Alternative surgical strategies in patients with sporadic medullary thyroid carcinoma: Long-term follow-up

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Abstract. The extent of surgical resection in patients with sporadic medullary thyroid carcinoma (SMTC) remains controversial. The aim of the present study was to discuss the prognosis of sporadic medullary thyroid carcinoma with different surgical treatments. Of 73 patients with SMTC (mean age of 43.78 years at diagnosis), 70 patients were followed up for 12.0-169.0 months (median 90.0). Having given their informed consent, 12 patients underwent total thyroidectomy with bilateral central neck dissection (group A), 40 underwent subtotal thyroidectomy preserving contralateral thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx with ipsilateral modified radical neck dissection (group B), and 18 patients underwent subtotal thyroidectomy preserving contralateral thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx with bilateral modified radical neck dissection (group C). The diagnosis was confirmed by a pathology examination. The incidences of hypoparathyroidism and recurrent laryngeal nerve injury, the cancer recurrence rates and survival time were investigated post-operatively. Significant differences were found between groups A, B and C in the incidence of hypoparathyroidism (χ²=40.9, P<0.01), as well as that of recurrent laryngeal nerve injury (χ²=32.9, P<0.01). The cancer recurrence rates in groups A, B and C were 75.0% (9/12), 2.5% (1/40) and 44.4% (8/18) respectively, (χ²=31.1, P<0.01) and the cure rates were 25, 97.5 and 55.6% respectively (χ²=31.1, P<0.01). The mean survival times in groups A, B and C were 77.8, 106.1 and 111.0 months respectively, but significant difference was noted (χ²=3.2, P>0.05). In conclusion, compared to total thyroidectomy with bilateral central neck dissection, subtotal thyroidectomy preserving ipsilateral/bilateral modified radical neck dissection showed a lower incidence of hypoparathyroidism, recurrent laryngeal nerve injury and lower rates of recurrence, along with a similar cumulative survival.

Introduction

Medullary thyroid carcinoma (MTC) arises from calcitonins (CT) produced by the parafollicular or C-cells. MTC accounts for 5-10% of all thyroid carcinoma, but is responsible for up to 13.4% of all deaths related to this disease (1-3). Approximately 75% of MTC cases are sporadic and 25% are hereditary. Sporadic medullary thyroid carcinoma (SMTC) usually presents as a unifocal clone population of tumor cells and clinically presents as a palpable thyroid tumor, with palpable neck lymph nodes in 30-60% of cases (4,5). MTC readily invade the intraglandular lymphatics and regional lymph nodes. Distant metastases to the lung, bones and liver occur early during the course of the disease. Surgical treatment is the only curative treatment for MTC with an acceptable morbidity, and all neoplastic foci present in the neck should be removed (7-9). In contrast to differentiated carcinoma arising from thyroid follicular cells, radioactive iodine and thyroid stimulating hormone suppression therapies are not effective for SMTC. Meticulous lymph node dissection was reported to result in a high biochemical cure rate (10,11). Although total thyroidectomy with central neck dissection is recommended for SMTC patients, the indication for lateral neck dissection and total thyroidectomy remains controversial. Patients with known or highly suspected MTC with no evidence of advanced local invasion by the primary tumor, no evidence of cervical lymph node metastases on physical examination and cervical ultrasonography, and no evidence of distant metastases, should undergo total thyroidectomy and prophylactic central compartment (level VI) neck dissection (12,13). Some surgeons advocate a bilateral neck dissection for both hereditary and sporadic MTC with unilateral palpable tumors (10,14).

Previously, many general surgeons simply performed total thyroidectomy. However, since SMTC, which has usually already spread to the local lymph nodes at the time of presentation, has become more widely understood, a number of endocrine surgeons now advocate a total thyroidectomy and central lymph node dissection as the minimum initial operation. Some centers routinely add a modified radical neck dissection when the primary tumor is palpable or the lymph nodes are involved. Furthermore, the incidence of
complications related to total thyroidectomy, including hypoparathyroidism and recurrent laryngeal nerve injury has yet to be evaluated. Thus, there is considerable confusion regarding standard surgical treatment of SMTC.

In the present retrospective study, we assessed 75 patients with SMTC who underwent the different surgical treatments including total thyroidectomy with bilateral central neck dissection (group A), subtotal thyroidectomy with ipsilateral modified radical neck dissection (group B) or subtotal thyroidectomy with bilateral modified radical neck dissection (group C) to identify the recurrence rate, cure rate, metastatic involvement of neck lymph nodes and incidences of surgically derived hypoparathyroidism and recurrent laryngeal nerve injury, and to evaluate the survival time between the different surgical treatment groups.

Patients and methods

Patients. Records of the 75 patients, 25 male and 45 female (mean 43.78 years) patients, with SMTC treated and/or followed at Gansu Province Tumor Hospital, China and Lanzhou University Second Hospital between January 1990 and December 2008 were reviewed. Of the 75 patients, 3 patients were excluded: 1 patient had metastasis to the bone and reported bone pain at presentation, and the remaining 2 presented metastasis to the lung and reported dyspnea at presentation. Based on the extent of surgical procedure, 70 patients were divided into groups A (12 patients, total thyroidectomy with bilateral central neck dissection), B (40 patients, subtotal thyroidectomy preserving contralateral thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx with ipsilateral modified radical neck dissection) and C (18 patients, subtotal thyroidectomy preserving contralateral thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx with bilateral modified radical neck dissection). SMTC was defined by the absence of rearranged during transfection (RET) proto-oncogene mutation, or (prior to the introduction of RET analysis) by a negative familial history of MTC and by a negative familial screening with a pentagastrin stimulation test.

Surgical treatment. The surgical procedure was performed with a curative intent for all SMTC patients. The pre-operative diagnosis of SMTC was performed in 4 patients for elevated pre-operative basal calcitonin levels and in 2 patients for elevated pentagastrin-stimulated calcitonin levels. The palpable bilateral neck lymph nodes were not present prior to the operation in any of the patients confirmed by the intra-operative frozen section analysis on thyroid tumor tissue and central neck lymph nodes and post-operative histopathology. In the patients of group A, the metastatic involvement of central neck lymph nodes was not revealed by the intra-operative frozen section analysis. In the patients of group B, the positive metastatic involvement of central lymph nodes was revealed by the intra-operative frozen section analysis. In the patients of group C, metastatic involvement of ipsilateral lymph nodes was revealed by the intra-operative frozen section analysis. The central neck dissection constituted the removal of all soft tissue containing lymph nodes from the hyoid bone superiorly, to the innominate vessels inferiorly, and the jugular veins laterally (level VI) (12). In 10% of patients with a macroscopic central node involvement, the upper mediastinal dissection was performed by cervical incision. In 2 patients, apparent surgical invasion of the trachea was treated by shaving the tumor from the trachea. The resection of an invaded recurrent laryngeal nerve was performed in 5 patients.

The subtotal thyroidectomy mainly preserved the thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx and preserved the vessels supplying the parathyroid as far as possible.

The ipsilateral/bilateral modified radical neck dissection including the removal of the nodes around the spinal accessory nerve was performed (level II-V) (12). The lateral neck dissection routinely preserved the internal jugular vein, sternocleidomastoid muscle and spinal accessory nerve.

Parathyroid glands were identified with intra-operative methylene blue staining. Where a parathyroid gland was removed and was confirmed to be parathyroid tissue by frozen section analysis, the parathyroid gland was then sliced into 3-mm sections and auto-transplanted into individual pockets in the sternocleidomastoid muscle, or in a muscle of the non-dominant forearm. Auto-transplanted procedures were performed in accordance with the ethical guidelines of our institution.

Pathological diagnosis. Patients were diagnosed by intra-operative frozen section analysis on thyroid tumor tissue and central neck lymph nodes. The diagnosis of MTC was confirmed by a positive immunostaining for calcitonin and carcino-embryonic antigen in all patients. The characteristics of the tumor, including the location (upper, central-upper, or lower part of the thyroid lobe), the size, uni- or multifocality, and uni- or bilaterality were assessed by an experienced pathologist. Sections (2-mm) of each lymph node without apparent metastases were routinely performed. In case of macroscopic metastases, fewer sections were performed. In case of doubtful diagnosis of micrometastases, calcitonin and carcino-embryonic antigen immunostaining was performed on the lymph node sections. No attempt was made to classify central lymph nodes as right or left since this compartment was removed in monoblock. The latero-cervical lymph nodes were classified as right or left. In case of the bilateral thyroid involved, the contralateral lymph nodes were defined as contralateral to the largest tumor.

Criterion of therapeutic outcome. At the point of 3 months after surgery, assessment of the basal calcitonin was determined. Where the basal calcitonin was undetectable, a pentagastrin stimulation test was performed (slow intravenous injection of 0.5 µg/kg pentagastrin-peptavlon, and peak calcitonin measured at 2 and 5 min) (15).

Statistical analysis. Data are described as the mean ± SD. ANOVA was used to compare the number of involved lymph
nodes, tumor size and survival time among the different groups. A $\chi^2$ test was used to evaluate the incidence of surgical complications, recurrence rate, cure rate and TNM stage among the different groups. The Kaplan-Meier method and the log-rank test were used to determine the cancer-specific cumulative survival. P<0.05 was considered to be statistically significant.

Results

Follow-up was available for 70 patients administered with three different surgical treatment types. Among 70 patients, 50 cases (71.4%) had undergone different initial thyroid surgeries, including lobe thyroidectomy, lobe subtotal thyroidectomy and lobe partial thyroid resection in the community hospital. Thyroid nodules were detected by palpation in 60 cases and by ultrasonography in 10 cases. The mean age at diagnosis was 43.78 years without a significant difference between the 3 groups. There were 25 males and 45 females and the overall male-to-female ratio was 1.0:1.8 with a significant difference between the 3 groups. Patients with SMTC were diagnosed by intra-operative frozen section analysis and post-operative pathological examination of thyroid tumor tissue and central neck lymph nodes. The clinical characteristics of the 70 patients are reported in Table I.

### Table I. The characteristics of 70 patients with SMTC.

<table>
<thead>
<tr>
<th>Group</th>
<th>No. (%)</th>
<th>Age (yrs)</th>
<th>Gender (male/female)</th>
</tr>
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<tbody>
<tr>
<td>A</td>
<td>12 (17.2%)</td>
<td>46.8±14.4</td>
<td>7/5</td>
</tr>
<tr>
<td>B</td>
<td>40 (57.1%)</td>
<td>44.3±13.5</td>
<td>10/30</td>
</tr>
<tr>
<td>C</td>
<td>18 (25.7%)</td>
<td>39.4±13.0</td>
<td>10/8</td>
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</table>

$\chi^2$ P-value 1.0>0.05 0.018<0.05

SMTC, sporadic medullary thyroid carcinoma. Group A: total thyroidectomy with central neck dissection. Group B: subtotal thyroidectomy with ipsilateral modified radical neck dissection; Group C: subtotal thyroidectomy with bilateral modified radical neck dissection.

Family history was not recorded for any of the MTC patients. During the follow-up (12.0-169.0 months), 1 patient presented with metastasis to the lung and 1 to bone (2.8%). The overall incidence of recurrence was 25.7% (18/70), with recurrence site at the ipsilateral neck in 10 patients, contralateral neck lymph nodes in 4 patients and thyroid bed in 4 patients. Among 18 recurrent cases, 9 patients were distributed in group A, 1 patient in group B and 8 patients in group C. The biochemical cure rate in groups A, B and C was found to be 25, 97.5 and 55.6%, respectively. The possibility of re-operation in group A was greater than that in the remaining 2 groups. A significant difference was observed between the 3 groups with regard to the recurrence ($\chi^2$=31.1, P<0.001) and biochemical cure rates ($\chi^2$=31.1, P<0.01). The cancer recurrence rates in groups A, B and C were 75.0, 2.4 and 44.4%, respectively ($\chi^2$=31.1, P<0.01). The biochemical cure rates were 25, 97.5 and 55.6%, respectively ($\chi^2$=31.1, P<0.01). The recurrence and cure rates of 70 patients with SMTC are reported in Table III.

### Surgical complications

Neck surgery was considered to be macroscopically complete in 70 patients. Postoperative complications included permanent hypoparathyroidism in 4 cases (3 cases after initial thyroidectomy performed at primary hospital) and transient or momentary hypoparathyroidism in 7 cases (these patients required maintenance therapy of oral calcium in the post-operative 6 months) 11/70 (15.7%). Recurrent laryngeal nerve injury was observed in 8 patients (11.1%) and of these, recurrent nerve resection was intentionally performed in 5 patients to achieve complete tumor resection. A significant difference was observed in the incidences of hypoparathyroidism ($\chi^2$=40.9, P<0.01) and recurrent laryngeal nerve injury ($\chi^2$=32.9, P<0.01) among the 3 surgical groups (Table IV). One patient presented with Claude Bernard-Horner syndrome and 1 patient presented with spinal nerve palsy.

### Survival analysis

Therapeutic external beam radiation to the cervical region was administered to 8 patients, and 4 patients received chemotherapy regimens (2 patients received systemic
chemotherapy and 1 patient had chemoembolization for liver metastases post-operation). The overall cause-specific cumulative survival observed in our study was 130 months (25%), 112 months (50%), and 67 months (75%). The mean survival times in groups A, B and C were 77.8, 106.1 and 111.0 months, respectively. No significant difference was observed in survival time by Kaplan-Meier analysis (Fig. 1) and among 3 surgical treatment groups ($\chi^2=3.2$, $P>0.05$) (Table II).

### Discussion

Medullary thyroid carcinoma is a rare calcitonin-producing neuroendocrine tumor originating from the parafollicular C-cells of the thyroid gland. This typically aggressive tumor presents with metastasis to local and regional lymph nodes and distant organs, particularly the lungs, liver and bone. MTC does not generally respond to external beam radiation, although chemotherapy agents and external beam radiation therapy for unresectable or metastatic MTC have produced various outcomes without a demonstrable improvement in long-term survival. At present, the only possible curative treatment against MTC is complete surgical resection when the disease is confined to the neck. However, this option is limited since a complete resection of MTC may be difficult. Consequently, surgical treatment remains the curative modality primarily employed for MTC (15). MTC occurs in sporadic and hereditary forms; the present study excluded hereditary medullary thyroid carcinoma (HMTC) for characteristics of HMTC with multicentric and heterogeneous clinical behavior. The present study included of 70 consecutive STMC patients receiving different surgical treatments including total thyroidectomy with central neck dissection (group A), subtotal thyroidectomy with ipsilateral modified radical neck dissection (group B) and subtotal thyroidectomy with bilateral modified radical neck dissection (group C). The mean age at diagnosis was 44.8 years, which was older than in other studies (16,17). This difference may be due to the fact that most patients were diagnosed at a late stage. The overall male-to-female ratio was 1.0:1.8, indicating that the incidence of SMTC was higher in females than in males. Taken together, these findings contradict those of Jimenez et al, who observed that this tumor most commonly manifests equally in women and men in the fourth decade of life (18).

In accordance with previous data, a strong relationship was noted between lymph node involvement and tumor size in the 2 groups of MTC (19,20). Patients presenting with a palpable nodule (>1 cm) were at a high risk of having ipsilateral or contralateral lymph node metastases. In our series, the tumor size of all of patients was >1 cm and there were significant differences in tumor size between groups A and B, whereas the differences in lymph node metastasis between different surgical treatment groups were not significant. This observation indicates that the same surgical protocol should also be applied to patients with different tumor size where
the surgical procedure cannot be determined according to tumor size. Furthermore, the result clearly demonstrated that neck lymph node metastasis does not behave according to the conventional route (from level VI-VII to level II-V), but follows a skipping route (from tumor to level II-V).

The intra-operative palpation of nodes was not an accurate predictor of the presence or absence of metastases (13,21). From data on lymphatic dissemination of MTC (22,23), the ipsilateral cervical lymph node compartments constitute the border between local and systemic disease. Contralateral lymphatic drainage of tumor cells is indicative of systemic disease, as evidenced by the frequent failure of the calcitonin level to normalize despite extensive lymph node dissection. The appropriate surgical procedure for a patient with localized SMTC is a total thyroidectomy with central (levels VI and VII) and bilateral (levels II to V) lymph node dissections. Although a surgical cure is difficult to establish, up to 25% of selected patients can be cured by this approach (22-24). It was difficult to determine an appropriate surgical procedure for a patient with SMTC.

Findings of our study showed that of the 18 recurrences (25%), 10 cases occurred at the ipsilateral neck lymph node, 4 cases occurred in the thyroid bed and 4 cases occurred at the contralateral neck lymph nodes. As a result all of the patients involved underwent reoperation. The recurrence rates were 75, 2.5 and 44.4% for groups A, B and C, respectively, and the respective biochemical cure rates were 25, 97.5 and 55.6%. A significant difference was observed between the 3 groups in the incidence of surgical complication of 70 patients with SMTC.

A number of reasons may explain this result. First, most cases had been operated by a non-standard initial thyroid surgery, which led to fibrous tissue with recurrent laryngeal nerve injury. Additionally, surgical cure was not achieved, indicating that total thyroidectomy with central neck dissection easily led to hypoparathyroidism and recurrent laryngeal nerve injury. Second, recurrent laryngeal nerve injury cannot be avoided especially in the case of re-operation or if the recurrent larynx surgery was required for those cases with bilateral neck lymph node metastasis. The invasively malignant biological behaviour resulted in patients requiring further surgery and adjunctive radiotherapy. Occasionally, palliative surgery was required, particularly where the tumor had invaded the tracheal, esophageal and/or carotid sheath. The extent of the central neck dissection includes all fibrofatty and lymphatic tissue from just above the innominate vein between the carotid sheath and trachea to the level of the thyroid cartilage, and a modified radical neck dissection preserving the internal jugular vein, the sternocleidomastoid muscle and the spinal accessory nerve, but removing all fibrofatty and lymphatic tissue from the carotid sheath to the trapezius and from the clavicle to just above the hyoid bone.

Radiotherapy is routinely used as an adjunctive, palliative treatment for extensive neck or mediastinal disease or for localized bone metastasis. Although radiotherapy appears to be effective in preventing and controlling complications associated with MTC activity in the neck and mediastinum, no evidence exists showing that such a therapy has a positive effect on survival (26). Chemotherapy based on dacarbazine has been associated with a significant reduction in tumor size in approximately 30% of patients treated with this agent in combination with others. However, neither a complete remission nor any effect on survival rates have been observed (27). In the present study, surgical complications resulted in hypoparathyroidism in 15.3% and recurrent laryngeal nerve injury in 11% of patients. Complication rates were higher than in other studies (28,29). Complication rates of hypoparathyroidism and recurrent laryngeal nerve injury in group A were significantly higher than those in groups B and C, indicating that total thyroidectomy with central neck dissection easily led to hypoparathyroidism and recurrent laryngeal nerve injury.

A number of reasons may explain this result. First, most cases had been operated by a non-standard initial thyroid surgery, which led to fibrous tissue with recurrent laryngeal nerve-wide adhesions and difficult anatomical separation. Confronted with such a situation, a surgeon may injure the supplying vascular of the parathyroid or dissect the parathyroid instead of the neck lymph node metastases. Additionally, intra-operative gross operating and separation may cause hypoparathyroidism and recurrent laryngeal nerve injury.

The incidence of surgical complication of 70 patients with SMTC.

<table>
<thead>
<tr>
<th>Groups</th>
<th>A (No. (%)</th>
<th>B (No.)</th>
<th>C (No. (%)</th>
<th>Total (No.)</th>
<th>χ²</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. (%)</td>
<td>12 (17.2)</td>
<td>40 (57.1)</td>
<td>18 (25.7)</td>
<td>72</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Recurrent laryngeal nerve injury (%)</td>
<td>9 (75)</td>
<td>0</td>
<td>2 (11)</td>
<td>11 (15.3)</td>
<td>40.882</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

SMTC: sporadic medullary thyroid carcinoma. Group A: total thyroidectomy with central neck dissection; Group B: subtotal thyroidectomy with ipsilateral modified radical neck dissection; Group C: subtotal thyroidectomy with bilateral modified radical neck dissection.
neural nerve has been invaded by a tumor, as in the 7 cases of the present study. Recurrent laryngeal nerve resection was intentionally performed in 5 patients to achieve complete tumor resection. In those cases where the thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx had been invaded by a tumor it was necessary to select between the residual tumor or permanent recurrent laryngeal nerve palsy. Third, the variation in location, number and supplying vessel of the parathyroid may lead to post-operative hypoparathyroidism. Total thyroidectomy with central neck dissection only preserved the parathyroid, naked trachea and tracheo-esophageal groove. Conversely, subtotal thyroidectomy preserved maximum thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx and the supplying vessel of the parathyroid; thus groups B and C showed a lower incidence of surgical complication. The mean survival times were 77.8, 106.1 and 111.0 months for groups A, B and C, respectively. This result was slightly poorer than the findings of other studies (30). No significant difference was found in the cumulative survival among the 3 groups, indicating that the 3 groups had a similar clinical effect, although group A had a higher incidence of surgical complication and recurrence than groups B and C. In other words, the treatment administered to group A had not prolonged the survival time of SMTC patients through the adoption of total thyroidectomy with central neck dissection, but instead led to a higher incidence of recurrence and surgical complication, particularly that of hypoparathyroidism and recurrent laryngeal nerve injury.

In conclusion, our data provide a challenge to the standard approach to neck surgery on patients with SMTC, regardless of the size of the thyroid tumor and the incidence of lymph node metastases in the central and lateral neck compartments. Total thyroidectomy with central neck dissection presented a significantly higher surgical complication rate of hypoparathyroidism and recurrent laryngeal nerve injury and incidence of recurrence. Adoption of subtotal thyroidectomy preserving the thyroid tissue on the entrance point of the recurrent laryngeal nerve into the larynx with ipsilateral/bilateral modified radical neck dissection provided a more effective surgical strategy that showed a higher cure rate and lower complication rate.

References