD4+ T cells was also detected by the alamarBlue assay.

Immunoassay and tumor challenge. The C57BL/6 mice were immunized subcutaneously (s.c.) into the left flank by CD40L-EXO, LacZ-EXO, Control-EXO (10 µg/100 µl PBS/mouse) or 100 µl PBS 13 days before 3LL cell challenge. The immunization was boosted three times on days seven, nine and 11, respectively. On day 0, the immunized mice were challenged with 1x10^6 3LL cells s.c. The tumor size was measured and the survival rate of the tumor mice was recorded on the indicated days. To induce IL-2 and IFN-γ, 5x10^6/ml splenocytes from mice seven days after the last immunization were stimulated with inactivated 3LL cells at a ratio of 10:1 for 48 h.

To investigate the therapeutic effect of CD40L-EXO, the 3LL tumor model was established with 1x10^6 3LL cells per mouse. Exosomes were then injected (10 µg/mouse) with on days +7, +9, +11 and +13. The tumor size was measured and the survival rate of the tumor mice was also recorded on the indicated days.

Cytotoxic assay of CTL. For the cytotoxic assay, splenocytes were obtained from the immunized mice. The splenocytes were stimulated with inactivated 3LL cells and with IL-2 (30 U/ml) for seven days. The 3LL cells were used as the specific target cells, while B16 cells were used as the control target cells and pulsed splenocytes were used as the effector cells. The ratios of effector to target were 12.5:1, 25:1 and 50:1. The cells were co-cultured for 4 h and then the cytotoxic activity of the pulsed splenocytes was measured by the LDH assay.

Statistical analysis. The statistical analysis was performed using one-way analysis of variance. P<0.05 was used to indicate a statistically significant difference.

Results

Characterization of CD40L-EXO. The morphology of the exosomes that were obtained was examined first. Under electron microscopy, the exosomes revealed the typical characteristics of a round morphology, with a diameter of 30-90 nm (Fig. 1A). Western blot analysis demonstrated that all the types of exosomes contained the exosome-associated proteins, HSP70, TSG101, CD63 and CD9 (Fig. 1B). In total, ~10 µg exosomal proteins was routinely obtained from supernatants containing 1x10^7 3LL cells. There was no marked difference in the production of exosomes from the Ad-CD40L and Ad-Lac Z-infected and uninfected 3LL cells. The CD40L was then detected on each type of exosome by FACS. CD40L was only detected on CD40L-EXO, but not Lac Z-EXO or Control-EXO (Fig. 1C). These results indicate that the exosomes carrying CD40L are likely to possess a potent ability to induce the activation of DCs were successfully isolated.
Figure 2. CD40L-EXO induce activation of BMDCs more efficiently. (A) FACS analysis of the BMDC phenotype. BMDCs were stimulated with each type of exosome (1 or 10 µg/ml) or LPS (1 µg/ml). Following 48 h of incubation, the cells were collected and stained with isotype (broken line) or PE-labeled anti-MHC-II, CD40, CD80 and CD86 (solid line) monoclonal antibody, washed and analyzed by FACS. The numbers indicate the mean fluorescence intensity. The data are representative of three independent experiments. (B) In parallel, cytokines in the supernatants were detected by ELISA. (C) CD40L-EXO increased the ability of DCs to stimulate allogeneic splenic CD4+ T cell proliferation in MLR. Following co-culture with each type of exosome for 48 h, BMDCs were collected and inactivated by mitomycin C. The splenic CD4+ T cells from BALB/c mice were cultured with the pre-treated C57BL/6 DCs at the indicated ratios for 96 h. The proliferation of T cells was determined by the alamarBlue assay (n=5). (D) Tumor antigen-specific CD4+ T cell proliferation induced by CD40L-EXO. C57BL/6 mice were immunized with 3LL tumor lysates. CD4+ T cells were purified from the spleen of immunized mice on day seven and co-cultured with syngeneic BMDCs that were pretreated with each type of exosome (1 or 10 µg/ml). Following a total 96 h of culture, the proliferation of CD4+ T cells was also determined by the alamarBlue assay (n=5). Data are representative of three independent experiments. *P<0.05 and **P<0.01. CD40L-EXO, CD40 ligand gene-modified 3LL tumor cells; BMDC, bone marrow-derived dendritic cells; FACS, fluorescence activated cell sorting; MLR, mixed lymphocyte reaction; DC, dendritic cells; LPS, lipopolysaccharide; PE, phycoerythrin.
CD40L-EXO induce activation of BMDCs more efficiently. Exosomes from heat-stressed and IL-18- or IL-2 gene-modified tumor cells were more efficient in promoting DC maturation (8,16,17). Considering the significance of CD40 signaling in the immune function of DCs, the phenotype of DCs treated with CD40L-EXO was examined first. Following stimulation with 10\( \mu \)g of each type of exosome for 48 h, the expression of MHC II, CD80, CD86 and CD40 on the BMDCs was markedly increased. However, the effect of CD40L-EXO was strongest, which was comparable with the effect of LPS (Fig. 2A).

IL-12p70 and TNF-\( \alpha \) production in the culture supernatants of the BMDCs was markedly enhanced by CD40L-EXO and was significantly higher than that of Lac Z-EXO and Control-EXO (Fig. 2B). In the MLR, the CD40L-EXO-, Lac Z-EXO- and Control-EXO-treated DCs all induced T cell proliferation. However, DCs stimulated with CD40L-EXO were more effective in stimulating a proliferative response than those with Lac Z-EXO and Control-EXO (Fig. 2C). Furthermore, it was also determined whether DCs pulsed with CD40L-EXO, Lac Z-EXO and Control-EXO had differential abilities to induce the activation of tumor antigen-specific CD4+ T cells from mice immunized with 3LL tumor cells. The proliferation of the primed CD4+ T cells induced by the DCs treated with CD40L-EXO was stronger than those treated with Lac Z-EXO and Control-EXO. Notably, TEX from the CD40 gene-modified B16 tumor cells hardly induced the proliferation of the primed CD4+ T cells (Fig. 2D). These data reveal that CD40L-EXO are more effective in inducing the phenotypic and functional maturation of DCs than Lac Z-EXO and Control-EXO, and CD40L-EXO may also induce a more efficient tumor antigen-specific CD4+ T cell immunity.

Figure 3. CD40L-EXO induce a stronger protective antitumor immunity. Tumor development in CD40L-EXO-immunized mice. (A) Tumors were established in C57BL/6 mice (n=10/group) by immunization four times by s.c. injection with PBS and 10 \( \mu \)g of each type of exosome on days -13, -11, -9 and -7. On day 0, the mice received a s.c. injection of 1x10^6 3LL cells. The tumor size was monitored with a caliper on the indicated days. (B) The tumor-free mice were also recorded. (C) The levels of IFN-\( \gamma \) and IL-2 secreted from splenocytes of C57BL/6 mice immunized with CD40L-EXO. Mice (n=10) of different groups were sacrificed 7 days following the last immunization. Splenocytes (5x10^6/ml) were stimulated with inactivated 3LL cells at a ratio of 10:1 for 48 h. The levels of IFN-\( \gamma \) and IL-2 in the culture supernatants were measured by ELISA. (D) CTL activity induced by CD40L-EXO immunization. Splenocytes from immunized mice were stimulated with inactivated 3LL cells and mIL-2 (30 U/ml) for 7 days in vitro, and then the cytotoxicity of pulsed splenocytes to 3LL target cells or syngeneic B16 cells that served as a specificity control were determined by the LDH assay (n=5). Data are representative of three independent experiments. *P<0.05 and **P<0.01 vs. PBS, Control-EXO or Lac Z-EXO. CD40L-EXO, CD40L gene-modified 3LL tumor cells; CTL, cytotoxic T lymphocytes; s.c., subcutaneously; E:T, effect cells : target cells; mIL-2, mouse interleukin 2.
CD40L-EXO, the mice were immunized with each type of exosome and challenged s.c. with 1x10^6 3LL cells. The immunization of CD40L-EXO resulted in a significantly enhanced antitumor effect when compared with the Lac Z-EXO-, Control-EXO- or PBS-treated mice (Fig. 3A). The survival rate of the tumor mice immunized with CD40L-EXO was ~80% on day 90, but only 40 and 50% in the tumor mice immunized with Lac Z-EXO and Control-EXO, respectively (Fig. 3B). As predicted, treatment with PBS revealed no significant protection against the inoculated 3LL cells (Fig. 3A and B). These results indicate that CD40L-EXO induce a stronger protective antitumor immunity than unmanipulated exosomes.

**CTLs are important in the eradication of tumor cells.** Effective cytokines, including IFN-γ and IL-2, are extremely important in the antitumor immunity of CTLs. The levels of IFN-γ and IL-2 were detected from the splenocytes of the exosomes from the immunized mice following restimulation with 3LL cells *ex vivo*. Immunization with all exosomes significantly increased the production of IFN-γ and IL-2 from the splenocytes. However, the effect of CD40L-EXO was the strongest (Fig. 3C). Furthermore, the cytotoxic activity of the splenocytes from the CD40L-EXO-immunized mice to 3LL targets was more potent than that of the splenocytes from Lac Z-EXO-, Control-EXO- or PBS-immunized mice (Fig. 3D). These results indicate that immunization with CD40L-EXO induces more robust Th1 and antigen-specific CTL responses.

**CD40L-EXO induce a more powerful therapeutic antitumor immunity.** To further confirm the antitumor potential of CD40L-EXO, the present study examined whether CD40L-EXO were effective in eradicating the established tumor in mice. Mice were inoculated with 3LL cells on day 0. Following the formation of visible tumors, as observed by the naked eye, the mice were treated with each type of exosomes (10 µg/mouse) by s.c. injection on days 7, 9, 11 and 13. As predicted, tumor growth in the mice treated with CD40L-EXO was effectively inhibited, with 50% of the mice surviving up to day 90 (Fig. 4). These results demonstrate that CD40L-EXO have a superior antitumor effect compared with Lac Z-EXO and Control-EXO.

**Discussion**

With recent scientific and technological progress, the development of tumor immunotherapy has significantly improved the prognosis of cancer patients, but the reality of the ‘war on cancer’ remains ongoing (18). A number of technical challenges restrict the effect of tumor immunotherapy in the clinic. For example, tumor antigens often exhibit poor immunogenicity. Tumor cells frequently escape the immune response via variation. Exosomes, as potential therapeutic agents for tumors, have attracted the intensive attention of oncologists (19,20). However, the efficacy of traditionally prepared exosomes in the induction of antitumor responses remains to be optimized. In the present study, exosomes from CD40L gene-modified 3LL lung tumor cells were isolated, and it was revealed that CD40L-EXO were more efficient in the induction of antitumor T cell immunity.

Exosomes have been tested in three Phase I clinical studies in melanoma, lung and colorectal cancer patients (19-21). These studies demonstrated that the safety of administering exosomes in humans was satisfactory. Clinical observations indicated that exosomes may stimulate the adaptive and innate cellular immune responses. Regardless of the observation of positive antitumor responses, the effect of exosomes in tumor therapy is not yet ideal. It has been reported that TEX from immune-activated gene-modified tumor cells and heat-stressed tumor cells or super-antigen anchored TEX demonstrated potent antitumor effects (8,9,16). Similarly, it was hypothesized that TEX from CD40L gene-modified tumor cells also have enhanced the immunostimulatoratory potential. This hypothesis was suggested to be valid by the findings of the present study, which showed that CD40L-EXO more efficiently induced DC activation and the subsequent priming of antitumor T cell immunity.

DCs are important in the initiation of antitumor T cell immunity, which requires the full activation of DCs. CD40 molecules are expressed on the immature DCs and are impor-
tant in their activation. Following maturation mediated by CD40 signaling, DCs may effectively prime antigen-specific CD4+ and CD8+ T cell responses (14). However, CD40L is predominantly expressed on activated T cells, but not on resting T cells, which is contradictory and inefficient for the activation of DCs. CD40L-EXO-containing tumor antigens were rich in CD40L, which may have efficiently activated the DCs, resulting in the activation of tumor antigen-specific T cells. The activated T cells upregulated the expression of CD40L and further activated the DCs, which possibly led to a positive-feedback for tumor antigen-specific T cell activation.

It has been reported that TEX from heat-stressed 3LL lung tumor cells induce strong antitumor effects. These exosomes have been demonstrated to effectively chemotacttract DCs to the tumor site (23). The accumulation of DCs in the lungs is beneficial to prime a robust antitumor T cell immunity. It also has been reported that DCs infiltrating human non-small cell lung cancer are blocked at an immature stage (22). In lung cancer, the function of DCs is converted from immune activation to tolerance. CD40L-EXO have the potent ability to activate DCs, which possibly turns over the tolerance of DCs. It may be supposed that TEX from heat-stressed and CD40L-gene modified 3LL lung tumor cells is likely to increase the numbers of DCs in the tumor site and enhance their activation. Therefore, TEX prepared from heat-stressed and CD40L-gene modified lung tumor cells may be more promising for the treatment of lung cancers.

Altogether, in the present study, CD40L-EXO were isolated from 3LL tumor cells infected by Ad-CD40L and it was demonstrated that CD40L-EXO were more efficient in DC activation and antitumor T cell immunity induction. As cell-free vesicles, exosomes are stable for a long period of time when preserved at -80°C. The production of TEX is extremely large-scale and the cost for TEx preparation is economical. Moreover, due to TEX often carrying a low number of or no MHC molecules, the biological safety of TEX is ideal. All these characteristics render CD40L-EXO a prospective universal agent for the therapy of lung cancer.

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